

**Drinking Water SRF Program Information
for the State of Kentucky**

Federal and State Investment

For Federal Fiscal Year Ending September 30 of:

	1997	1998	1999	2000	2001	2002	2003
Data Entered by EPA							
Federal Grants (Dollars)							
1	Date of Last Award in the Year	-	9/9/1998	4/30/1999	9/28/2000	-	2/12/2002
2	Total Annual Federal Grants	\$0	\$12,558,800	\$10,851,600	\$23,193,900	\$0	\$21,674,400
3	*Cumulative Federal Grants	\$0	\$12,558,800	\$23,410,400	\$46,604,300	\$46,604,300	\$68,278,700
Transfer of Federal Funds Between DWSRF and CWSRF							
4	Amount of Federal Funds Transferred into DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
5	Amount of Federal Funds Transferred out of DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0
6	*Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0
7	*Cumulative Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0
Quarterly Outlays (Dollars)							
8	First Quarter Outlays	\$0	\$0	\$0	\$170,700	\$540,089	\$5,624,678
9	Second Quarter Outlays	\$0	\$0	\$0	\$85,579	\$1,486,781	\$5,687,320
10	Third Quarter Outlays	\$0	\$0	\$369,261	\$853,972	\$2,436,479	\$3,536,404
11	Fourth Quarter Outlays	\$0	\$0	\$139,293	\$1,895,832	\$3,168,558	\$3,098,176
12	*Total Annual Outlays	\$0	\$0	\$508,554	\$3,006,082	\$7,631,907	\$17,946,577
13	*Cumulative Outlays	\$0	\$0	\$508,554	\$3,514,637	\$11,146,544	\$29,093,120

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

Federal and State Investment

For the Reporting Year Ending June 30 of:

1997 1998 1999 2000 2001 2002 2003

DWSRF Fund Investment Summary (Calculated Values)

14	*Annual Capitalization Grants	\$0	\$0	\$23,410,400	\$0	\$23,193,900	\$21,674,400	\$0
15	*Cumulative Capitalization Grants	\$0	\$0	\$23,410,400	\$23,410,400	\$46,604,300	\$68,278,700	\$68,278,700
Adjustments to the DWSRF Fund								
16	*Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
17	*Annual Amount (Awarded) for Set-Asides (from line 111)	\$0	\$0	(\$4,407,748)	\$0	(\$3,633,348)	(\$4,257,892)	\$0
18	*Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113)	\$0	\$0	\$0	\$0	\$0	\$457,399	\$8,263
19	*Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$0	\$19,002,652	\$0	\$19,560,552	\$17,873,907	\$8,263
20	*Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$0	\$19,002,652	\$19,002,652	\$38,563,204	\$56,437,111	\$56,445,374
21	*Annual State Contributions	\$0	\$2,571,760	\$2,170,320	\$0	\$4,638,780	\$6,317,920	\$0
22	*Cumulative State Contributions	\$0	\$2,571,760	\$4,742,080	\$4,742,080	\$9,380,860	\$15,698,780	\$15,698,780
23	<i>*Annual Net Investments for the DWSRF Fund</i>	\$0	\$2,571,760	\$21,172,972	\$0	\$24,199,332	\$24,191,827	\$8,263
24	<i>*Cumulative Net Investments for the DWSRF Fund</i>	\$0	\$2,571,760	\$23,744,732	\$23,744,732	\$47,944,064	\$72,135,891	\$72,144,154
25	<i>*State Contributions as a % of Grants - Annual</i>	-	-	9%	-	20%	29%	-
26	<i>*State Contributions as a % of Grants - Cumulative</i>	-	-	20%	20%	20%	23%	23%
Outlays (Dollars)								
27	*Annual	\$0	\$0	\$369,261	\$1,249,544	\$6,359,181	\$18,016,959	\$15,445,743
28	*Cumulative	\$0	\$0	\$369,261	\$1,618,805	\$7,977,985	\$25,994,945	\$41,440,688
State Match Contributions Deposited (Dollars)								
29	Cash or Appropriations	\$0	\$2,571,760	\$2,170,320	\$0	\$4,638,780	\$6,317,920	\$0
30	Bonds Retired Outside the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
31	Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
32	Pre-existing Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
33	Other Sources	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34	<i>*Total Annual Match Contributions</i>	\$0	\$2,571,760	\$2,170,320	\$0	\$4,638,780	\$6,317,920	\$0
35	<i>*Cumulative Match Contributions</i>	\$0	\$2,571,760	\$4,742,080	\$4,742,080	\$9,380,860	\$15,698,780	\$15,698,780
Additional Match for State Program Management Activities (Dollars)								
36	Credit for 1993 Funding Used	\$0	\$0	\$0	\$0	\$0	\$0	\$0
37	Cash Contributions Deposited	\$0	\$0	\$0	\$0	\$0	\$0	\$0
38	Contributions as In-Kind Services	\$0	\$0	\$1,655,800	\$0	\$827,428	\$2,418,616	\$0
39	<i>*Total Annual Additional Contribution</i>	\$0	\$0	\$1,655,800	\$0	\$827,428	\$2,418,616	\$0
40	<i>*Cumulative Additional Contribution</i>	\$0	\$0	\$1,655,800	\$1,655,800	\$2,483,228	\$4,901,844	\$4,901,844
Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund								
41	*Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
42	Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
43	<i>*Total Annual Net Amount Transferred</i>	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44	<i>*Cumulative Total Net Amount Transferred</i>	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

Set-Asides	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Administrative Expenses (Dollars)								
45	Amount Awarded for Inclusion in Workplans	\$0	\$0	\$936,416	\$0	\$927,756	\$866,976	\$0
46	*Annual Amount Awarded as a % of Grants Awarded	-	-	4.0%	-	4.0%	4.0%	-
47	Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0
48	*Annual Amount Awarded and Transferred	\$0	\$0	\$936,416	\$0	\$927,756	\$866,976	\$0
49	*Cumulative Amount Awarded, Including Transfers	\$0	\$0	\$936,416	\$936,416	\$1,864,172	\$2,731,148	\$2,731,148
50	Annual Expenses - DWSRF Administration	\$0	\$0	\$279,274	\$290,567	\$547,593	\$800,809	\$216,349
51	Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
52	*Total Annual Administrative Expenses	\$0	\$0	\$279,274	\$290,567	\$547,593	\$800,809	\$216,349
53	*Cumulative Administrative Expenses	\$0	\$0	\$279,274	\$569,841	\$1,117,434	\$1,918,243	\$2,134,592
54	*Remaining Awarded Amount	\$0	\$0	\$657,142	\$366,575	\$746,738	\$812,905	\$596,556
Small Systems Technical Assistance								
55	Amount Awarded for Inclusion in Workplans	\$0	\$0	\$217,032	\$0	\$463,878	\$433,488	\$0
56	*Annual Amount Awarded as a % of Grants Awarded	-	-	0.9%	-	2.0%	2.0%	-
57	Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
58	*Annual Amount Awarded and Transferred	\$0	\$0	\$217,032	\$0	\$463,878	\$433,488	\$0
59	*Cumulative Amount Awarded, Including Transfers	\$0	\$0	\$217,032	\$217,032	\$680,910	\$1,114,398	\$1,114,398
60	Annual Expenses - Small System Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
61	*Cumulative Small Systems Technical Assistance Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0
62	*Remaining Awarded Amount	\$0	\$0	\$217,032	\$217,032	\$680,910	\$1,114,398	\$1,114,398
63	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
64	*Cumulative Number of Small Systems Receiving Technical Assistance	0	0	0	0	0	0	0
State Program Management (Dollars)								
65	Amount Awarded for Inclusion in Workplans	\$0	\$0	\$1,655,800	\$0	\$827,428	\$2,167,440	\$0
66	*Annual Amount Awarded as a % of Grants Awarded	-	-	7.1%	-	3.6%	10.0%	-
67	Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0	\$0	\$251,176	\$0
68	*Annual Amount Awarded and Transferred	\$0	\$0	\$1,655,800	\$0	\$827,428	\$2,418,616	\$0
69	*Cumulative Amount Awarded, Including Transfers	\$0	\$0	\$1,655,800	\$1,655,800	\$2,483,228	\$4,901,844	\$4,901,844
70	Annual Expenses - PWSS Administration	\$0	\$0	\$0	\$0	\$424,914	\$649,384	\$1,066,348
71	*Cumulative Expenses - PWSS Administration	\$0	\$0	\$0	\$0	\$424,914	\$1,074,298	\$2,140,646
72	Annual Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$46,796	\$149,630
73	*Cumulative Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$46,796	\$196,426
74	Annual Expenses - Capacity Development	\$0	\$0	\$0	\$0	\$68,542	\$75,633	\$11,565
75	*Cumulative Expenses - Capacity Development	\$0	\$0	\$0	\$0	\$68,542	\$144,175	\$155,740
76	Annual Expenses - Operator Certification Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$20,359
77	*Cumulative Expenses - Operator Certification Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$20,359
78	*Total Annual State Program Management Expenses	\$0	\$0	\$0	\$0	\$493,456	\$771,813	\$1,247,902
79	*Cumulative State Program Management Expenses	\$0	\$0	\$0	\$0	\$493,456	\$1,265,269	\$2,513,171
80	*Remaining Awarded Amount	\$0	\$0	\$1,655,800	\$1,655,800	\$1,989,772	\$3,636,575	\$2,388,673

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

Set-Asides	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Local Assistance and Other State Programs (1452(k) Activities)								
81	Amount Awarded for Inclusion in Workplans	\$0	\$0	\$1,598,500	\$0	\$1,414,286	\$789,988	\$0
82	*Annual Amount Awarded as a % of Grants Awarded	-	-	6.8%	-	6.1%	3.6%	-
83	Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0	\$0	(\$708,575)	(\$8,263)
84	*Annual Amount Awarded and Transferred	\$0	\$0	\$1,598,500	\$0	\$1,414,286	\$81,413	(\$8,263)
85	*Cumulative Amount Awarded, Including Transfers	\$0	\$0	\$1,598,500	\$1,598,500	\$3,012,786	\$3,094,199	\$3,085,936
Loans for Source Water Protection Land Acquisition/Conservation Easements								
86	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$357,000	\$0	\$0	\$252,130
87	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$357,000	\$357,000	\$357,000	\$609,130
88	Annual Number of Systems Receiving Assistance	0	0	0	1	0	0	1
89	*Cumulative Number of Systems Receiving Assistance	0	0	0	1	1	1	2
90	Annual Number of Acres of Land Acquired for SWP	0	0	0	180	0	0	76
91	*Cumulative Number of Acres of Land Acquired for SWP	0	0	0	180	180	180	256
Loans for Incentive-Based Source Water Protection Measures								
92	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
93	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
95	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0
Source Water Protection Area Delineation/Assessment								
96	Annual Expenses - SWP Area Delineation/Assessment	\$0	\$0	\$33,500	\$0	\$23,461	\$56,125	\$0
97	*Cumulative Expenses - SWP Area Delineation/Assessment	\$0	\$0	\$33,500	\$33,500	\$56,961	\$113,086	\$113,086
Wellhead Protection Programs								
98	Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$39,330	\$174,572	\$211,457	\$226,560	\$119,383
99	Annual Dollar Amount of Wellhead Protection Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
100	Annual Number of Wellhead Protection Loans	0	0	0	0	0	0	0
101	*Cumulative Expenses/Loans - Wellhead Protection Programs	\$0	\$0	\$39,330	\$213,902	\$425,359	\$651,919	\$771,302
Technical or Financial Assistance to PWSs for Capacity Development								
102	Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
103	Annual Dollar Amount of Loans under the Capacity Development Strategy	\$0	\$0	\$0	\$0	\$0	\$0	\$0
104	Annual Number of Loans under the Capacity Development Strategy	0	0	0	0	0	0	0
105	*Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$0
106	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
107	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0
108	*Total Annual 1452(k) Activity Dollars	\$0	\$0	\$72,830	\$531,572	\$234,918	\$282,685	\$371,513
109	*Cumulative 1452(k) Activity Dollars	\$0	\$0	\$72,830	\$604,402	\$839,320	\$1,122,005	\$1,493,518
110	*Remaining Awarded Amount	\$0	\$0	\$1,525,670	\$994,098	\$2,173,466	\$1,972,194	\$1,592,418
Set-Aside Summary								
111	*Annual Total Awarded Amount for Set-Asides	\$0	\$0	\$4,407,748	\$0	\$3,633,348	\$4,257,892	\$0
112	*Cumulative Total Awarded Amount for Set-Asides	\$0	\$0	\$4,407,748	\$4,407,748	\$8,041,096	\$12,298,988	\$12,298,988
113	*Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$457,399	\$8,263
114	*Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$457,399	\$465,662
115	*Annual Net Total Amount Awarded for Set-Asides	\$0	\$0	\$4,407,748	\$0	\$3,633,348	\$3,800,493	(\$8,263)
116	*Cumulative Net Total Amount Awarded for Set-Asides	\$0	\$0	\$4,407,748	\$4,407,748	\$8,041,096	\$11,841,589	\$11,833,326
117	*Cumulative Net Total Amount Awarded as a % of Grants Awarded	-	-	18.8%	18.8%	17.3%	17.3%	17.3%
118	*Total Annual Set-Aside Activity Dollars Expended/Committed	\$0	\$0	\$352,104	\$822,139	\$1,275,967	\$1,855,307	\$1,835,764
119	*Cumulative Set-Aside Activity Dollars Expended/Committed	\$0	\$0	\$352,104	\$1,174,243	\$2,450,210	\$4,305,517	\$6,141,281
120	*Cumulative Remaining Awarded Amount for Set-Asides	\$0	\$0	\$4,055,644	\$3,233,505	\$5,590,886	\$7,536,072	\$5,692,045

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Binding Commitments							
121 Annual Dollar Amount	\$0	\$0	\$0	\$3,455,725	\$18,321,563	\$23,973,380	\$13,409,130
122 *Cumulative Dollar Amount	\$0	\$0	\$0	\$3,455,725	\$21,777,288	\$45,750,668	\$59,159,798
123 Annual Number of Binding Commitments	0	0	0	4	10	11	6
124 *Cumulative Number of Binding Commitments	0	0	0	4	14	25	31
Type of DWSRF Assistance Provided (Dollars)							
125 Executed Loan Commitments	\$0	\$0	\$0	\$3,455,725	\$12,346,748	\$23,498,240	\$19,859,085
126 Refinance Short-term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0
127 Refinance Long-term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0
128 Guarantee or Purchase Insurance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
129 *Total Annual Assistance	\$0	\$0	\$0	\$3,455,725	\$12,346,748	\$23,498,240	\$19,859,085
130 *Cumulative Assistance	\$0	\$0	\$0	\$3,455,725	\$15,802,473	\$39,300,713	\$59,159,798
Type of DWSRF Assistance Provided (Number of Assistance Agreements)							
131 Executed Loan Commitments	0	0	0	4	7	11	9
132 Refinance Short-term Debt	0	0	0	0	0	0	0
133 Refinance Long-term Debt	0	0	0	0	0	0	0
134 Guarantee or Purchase Insurance	0	0	0	0	0	0	0
135 *Total Annual Number of Agreements	0	0	0	4	7	11	9
136 *Cumulative Number of Agreements	0	0	0	4	11	22	31
Assistance by Population Size (Dollars)							
137 Less than 501	\$0	\$0	\$0	\$0	\$0	\$0	\$0
138 501 to 3,300	\$0	\$0	\$0	\$31,500	\$0	\$447,000	\$0
139 3,301 to 10,000	\$0	\$0	\$0	\$58,440	\$2,068,963	\$5,703,238	\$928,000
140 10,001 to 100,000	\$0	\$0	\$0	\$3,365,785	\$10,277,785	\$17,348,002	\$18,931,085
141 100,001 and Above	\$0	\$0	\$0	\$0	\$0	\$0	\$0
142 *Total Annual Assistance	\$0	\$0	\$0	\$3,455,725	\$12,346,748	\$23,498,240	\$19,859,085
143 *Cumulative Assistance	\$0	\$0	\$0	\$3,455,725	\$15,802,473	\$39,300,713	\$59,159,798
Assistance by Population Size (Number of Assistance Agreements)							
144 Less than 501	0	0	0	0	0	0	0
145 501 to 3,300	0	0	0	1	0	1	0
146 3,301 to 10,000	0	0	0	2	4	4	1
147 10,001 to 100,000	0	0	0	1	3	6	8
148 100,001 and Above	0	0	0	0	0	0	0
149 *Total Annual Number of Agreements	0	0	0	4	7	11	9
150 *Cumulative Number of Agreements	0	0	0	4	11	22	31

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

DWSRF Fund Assistance

For the Reporting Year Ending June 30 of:

	1997	1998	1999	2000	2001	2002	2003
Drinking Water System Project Assistance							
(Dollars in each category)							
151 Planning and Design Only	\$0	\$0	\$0	\$177,940	\$89,940	\$0	\$0
Construction							
152 Treatment	\$0	\$0	\$0	\$3,277,785	\$8,804,906	\$11,573,815	\$8,000,000
153 Transmission & Distribution	\$0	\$0	\$0	\$0	\$2,730,000	\$10,937,425	\$9,614,047
154 Source	\$0	\$0	\$0	\$0	\$0	\$0	\$252,130
155 Storage	\$0	\$0	\$0	\$0	\$721,902	\$987,000	\$1,992,908
156 Purchase of Systems	\$0	\$0	\$0	\$0	\$0	\$0	\$0
157 Restructuring	\$0	\$0	\$0	\$0	\$0	\$0	\$0
158 Land Acquisition	\$0	\$0	\$0	\$0	\$0	\$0	\$0
159 Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0
160 *Total Annual Dollar Amount	\$0	\$0	\$0	\$3,455,725	\$12,346,748	\$23,498,240	\$19,859,085
161 *Cumulative Dollar Amount	\$0	\$0	\$0	\$3,455,725	\$15,802,473	\$39,300,713	\$59,159,798
Drinking Water System Project Assistance							
(Number in each category)**							
162 Planning and Design Only	0	0	0	3	2	0	0
Construction							
163 Treatment	0	0	0	1	4	4	2
164 Transmission & Distribution	0	0	0	0	3	5	6
165 Source	0	0	0	0	0	0	1
166 Storage	0	0	0	0	3	2	3
167 Purchase of Systems	0	0	0	0	0	0	0
168 Restructuring	0	0	0	0	0	0	0
169 Land Acquisition	0	0	0	0	0	0	0
170 Other	0	0	0	0	0	0	0
171 *Total Annual Number	0	0	0	4	12	11	12
172 *Cumulative Number	0	0	0	4	16	27	39

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Kentucky**

DWSRF Fund Assistance	<i>For the Reporting Year Ending June 30 of:</i>						
	1997	1998	1999	2000	2001	2002	2003
Number of Projects Funded							
173 Annual Number of Projects Receiving Assistance	0	0	0	4	7	14	6
174 *Cumulative Number of Projects	0	0	0	4	11	25	31
DWSRF Project Starts							
175 Annual Dollar Amount	\$0	\$0	\$0	\$119,500	\$12,346,748	\$23,498,240	\$17,626,180
176 *Cumulative Dollar Amount	\$0	\$0	\$0	\$119,500	\$12,466,248	\$35,964,488	\$53,590,668
177 Annual Number of Assistance Agreements	0	0	0	2	7	13	6
178 *Cumulative Number of Agreements	0	0	0	2	9	22	28
DWSRF Project Completions							
179 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$89,940	\$2,703,644	\$9,500,000
180 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$89,940	\$2,793,584	\$12,293,584
181 Annual Number of Assistance Agreements	0	0	0	0	2	3	2
182 *Cumulative Number of Agreements	0	0	0	0	2	5	7
183 Number of Projects Completed	0	0	0	0	2	3	2
184 *Cumulative Number of Projects Completed	0	0	0	0	2	5	7
Assistance to Disadvantaged Communities							
185 Annual Dollar Amount of Assistance to Disadvantaged Communities	\$0	\$0	\$0	\$89,940	\$6,958,963	\$19,653,215	\$3,252,130
186 *Cumulative Dollar Amount	\$0	\$0	\$0	\$89,940	\$7,048,903	\$26,702,118	\$29,954,248
187 Annual Number of Assistance Agreements	0	0	0	2	4	7	0
188 *Cumulative Number of Agreements	0	0	0	2	6	13	13
Assistance with Principal Forgiveness							
189 Annual Dollar Amount of Assistance with Principal Forgiveness	\$0	\$0	\$0	\$0	\$0	\$0	\$0
190 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
191 Annual Dollar Amount of Principal Forgiven	\$0	\$0	\$0	\$0	\$0	\$0	\$0
192 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
193 Annual Number of Assistance Agreements with Principal Forgiveness	0	0	0	0	0	0	0
194 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance with Greater than 20-Year Repayment							
195 Annual Dollar Amount of Assistance with > 20-Year Repayment	\$0	\$0	\$0	\$0	\$0	\$0	\$0
196 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
197 Annual Number of Assistance Agreements with > 20-Year Repayment	0	0	0	0	0	0	0
198 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Population Served in Disadvantaged Communities Receiving Assistance							
199 Population Served	0	0	0	6,812	36,662	95,160	84,664
200 *Cumulative Population Served	0	0	0	6,812	43,474	138,634	223,298

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

DWSRF Fund Assistance - Specific Project Information

For the Reporting Year Ending June 30 of:

	1997	1998	1999	2000	2001	2002	2003
Assistance for System Compliance							
201 Annual Dollar Amount	\$0	\$0	\$0	\$119,500	\$0	\$0	\$4,928,000
202 *Cumulative Dollar Amount	\$0	\$0	\$0	\$119,500	\$119,500	\$119,500	\$5,047,500
203 Annual Number of Assistance Agreements	0	0	0	2	0	0	2
204 *Cumulative Number of Agreements	0	0	0	2	2	2	4
205 Annual Population Served	0	0	0	6,812	0	0	57,575
206 *Cumulative Population Served	0	0	0	6,812	6,812	6,812	64,387
Assistance to Private Systems							
207 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
208 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
209 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
210 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance to Systems by Type							
211 Annual Number of Community Systems	0	0	0	4	7	11	6
212 *Cumulative Number of Community Systems	0	0	0	4	11	22	28
213 Annual Number of non-Community Systems	0	0	0	0	0	0	0
214 *Cumulative Number of non-Community Systems	0	0	0	0	0	0	0
215 Annual Population Served by Community Systems	0	0	0	19,612	105,708	166,696	250,211
216 *Cumulative Population Served by Community Systems	0	0	0	19,612	125,320	292,016	542,227
217 Annual Population Served by non-Community Systems	0	0	0	0	0	0	0
218 *Cumulative Population Served by non-Community Systems	0	0	0	0	0	0	0
Assistance for the Creation of New Systems							
219 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
220 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
221 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
222 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance for the Consolidation of Systems							
223 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$10,442,400	\$0
224 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$10,442,400	\$10,442,400
225 Annual Number of Assistance Agreements	0	0	0	0	0	2	0
226 *Cumulative Number of Agreements	0	0	0	0	0	2	2
227 Annual Number of Systems Eliminated	0	0	0	0	0	9	0
228 *Cumulative Number of Systems Eliminated	0	0	0	0	0	9	9
Assistance to Indian Tribes							
229 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
230 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
231 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
232 *Cumulative Number of Agreements	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

Fund Information	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Leveraged Bonds (Excludes State Match)								
233	Gross Leveraged Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
234	Net Leveraged Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
235	Cost of Leveraged Bond Issuance - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
236	Leveraged Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	Debt Service Reserve for Leveraged Bonds							
237	*Annual Change	\$0	\$0	\$0	\$0	\$0	\$0	\$0
238	Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
239	Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
240	DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
241	*Gross Leveraged Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
242	*Net Leveraged Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
243	*Cost of Leveraged Bond Issuance - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
244	*Leveraged Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
245	*Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
246	*DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
247	*Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
State Match Bonds to be Repaid by DWSRF Fund								
248	*Match Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
249	Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
250	*Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
251	*Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
252	*Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid on Leveraged and Match Bonds								
253	Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
254	Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds								
255	*Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
256	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0

*Calculated Values

**Drinking Water SRF Program Information
for the State of Kentucky**

Fund Information	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Project Assistance Disbursed							
257 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$0	\$0	\$0	\$21,000	\$6,403,882	\$19,784,886	\$16,021,608
258 *Cumulative DWSRF Fund Disbursements	\$0	\$0	\$0	\$21,000	\$6,424,882	\$26,209,768	\$42,231,376
259 Annual 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
260 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account							
261 Number of Projects Initiating Principal Repayments	0	0	0	0	0	1	7
262 *Cumulative Number of Projects Initiating Principal Repayments	0	0	0	0	0	1	8
263 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$0	\$3,617	\$440,928
264 Interest Payments - Annual	\$0	\$0	\$0	\$0	\$81,999	\$448,771	\$657,359
265 *Principal and Interest - Annual	\$0	\$0	\$0	\$0	\$81,999	\$452,388	\$1,098,287
266 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$3,617	\$444,545
267 *Interest Payments - Cumulative	\$0	\$0	\$0	\$0	\$81,999	\$530,770	\$1,188,129
268 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$0	\$81,999	\$534,387	\$1,632,674
269 Weighted Average Interest Rate on DWSRF Executed Loan Commitments	0.0%	0.0%	0.0%	3.8%	2.1%	1.4%	2.5%
270 State Market Interest Rate	0.0%	0.0%	0.0%	5.8%	5.8%	5.8%	5.8%
DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only							
271 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
272 Interest Payments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
273 *Principal and Interest - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
274 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
275 *Interest Payments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
276 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
277 Weighted Average Interest Rate on 1452(k) Loans	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Interest Earnings on Investments							
278 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$0	\$0	\$0	\$0	\$269,100	\$251,661	\$184,074
279 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$0	\$0	\$0	\$0	\$269,100	\$520,761	\$704,835
280 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0
281 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees Charged on DWSRF Assistance							
282 Annual Income from Fees Included in Loans	\$0	\$0	\$0	\$0	\$0	\$217	\$28,243
283 Annual Income from Fees not Included in Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
284 Annual Interest Earnings from Fee Account	\$0	\$0	\$0	\$0	\$0	\$0	\$56
285 *Total Annual Income from Fees	\$0	\$0	\$0	\$0	\$0	\$217	\$28,299
286 *Cumulative Income from Fees	\$0	\$0	\$0	\$0	\$0	\$217	\$28,516
Expenses Paid from DWSRF Fee Accounts							
287 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
288 *Cumulative Expenses Paid to Administer DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
289 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0
290 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0
291 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0
292 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees							
293 Annual Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$435,821	\$242,049
294 *Cumulative Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$435,821	\$677,870

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Coordinated DWSRF Funding with Other State or Federal Funding Sources							
295 Amount of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
296 *Cumulative Amount of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
297 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	0	0	0	0	0	0
298 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	0	0	0	0	0	0
299 DWSRF Portion of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
300 *Cumulative DWSRF Portion of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)							
301 Annual Dollar Amount of Loans	\$0	\$0	\$7,313,366	\$170,000	\$0	\$0	\$0
302 *Cumulative Dollar Amount	\$0	\$0	\$7,313,366	\$7,483,366	\$7,483,366	\$7,483,366	\$7,483,366
303 Annual Number of Loans	0	0	6	2	0	0	0
304 *Cumulative Number of Loans	0	0	6	8	8	8	8
State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)							
305 Annual Dollar Amount of Grants	\$0	\$0	\$6,500,000	\$7,150,000	\$2,000,000	\$50,000,000	\$0
306 *Cumulative Dollar Amount	\$0	\$0	\$6,500,000	\$13,650,000	\$15,650,000	\$65,650,000	\$65,650,000
307 Annual Number of Grants	0	0	2	3	8	176	0
308 *Cumulative Number of Grants	0	0	2	5	13	189	189
Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)							
309 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$13,813,366	\$7,320,000	\$2,000,000	\$50,000,000	\$0
310 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$13,813,366	\$21,133,366	\$23,133,366	\$73,133,366	\$73,133,366
311 *Number of Loans and Grants - Annual	0	0	8	5	8	176	0
312 *Number of Loans and Grants - Cumulative	0	0	8	13	21	197	197
State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)							
313 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
314 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
315 Annual Number of Loans	0	0	0	0	0	0	0
316 *Cumulative Number of Loans	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)							
317 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0
318 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
319 Annual Number of Grants	0	0	0	0	0	0	0
320 *Cumulative Number of Grants	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)							
321 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
322 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
323 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0
324 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Kentucky**

Lead State Agency

325 Lead State Agency Name: Kentucky Infrastructure Authority
326 Type of Agency: State Government
327 Mailing Address: 375 Versailles Road
328 City: Frankfort
329 Zip Code: 40601
330 Street Address:
331 City:
332 Zip Code:
333 Director: Roger Recktenwald
334 Director's Title: Executive Director
335 Phone Number: (502) 573-0260
336 Fax Number: (502) 573-0157
337 E-mail Address: roger.recktenwald@wris.state.ky.us
338 Contact Person: Sandy Williams
339 Phone Number: (502) 573-0260
340 Fax Number: (502) 573-0157
341 E-mail Address: sandy.williams@mail.state.ky.us

Secondary Agency

342 Secondary Agency Name: Division of Water, Drinking Water Branch, NREPC
343 Type of Agency: State Government
344 Mailing Address: 14 Reilly Road
345 City: Frankfort
346 Zip Code: 40601
347 Street Address: 14 Reilly Road
348 City: Frankfort
349 Zip Code: 40601
350 Director: Vicki L. Ray
351 Director's Title: Branch Manager
352 Phone Number: (502) 564-2225 Ext. 555
353 Fax Number: (502) 564-5105
354 E-mail Address: vicki.ray@mail.state.ky.us
355 Contact Person: Buddy Griffin
356 Phone Number: (502) 564-3410
357 Fax Number: (502) 564-5105
358 E-mail Address: buddy.griffin@mail.state.ky.us

Other Agency

359 Other Agency Name:
360 Type of Agency:
361 Mailing Address:
362 City:
363 Zip Code:
364 Street Address:
365 City:
366 Zip Code:
367 Director:
368 Director's Title:
369 Phone Number:
370 Fax Number:
371 E-mail Address:
372 Contact Person:
373 Phone Number:
374 Fax Number:
375 E-mail Address:

**Drinking Water SRF Program Information
for the State of Kentucky**

Fund Analysis	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Funds Available for Projects							
376 *Annual (New Funds)	\$0	\$2,571,760	\$21,172,972	\$0	\$24,550,431	\$24,895,876	\$1,290,624
377 *Cumulative	\$0	\$2,571,760	\$23,744,732	\$23,744,732	\$48,295,163	\$73,191,039	\$74,481,663
DWSRF Assistance as a % of Funds Available							
378 *Annual	-	0%	0%	-	50%	94%	1539%
379 *Cumulative	-	0%	0%	15%	33%	54%	79%
Outlays as a % of Capitalization Grants							
380 *Annual	-	0%	2%	-	26%	74%	186927%
381 *Cumulative	-	0%	2%	7%	17%	36%	57%
Disbursements as a % of Funds Available							
382 *Annual	-	0%	0%	-	26%	79%	1241%
383 *Cumulative	-	0%	0%	0%	13%	36%	57%
Project Starts as a % of Funds Available							
384 *Annual	-	0%	0%	-	50%	94%	1366%
385 *Cumulative	-	0%	0%	1%	26%	49%	72%
Project Completions as a % of Funds Available							
386 *Annual	-	0%	0%	-	0%	11%	736%
387 *Cumulative	-	0%	0%	0%	0%	4%	17%
Loan Principal Repayments as a % of Funds Available							
388 *Annual	-	0%	0%	-	0%	0%	34%
389 *Cumulative	-	0%	0%	0%	0%	0%	1%
Disbursements as a % of DWSRF Assistance							
390 *Annual	-	-	-	1%	52%	84%	81%
391 *Cumulative	-	-	-	1%	41%	67%	71%
Project Starts as a % of DWSRF Assistance							
392 *Annual	-	-	-	3%	100%	100%	89%
393 *Cumulative	-	-	-	3%	79%	92%	91%
Project Completions as a % of DWSRF Assistance							
394 *Annual	-	-	-	0%	1%	12%	48%
395 *Cumulative	-	-	-	0%	1%	7%	21%
Loan Principal Repayments as a % of DWSRF Assistance							
396 *Annual	-	-	-	0%	0%	0%	2%
397 *Cumulative	-	-	-	0%	0%	0%	1%
Project Completions as a % of Project Starts							
398 *Annual	-	-	-	0%	1%	12%	54%
399 *Cumulative	-	-	-	0%	1%	8%	23%
Financial Indicators based on Cumulative Activity							
400 *Return on Federal Investment	-	-	0.0%	2.1%	96.7%	111.0%	112.8%
401 *Assistance Provided as a % of Funds Available	-	0.0%	0.0%	14.6%	32.7%	53.7%	79.4%
402 *Disbursements as a % of Assistance Provided	-	-	-	0.6%	40.7%	66.7%	71.4%
403 *Additional Assistance Provided Due to Leveraging	-	-	-	-	-	-	-
404 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal	\$0	\$0	\$0	\$0	\$351,099	\$1,051,531	\$1,892,964
405 *Net Return on Contributed Capital	-	0.0%	0.0%	0.0%	2.4%	2.8%	3.7%
406 *Set-Aside Spending Rate	-	-	8.0%	26.6%	30.5%	36.4%	51.9%

* Calculated values.