



National Enforcement Trends (NETs) Region 1 Civil Investigations FY 2003 - FY 2010

EPA Region 1 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	1	0	1	0	0	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	6	1	2	6	8	9	6	6
CWA	4	22	10	44	45	0	0	0
EPCRA	0	0	0	0	0	1	2	2
FIFRA	0	0	0	0	0	2	0	0
Multi-Media	0	0	0	0	0	0	0	0
OPA/SPCC	2	11	6	49	46	0	0	0
RCRA	3	1	2	7	0	3	1	0
RCRA UST	0	0	0	0	0	0	0	0
SDWA	0	0	0	1	0	0	0	0
TSCA	0	0	0	21	0	0	0	0
Total	16	35	21	128	99	15	9	8

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 2 Civil Investigations FY 2003 - FY 2010

EPA Region 2 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	2	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	0	0	2	13	19	18	12	12
CWA	5	6	0	0	0	0	0	0
EPCRA	0	2	0	0	0	0	0	0
FIFRA	2	0	0	0	0	0	0	0
Multi-Media	0	0	0	0	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	0	2	0	0	2	1	4	2
RCRA UST	0	0	1	1	0	0	0	0
SDWA	0	0	0	0	0	0	0	0
TSCA	0	0	0	0	0	0	0	0
Total	7	10	3	14	23	19	16	14

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 3 Civil Investigations FY 2003 - FY 2010

EPA Region 3 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	0	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	9	1	3	11	5	9	7	12
CWA	1	0	5	0	0	1	2	0
EPCRA	0	0	0	0	0	0	0	0
FIFRA	0	0	0	0	0	0	0	0
Multi-Media	3	1	4	4	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	0	0	0	5	0	2	0	4
RCRA UST	0	0	0	0	0	0	0	0
SDWA	10	26	0	0	0	0	0	0
TSCA	0	0	0	0	0	0	0	0
Total	23	28	12	20	5	12	9	16

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 4 Civil Investigations FY 2003 - FY 2010

EPA Region 4 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	4	2	0	0	0	0	0
CAA Mobile	2	0	0	0	0	0	0	0
CAA Stationary Source	2	0	13	61	78	33	39	37
CWA	0	0	0	0	0	0	0	0
EPCRA	0	0	0	0	0	0	0	0
FIFRA	1	0	7	0	3	0	0	0
Multi-Media	1	3	6	2	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	0	0	1	12	6	1	2	2
RCRA UST	0	0	0	0	0	0	0	0
SDWA	0	0	0	0	0	0	0	0
TSCA	0	0	0	0	0	0	0	0
Total	6	7	29	75	87	34	41	39

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 5 Civil Investigations FY 2003 - FY 2010

EPA Region 5 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	0	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	53	35	71	27	26	23	35	97
CWA	0	1	0	0	1	0	4	0
EPCRA	0	0	0	0	0	0	0	0
FIFRA	0	0	0	0	0	0	0	0
Multi-Media	0	0	0	0	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	0	0	0	0	0	0	0	0
RCRA UST	0	0	0	0	0	0	0	0
SDWA	0	0	0	0	0	0	0	0
TSCA	0	0	0	0	0	0	0	0
Total	53	36	71	27	27	23	39	97

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 6 Civil Investigations FY 2003 - FY 2010

EPA Region 6 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	0	0	4	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	0	0	0	5	3	3	47	12
CWA	0	4	2	4	10	0	11	20
EPCRA	0	0	0	0	0	0	0	0
FIFRA	0	0	0	0	0	0	0	0
Multi-Media	1	5	0	0	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	0	4	7	6	0	0	3	0
RCRA UST	0	0	0	0	0	0	0	0
SDWA	0	0	2	0	0	15	1	0
TSCA	0	0	0	0	0	0	0	0
Total	1	13	11	15	13	18	66	32

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 7 Civil Investigations FY 2003 - FY 2010

EPA Region 7 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	0	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	1	1	10	29	13	12	9	7
CWA	0	0	0	0	0	0	0	0
EPCRA	0	0	0	0	0	0	0	0
FIFRA	0	0	0	0	0	0	0	0
Multi-Media	0	0	0	0	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	1	1	0	1	0	0	0	0
RCRA UST	0	0	0	0	0	0	0	0
SDWA	0	0	0	0	0	0	0	0
TSCA	0	0	0	0	0	0	0	0
Total	2	2	10	30	13	12	9	7

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 8 Civil Investigations FY 2003 - FY 2010

EPA Region 8 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	1	4	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	0	6	4	6	2	8	3	6
CWA	20	23	1	0	0	3	0	4
EPCRA	6	7	7	0	8	11	0	0
FIFRA	0	0	3	0	0	0	0	0
Multi-Media	0	0	0	0	0	0	0	0
OPA/SPCC	0	0	0	0	7	0	0	0
RCRA	4	0	1	2	1	1	0	1
RCRA UST	0	0	0	0	0	0	0	0
SDWA	1	0	5	0	4	2	0	1
TSCA	0	0	0	0	0	1	0	0
Total	31	36	21	8	23	30	3	12

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs) Region 9 Civil Investigations FY 2003 - FY 2010

EPA Region 9 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	2	20	0	0	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	11	11	35	32	43	31	32	28
CWA	0	13	2	0	0	0	0	0
EPCRA	0	0	0	0	0	0	0	0
FIFRA	0	0	0	0	0	0	0	0
Multi-Media	0	1	0	0	0	0	0	0
OPA/SPCC	0	0	0	0	0	0	0	0
RCRA	0	0	1	0	0	0	2	0
RCRA UST	1	0	0	0	0	0	0	0
SDWA	0	1	0	0	0	0	0	0
TSCA	0	0	0	0	0	0	0	0
Total	12	28	58	32	43	31	34	28

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs)

Region 10 Civil Investigations

FY 2003 - FY 2010

EPA Region 10 Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	0	0	0	0	0	0	0	0
CAA Mobile	0	0	0	0	0	0	0	0
CAA Stationary Source	1	4	4	1	6	11	5	5
CWA	0	0	0	0	0	2	0	0
EPCRA	6	6	0	0	0	6	1	0
FIFRA	1	0	0	0	0	0	0	0
Multi-Media	0	0	0	0	0	0	0	0
OPA/SPCC	0	0	0	1	1	1	0	0
RCRA	0	0	1	1	1	1	1	2
RCRA UST	0	0	2	0	0	0	0	0
SDWA	0	0	0	0	0	0	0	11
TSCA	0	0	0	0	3	2	1	2
Total	8	10	7	3	11	23	8	20

FY 2010 data as of October 2010
Further information can be found in the attached meta-data



National Enforcement Trends (NETs)

HQ Civil Investigations

FY 2003 - FY 2010

EPA HQ Civil Investigations								
Other	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
Asbestos	NA	0	0	0	0	0	0	0
CAA Mobile	NA	12	0	0	2	5	12	9
CAA Stationary Source	NA	0	0	0	0	0	0	0
CWA	NA	0	0	0	0	0	0	0
EPCRA	NA	0	0	0	0	0	0	0
FIFRA	NA	0	0	0	0	0	0	0
Multi-Media	NA	0	0	0	0	0	0	0
OPA/SPCC	NA	0	0	0	0	0	0	0
RCRA	NA	0	0	0	0	0	0	0
RCRA UST	NA	0	0	0	0	0	0	0
SDWA	NA	0	0	0	0	0	0	0
TSCA	NA	0	0	0	0	0	0	0
Total	NA	12	0	0	2	5	12	9

NA - Not Available

FY 2010 data as of October 2010
Further information can be found in the attached meta-data

National Enforcement Trends (NETs) Metadata

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Note 1	Investigations are manually reported via the mid-year and end of year certification workbooks (see note 2 for exception).
Note 2	Starting in FY 2005, Clean Air Act (CAA) Stationary Source investigations data has been obtained from the Air Facility System (AFS) data base.
Definitions	<p>An investigation is a more complex assessment of a facility's compliance status than a compliance inspection defined through specific media guidance. Inspections typically assess facility compliance broadly. Investigations, on the other hand, generally focus on certain aspects of a facility's operations or on a predetermined set of compliance concerns, and do so in substantially more depth than an inspection. Investigations involve considerably more time (more than 1 day) and resources to complete. Typically, an investigation is initiated in one of two ways: 1) based on information discovered during an inspection, or 2) as the result of an overall industry sector initiative or specific regulatory or statutory area. An October 26, 1998 memo titled MOA Guidance (Air Program) Clarification and NPMS Pilot describes the CAA investigation in greater detail.</p>
Definitions	EPA's definitions of Civil Investigations can be found at: http://www.epa.gov/compliance/monitoring/investigations/index.html