

**Drinking Water SRF Program Information
for the State of Connecticut**

Federal and State Investment

For Federal Fiscal Year Ending September 30 of:

	1997	1998	1999	2000	2001	2002	2003
Data Entered by EPA							
Federal Grants (Dollars)							
1	-	7/9/1998	9/22/1999	-	1/9/2001	11/28/2001	1/15/2003
2	\$0	\$21,408,200	\$14,585,100	\$0	\$7,757,000	\$7,789,100	\$8,052,500
3	\$0	\$21,408,200	\$35,993,300	\$35,993,300	\$43,750,300	\$51,539,400	\$59,591,900
Transfer of Federal Funds Between DWSRF and CWSRF							
4	\$0	\$0	\$0	\$0	\$0	\$0	\$0
5	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6	\$0	\$0	\$0	\$0	\$0	\$0	\$0
7	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Quarterly Outlays (Dollars)							
8	\$0	\$0	\$198,592	\$1,625,667	\$4,264,608	\$1,031,889	\$4,182,212
9	\$0	\$0	\$188,012	\$375,939	\$2,443,832	\$1,780,348	\$4,808,093
10	\$0	\$0	\$258,745	\$231,156	\$3,311,124	\$1,573,187	\$5,709,359
11	\$0	\$40,468	\$264,492	\$3,330,913	\$2,344,812	\$1,828,115	\$2,536,820
12	\$0	\$40,468	\$909,841	\$5,563,675	\$12,364,376	\$6,213,539	\$17,236,484
13	\$0	\$40,468	\$950,309	\$6,513,984	\$18,878,360	\$25,091,899	\$42,328,383

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Federal and State Investment	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Fund Investment Summary (Calculated Values)							
14 *Annual Capitalization Grants	\$0	\$6,636,542	\$14,771,658	\$14,585,100	\$7,757,000	\$7,789,100	\$8,052,500
15 *Cumulative Capitalization Grants	\$0	\$6,636,542	\$21,408,200	\$35,993,300	\$43,750,300	\$51,539,400	\$59,591,900
Adjustments to the DWSRF Fund							
16 *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
17 *Annual Amount (Awarded) for Set-Asides (from line 111)	\$0	(\$6,636,542)	\$0	(\$4,521,381)	(\$2,404,670)	(\$2,414,621)	(\$2,496,275)
18 *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
19 *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$0	\$14,771,658	\$10,063,719	\$5,352,330	\$5,374,479	\$5,556,225
20 *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$0	\$14,771,658	\$24,835,377	\$30,187,707	\$35,562,186	\$41,118,411
21 *Annual State Contributions	\$0	\$0	\$4,281,640	\$2,917,020	\$1,551,400	\$1,557,820	\$1,610,500
22 *Cumulative State Contributions	\$0	\$0	\$4,281,640	\$7,198,660	\$8,750,060	\$10,307,880	\$11,918,380
23 *Annual Net Investments for the DWSRF Fund	\$0	\$0	\$19,053,298	\$12,980,739	\$6,903,730	\$6,932,299	\$7,166,725
24 *Cumulative Net Investments for the DWSRF Fund	\$0	\$0	\$19,053,298	\$32,034,037	\$38,937,767	\$45,870,066	\$53,036,791
25 *State Contributions as a % of Grants - Annual	-	0%	29%	20%	20%	20%	20%
26 *State Contributions as a % of Grants - Cumulative	-	0%	20%	20%	20%	20%	20%
Outlays (Dollars)							
27 *Annual	\$0	\$0	\$685,817	\$2,497,254	\$13,350,477	\$6,730,236	\$16,527,779
28 *Cumulative	\$0	\$0	\$685,817	\$3,183,071	\$16,533,548	\$23,263,784	\$39,791,563
State Match Contributions Deposited (Dollars)							
29 Cash or Appropriations	\$0	\$0	\$0	\$0	\$0	\$0	\$0
30 Bonds Retired Outside the DWSRF Fund	\$0	\$0	\$4,281,640	\$2,917,020	\$1,551,400	\$1,557,820	\$1,610,500
31 Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
32 Pre-existing Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
33 Other Sources	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34 *Total Annual Match Contributions	\$0	\$0	\$4,281,640	\$2,917,020	\$1,551,400	\$1,557,820	\$1,610,500
35 *Cumulative Match Contributions	\$0	\$0	\$4,281,640	\$7,198,660	\$8,750,060	\$10,307,880	\$11,918,380
Additional Match for State Program Management Activities (Dollars)							
36 Credit for 1993 Funding Used	\$0	\$1,070,410	\$0	\$729,255	\$387,850	\$389,455	\$402,625
37 Cash Contributions Deposited	\$0	\$0	\$0	\$0	\$0	\$0	\$0
38 Contributions as In-Kind Services	\$0	\$1,070,410	\$0	\$729,255	\$387,850	\$389,455	\$402,625
39 *Total Annual Additional Contribution	\$0	\$2,140,820	\$0	\$1,458,510	\$775,700	\$778,910	\$805,250
40 *Cumulative Additional Contribution	\$0	\$2,140,820	\$2,140,820	\$3,599,330	\$4,375,030	\$5,153,940	\$5,959,190
Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund							
41 *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
42 Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
43 *Total Annual Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44 *Cumulative Total Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Set-Asides	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Administrative Expenses (Dollars)								
45	Amount Awarded for Inclusion in Workplans	\$0	\$856,328	\$0	\$583,404	\$310,280	\$311,564	\$322,100
46	*Annual Amount Awarded as a % of Grants Awarded	-	12.9%	0.0%	4.0%	4.0%	4.0%	4.0%
47	Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0
48	*Annual Amount Awarded and Transferred	\$0	\$856,328	\$0	\$583,404	\$310,280	\$311,564	\$322,100
49	*Cumulative Amount Awarded, Including Transfers	\$0	\$856,328	\$856,328	\$1,439,732	\$1,750,012	\$2,061,576	\$2,383,676
50	Annual Expenses - DWSRF Administration	\$0	\$0	\$328,742	\$468,237	\$348,308	\$359,041	\$146,223
51	Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
52	*Total Annual Administrative Expenses	\$0	\$0	\$328,742	\$468,237	\$348,308	\$359,041	\$146,223
53	*Cumulative Administrative Expenses	\$0	\$0	\$328,742	\$796,979	\$1,145,287	\$1,504,328	\$1,650,551
54	*Remaining Awarded Amount	\$0	\$856,328	\$527,586	\$642,753	\$604,725	\$557,248	\$733,125
Small Systems Technical Assistance								
55	Amount Awarded for Inclusion in Workplans	\$0	\$428,164	\$0	\$291,702	\$155,140	\$155,782	\$161,050
56	*Annual Amount Awarded as a % of Grants Awarded	-	6.5%	0.0%	2.0%	2.0%	2.0%	2.0%
57	Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
58	*Annual Amount Awarded and Transferred	\$0	\$428,164	\$0	\$291,702	\$155,140	\$155,782	\$161,050
59	*Cumulative Amount Awarded, Including Transfers	\$0	\$428,164	\$428,164	\$719,866	\$875,006	\$1,030,788	\$1,191,838
60	Annual Expenses - Small System Technical Assistance	\$0	\$0	\$0	\$169,300	\$304,182	\$348,373	\$225,445
61	*Cumulative Small Systems Technical Assistance Expenses	\$0	\$0	\$0	\$169,300	\$473,482	\$821,855	\$1,047,300
62	*Remaining Awarded Amount	\$0	\$428,164	\$428,164	\$550,566	\$401,524	\$208,933	\$144,538
63	Annual Number of Systems Receiving Assistance	0	0	0	580	64	984	700
64	*Cumulative Number of Small Systems Receiving Technical Assistance	0	0	0	580	644	1,628	2,328
State Program Management (Dollars)								
65	Amount Awarded for Inclusion in Workplans	\$0	\$2,140,820	\$0	\$1,458,510	\$775,700	\$778,910	\$805,250
66	*Annual Amount Awarded as a % of Grants Awarded	-	32.3%	0.0%	10.0%	10.0%	10.0%	10.0%
67	Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0	\$0	\$0	\$0
68	*Annual Amount Awarded and Transferred	\$0	\$2,140,820	\$0	\$1,458,510	\$775,700	\$778,910	\$805,250
69	*Cumulative Amount Awarded, Including Transfers	\$0	\$2,140,820	\$2,140,820	\$3,599,330	\$4,375,030	\$5,153,940	\$5,959,190
70	Annual Expenses - PWSS Administration	\$0	\$3,407	\$239,686	\$346,704	\$710,280	\$680,017	\$661,073
71	*Cumulative Expenses - PWSS Administration	\$0	\$3,407	\$243,093	\$589,797	\$1,300,077	\$1,980,094	\$2,641,167
72	Annual Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
73	*Cumulative Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
74	Annual Expenses - Capacity Development	\$0	\$0	\$0	\$0	\$0	\$0	\$0
75	*Cumulative Expenses - Capacity Development	\$0	\$0	\$0	\$0	\$0	\$0	\$0
76	Annual Expenses - Operator Certification Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
77	*Cumulative Expenses - Operator Certification Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
78	*Total Annual State Program Management Expenses	\$0	\$3,407	\$239,686	\$346,704	\$710,280	\$680,017	\$661,073
79	*Cumulative State Program Management Expenses	\$0	\$3,407	\$243,093	\$589,797	\$1,300,077	\$1,980,094	\$2,641,167
80	*Remaining Awarded Amount	\$0	\$2,137,413	\$1,897,727	\$3,009,533	\$3,074,953	\$3,173,846	\$3,318,023

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Set-Asides	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Local Assistance and Other State Programs (1452(k) Activities)								
81	Amount Awarded for Inclusion in Workplans	\$0	\$3,211,230	\$0	\$2,187,765	\$1,163,550	\$1,168,365	\$1,207,875
82	*Annual Amount Awarded as a % of Grants Awarded	-	48.4%	0.0%	15.0%	15.0%	15.0%	15.0%
83	Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0	\$0	\$0	\$0
84	*Annual Amount Awarded and Transferred	\$0	\$3,211,230	\$0	\$2,187,765	\$1,163,550	\$1,168,365	\$1,207,875
85	*Cumulative Amount Awarded, Including Transfers	\$0	\$3,211,230	\$3,211,230	\$5,398,995	\$6,562,545	\$7,730,910	\$8,938,785
Loans for Source Water Protection Land Acquisition/Conservation Easements								
86	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
87	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
88	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
89	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0
90	Annual Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0
91	*Cumulative Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0
Loans for Incentive-Based Source Water Protection Measures								
92	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
93	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
95	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0
Source Water Protection Area Delineation/Assessment								
96	Annual Expenses - SWP Area Delineation/Assessment	\$0	\$0	\$0	\$0	\$188,762	\$341,653	\$884,321
97	*Cumulative Expenses - SWP Area Delineation/Assessment	\$0	\$0	\$0	\$0	\$188,762	\$530,415	\$1,414,736
Wellhead Protection Programs								
98	Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$107,267	\$136,103	\$260,588	\$158,505	\$143,648
99	Annual Dollar Amount of Wellhead Protection Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
100	Annual Number of Wellhead Protection Loans	0	0	0	0	0	0	0
101	*Cumulative Expenses/Loans - Wellhead Protection Programs	\$0	\$0	\$107,267	\$243,370	\$503,958	\$662,463	\$806,111
Technical or Financial Assistance to PWSs for Capacity Development								
102	Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$115,759	\$0	\$0
103	Annual Dollar Amount of Loans under the Capacity Development Strategy	\$0	\$0	\$0	\$0	\$0	\$0	\$0
104	Annual Number of Loans under the Capacity Development Strategy	0	0	0	0	0	0	0
105	*Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$115,759	\$115,759	\$115,759
106	Annual Number of Systems Receiving Assistance	0	0	0	0	278	0	0
107	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	278	278	278
108	*Total Annual 1452(k) Activity Dollars	\$0	\$0	\$107,267	\$136,103	\$565,109	\$500,158	\$1,027,969
109	*Cumulative 1452(k) Activity Dollars	\$0	\$0	\$107,267	\$243,370	\$808,479	\$1,308,637	\$2,336,606
110	*Remaining Awarded Amount	\$0	\$3,211,230	\$3,103,963	\$5,155,625	\$5,754,066	\$6,422,273	\$6,602,179
Set-Aside Summary								
111	*Annual Total Awarded Amount for Set-Asides	\$0	\$6,636,542	\$0	\$4,521,381	\$2,404,670	\$2,414,621	\$2,496,275
112	*Cumulative Total Awarded Amount for Set-Asides	\$0	\$6,636,542	\$6,636,542	\$11,157,923	\$13,562,593	\$15,977,214	\$18,473,489
113	*Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
114	*Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
115	*Annual Net Total Amount Awarded for Set-Asides	\$0	\$6,636,542	\$0	\$4,521,381	\$2,404,670	\$2,414,621	\$2,496,275
116	*Cumulative Net Total Amount Awarded for Set-Asides	\$0	\$6,636,542	\$6,636,542	\$11,157,923	\$13,562,593	\$15,977,214	\$18,473,489
117	*Cumulative Net Total Amount Awarded as a % of Grants Awarded	-	100.0%	31.0%	31.0%	31.0%	31.0%	31.0%
118	*Total Annual Set-Aside Activity Dollars Expended/Committed	\$0	\$3,407	\$675,695	\$1,120,344	\$1,927,879	\$1,887,589	\$2,060,710
119	*Cumulative Set-Aside Activity Dollars Expended/Committed	\$0	\$3,407	\$679,102	\$1,799,446	\$3,727,325	\$5,614,914	\$7,675,624
120	*Cumulative Remaining Awarded Amount for Set-Asides	\$0	\$6,633,135	\$5,957,440	\$9,358,477	\$9,835,268	\$10,362,300	\$10,797,865

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Binding Commitments							
121 Annual Dollar Amount	\$0	\$0	\$4,429,750	\$21,797,997	\$5,108,370	\$24,170,000	\$1,171,000
122 *Cumulative Dollar Amount	\$0	\$0	\$4,429,750	\$26,227,747	\$31,336,117	\$55,506,117	\$56,677,117
123 Annual Number of Binding Commitments	0	0	3	7	5	2	2
124 *Cumulative Number of Binding Commitments	0	0	3	10	15	17	19
Type of DWSRF Assistance Provided (Dollars)							
125 Executed Loan Commitments	\$0	\$0	\$4,429,750	\$20,713,987	\$5,023,618	\$22,993,978	\$1,171,000
126 Refinance Short-term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0
127 Refinance Long-term Debt	\$0	\$0	\$0	\$1,084,010	\$0	\$0	\$0
128 Guarantee or Purchase Insurance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
129 *Total Annual Assistance	\$0	\$0	\$4,429,750	\$21,797,997	\$5,023,618	\$22,993,978	\$1,171,000
130 *Cumulative Assistance	\$0	\$0	\$4,429,750	\$26,227,747	\$31,251,365	\$54,245,343	\$55,416,343
Type of DWSRF Assistance Provided (Number of Assistance Agreements)							
131 Executed Loan Commitments	0	0	3	6	5	2	2
132 Refinance Short-term Debt	0	0	0	0	0	0	0
133 Refinance Long-term Debt	0	0	0	1	0	0	0
134 Guarantee or Purchase Insurance	0	0	0	0	0	0	0
135 *Total Annual Number of Agreements	0	0	3	7	5	2	2
136 *Cumulative Number of Agreements	0	0	3	10	15	17	19
Assistance by Population Size (Dollars)							
137 Less than 501	\$0	\$0	\$223,500	\$300,000	\$945,580	\$170,000	\$0
138 501 to 3,300	\$0	\$0	\$210,000	\$2,184,010	\$0	\$0	\$1,171,000
139 3,301 to 10,000	\$0	\$0	\$0	\$2,500,000	\$950,000	\$0	\$0
140 10,001 to 100,000	\$0	\$0	\$0	\$12,682,987	\$2,737,000	\$24,000,000	\$0
141 100,001 and Above	\$0	\$0	\$3,996,250	\$4,131,000	\$391,038	(\$1,176,022)	\$0
142 *Total Annual Assistance	\$0	\$0	\$4,429,750	\$21,797,997	\$5,023,618	\$22,993,978	\$1,171,000
143 *Cumulative Assistance	\$0	\$0	\$4,429,750	\$26,227,747	\$31,251,365	\$54,245,343	\$55,416,343
Assistance by Population Size (Number of Assistance Agreements)							
144 Less than 501	0	0	1	1	2	1	0
145 501 to 3,300	0	0	1	2	0	0	2
146 3,301 to 10,000	0	0	0	1	1	0	0
147 10,001 to 100,000	0	0	0	1	1	1	0
148 100,001 and Above	0	0	1	2	1	0	0
149 *Total Annual Number of Agreements	0	0	3	7	5	2	2
150 *Cumulative Number of Agreements	0	0	3	10	15	17	19

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

DWSRF Fund Assistance

For the Reporting Year Ending June 30 of:

	1997	1998	1999	2000	2001	2002	2003
Drinking Water System Project Assistance							
(Dollars in each category)							
151 Planning and Design Only	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Construction							
152 Treatment	\$0	\$0	\$1,672,550	\$2,193,510	\$16,000	\$22,000,000	\$0
153 Transmission & Distribution	\$0	\$0	\$1,926,644	\$12,544,468	\$4,638,542	\$965,978	\$1,124,000
154 Source	\$0	\$0	\$0	\$0	\$0	\$0	\$0
155 Storage	\$0	\$0	\$199,500	\$4,523,364	\$64,000	\$13,000	\$0
156 Purchase of Systems	\$0	\$0	\$0	\$0	\$0	\$0	\$0
157 Restructuring	\$0	\$0	\$0	\$0	\$0	\$0	\$0
158 Land Acquisition	\$0	\$0	\$0	\$0	\$0	\$0	\$0
159 Other	\$0	\$0	\$631,056	\$2,536,655	\$305,076	\$15,000	\$47,000
160 *Total Annual Dollar Amount	\$0	\$0	\$4,429,750	\$21,797,997	\$5,023,618	\$22,993,978	\$1,171,000
161 *Cumulative Dollar Amount	\$0	\$0	\$4,429,750	\$26,227,747	\$31,251,365	\$54,245,343	\$55,416,343
Drinking Water System Project Assistance							
(Number in each category)**							
162 Planning and Design Only	0	0	0	0	0	0	0
Construction							
163 Treatment	0	0	1	2	1	1	0
164 Transmission & Distribution	0	0	3	5	5	2	2
165 Source	0	0	0	0	0	0	0
166 Storage	0	0	1	3	1	1	0
167 Purchase of Systems	0	0	0	0	0	0	0
168 Restructuring	0	0	0	0	0	0	0
169 Land Acquisition	0	0	0	0	0	0	0
170 Other	0	0	3	7	5	1	2
171 *Total Annual Number	0	0	8	17	12	5	4
172 *Cumulative Number	0	0	8	25	37	42	46

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Connecticut**

DWSRF Fund Assistance	<i>For the Reporting Year Ending June 30 of:</i>						
	1997	1998	1999	2000	2001	2002	2003
Number of Projects Funded							
173 Annual Number of Projects Receiving Assistance	0	0	3	7	5	2	2
174 *Cumulative Number of Projects	0	0	3	10	15	17	19
DWSRF Project Starts							
175 Annual Dollar Amount	\$0	\$0	\$4,429,750	\$21,797,997	\$5,023,618	\$22,993,978	\$1,171,000
176 *Cumulative Dollar Amount	\$0	\$0	\$4,429,750	\$26,227,747	\$31,251,365	\$54,245,343	\$55,416,343
177 Annual Number of Assistance Agreements	0	0	3	7	5	2	2
178 *Cumulative Number of Agreements	0	0	3	10	15	17	19
DWSRF Project Completions							
179 Annual Dollar Amount	\$0	\$0	\$0	\$2,184,010	\$2,938,748	\$14,174,025	\$4,006,727
180 *Cumulative Dollar Amount	\$0	\$0	\$0	\$2,184,010	\$5,122,758	\$19,296,783	\$23,303,510
181 Annual Number of Assistance Agreements	0	0	0	2	3	3	5
182 *Cumulative Number of Agreements	0	0	0	2	5	8	13
183 Number of Projects Completed	0	0	0	2	3	3	5
184 *Cumulative Number of Projects Completed	0	0	0	2	5	8	13
Assistance to Disadvantaged Communities							
185 Annual Dollar Amount of Assistance to Disadvantaged Communities	\$0	\$0	\$0	\$0	\$0	\$0	\$0
186 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
187 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
188 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance with Principal Forgiveness							
189 Annual Dollar Amount of Assistance with Principal Forgiveness	\$0	\$0	\$0	\$0	\$0	\$0	\$0
190 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
191 Annual Dollar Amount of Principal Forgiven	\$0	\$0	\$0	\$0	\$0	\$0	\$0
192 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
193 Annual Number of Assistance Agreements with Principal Forgiveness	0	0	0	0	0	0	0
194 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance with Greater than 20-Year Repayment							
195 Annual Dollar Amount of Assistance with > 20-Year Repayment	\$0	\$0	\$0	\$0	\$0	\$0	\$0
196 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
197 Annual Number of Assistance Agreements with > 20-Year Repayment	0	0	0	0	0	0	0
198 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Population Served in Disadvantaged Communities Receiving Assistance							
199 Population Served	0	0	0	0	0	0	0
200 *Cumulative Population Served	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

DWSRF Fund Assistance - Specific Project Information

For the Reporting Year Ending June 30 of:

	1997	1998	1999	2000	2001	2002	2003
Assistance for System Compliance							
201 Annual Dollar Amount	\$0	\$0	\$0	\$3,884,010	\$636,659	\$0	\$516,000
202 *Cumulative Dollar Amount	\$0	\$0	\$0	\$3,884,010	\$4,520,669	\$4,520,669	\$5,036,669
203 Annual Number of Assistance Agreements	0	0	0	3	1	0	1
204 *Cumulative Number of Agreements	0	0	0	3	4	4	5
205 Annual Population Served	0	0	0	6,048	85	0	1,173
206 *Cumulative Population Served	0	0	0	6,048	6,133	6,133	7,306
Assistance to Private Systems							
207 Annual Dollar Amount	\$0	\$0	\$0	\$300,000	\$1,352,365	\$170,000	\$0
208 *Cumulative Dollar Amount	\$0	\$0	\$0	\$300,000	\$1,652,365	\$1,822,365	\$1,822,365
209 Annual Number of Assistance Agreements	0	0	0	1	3	1	0
210 *Cumulative Number of Agreements	0	0	0	1	4	5	5
Assistance to Systems by Type							
211 Annual Number of Community Systems	0	0	3	7	5	2	1
212 *Cumulative Number of Community Systems	0	0	3	10	15	17	18
213 Annual Number of non-Community Systems	0	0	0	0	0	0	1
214 *Cumulative Number of non-Community Systems	0	0	0	0	0	0	1
215 Annual Population Served by Community Systems	0	0	401,444	491,048	147,785	74,505	574
216 *Cumulative Population Served by Community Systems	0	0	401,444	892,492	1,040,277	1,114,782	1,115,356
217 Annual Population Served by non-Community Systems	0	0	0	0	0	0	1,173
218 *Cumulative Population Served by non-Community Systems	0	0	0	0	0	0	1,173
Assistance for the Creation of New Systems							
219 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
220 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
221 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
222 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance for the Consolidation of Systems							
223 Annual Dollar Amount	\$0	\$0	\$0	\$300,000	(\$68,673)	\$0	\$0
224 *Cumulative Dollar Amount	\$0	\$0	\$0	\$300,000	\$231,327	\$231,327	\$231,327
225 Annual Number of Assistance Agreements	0	0	0	1	0	0	0
226 *Cumulative Number of Agreements	0	0	0	1	1	1	1
227 Annual Number of Systems Eliminated	0	0	0	1	0	0	0
228 *Cumulative Number of Systems Eliminated	0	0	0	1	1	1	1
Assistance to Indian Tribes							
229 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
230 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
231 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
232 *Cumulative Number of Agreements	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Fund Information

For the Reporting Year Ending June 30 of:

	1997	1998	1999	2000	2001	2002	2003	
Leveraged Bonds (Excludes State Match)								
233	Gross Leveraged Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$29,614,747	\$0	\$0
234	Net Leveraged Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$29,614,747	\$0	\$0
235	Cost of Leveraged Bond Issuance - Annual	\$0	\$0	\$0	\$0	\$308,830	\$0	\$0
236	Leveraged Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	Debt Service Reserve for Leveraged Bonds							
237	*Annual Change	\$0	\$0	\$0	\$0	\$16,285,500	\$0	\$7,323,709
238	Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$16,285,500	\$16,285,500	\$23,609,209
239	Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
240	DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
241	*Gross Leveraged Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$29,614,747	\$29,614,747	\$29,614,747
242	*Net Leveraged Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$29,614,747	\$29,614,747	\$29,614,747
243	*Cost of Leveraged Bond Issuance - Cumulative	\$0	\$0	\$0	\$0	\$308,830	\$308,830	\$308,830
244	*Leveraged Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
245	*Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
246	*DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
247	*Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$29,614,747	\$29,614,747	\$29,614,747
State Match Bonds to be Repaid by DWSRF Fund								
248	*Match Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
249	Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
250	*Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
251	*Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
252	*Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid on Leveraged and Match Bonds								
253	Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual	\$0	\$0	\$0	\$0	\$187,938	\$1,165,309	\$1,398,371
254	Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds								
255	*Annual Dollar Amount	\$0	\$0	\$0	\$0	\$187,938	\$1,165,309	\$1,398,371
256	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$187,938	\$1,353,247	\$2,751,618

*Calculated Values

**Drinking Water SRF Program Information
for the State of Connecticut**

Fund Information	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Project Assistance Disbursed							
257 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$0	\$0	\$0	\$3,544,176	\$15,496,132	\$8,466,501	\$17,328,022
258 *Cumulative DWSRF Fund Disbursements	\$0	\$0	\$0	\$3,544,176	\$19,040,308	\$27,506,809	\$44,834,831
259 Annual 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
260 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account							
261 Number of Projects Initiating Principal Repayments	0	0	0	1	4	5	3
262 *Cumulative Number of Projects Initiating Principal Repayments	0	0	0	1	5	10	13
263 Principal Repayments - Annual	\$0	\$0	\$0	\$24,534	\$257,732	\$514,293	\$1,488,373
264 Interest Payments - Annual	\$0	\$0	\$0	\$16,439	\$73,167	\$535,914	\$854,084
265 *Principal and Interest - Annual	\$0	\$0	\$0	\$40,973	\$330,899	\$1,050,207	\$2,342,457
266 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$24,534	\$282,266	\$796,559	\$2,284,932
267 *Interest Payments - Cumulative	\$0	\$0	\$0	\$16,439	\$89,606	\$625,520	\$1,479,604
268 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$40,973	\$371,872	\$1,422,079	\$3,764,536
269 Weighted Average Interest Rate on DWSRF Executed Loan Commitments	0.0%	0.0%	2.6%	2.7%	2.7%	2.7%	2.3%
270 State Market Interest Rate	0.0%	0.0%	5.2%	5.4%	5.4%	5.4%	4.6%
DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only							
271 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
272 Interest Payments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
273 *Principal and Interest - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
274 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
275 *Interest Payments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
276 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
277 Weighted Average Interest Rate on 1452(k) Loans	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Interest Earnings on Investments							
278 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$0	\$0	\$0	\$292	\$130,579	\$993,178	\$2,893,465
279 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$0	\$0	\$0	\$292	\$130,871	\$1,124,049	\$4,017,514
280 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0
281 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees Charged on DWSRF Assistance							
282 Annual Income from Fees Included in Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
283 Annual Income from Fees not Included in Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
284 Annual Interest Earnings from Fee Account	\$0	\$0	\$0	\$0	\$0	\$0	\$0
285 *Total Annual Income from Fees	\$0	\$0	\$0	\$0	\$0	\$0	\$0
286 *Cumulative Income from Fees	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Expenses Paid from DWSRF Fee Accounts							
287 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
288 *Cumulative Expenses Paid to Administer DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
289 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0
290 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0
291 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0
292 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees							
293 Annual Other State Funded Administrative Expenses	\$35,941	\$85,346	\$92,896	\$93,213	\$404,991	\$445,177	\$758,587
294 *Cumulative Other State Funded Administrative Expenses	\$35,941	\$121,287	\$214,183	\$307,396	\$712,387	\$1,157,564	\$1,916,151

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Coordinated DWSRF Funding with Other State or Federal Funding Sources							
295 Amount of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
296 *Cumulative Amount of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
297 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	0	0	0	0	0	0
298 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	0	0	0	0	0	0
299 DWSRF Portion of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
300 *Cumulative DWSRF Portion of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)							
301 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
302 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
303 Annual Number of Loans	0	0	0	0	0	0	0
304 *Cumulative Number of Loans	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)							
305 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0
306 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
307 Annual Number of Grants	0	0	0	0	0	0	0
308 *Cumulative Number of Grants	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)							
309 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
310 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
311 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0
312 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0
State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)							
313 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
314 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
315 Annual Number of Loans	0	0	0	0	0	0	0
316 *Cumulative Number of Loans	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)							
317 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0
318 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
319 Annual Number of Grants	0	0	0	0	0	0	0
320 *Cumulative Number of Grants	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)							
321 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
322 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
323 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0
324 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Lead State Agency

325 Lead State Agency Name: Department of Public Health
326 Type of Agency: Health
327 Mailing Address: 410 Capitol Avenue PO Box 340308 MS#51 WAT
328 City: Hartford
329 Zip Code: 06134-0308
330 Street Address: 450 Capitol Avenue
331 City: Hartford
332 Zip Code: 06134-0308
333 Director: Gerald R. Iwan, Ph.D
334 Director's Title: Director, Drinking Water Division
335 Phone Number: (860) 509-7333
336 Fax Number: (860) 509-7359
337 E-mail Address: gerald.iwan@po.state.ct.us
338 Contact Person: Raymond Jarema
339 Phone Number: (860) 509-7333
340 Fax Number: (860) 509-7359
341 E-mail Address: raymond.jarema@po.state.ct.us

Secondary Agency

342 Secondary Agency Name: Department of Environmental Protection
343 Type of Agency: Environmental
344 Mailing Address:
345 City:
346 Zip Code:
347 Street Address: 79 Elm Street
348 City: Hartford
349 Zip Code: 6106
350 Director: Betsey Wingfield
351 Director's Title: Acting Director - Water Bureau
352 Phone Number: (860) 424-3704
353 Fax Number: (860) 424-4067
354 E-mail Address:
355 Contact Person: Kerry Sullivan
356 Phone Number : (860) 424-3747
357 Fax Number: (860) 424-4067
358 E-mail Address: kerry.sullivan@po.state.ct.us

Other Agency

359 Other Agency Name: Office of the Treasurer
360 Type of Agency: Financial
361 Mailing Address:
362 City:
363 Zip Code:
364 Street Address: 55 Elm Street
365 City: Hartford
366 Zip Code: 6106
367 Director: Catherine S. Boone
368 Director's Title: Assistant Treasurer
369 Phone Number: (860) 702-3134
370 Fax Number: (860) 702-3034
371 E-mail Address: sharon.peay@po.state.ct.us
372 Contact Person: Sharon Dixon Peay
373 Phone Number: (860) 702-3134
374 Fax Number: (860) 702-3034
375 E-mail Address: sharon.peay@po.state.ct.us

**Drinking Water SRF Program Information
for the State of Connecticut**

Fund Analysis	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Funds Available for Projects							
376 *Annual (New Funds)	\$0	\$0	\$19,053,298	\$13,022,004	\$20,506,517	\$7,810,375	\$3,680,567
377 *Cumulative	\$0	\$0	\$19,053,298	\$32,075,302	\$52,581,819	\$60,392,194	\$64,072,761
DWSRF Assistance as a % of Funds Available							
378 *Annual	-	-	23%	167%	24%	294%	32%
379 *Cumulative	-	-	23%	82%	59%	90%	86%
Outlays as a % of Capitalization Grants							
380 *Annual	-	-	4%	19%	193%	97%	231%
381 *Cumulative	-	-	4%	10%	42%	51%	75%
Disbursements as a % of Funds Available							
382 *Annual	-	-	0%	27%	76%	108%	471%
383 *Cumulative	-	-	0%	11%	36%	46%	70%
Project Starts as a % of Funds Available							
384 *Annual	-	-	23%	167%	24%	294%	32%
385 *Cumulative	-	-	23%	82%	59%	90%	86%
Project Completions as a % of Funds Available							
386 *Annual	-	-	0%	17%	14%	181%	109%
387 *Cumulative	-	-	0%	7%	10%	32%	36%
Loan Principal Repayments as a % of Funds Available							
388 *Annual	-	-	0%	0%	1%	7%	40%
389 *Cumulative	-	-	0%	0%	1%	1%	4%
Disbursements as a % of DWSRF Assistance							
390 *Annual	-	-	0%	16%	308%	37%	1480%
391 *Cumulative	-	-	0%	14%	61%	51%	81%
Project Starts as a % of DWSRF Assistance							
392 *Annual	-	-	100%	100%	100%	100%	100%
393 *Cumulative	-	-	100%	100%	100%	100%	100%
Project Completions as a % of DWSRF Assistance							
394 *Annual	-	-	0%	10%	58%	62%	342%
395 *Cumulative	-	-	0%	8%	16%	36%	42%
Loan Principal Repayments as a % of DWSRF Assistance							
396 *Annual	-	-	0%	0%	5%	2%	127%
397 *Cumulative	-	-	0%	0%	1%	1%	4%
Project Completions as a % of Project Starts							
398 *Annual	-	-	0%	10%	58%	62%	342%
399 *Cumulative	-	-	0%	8%	16%	36%	42%
Financial Indicators based on Cumulative Activity							
400 *Return on Federal Investment	-	-	0.0%	162.5%	136.5%	143.6%	132.8%
401 *Assistance Provided as a % of Funds Available	-	-	23.2%	81.8%	59.4%	89.8%	86.5%
402 *Disbursements as a % of Assistance Provided	-	-	0.0%	13.5%	60.9%	50.7%	80.9%
403 *Additional Assistance Provided Due to Leveraging	-	-	-	-	(\$8,001,207)	\$7,182,396	(\$2,650,880)
404 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal	\$0	\$0	\$0	\$16,731	\$32,539	\$396,322	\$2,745,500
405 *Net Return on Contributed Capital	-	-	0.0%	0.2%	0.2%	1.4%	6.2%
406 *Set-Aside Spending Rate	-	0.1%	10.2%	16.1%	27.5%	35.1%	41.5%

* Calculated values.