

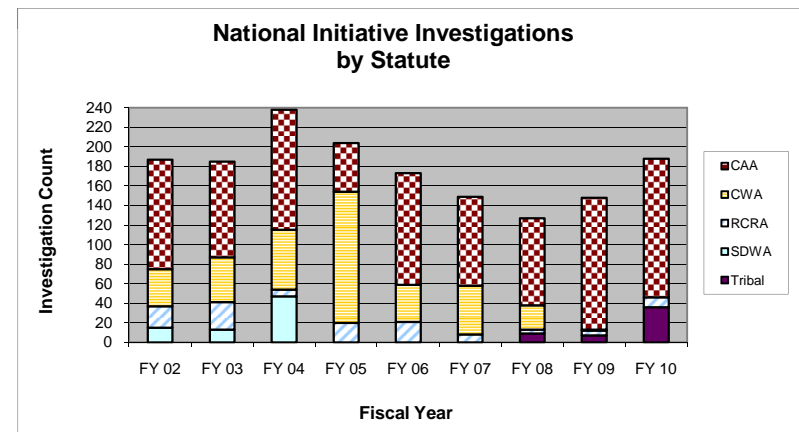
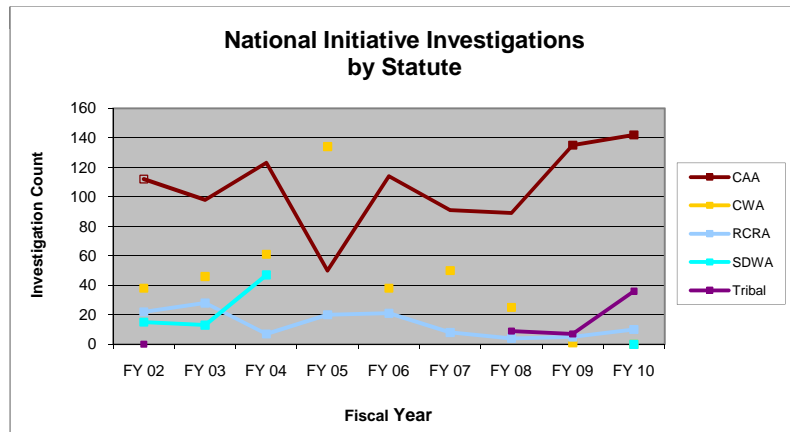


## National Enforcement Trends (NETs)

# FY 2002 - FY 2010 National Initiative Civil Investigations

National Initiative Investigations									
National Initiative	FY 02	FY 03	FY 04	FY 05	FY 06	FY 07	FY 08	FY 09	FY 10
CAA Air Toxics	39	29	58	29	65	60	30	66	39
CAA NSR/PSD (includes power plants, etc.)	59	57	55	21	49	31	59	69	103
CAA Petroleum Refining	14	12	10	NI	NI	NI	NI	NI	NI
<b>Total</b>	<b>112</b>	<b>98</b>	<b>123</b>	<b>50</b>	<b>114</b>	<b>91</b>	<b>89</b>	<b>135</b>	<b>142</b>
CWA Wet Weather - CAFO	15	3	10	134	38	50	NC	NC	NC
CWA Wet Weather - SSO	13	14	10				NC	NC	NC
CWA Wet Weather - CSO	1	1	2				NC	NC	NC
CWA Wet Weather - Stormwater	9	28	39				0	1	0
<b>Total</b>	<b>38</b>	<b>46</b>	<b>61</b>	<b>134</b>	<b>38</b>	<b>50</b>	<b>0</b>	<b>1</b>	<b>0</b>
RCRA- Mineral Processing-Phosphoric, Non-Phosphoric and Mining	NI	NI	NI	20	18	8	4	5	10
RCRA Mineral Processing-Mining	NI	NI	NI	NI	3	NI	NI	NI	0
RCRA Permit Evaders	22	28	7	NI	NI	NI	NI	NI	NI
<b>Total</b>	<b>22</b>	<b>28</b>	<b>7</b>	<b>20</b>	<b>21</b>	<b>8</b>	<b>4</b>	<b>5</b>	<b>10</b>
SDWA - Microbial Rules	15	13	47	NI	NI	NI	NI	NI	NI
<b>Total</b>	<b>15</b>	<b>13</b>	<b>47</b>	<b>NI</b>	<b>NI</b>	<b>NI</b>	<b>NI</b>	<b>NI</b>	<b>NI</b>
Tribal	NI	NI	NI	NC	NC	NC	9	7	36
<b>Total</b>	<b>NI</b>	<b>NI</b>	<b>NI</b>	<b>NC</b>	<b>NC</b>	<b>NC</b>	<b>9</b>	<b>7</b>	<b>36</b>
<b>Overall Total</b>	<b>187</b>	<b>185</b>	<b>238</b>	<b>204</b>	<b>173</b>	<b>149</b>	<b>102</b>	<b>148</b>	<b>188</b>

NI - Not a initiative that year  
 NC - Not collected



## National Enforcement Trends (NETs) Metadata

NETs Page I-1: FY 2002 - FY 2010 National Initiative Civil Investigations	
<b>Note 1</b>	National Initiative investigation data is pulled from the Air Facility System (AFS) for the Clean Air Act (CAA) priorities; otherwise the data is reported manually.
<b>Note 2</b>	Starting in FY 2005, Clean Water Act (CWA) Wet Weather investigation data was no longer collected by type (Concentrated Animal Feeding Operation, Sanitary Sewer Overflow, etc.) and instead has been presented as a wet weather total.
<b>Note 3</b>	In FY 2005, collection of Petroleum Refining National Initiative investigation data ceased (as Petroleum Refining had ceased to be a National Initiative area). In FY 2005 Resource Conservation and Recovery Act (RCRA) Permit Evaders and Safe Drinking Water Act (SDWA) Microbial Rules also were removed from the Environmental Protection Agency's (EPA's) list of national priorities, and RCRA Mineral Processing - Phosphoric and Non - Phosphoric was added to the National Initiative list. In addition, in FY 2006 RCRA Mineral Processing-Mining was added to the National Initiative list.
<b>Note 4</b>	The wet weather national Initiative strategies were significantly changed in both FY 2005 and FY 2008. In FY 2005 it was determined that wet weather investigations should be reported as one number to quantify the total wet weather investigation effort. In FY 2008, it was determined that investigation counts for CSOs, SSOs and CAFOs did not need to be reported.
<b>Definitions</b>	SDWA - Safe Drinking Water Act.
<b>Definitions</b>	An investigation is a more complex assessment of a facility's compliance status than a compliance inspection defined through specific media guidance. Inspections typically assess facility compliance broadly. Investigations, on the other hand, generally focus on certain aspects of a facility's operations or on a predetermined set of compliance concerns, and do so in substantially more depth than an inspection. Investigations involve considerably more time (more than 1 day) and resources to complete. Typically, an investigation is initiated in one of two ways: 1) based on information discovered during an inspection, or 2) as the result of an overall industry sector initiative or specific regulatory or statutory area. An October 26, 1998 memo titled MOA Guidance (Air Program) Clarification and NPMS Pilot describes the CAA investigation in greater detail.
<b>Definitions</b>	The full names for OECA's National Initiative can be found at: <a href="http://www.epa.gov/compliance/resources/reports/nets/nets-nphelpfile.pdf">http://www.epa.gov/compliance/resources/reports/nets/nets-nphelpfile.pdf</a> . More detailed information about OECA's National Initiative can be found at: <a href="http://epa.gov/compliance/data/planning/priorities/index.html">http://epa.gov/compliance/data/planning/priorities/index.html</a> .