

**Drinking Water SRF Program Information
for the State of Rhode Island**

Federal and State Investment		<i>For Federal Fiscal Year Ending September 30 of:</i>						
		1997	1998	1999	2000	2001	2002	2003
Data Entered by EPA								
Federal Grants (Dollars)								
1	Date of Last Award in the Year	-	6/3/1998	9/22/1999	9/21/2000	9/5/2001	3/27/2002	6/17/2003
2	Total Annual Federal Grants	\$0	\$12,558,800	\$7,121,300	\$7,463,800	\$7,757,000	\$7,789,100	\$8,052,500
3	*Cumulative Federal Grants	\$0	\$12,558,800	\$19,680,100	\$27,143,900	\$34,900,900	\$42,690,000	\$50,742,500
Transfer of Federal Funds Between DWSRF and CWSRF								
4	Amount of Federal Funds Transferred into DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
5	Amount of Federal Funds Transferred out of DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6	*Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0	\$0
7	*Cumulative Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Quarterly Outlays (Dollars)								
8	First Quarter Outlays	\$0	\$0	\$0	\$3,594,965	\$24,721	\$1,206,336	\$974,971
9	Second Quarter Outlays	\$0	\$0	\$0	\$360,964	\$197,000	\$477,122	\$565,277
10	Third Quarter Outlays	\$0	\$206,921	\$247,972	\$139,480	\$2,548,242	\$770,496	\$3,052,695
11	Fourth Quarter Outlays	\$0	\$0	\$27,562	\$200,666	\$327,631	\$674,477	\$1,115,469
12	*Total Annual Outlays	\$0	\$206,921	\$275,534	\$4,296,075	\$3,097,594	\$3,128,432	\$5,708,412
13	*Cumulative Outlays	\$0	\$206,921	\$482,455	\$4,778,530	\$7,876,124	\$11,004,555	\$16,712,967

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Federal and State Investment	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Fund Investment Summary (Calculated Values)							
14 *Annual Capitalization Grants	\$0	\$12,558,800	\$0	\$7,121,300	\$7,463,800	\$15,546,100	\$8,052,500
15 *Cumulative Capitalization Grants	\$0	\$12,558,800	\$12,558,800	\$19,680,100	\$27,143,900	\$42,690,000	\$50,742,500
Adjustments to the DWSRF Fund							
16 *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
17 *Annual Amount (Awarded) for Set-Asides (from line 111)	\$0	(\$2,260,584)	\$0	(\$817,074)	(\$1,221,018)	(\$3,816,913)	(\$3,317,408)
18 *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113)	\$0	\$0	\$0	\$0	\$0	\$0	\$0
19 *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$10,298,216	\$0	\$6,304,226	\$6,242,782	\$11,729,187	\$4,735,092
20 *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$10,298,216	\$10,298,216	\$16,602,442	\$22,845,224	\$34,574,411	\$39,309,503
21 *Annual State Contributions	\$0	\$2,511,760	\$0	\$1,424,260	\$1,492,760	\$3,109,220	\$1,610,500
22 *Cumulative State Contributions	\$0	\$2,511,760	\$2,511,760	\$3,936,020	\$5,428,780	\$8,538,000	\$10,148,500
23 *Annual Net Investments for the DWSRF Fund	\$0	\$12,809,976	\$0	\$7,728,486	\$7,735,542	\$14,838,407	\$6,345,592
24 *Cumulative Net Investments for the DWSRF Fund	\$0	\$12,809,976	\$12,809,976	\$20,538,462	\$28,274,004	\$43,112,411	\$49,458,003
25 *State Contributions as a % of Grants - Annual	-	20%	-	20%	20%	20%	20%
26 *State Contributions as a % of Grants - Cumulative	-	20%	20%	20%	20%	20%	20%
Outlays (Dollars)							
27 *Annual	\$0	\$206,921	\$247,972	\$4,122,971	\$2,970,628	\$2,781,586	\$5,267,420
28 *Cumulative	\$0	\$206,921	\$454,893	\$4,577,864	\$7,548,492	\$10,330,078	\$15,597,498
State Match Contributions Deposited (Dollars)							
29 Cash or Appropriations	\$0	\$2,511,760	\$0	\$1,424,260	\$1,492,760	\$3,109,220	\$1,610,500
30 Bonds Retired Outside the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
31 Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
32 Pre-existing Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
33 Other Sources	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34 *Total Annual Match Contributions	\$0	\$2,511,760	\$0	\$1,424,260	\$1,492,760	\$3,109,220	\$1,610,500
35 *Cumulative Match Contributions	\$0	\$2,511,760	\$2,511,760	\$3,936,020	\$5,428,780	\$8,538,000	\$10,148,500
Additional Match for State Program Management Activities (Dollars)							
36 Credit for 1993 Funding Used	\$0	\$125,588	\$0	\$266,111	\$200,000	\$536,172	\$0
37 Cash Contributions Deposited	\$0	\$0	\$0	\$0	\$0	\$0	\$0
38 Contributions as In-Kind Services	\$0	\$125,588	\$0	\$266,111	\$200,000	\$1,192,966	\$0
39 *Total Annual Additional Contribution	\$0	\$251,176	\$0	\$532,222	\$400,000	\$1,729,138	\$0
40 *Cumulative Additional Contribution	\$0	\$251,176	\$251,176	\$783,398	\$1,183,398	\$2,912,536	\$2,912,536
Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund							
41 *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
42 Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
43 *Total Annual Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44 *Cumulative Total Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Set-Asides	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Administrative Expenses (Dollars)								
45	Amount Awarded for Inclusion in Workplans	\$0	\$502,352	\$0	\$284,852	\$298,552	\$621,844	\$322,100
46	*Annual Amount Awarded as a % of Grants Awarded	-	4.0%	-	4.0%	4.0%	4.0%	4.0%
47	Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0
48	*Annual Amount Awarded and Transferred	\$0	\$502,352	\$0	\$284,852	\$298,552	\$621,844	\$322,100
49	*Cumulative Amount Awarded, Including Transfers	\$0	\$502,352	\$502,352	\$787,204	\$1,085,756	\$1,707,600	\$2,029,700
50	Annual Expenses - DWSRF Administration	\$0	\$0	\$0	\$0	\$176,964	\$129,965	\$131,748
51	Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
52	*Total Annual Administrative Expenses	\$0	\$0	\$0	\$0	\$176,964	\$129,965	\$131,748
53	*Cumulative Administrative Expenses	\$0	\$0	\$0	\$0	\$176,964	\$306,929	\$438,677
54	*Remaining Awarded Amount	\$0	\$502,352	\$502,352	\$787,204	\$908,792	\$1,400,671	\$1,591,023
Small Systems Technical Assistance								
55	Amount Awarded for Inclusion in Workplans	\$0	\$251,176	\$0	\$0	\$149,276	\$297,566	\$316,832
56	*Annual Amount Awarded as a % of Grants Awarded	-	2.0%	-	0.0%	2.0%	1.9%	3.9%
57	Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
58	*Annual Amount Awarded and Transferred	\$0	\$251,176	\$0	\$0	\$149,276	\$297,566	\$316,832
59	*Cumulative Amount Awarded, Including Transfers	\$0	\$251,176	\$251,176	\$251,176	\$400,452	\$698,018	\$1,014,850
60	Annual Expenses - Small System Technical Assistance	\$0	\$0	\$0	\$34,912	\$27,747	\$168,790	\$97,600
61	*Cumulative Small Systems Technical Assistance Expenses	\$0	\$0	\$0	\$34,912	\$62,659	\$231,449	\$329,049
62	*Remaining Awarded Amount	\$0	\$251,176	\$251,176	\$216,264	\$337,793	\$466,569	\$685,801
63	Annual Number of Systems Receiving Assistance	0	0	0	100	110	281	244
64	*Cumulative Number of Small Systems Receiving Technical Assistance	0	0	0	100	210	491	735
State Program Management (Dollars)								
65	Amount Awarded for Inclusion in Workplans	\$0	\$251,176	\$0	\$532,222	\$400,000	\$1,729,138	\$1,470,601
66	*Annual Amount Awarded as a % of Grants Awarded	-	2.0%	-	7.5%	5.4%	11.1%	18.3%
67	Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0	\$0	\$0	\$0
68	*Annual Amount Awarded and Transferred	\$0	\$251,176	\$0	\$532,222	\$400,000	\$1,729,138	\$1,470,601
69	*Cumulative Amount Awarded, Including Transfers	\$0	\$251,176	\$251,176	\$783,398	\$1,183,398	\$2,912,536	\$4,383,137
70	Annual Expenses - PWSS Administration	\$0	\$3,227	\$72,433	\$139,056	\$266,931	\$429,950	\$440,616
71	*Cumulative Expenses - PWSS Administration	\$0	\$3,227	\$75,660	\$214,716	\$481,647	\$911,597	\$1,352,213
72	Annual Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
73	*Cumulative Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
74	Annual Expenses - Capacity Development	\$0	\$0	\$0	\$9,722	\$61,277	\$54,030	\$59,538
75	*Cumulative Expenses - Capacity Development	\$0	\$0	\$0	\$9,722	\$70,999	\$125,029	\$184,567
76	Annual Expenses - Operator Certification Programs	\$0	\$0	\$0	\$900	\$21,316	\$17,900	\$13,088
77	*Cumulative Expenses - Operator Certification Programs	\$0	\$0	\$0	\$900	\$22,216	\$40,116	\$53,204
78	*Total Annual State Program Management Expenses	\$0	\$3,227	\$72,433	\$149,678	\$349,524	\$501,880	\$513,242
79	*Cumulative State Program Management Expenses	\$0	\$3,227	\$75,660	\$225,338	\$574,862	\$1,076,742	\$1,589,984
80	*Remaining Awarded Amount	\$0	\$247,949	\$175,516	\$558,060	\$608,536	\$1,835,794	\$2,793,153

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Set-Asides	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Local Assistance and Other State Programs (1452(k) Activities)								
81	Amount Awarded for Inclusion in Workplans	\$0	\$1,255,880	\$0	\$0	\$373,190	\$1,168,365	\$1,207,875
82	*Annual Amount Awarded as a % of Grants Awarded	-	10.0%	-	0.0%	5.0%	7.5%	15.0%
83	Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0	\$0	\$0	\$0
84	*Annual Amount Awarded and Transferred	\$0	\$1,255,880	\$0	\$0	\$373,190	\$1,168,365	\$1,207,875
85	*Cumulative Amount Awarded, Including Transfers	\$0	\$1,255,880	\$1,255,880	\$1,255,880	\$1,629,070	\$2,797,435	\$4,005,310
Loans for Source Water Protection Land Acquisition/Conservation Easements								
86	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
87	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
88	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
89	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0
90	Annual Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0
91	*Cumulative Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0
Loans for Incentive-Based Source Water Protection Measures								
92	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
93	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0
95	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0
Source Water Protection Area Delineation/Assessment								
96	Annual Expenses - SWP Area Delineation/Assessment	\$0	\$18,055	\$72,213	\$69,886	\$290,526	\$310,716	\$442,105
97	*Cumulative Expenses - SWP Area Delineation/Assessment	\$0	\$18,055	\$90,268	\$160,154	\$450,680	\$761,396	\$1,203,501
Wellhead Protection Programs								
98	Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$143,892
99	Annual Dollar Amount of Wellhead Protection Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
100	Annual Number of Wellhead Protection Loans	0	0	0	0	0	0	0
101	*Cumulative Expenses/Loans - Wellhead Protection Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$143,892
Technical or Financial Assistance to PWSs for Capacity Development								
102	Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$18,602
103	Annual Dollar Amount of Loans under the Capacity Development Strategy	\$0	\$0	\$0	\$0	\$0	\$0	\$0
104	Annual Number of Loans under the Capacity Development Strategy	0	0	0	0	0	0	0
105	*Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$18,602
106	Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	1
107	*Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	1
108	*Total Annual 1452(k) Activity Dollars	\$0	\$18,055	\$72,213	\$69,886	\$290,526	\$310,716	\$604,599
109	*Cumulative 1452(k) Activity Dollars	\$0	\$18,055	\$90,268	\$160,154	\$450,680	\$761,396	\$1,365,995
110	*Remaining Awarded Amount	\$0	\$1,237,825	\$1,165,612	\$1,095,726	\$1,178,390	\$2,036,039	\$2,639,315
Set-Aside Summary								
111	*Annual Total Awarded Amount for Set-Asides	\$0	\$2,260,584	\$0	\$817,074	\$1,221,018	\$3,816,913	\$3,317,408
112	*Cumulative Total Awarded Amount for Set-Asides	\$0	\$2,260,584	\$2,260,584	\$3,077,658	\$4,298,676	\$8,115,589	\$11,432,997
113	*Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
114	*Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
115	*Annual Net Total Amount Awarded for Set-Asides	\$0	\$2,260,584	\$0	\$817,074	\$1,221,018	\$3,816,913	\$3,317,408
116	*Cumulative Net Total Amount Awarded for Set-Asides	\$0	\$2,260,584	\$2,260,584	\$3,077,658	\$4,298,676	\$8,115,589	\$11,432,997
117	*Cumulative Net Total Amount Awarded as a % of Grants Awarded	-	18.0%	18.0%	15.6%	15.8%	19.0%	22.5%
118	*Total Annual Set-Aside Activity Dollars Expended/Committed	\$0	\$21,282	\$144,646	\$254,476	\$844,761	\$1,111,351	\$1,347,189
119	*Cumulative Set-Aside Activity Dollars Expended/Committed	\$0	\$21,282	\$165,928	\$420,404	\$1,265,165	\$2,376,516	\$3,723,705
120	*Cumulative Remaining Awarded Amount for Set-Asides	\$0	\$2,239,302	\$2,094,656	\$2,657,254	\$3,033,511	\$5,739,073	\$7,709,292

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Binding Commitments							
121 Annual Dollar Amount	\$0	\$0	\$10,000	\$5,000,000	\$5,194,500	\$2,500,000	\$12,665,250
122 *Cumulative Dollar Amount	\$0	\$0	\$10,000	\$5,010,000	\$10,204,500	\$12,704,500	\$25,369,750
123 Annual Number of Binding Commitments	0	0	1	1	2	1	4
124 *Cumulative Number of Binding Commitments	0	0	1	2	4	5	9
Type of DWSRF Assistance Provided (Dollars)							
125 Executed Loan Commitments	\$0	\$0	\$10,000	\$5,000,000	\$5,194,500	\$2,500,000	\$12,665,250
126 Refinance Short-term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0
127 Refinance Long-term Debt	\$0	\$0	\$0	\$0	\$0	\$0	\$0
128 Guarantee or Purchase Insurance	\$0	\$0	\$0	\$0	\$0	\$0	\$0
129 *Total Annual Assistance	\$0	\$0	\$10,000	\$5,000,000	\$5,194,500	\$2,500,000	\$12,665,250
130 *Cumulative Assistance	\$0	\$0	\$10,000	\$5,010,000	\$10,204,500	\$12,704,500	\$25,369,750
Type of DWSRF Assistance Provided (Number of Assistance Agreements)							
131 Executed Loan Commitments	0	0	1	1	2	1	4
132 Refinance Short-term Debt	0	0	0	0	0	0	0
133 Refinance Long-term Debt	0	0	0	0	0	0	0
134 Guarantee or Purchase Insurance	0	0	0	0	0	0	0
135 *Total Annual Number of Agreements	0	0	1	1	2	1	4
136 *Cumulative Number of Agreements	0	0	1	2	4	5	9
Assistance by Population Size (Dollars)							
137 Less than 501	\$0	\$0	\$10,000	\$0	(\$5,500)	\$0	\$0
138 501 to 3,300	\$0	\$0	\$0	\$0	\$0	\$0	\$300,000
139 3,301 to 10,000	\$0	\$0	\$0	\$0	\$200,000	\$0	\$200,000
140 10,001 to 100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$10,165,250
141 100,001 and Above	\$0	\$0	\$0	\$5,000,000	\$5,000,000	\$2,500,000	\$2,000,000
142 *Total Annual Assistance	\$0	\$0	\$10,000	\$5,000,000	\$5,194,500	\$2,500,000	\$12,665,250
143 *Cumulative Assistance	\$0	\$0	\$10,000	\$5,010,000	\$10,204,500	\$12,704,500	\$25,369,750
Assistance by Population Size (Number of Assistance Agreements)							
144 Less than 501	0	0	1	0	0	0	0
145 501 to 3,300	0	0	0	0	0	0	1
146 3,301 to 10,000	0	0	0	0	1	0	1
147 10,001 to 100,000	0	0	0	0	0	0	1
148 100,001 and Above	0	0	0	1	1	1	1
149 *Total Annual Number of Agreements	0	0	1	1	2	1	4
150 *Cumulative Number of Agreements	0	0	1	2	4	5	9

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Drinking Water System Project Assistance							
(Dollars in each category)							
151 Planning and Design Only	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Construction							
152 Treatment	\$0	\$0	\$0	\$850,000	\$5,080,000	\$0	\$0
153 Transmission & Distribution	\$0	\$0	\$0	\$3,159,000	\$44,500	\$2,500,000	\$2,573,250
154 Source	\$0	\$0	\$9,200	\$0	\$0	\$0	\$0
155 Storage	\$0	\$0	\$0	\$150,000	\$0	\$0	\$5,842,000
156 Purchase of Systems	\$0	\$0	\$0	\$0	\$0	\$0	\$0
157 Restructuring	\$0	\$0	\$0	\$0	\$0	\$0	\$0
158 Land Acquisition	\$0	\$0	\$0	\$0	\$0	\$0	\$0
159 Other	\$0	\$0	\$800	\$841,000	\$70,000	\$0	\$4,250,000
160 *Total Annual Dollar Amount	\$0	\$0	\$10,000	\$5,000,000	\$5,194,500	\$2,500,000	\$12,665,250
161 *Cumulative Dollar Amount	\$0	\$0	\$10,000	\$5,010,000	\$10,204,500	\$12,704,500	\$25,369,750
Drinking Water System Project Assistance							
(Number in each category)**							
162 Planning and Design Only	0	0	0	0	0	0	0
Construction							
163 Treatment	0	0	0	1	2	0	0
164 Transmission & Distribution	0	0	0	1	1	1	2
165 Source	0	0	1	0	0	0	0
166 Storage	0	0	0	1	0	0	5
167 Purchase of Systems	0	0	0	0	0	0	0
168 Restructuring	0	0	0	0	0	0	0
169 Land Acquisition	0	0	0	0	0	0	0
170 Other	0	0	1	1	0	0	2
171 *Total Annual Number	0	0	2	4	3	1	9
172 *Cumulative Number	0	0	2	6	9	10	19

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance	<i>For the Reporting Year Ending June 30 of:</i>						
	1997	1998	1999	2000	2001	2002	2003
Number of Projects Funded							
173 Annual Number of Projects Receiving Assistance	0	0	1	3	5	1	9
174 *Cumulative Number of Projects	0	0	1	4	9	10	19
DWSRF Project Starts							
175 Annual Dollar Amount	\$0	\$0	\$0	\$5,010,000	\$5,194,500	\$2,500,000	\$12,665,250
176 *Cumulative Dollar Amount	\$0	\$0	\$0	\$5,010,000	\$10,204,500	\$12,704,500	\$25,369,750
177 Annual Number of Assistance Agreements	0	0	0	2	2	1	4
178 *Cumulative Number of Agreements	0	0	0	2	4	5	9
DWSRF Project Completions							
179 Annual Dollar Amount	\$0	\$0	\$0	\$5,010,000	\$194,500	\$0	\$7,000,000
180 *Cumulative Dollar Amount	\$0	\$0	\$0	\$5,010,000	\$5,204,500	\$5,204,500	\$12,204,500
181 Annual Number of Assistance Agreements	0	0	0	2	1	0	3
182 *Cumulative Number of Agreements	0	0	0	2	3	3	6
183 Number of Projects Completed	0	0	0	4	1	0	3
184 *Cumulative Number of Projects Completed	0	0	0	4	5	5	8
Assistance to Disadvantaged Communities							
185 Annual Dollar Amount of Assistance to Disadvantaged Communities	\$0	\$0	\$0	\$0	\$0	\$0	\$300,000
186 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$300,000
187 Annual Number of Assistance Agreements	0	0	0	0	0	0	1
188 *Cumulative Number of Agreements	0	0	0	0	0	0	1
Assistance with Principal Forgiveness							
189 Annual Dollar Amount of Assistance with Principal Forgiveness	\$0	\$0	\$0	\$0	\$0	\$0	\$0
190 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
191 Annual Dollar Amount of Principal Forgiven	\$0	\$0	\$0	\$0	\$0	\$0	\$0
192 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
193 Annual Number of Assistance Agreements with Principal Forgiveness	0	0	0	0	0	0	0
194 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance with Greater than 20-Year Repayment							
195 Annual Dollar Amount of Assistance with > 20-Year Repayment	\$0	\$0	\$0	\$0	\$0	\$0	\$0
196 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
197 Annual Number of Assistance Agreements with > 20-Year Repayment	0	0	0	0	0	0	0
198 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Population Served in Disadvantaged Communities Receiving Assistance							
199 Population Served	0	0	0	0	0	0	600
200 *Cumulative Population Served	0	0	0	0	0	0	600

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

DWSRF Fund Assistance - Specific Project Information

For the Reporting Year Ending June 30 of:

	1997	1998	1999	2000	2001	2002	2003
Assistance for System Compliance							
201 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
202 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
203 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
204 *Cumulative Number of Agreements	0	0	0	0	0	0	0
205 Annual Population Served	0	0	0	0	0	0	0
206 *Cumulative Population Served	0	0	0	0	0	0	0
Assistance to Private Systems							
207 Annual Dollar Amount	\$0	\$0	\$10,000	\$0	\$0	\$0	\$0
208 *Cumulative Dollar Amount	\$0	\$0	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
209 Annual Number of Assistance Agreements	0	0	1	0	0	0	0
210 *Cumulative Number of Agreements	0	0	1	1	1	1	1
Assistance to Systems by Type							
211 Annual Number of Community Systems	0	0	1	1	2	1	4
212 *Cumulative Number of Community Systems	0	0	1	2	4	5	9
213 Annual Number of non-Community Systems	0	0	0	0	0	0	0
214 *Cumulative Number of non-Community Systems	0	0	0	0	0	0	0
215 Annual Population Served by Community Systems	0	0	77	286,923	290,423	286,923	336,923
216 *Cumulative Population Served by Community Systems	0	0	77	287,000	577,423	864,346	1,201,269
217 Annual Population Served by non-Community Systems	0	0	0	0	0	0	0
218 *Cumulative Population Served by non-Community Systems	0	0	0	0	0	0	0
Assistance for the Creation of New Systems							
219 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
220 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
221 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
222 *Cumulative Number of Agreements	0	0	0	0	0	0	0
Assistance for the Consolidation of Systems							
223 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
224 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
225 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
226 *Cumulative Number of Agreements	0	0	0	0	0	0	0
227 Annual Number of Systems Eliminated	0	0	0	0	0	0	0
228 *Cumulative Number of Systems Eliminated	0	0	0	0	0	0	0
Assistance to Indian Tribes							
229 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
230 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
231 Annual Number of Assistance Agreements	0	0	0	0	0	0	0
232 *Cumulative Number of Agreements	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Fund Information	For the Reporting Year Ending June 30 of:							
	1997	1998	1999	2000	2001	2002	2003	
Leveraged Bonds (Excludes State Match)								
233	Gross Leveraged Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
234	Net Leveraged Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
235	Cost of Leveraged Bond Issuance - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
236	Leveraged Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	Debt Service Reserve for Leveraged Bonds							
237	*Annual Change	\$0	\$0	\$0	\$0	\$0	\$0	\$0
238	Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
239	Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
240	DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
241	*Gross Leveraged Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
242	*Net Leveraged Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
243	*Cost of Leveraged Bond Issuance - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
244	*Leveraged Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
245	*Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
246	*DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
247	*Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
State Match Bonds to be Repaid by DWSRF Fund								
248	*Match Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
249	Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
250	*Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
251	*Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
252	*Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid on Leveraged and Match Bonds								
253	Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
254	Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds								
255	*Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
256	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0

*Calculated Values

**Drinking Water SRF Program Information
for the State of Rhode Island**

Fund Information	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Project Assistance Disbursed							
257 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$0	\$0	\$0	\$4,802,108	\$2,590,766	\$2,292,663	\$5,110,100
258 *Cumulative DWSRF Fund Disbursements	\$0	\$0	\$0	\$4,802,108	\$7,392,874	\$9,685,537	\$14,795,637
259 Annual 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
260 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account							
261 Number of Projects Initiating Principal Repayments	0	0	0	0	4	0	5
262 *Cumulative Number of Projects Initiating Principal Repayments	0	0	0	0	4	4	9
263 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$933,036	\$964,932	\$2,078,808
264 Interest Payments - Annual	\$0	\$0	\$0	\$46,541	\$135,728	\$171,044	\$197,851
265 *Principal and Interest - Annual	\$0	\$0	\$0	\$46,541	\$1,068,764	\$1,135,976	\$2,276,659
266 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$933,036	\$1,897,968	\$3,976,776
267 *Interest Payments - Cumulative	\$0	\$0	\$0	\$46,541	\$182,269	\$353,313	\$551,164
268 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$46,541	\$1,115,305	\$2,251,281	\$4,527,940
269 Weighted Average Interest Rate on DWSRF Executed Loan Commitments	0.0%	0.0%	6.0%	3.1%	2.8%	3.5%	3.7%
270 State Market Interest Rate	0.0%	0.0%	7.3%	6.9%	4.0%	3.5%	3.5%
DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only							
271 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
272 Interest Payments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
273 *Principal and Interest - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
274 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
275 *Interest Payments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
276 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
277 Weighted Average Interest Rate on 1452(k) Loans	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Interest Earnings on Investments							
278 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$0	\$0	\$0	\$72,768	\$186,275	\$57,436	\$38,114
279 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$0	\$0	\$0	\$72,768	\$259,043	\$316,479	\$354,593
280 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0
281 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees Charged on DWSRF Assistance							
282 Annual Income from Fees Included in Loans	\$0	\$0	\$0	\$7,615	\$22,198	\$30,705	\$36,662
283 Annual Income from Fees not Included in Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
284 Annual Interest Earnings from Fee Account	\$0	\$0	\$0	\$0	\$0	\$0	\$0
285 *Total Annual Income from Fees	\$0	\$0	\$0	\$7,615	\$22,198	\$30,705	\$36,662
286 *Cumulative Income from Fees	\$0	\$0	\$0	\$7,615	\$29,813	\$60,518	\$97,180
Expenses Paid from DWSRF Fee Accounts							
287 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
288 *Cumulative Expenses Paid to Administer DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0
289 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0
290 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0
291 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0
292 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees							
293 Annual Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0
294 *Cumulative Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
Coordinated DWSRF Funding with Other State or Federal Funding Sources							
295 Amount of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
296 *Cumulative Amount of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
297 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	0	0	0	0	0	0
298 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	0	0	0	0	0	0
299 DWSRF Portion of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
300 *Cumulative DWSRF Portion of Coordinated Funding	\$0	\$0	\$0	\$0	\$0	\$0	\$0
State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)							
301 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
302 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
303 Annual Number of Loans	0	0	0	0	0	0	0
304 *Cumulative Number of Loans	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)							
305 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0
306 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
307 Annual Number of Grants	0	0	0	0	0	0	0
308 *Cumulative Number of Grants	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)							
309 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
310 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
311 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0
312 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0
State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)							
313 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0
314 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
315 Annual Number of Loans	0	0	0	0	0	0	0
316 *Cumulative Number of Loans	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)							
317 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0
318 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0
319 Annual Number of Grants	0	0	0	0	0	0	0
320 *Cumulative Number of Grants	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)							
321 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0
322 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0
323 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0
324 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of Rhode Island**

Lead State Agency

325 Lead State Agency Name: Clean Water Finance Agency
326 Type of Agency: Finance Agency
327 Mailing Address: 235 Promenade Street, Suite 119
328 City: Providence
329 Zip Code: 2908
330 Street Address:
331 City:
332 Zip Code:
333 Director: Anthony B. Simeone
334 Director's Title: Executive Director
335 Phone Number: (401) 453-4430 Ext. 14
336 Fax Number: (401) 453-4094
337 E-mail Address: asimeone@doa.state.ri.us
338 Contact Person: Anna M. Coelho
339 Phone Number: (401) 453-4430 Ext. 12
340 Fax Number: (401) 453-4094
341 E-mail Address: acoelho@doa.state.ri.us

Secondary Agency

342 Secondary Agency Name: Department Of Health
343 Type of Agency: State Health Department
344 Mailing Address: Three Capitol Hill, Cannon Building
345 City: Providence
346 Zip Code: 2908
347 Street Address:
348 City:
349 Zip Code:
350 Director: June Swallow
351 Director's Title: Chief Of Drinking Water Quality
352 Phone Number: (401) 222-6867
353 Fax Number: (401) 222-6953
354 E-mail Address: junes@doh.state.ri.us
355 Contact Person: Romeo Mendes
356 Phone Number : (401) 222-6867
357 Fax Number: (401) 222-6953
358 E-mail Address: romeom@doh.state.ri.us

Other Agency

359 Other Agency Name:
360 Type of Agency:
361 Mailing Address:
362 City:
363 Zip Code:
364 Street Address:
365 City:
366 Zip Code:
367 Director:
368 Director's Title:
369 Phone Number:
370 Fax Number:
371 E-mail Address:
372 Contact Person:
373 Phone Number:
374 Fax Number:
375 E-mail Address:

**Drinking Water SRF Program Information
for the State of Rhode Island**

Fund Analysis	For the Reporting Year Ending June 30 of:						
	1997	1998	1999	2000	2001	2002	2003
DWSRF Funds Available for Projects							
376 *Annual (New Funds)	\$0	\$12,809,976	\$0	\$7,847,795	\$8,990,581	\$16,031,819	\$8,660,365
377 *Cumulative	\$0	\$12,809,976	\$12,809,976	\$20,657,771	\$29,648,352	\$45,680,171	\$54,340,536
DWSRF Assistance as a % of Funds Available							
378 *Annual	-	0%	-	64%	58%	16%	146%
379 *Cumulative	-	0%	0%	24%	34%	28%	47%
Outlays as a % of Capitalization Grants							
380 *Annual	-	2%	-	53%	38%	19%	83%
381 *Cumulative	-	2%	4%	22%	27%	24%	32%
Disbursements as a % of Funds Available							
382 *Annual	-	0%	-	61%	29%	14%	59%
383 *Cumulative	-	0%	0%	23%	25%	21%	27%
Project Starts as a % of Funds Available							
384 *Annual	-	0%	-	64%	58%	16%	146%
385 *Cumulative	-	0%	0%	24%	34%	28%	47%
Project Completions as a % of Funds Available							
386 *Annual	-	0%	-	64%	2%	0%	81%
387 *Cumulative	-	0%	0%	24%	18%	11%	22%
Loan Principal Repayments as a % of Funds Available							
388 *Annual	-	0%	-	0%	10%	6%	24%
389 *Cumulative	-	0%	0%	0%	3%	4%	7%
Disbursements as a % of DWSRF Assistance							
390 *Annual	-	-	0%	96%	50%	92%	40%
391 *Cumulative	-	-	0%	96%	72%	76%	58%
Project Starts as a % of DWSRF Assistance							
392 *Annual	-	-	0%	100%	100%	100%	100%
393 *Cumulative	-	-	0%	100%	100%	100%	100%
Project Completions as a % of DWSRF Assistance							
394 *Annual	-	-	0%	100%	4%	0%	55%
395 *Cumulative	-	-	0%	100%	51%	41%	48%
Loan Principal Repayments as a % of DWSRF Assistance							
396 *Annual	-	-	0%	0%	18%	39%	16%
397 *Cumulative	-	-	0%	0%	9%	15%	16%
Project Completions as a % of Project Starts							
398 *Annual	-	-	-	100%	4%	0%	55%
399 *Cumulative	-	-	-	100%	51%	41%	48%
Financial Indicators based on Cumulative Activity							
400 *Return on Federal Investment	-	0.0%	0.0%	115.5%	114.4%	117.3%	120.2%
401 *Assistance Provided as a % of Funds Available	-	0.0%	0.1%	24.3%	34.4%	27.8%	46.7%
402 *Disbursements as a % of Assistance Provided	-	-	0.0%	95.9%	72.4%	76.2%	58.3%
403 *Additional Assistance Provided Due to Leveraging	-	-	-	-	-	-	-
404 *Net Return/(Loss) after Repaying Match Bonds and Forgiving Principal	\$0	\$0	\$0	\$119,309	\$441,312	\$669,792	\$905,757
405 *Net Return on Contributed Capital	-	0.0%	0.0%	1.5%	3.8%	4.1%	4.1%
406 *Set-Aside Spending Rate	-	0.9%	7.3%	13.7%	29.4%	29.3%	32.6%

* Calculated values.