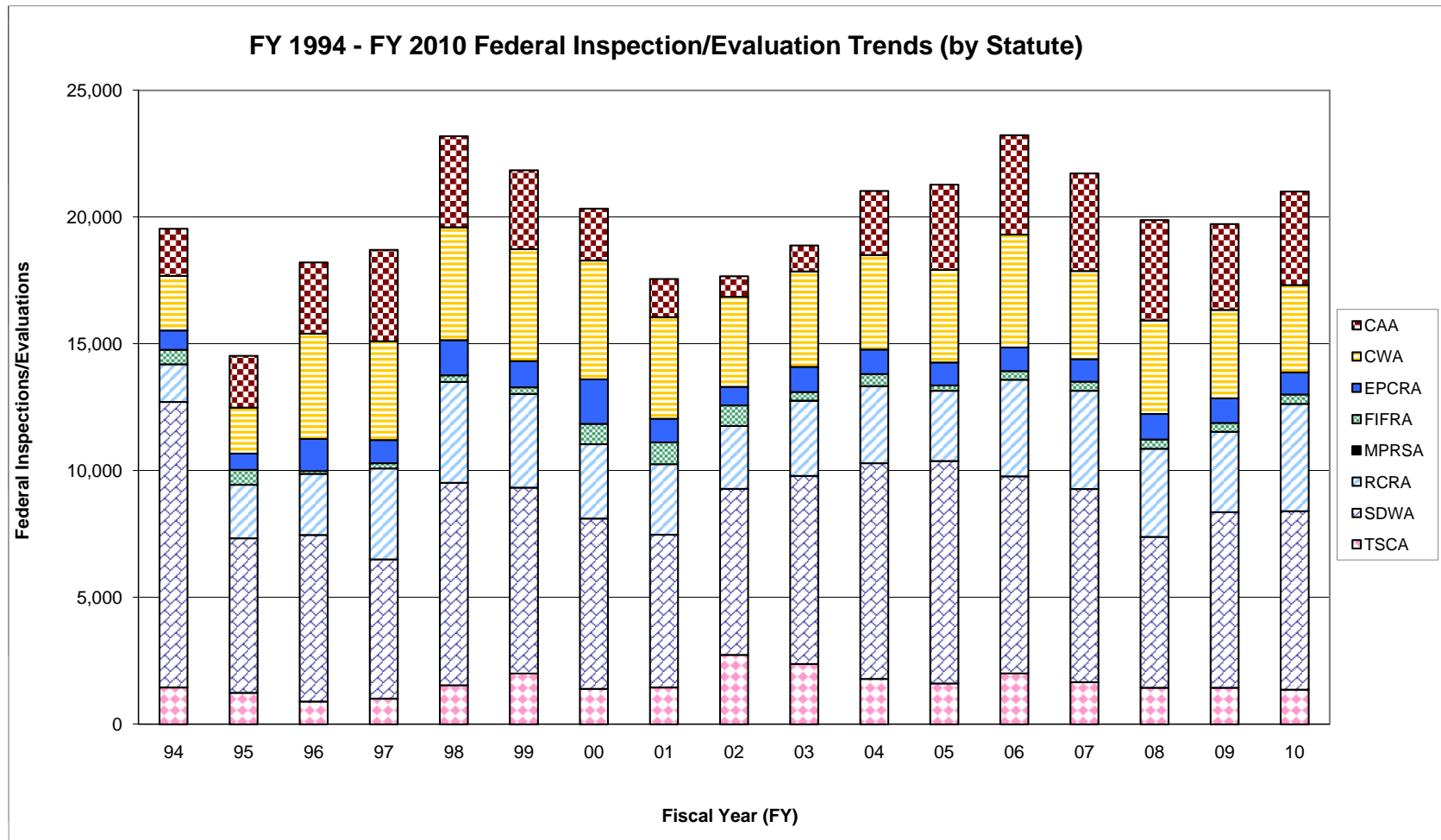




National Enforcement Trends (NETs)





National Enforcement Trends (NETs)

FY 1994 - FY 2010 Federal Inspection/Evaluation Trends

Federal Inspections/Evaluations																		
Statute	Program	Fiscal Year (FY)																
		94	95	96	97	98	99	00	01	02	03	04	05	06	07	08	09	10
CAA	CAA Stationary FCEs	1,863	2,042	2,806	3,601	3,592	1,406	1,284	1,046	440	491	409	513	445	325	277	283	289
	CAA Stationary PCEs						NR	NR	NR	NR	NR	NR	1,232	2,165	1,952	2,048	1,593	2,059
	CAA Mobile Sources						39	34	8	6	3	801	266	309	475	363	337	364
	CAA CFCs FCEs						1,227	579	122	265	255	255	155	186	127	135	138	80
	CAA CFCs PCEs						NR	NR	NR	NR	NR	NR	40	40	64	206	104	83
	CAA 112r						NR	NR	NR	NR	NR	783	947	559	665	702	655	606
	Asbestos D & R						437	151	325	98	270	276	209	211	233	220	268	206
	Wood Heater Evaluation						NR	NR	NR	NR	NR	NR	NR	3	2	2	6	3
CWA	NPDES Minors	2,146	1,808	4,154	3,897	4,447	965	1,141	876	1,044	1,221	1,348	1,386	1,720	1,336	1,583	1,401	1453
	NPDES Majors						949	640	758	871	595	645	512	794	551	440	488	422
	Pretreatment IUs						NR	277	273	94	164	158	NR	245	161	166	148	142
	Pretreatment POTWs						NR	115	134	115	90	135	140	181	125	110	101	78
	CWA-311(FRP)						1,424	1,549	1,269	786	1,141	969	1,159	1,092	980	1,037	1,013	221
	CWA-311(SPCC)						1,079	964	699	643	558	471	453	421	327	355	337	317
	CWA 404						513	472	321	267	262	298	244	308	268	280	276	262
EPCRA	EPCRA 313	765	644	1,260	911	1,388	521	1,286	613	466	725	672	661	620	616	728	693	609
	EPCRA non-313						259	799	858	810	338	393	144	267	274	294	280	301
FIFRA	FIFRA	569	587	116	207	264	NR	NR	NR	NR	NR	79	71	77	86	72	66	71
	GLP						NR	NR	NR	NR	3	4	0	0	0	0	0	0
MPRSA	MPRSA	NR	NR	NR	NR	NR	NR	NR	NR	NR	3	4	0	0	0	0	0	0
RCRA	RCRA HW	1,488	2,112	2,408	3,586	3,980	2,214	1,746	1,521	1,400	1,643	1,627	1,552	1,874	1,599	1,604	1,585	1680
	RCRA UST						1,482	1,185	1,259	1,080	1,327	1,415	1,216	1,938	2,275	1,866	1,586	2551
	RCRA 4005 Subtitle D						NR	NR	NR	NR	NR	NR	NR	NR	7	0	0	
SDWA	SDWA PWSS	11,259	6,095	6,569	5,490	7,983	449	488	362	327	228	358	355	71	58	88	83	83
	SDWA UIC						6,880	6,227	5,663	6,218	7,190	8,143	8,416	7,697	7,560	5,859	6,844	6,951
TSCA	TSCA GLP	1,452	1,241	898	1,014	1,537	NR	NR	NR	NR	NR	NR	0	0	1	4	0	0
	TSCA Core						2,003	1,400	1,453	2,738	2,376	1,792	1,191	135	85	56	77	48
	TSCA PCBs						NR	NR	NR	NR	NR	NR	108	192	110	112	142	136
	TSCA Asbestos/AHERA						NR	NR	NR	NR	NR	NR	99	307	411	367	364	328
	TSCA Lead Paint						NR	NR	NR	NR	NR	NR	213	1,374	1,055	902	856	856
Total		19,542	14,529	18,211	18,706	23,191	21,847	20,337	17,560	17,668	18,880	21,031	21,282	23,231	21,721	19,883	19,724	21,012

NR - Not Reported

National Enforcement Trends (NETs) Metadata

NETs Page G-2, 2b: FY 1994 - FY 2010 Federal Inspections and Evaluations	
Note 1	EPA began reporting Clean Air Act (CAA) 112r inspections in FY 04, Toxic Substances Control Act (TSCA) Good Laboratory Practice (GLP) inspections in FY 06, and CAA Wood Heater evaluations in FY 06 to the ICIS data system and including them in the EPA inspection and evaluation counts. In prior years, these inspections were not counted because this data was not reported to a national EPA data system.
Note 2	In FY 2002 the drop in the EPA CAA Inspection/Full Compliance Evaluation (FCE) count was due, at least in part, to implementation in that year of a new CAA Compliance Monitoring Strategy (CMS), which changed the definition of a CAA inspection. In addition, the term used for this activity on the CAA program changed from "inspection" to "evaluation". Prior to FY 2005 Partial Compliance Evaluations (PCEs) were considered optional reporting. Starting in FY 2005 the compliance monitoring program affirmed the importance of Federal PCE coverage, negotiated PCE (and FCE) activity levels per Region, and required that PCEs, like FCEs be entered to the Aerometric Information Retrieval System/AIRS Facility Subsystem (AIRS/AFS) EPA national database.
Note 3	The counts of Clean Water Act (CWA)/National Pollutant Discharge Elimination System (NPDES) inspections include both standard inspections and wet weather and Concentrated Animal Feeding Operations (CAFO) inspections. CWA/NPDES and CWA-Pretreatment inspections were retrieved from the Permit Compliance System (PCS) and Integrated Compliance Information System-National Pollutant Discharge Elimination System (ICIS-NPDES) in FY 06 - FY 10 and from PCS alone for prior years.
Note 4	Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) Good Laboratory Practice (GLP) inspections were included in the count of FIFRA inspections prior to FY 2004. In FY 2004, a separate category was created for FIFRA GLP inspections in order to separately count these particular types of inspections. In FY 2004 and 2005 FIFRA GLP inspections were reported manually. Beginning in FY 2006 these inspections were reported to the ICIS data system.
Note 5	As required by the October 11, 2005 instructions for "Entering Manually Reported Federal Inspections into ICIS in FY 2006," EPA began reporting CAA Mobile Source, Asbestos D & R, CWA Pretreatment IUs, CWA-311, CWA-404, Emergency Planning and Community Right to Know Act (EPCRA) non-313, Resource Conservation and Recovery Act Underground Storage Tank (RCRA-UST), and Safe Drinking Water Act Public Water System Supervision (SDWA-PWSS) inspections to the ICIS data system. In prior years these inspections had been reported manually. Asbestos D & R inspections were reported to the National Asbestos Registry System (NARS) prior to FY 03 and reported manually in FYs 04 and 05.
Note 6	The drop in the FY 2002 Asbestos D & R count was due, at least in part, to incomplete reporting to National Asbestos Registry System (NARS).
Note 7	Beginning in FY06, SDWA Underground Injection Control (UIC) inspections were required to be reported into ICIS or the OW UIC database, or both. If the inspections are reported into only the OW UIC database, the Regions obtain their count of UIC inspections from this database and manually report the data in the certification workbook at mid-year and end-of-year.
Note 8	RCRA-HW inspections are retrieved from the Resource Conservation and Recovery Act Information (RCRAInfo) database. Prior to FY 07, EPCRA 313, TSCA (other than GLP) and FIFRA inspections were retrieved from the National Compliance Data Base System (NCDB)/ FIFRA/TSCA Tracking System (FTTS). Since FY 07, this data has been pulled from ICIS.
Note 9	For FY 04 and prior, EPA announced only a total TSCA inspection count. Beginning in FY 05, EPA began reporting TSCA inspections in the following 5 categories: TSCA Polychlorinated biphenyls (PCBs), TSCA Asbestos/Asbestos Hazardous Emergency Response Act (AHERA), TSCA Lead-based Paint, TSCA Core, and TSCA GLP.
Guidance/ Policy	The CAA Compliance Monitoring Strategy can be found at: http://www.epa.gov/Compliance/resources/policies/monitoring/cmstrategy.pdf .
Definitions	EPA's definitions of Inspections and Evaluations can be found at: http://www.epa.gov/compliance/monitoring/inspections/index.html#inspection