

RCRAINFO CM&E EVALUATION – VIOLATION FORM

May 2006

- 1 EPA ID Number: 12-digit EPA ID Number assigned to the facility.
- 2 Handler Name: Enter name of facility.
- 3 4 Handler Address: Enter Site Location (Address, City, State, Zip).
- 5 6

*EPA ID Number		1				
Handler Name		2				
Street		3				
City		4	State	5	Zip Code	6

*EVALUATION Add Update Delete 7 You must provide an Evaluation Identifier (also known as the Sequence Number).

*Evaluation Identifier	*Type	*Evaluation Start Date (mm/dd/yyyy)	*Agency	Responsible Person	Suborganization
8	9	10	11	12	13
Day Zero (mm/dd/yyyy):			14	Reclassified SV Date:	15
<small>You need to specify Day Zero for all evaluation types except CDI, CSE, FUI, SNN, and SNN, otherwise it defaults to Evaluation Start Date. For CDI, CSE, FUI, and SNN evaluations, you must select a previous CEI Start Date for the Day Zero. SNN evaluation type does not require a Day Zero.</small>					
Notes: 16					

Evaluation Indicator Field (Check all that apply) 17						
<input type="checkbox"/> Citizen Complaint	<input type="checkbox"/> Multimedia Inspection	<input type="checkbox"/> Sampling	<input type="checkbox"/> Not Subtitle C			
Focused Coverage Areas (Use Only for Evaluation Type FCI)						
Regulation-Specific FCI						
BIF <input type="checkbox"/>	CCI <input type="checkbox"/>	CFI <input type="checkbox"/>	INC <input type="checkbox"/>	LDR <input type="checkbox"/>	PTB <input type="checkbox"/>	PTX <input type="checkbox"/>
THI <input type="checkbox"/>	UIC <input type="checkbox"/>	UOI <input type="checkbox"/>	UWR <input type="checkbox"/>	OTHER (specify):		18
Routine/Standardized FCI						
CAR <input type="checkbox"/>	CPC <input type="checkbox"/>	DOS <input type="checkbox"/>	EMR <input type="checkbox"/>	IEI <input type="checkbox"/>	ISI <input type="checkbox"/>	RTI <input type="checkbox"/>

Does this Evaluation Add/Delete/Update a Violation?	19	YES <input type="checkbox"/> NO <input type="checkbox"/>	If Yes, fill in the Violations Section(s) on page 2 of this form.
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Does this Evaluation have Undetermined Violations?	20	YES <input type="checkbox"/> NO <input type="checkbox"/>
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Does this Evaluation link to a Commitment?	21	YES <input type="checkbox"/> NO <input type="checkbox"/>	If Yes, please use the RCRAInfo 3007 Information Requests and Commitments Form.
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Does this Evaluation link to a 3007 Request?	22	YES <input type="checkbox"/> NO <input type="checkbox"/>	If Yes, please use the RCRAInfo 3007 Information Requests and Commitments Form.
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Was this Evaluation completed at a Federal Facility? (RCRA Section 6002)	23	YES <input type="checkbox"/> NO <input type="checkbox"/>	If YES, the Federal Facility Section (on reverse side of this form) must be completed. Only applicable to EPA Owned Inspections (Responsible Agency = E) at Federal Facilities
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OUTSTANDING VIOLATIONS COVERED BY ABOVE EVALUATION? YES 24 If Yes, fill in information below.

Seq. No.	Agency	Type	Date Determined (mm/dd/yyyy)	Seq. No.	Agency	Type	Date Determined (mm/dd/yyyy)
25	26	27	28				

*Required Fields

- 7 Evaluation Add/Change/Delete: Please check one of the three boxes. Select **Add** if you are adding a new evaluation to RCRAInfo. Select **Update** to modify an existing evaluation. Select **Delete** to remove existing evaluations entered into RCRAInfo in error.
 - Evaluation Data:
 - 8 Evaluation Identifier: (AKA Sequence Number) Enter a number. This is an alphanumeric field. Unlike in RCRAInfo V2, the system does not generate this number.
 - 9 Type: Enter the evaluation type. (ex. CEI, SNN, etc.) A complete listing of codes is available from RCRAInfo Help.
 - 10 Evaluation Start Date: Enter the date the evaluation took place. If the evaluation took place over several days, enter the date the evaluation started.
 - 11 Agency: Enter the Agency who performed the evaluation. (E - EPA; C - EPA Contractor/Grantee; X - EPA Initiated Oversight/Observation/Training; S - State; B - State Contractor/Grantee; T - State Initiated Oversight/Observation/Training; N - Native American)
 - 12 Responsible Person: Enter the inspector's initials and/or name.
 - 13 Suborganization: Enter the organization that completed the evaluation.
 - 14 Day Zero: This is the first day of the inspection or record review, regardless of the duration of the inspection. In situations where there are follow-up inspections at a facility, Day Zero is the first day of the first inspection. See form for instructions on what date to input here.
 - 15 Reclassified SV Date: This field only appears when SNC evaluation type is chosen; If appropriate, enter the date that a secondary violator (SV) has been reclassified as a SNC.
 - 16 Notes: Enter in any notes pertaining to the evaluation.
 - 17 Evaluation Indicator Field: Check all that apply.
 - 18 Focused Coverage Areas: If FCI evaluation type, select a focused coverage area. Implementer defined codes are allowed under Other.
-
- 19 Does this Evaluation Add/Delete/Update a Violation? Check appropriate box. If Yes, fill in Violation Section(s) on page 2.
 - 20 Does this Evaluation have Undetermined Violations? Check appropriate box.
 - 21 Does this Evaluation link to a Commitment? Check appropriate box. If Yes, use RCRAInfo 3007 Information Requests and Commitments Form.
 - 22 Does this Evaluation link to a 3007 Request? Check appropriate box. If Yes, use RCRAInfo 3007 Information Requests and Commitments Form.
 - 23 Was this Evaluation completed at a Federal Facility? For EPA Inspections only: Check whether this is a 6002 facility. If Yes, go to the Federal Facility Section on the reverse side of this form.
-
- 24 Outstanding Violations: Check Yes or No; Yes indicates any violations reported during a previous inspection that remain outstanding.
 - 25 Seq No: Enter the RCRAInfo number of the outstanding violation.
 - 26 Agency: Enter the Agency who found the outstanding violation.
 - 27 Type: Enter the code of the violation type to which the violation applies (ex. 262.A). A complete listing of codes is available from RCRAInfo Help.
 - 28 Date Determined: Enter the date the outstanding violation was discovered.

EPA ID Number 1		Handler Name 2	
VIOLATIONS SECTION (Additional Violations can be added/updated/deleted using the RCRAInfo CM&E Additional Violations Form)			
VIOLATION <input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3		Link to Above Evaluation 4	
Seq. No 5	Violation Type 6	Agency 7	Determined Date (mm/dd/yyyy) 8
Notes: 11		Return to Compliance (RTC) Qualifier 9 <small>A RTC Qualifier is required if entering an Actual RTC Date.</small>	Actual RTC Date (mm/dd/yyyy) 10
LINK CITATIONS TO ABOVE VIOLATION? YES <input type="checkbox"/> NO <input type="checkbox"/> 12 <i>If Yes, fill in information below</i>			
Citation Type 13	Citation 14	Citation Type	Citation
VIOLATION <input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3		Link to Above Evaluation 4	
Seq. No 5	Violation Type 6	Agency 7	Determined Date (mm/dd/yyyy) 8
Notes: 11		Return to Compliance (RTC) Qualifier 9 <small>A RTC Qualifier is required if entering an Actual RTC Date.</small>	Actual RTC Date (mm/dd/yyyy) 10
LINK CITATIONS TO ABOVE VIOLATION? YES <input type="checkbox"/> NO <input type="checkbox"/> 12 <i>If Yes, fill in information below</i>			
Citation Type 13	Citation 14	Citation Type	Citation
VIOLATION <input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3		Link to Above Evaluation 4	
Seq. No 5	Violation Type 6	Agency 7	Determined Date (mm/dd/yyyy) 8
Notes: 11		Return to Compliance (RTC) Qualifier 9 <small>A RTC Qualifier is required if entering an Actual RTC Date.</small>	Actual RTC Date (mm/dd/yyyy) 10
LINK CITATIONS TO ABOVE VIOLATION? YES <input type="checkbox"/> NO <input type="checkbox"/> 12 <i>If Yes, fill in information below</i>			
Citation Type 13	Citation 14	Citation Type	Citation
FEDERAL FACILITY SECTION (Fill out if EPA Owned Inspection at Federal Facility)			
YES <input type="checkbox"/> NO <input type="checkbox"/>	RCRA 6002 inspection performed?	15	
YES <input type="checkbox"/> NO <input type="checkbox"/>	Site given RCRA 6002 questionnaire?		
YES <input type="checkbox"/> NO <input type="checkbox"/>	Inspector questionnaire completed and mailed?		

*Required Fields

- 1** EPA ID Number: 12-digit EPA ID Number assigned to the facility.
- 2** Handler Name: Enter name of facility.
- 3** Violation Add/Update/Delete: Please check one of the three boxes. Select **Add** if you are adding a new violation to RCRAInfo. Select **Update** if you want to modify an existing violation. Select **Delete** if the violation was reported in error.
- 4** Link to Above Evaluation: Check box to link.
Violation Data:
- 5** Seq. No.: This is generated by RCRAInfo. If this is a new violation, leave this blank. If this is an update or deletion, enter this #.
- 6** Violation Type: Enter the code of the violation type to which the violation applies (ex. 262.A). A complete listing of codes is available from RCRAInfo Help.
- 7** Agency: Enter the Agency who performed evaluation (E - EPA; C - EPA Contractor/Grantee; S - State; B - State Contractor/Grantee; N - Native American).
- 8** Determined Date: Enter the date the violation was determined.
- 9** Return to Compliance (RTC) Qualifier: Enter the RTC qualifier (D - Documented; O - Observed; N - Not Resolvable; U - Unobserved).
- 10** RTC Actual Date: Date which it was verified that the handler demonstrated full physical compliance. For violations of omission (such as not manifesting a load of waste), the actual returned to compliance date is the date of a written commitment by the handler to comply in the future or the day of conviction in a criminal action.
- 11** Notes: Violation notes.
- 12** Link Citations to Above Violation: Check Yes/No. If Yes, fill in citation information. This is required for EPA owned evaluations; optional for States. HQs has linked federal citations to violation types. You may choose to link multiple citations to a violation type. State IORs can also link state citations.
- 13** Citation Type: Enter the type of citation (SR - State Regulation; FR - Federal Regulation; FS - Federal Statute; SR - State Regulation; SS - State Statute; PC - Permit Condition; OC - Order Condition).
- 14** Citation: Enter the citation (ex. 261.1(a)(1), 279.11).
- 15** FEDERAL FACILITY SECTION (for EPA-owned Inspections at Federal Facility): Answer all 3 questions with either Yes/No.

RCRAInfo CM&E ENFORCEMENT FORM

*EPA ID Number 1		Handler Name 2					
*ENFORCEMENT <input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3		You must provide an Enforcement Identifier (also known as a Seq. No.).					
*Identifier 4	*Enforcement Date (mm/dd/yyyy) 5	*Activity Location 6	*Agency 7	*Type 8	Sub-organization 9	Responsible Person 10	Attorney 11
Docket Number: 12					Enforcement Notes: 13		
Is Enforcement Type 380 (Super CA/FO) and part of a Multi-site Consent Agreement/Final Order (CA/FO)? Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, you must provide the CA/FO Sequence Number below. If you are the lead agency and want to add a Multi-site please provide the CA/FO Respondent Name (required) and Notes (as necessary). 14							
*CA/FO Sequence Number: 15		*Respondent Name: 16					
Notes: 17							
Was there an Appeal? <input type="checkbox"/> Yes <input type="checkbox"/> No 18 <small>If Yes, please fill in this Section</small>				Disposition Status			
*Appeal Initiated Date (mm/dd/yyyy) 19		*Appeal Resolved Date (mm/dd/yyyy) 20					
				*Disposition Status Qualifier 21		*Disposition Status Date (mm/dd/yyyy) 22	
If this facility is a SNC, does this Enforcement Action address the SNC determination? <input type="checkbox"/> Yes <input type="checkbox"/> No 23 <small>If yes, please provide the following information.</small> Note: You can only link the Enforcement Action to an SNY owned by your Agency.							
*SNY Evaluation Start Date: 24							
Does this Enforcement Action Contain Corrective Action Requirements? <input type="checkbox"/> Yes <input type="checkbox"/> No 25							
Do you want to link Media? <input type="checkbox"/> Yes <input type="checkbox"/> No 26 <small>If Yes, please fill in Multimedia Section on page 2 of this form.</small>							
Do you want to Add/Update/Delete a Technical Requirement Milestone? <input type="checkbox"/> Yes <input type="checkbox"/> No 27 <small>If Yes, please fill in Technical Requirement Milestone Section on page 2 of this form.</small>							
*LINK VIOLATIONS TO THE ABOVE ENFORCEMENT ACTION? <input type="checkbox"/> Yes <input type="checkbox"/> No 28 <small>If Yes, please fill in the Section below.</small> Note: You can link RTC'd violations to an enforcement action.							
*Seq. No.	*Agency	*Type	*Date Determined (mm/dd/yyyy)	Already RTC'd	Scheduled RTC Date (mm/dd/yyyy)	RTC Qualifier	RTC Actual Date (mm/dd/yyyy)
29	30	31	32	33	34	35	36
				<input type="checkbox"/>			
				<input type="checkbox"/>			
				<input type="checkbox"/>			
				<input type="checkbox"/>			
				<input type="checkbox"/>			

***Required Fields**

- 34** **Scheduled RTC Date:** Enter the date which the violation should be brought into compliance, if applicable.
- 35** **RTC Qualifier:** Enter the RTC qualifier (D- Documented; O - Observed; N - Not Resolvable; U - Unobserved).
- 36** **RTC Actual Date:** Date on which it was verified that the handler demonstrated full physical compliance. For violations of omission (such as not manifesting a load of waste), the actual returned to compliance date is the date of a written commitment by the handler to comply in the future or the day of conviction in a criminal action.

- 1** **EPA ID Number:** 12-digit EPA ID Number assigned to the facility.
- 2** **Handler Name:** Enter name of facility.
- 3** **Enforcement Add/Update/Delete:** Please check one of the three boxes. Select **Add** to add a new enforcement to RCRAInfo. Select **Update** if you want to modify an existing enforcement. Select **Delete** if the enforcement was reported in error.
- 4** **Enforcement Data:**
- 4** **Identifier:** (AKA Seq. No.) Enter a number. This is an alphanumeric field. Unlike in RCRAInfo V2, the system does not generate this number.
- 5** **Enforcement Date:** Enter the enforcement date.
- 6** **Activity Location:** Enter the Activity Location.
- 7** **Agency:** Enter the Agency responsible for the enforcement action (E - EPA; S - State).
- 8** **Type:** Enter the 3-digit enforcement type (ex. 120- written informal). A complete listing of codes is available from RCRAInfo Help.
- 9** **Suborganization:** Enter the organization responsible for the enforcement action (ex. WM, WCMD, R3, etc.).
- 10** **Responsible Person:** Enter the name/initials of the person responsible for the enforcement action.
- 11** **Attorney:** Enter the name/initials of the attorney in the Agency responsible for the enforcement action.
- 12** **Docket Number:** Please provide the relevant docket number assigned by enforcement staff for tracking of enforcement actions.
- 13** **Enforcement Notes:** Self-explanatory.
- 14** **Consent Agreement/Final Order (CA/FO):** Only applicable to CA/FOs
- 14** **Is Enforcement Type 380 (Super CA/FO) and part of a Multi-site CA/FO?:** Check Yes/No. If Yes, fill in the information below.
- 15** **CA/FO Sequence Number:** This is generated by RCRAInfo and must be provided.
- 16** **Respondent Name:** Self-explanatory.
- 17** **Notes:** Self-explanatory.
- 18** **Appeals:**
- 18** **Was there an Appeal?:** Check Yes/No. If Yes, fill in the appeal information.
- 19** **Appeal Initiated Date:** Enter this date. **20** **Appeal Resolved Date:** Enter this date.
- 21** **Disposition Status:** Captures the final disposition of enforcement actions.
- 21** **Disposition Status Qualifier:** Enter the Disposition Status Qualifier (AS- Action Satisfied (Case Closed); DR - Dropped; DS - Dismissed; PC - Public Review Completed; PR - Open for Public Review; RI - Reinstated; RT - Returned; RV - Revoked; WD - Withdrawn).
- 22** **Disposition Status Date:** Enter the date that corresponds to the disposition status qualifier.
- 23** **If this facility is a SNC, does this Enforcement Action address the SNC determination?** Choose Yes/No. If yes, need to provide the SNY information.
- 24** **SiNY Evaluation Start Date:** Enter the Evaluation Start date that corresponds to the SNY determination you want to link to this Enforcement Action.
- 25** **Does this Enforcement Action contain Corrective Action Requirements?** Check Yes/No.
- 26** **Do you want to link Media?** Check Yes/No. If Yes, fill in Multimedia Section below.
- 27** **Do you want to Add/Update/Delete a Technical Requirement Milestone?** Check Yes/No. If Yes, fill in Technical Requirement Milestone Section on page 2 of this form.
- 28** **Link Violations to the Above Enforcement Action?:** Check Yes/No. If Yes, fill in the section below.
- 29** **Seq. No.:** Enter the RCRAInfo generated number for the applicable violation.
- 30** **Agency:** Enter the Agency who determined applicable violation (E - EPA; C - EPA contractor; S - State; B - State contractor; X - EPA oversight).
- 31** **Type:** Enter the code of the violation type to which the violation applies (ex. 262.A). A complete listing of codes is available from RCRAInfo Help.
- 32** **Date Determined:** Enter the determination date of the applicable violation.
- 33** **Already RTC'd:** Check this box if you need to link a violation that has already been returned to compliance.

*EPA ID Number	Handler Name
1	2

Multimedia Section (Check all that apply)

<input type="checkbox"/> AIR	<input type="checkbox"/> CRE	<input type="checkbox"/> CRS	<input type="checkbox"/> EPC	<input type="checkbox"/> FIF
<input type="checkbox"/> MSW	<input type="checkbox"/> ORP	<input type="checkbox"/> PCB	<input type="checkbox"/> RCA	<input type="checkbox"/> SPC
<input type="checkbox"/> TSC	<input type="checkbox"/> UIC	<input type="checkbox"/> UST	<input type="checkbox"/> WAT	<input type="checkbox"/> WET

PENALTY SECTION

PENALTY Add Update Delete 4 Link to Above Enforcement 5

*Penalty Type	*Penalty Amount	Penalty Notes
6	\$ 7	8

PENALTY PAYMENT Add Update Delete 9

Scheduled Date (mm/dd/yyyy)	Scheduled Amount	Paid Date (mm/dd/yyyy)	Paid Amount	Defaulted Date (mm/dd/yyyy)
10	\$ 11	12	\$ 13	14
Notes: 15				

PENALTY PAYMENT Add Update Delete 9

Scheduled Date (mm/dd/yyyy)	Scheduled Amount	Paid Date (mm/dd/yyyy)	Paid Amount	Defaulted Date (mm/dd/yyyy)
10	11	12	13	14
Notes: 15				

PENALTY PAYMENT Add Update Delete 9

Scheduled Date (mm/dd/yyyy)	Scheduled Amount	Paid Date (mm/dd/yyyy)	Paid Amount	Defaulted Date (mm/dd/yyyy)
10	\$ 11	12	\$ 13	14
Notes: 15				

PENALTY PAYMENT Add Update Delete 9

Scheduled Date (mm/dd/yyyy)	Scheduled Amount	Paid Date (mm/dd/yyyy)	Paid Amount	Defaulted Date (mm/dd/yyyy)
10	\$ 11	12	\$ 13	14
Notes: 15				

TECHNICAL REQUIREMENT MILESTONE SECTION

(Additional Technical Requirement Milestones can be added using the RCRAInfo CM&E Additional Technical Requirement Milestones Form)

Add Update Delete 16 Link to Above Enforcement 17

*Technical Requirement Number:	18	
*Technical Requirement Description:	19	
Scheduled Completion Date (mm/dd/yyyy)	Actual Completion Date (mm/dd/yyyy)	Defaulted Date (mm/dd/yyyy)
20	21	22
Notes: 23		

1 EPA ID Number: 12-digit EPA ID Number assigned to the facility.
 2 Handler Name: Enter name of facility.

3 **Multimedia Section:** Check all that apply.

4 **Penalty:** Please check one of the three boxes. Select **Add** if you are adding a new penalty; **Update** if you want to modify an existing penalty; **Delete** to delete a penalty reported in error.

5 **Link to Above Enforcement:** Check if applicable.

6 **Penalty Type:** Enter the 3-letter code for the penalty type (ex. PMP- Proposed Monetary Penalty; FSC - SEP Cost). A complete listing of codes is available from RCRAInfo Help.

7 **Penalty Amount:** Enter the amount of the penalty. You can report amount in dollars and cents.

8 **Penalty Notes:** Self-explanatory.

9 **Penalty Payment:** Please check one of the three boxes. Select **Add** if you are adding a new penalty payment; **Update** if you want to modify an existing penalty payment; **Delete** to delete a penalty payment that was reported in error.

10 **Scheduled Date:** Enter the scheduled date.

11 **Scheduled Amount:** Enter the scheduled amount.

12 **Paid Date:** Enter the paid date.

13 **Paid Amount:** Enter the paid amount.

14 **Defaulted Date:** Enter the defaulted date.

15 **Penalty Notes:** Self-explanatory.

TECHNICAL REQUIREMENT MILESTONE SECTION

16 **Add/Update/Delete:** Please check one of the three boxes. Select **Add** if you are adding a new technical requirement milestone. Select **Update** if you want to modify an existing technical requirement milestone. Select **Delete** if the technical requirement milestone was reported in error.

17 **Link to Above Enforcement:** Check if applicable.

18 **Technical Requirement Number:** Self-explanatory.

19 **Technical Requirement Description:** Self-explanatory.

20 **Scheduled Completion Date:** Enter this date.

21 **Actual Completion Date:** Enter this date.

22 **Defaulted Date:** Enter the defaulted date.

23 **Notes:** Self-explanatory.

***Required Fields**

RCRAInfo CM&E SUPPLEMENTAL ENVIRONMENTAL PROJECT (SEP) FORM
 (Attach to RCRAInfo CM&E Enforcement Form, if appropriate)

*EPA ID Number	Handler Name			
1	2			
SUPPLEMENTAL ENVIRONMENTAL PROJECT <small>(To add a SEP, a penalty type of FSC or SCR must exist.)</small>				
<input type="checkbox"/> Add <input type="checkbox"/> Update 3 <input type="checkbox"/> Delete				
4 *Link to Enforcement on RCRAInfo CM&E Enforcement Form? If Yes, attach enforcement form. If No, fill in the information below. <input type="checkbox"/> Yes <input type="checkbox"/> No				
Enforcement Identifier	Enforcement Date (mm/dd/yyyy)	Enforcement Type		
5	6	7		
*SEP Type	*Scheduled Completion Date (mm/dd/yyyy)	Actual Completion Date (mm/dd/yyyy)	Expenditure Amount	Defaulted Date (mm/dd/yyyy)
8	9	10	\$ 11	12
Notes: 13				
SUPPLEMENTAL ENVIRONMENTAL PROJECT <small>(To add a SEP, a penalty type of FSC or SCR must exist.)</small>				
<input type="checkbox"/> Add <input type="checkbox"/> Update 3 <input type="checkbox"/> Delete				
4 *Link to Enforcement on RCRAInfo CM&E Enforcement Form? If Yes, attach enforcement form. If No, fill in the information below. <input type="checkbox"/> Yes <input type="checkbox"/> No				
Enforcement Identifier	Enforcement Date (mm/dd/yyyy)	Enforcement Type		
5	6	7		
*SEP Type	*Scheduled Completion Date (mm/dd/yyyy)	Actual Completion Date (mm/dd/yyyy)	Expenditure Amount	Defaulted Date (mm/dd/yyyy)
8	9	10	\$ 11	12
Notes: 13				
SUPPLEMENTAL ENVIRONMENTAL PROJECT <small>(To add a SEP, a penalty type of FSC or SCR must exist.)</small>				
<input type="checkbox"/> Add <input type="checkbox"/> Update 3 <input type="checkbox"/> Delete				
4 *Link to Enforcement on RCRAInfo CM&E Enforcement Form? If Yes, attach enforcement form. If No, fill in the information below. <input type="checkbox"/> Yes <input type="checkbox"/> No				
Enforcement Identifier	Enforcement Date (mm/dd/yyyy)	Enforcement Type		
5	6	7		
*SEP Type	*Scheduled Completion Date (mm/dd/yyyy)	Actual Completion Date (mm/dd/yyyy)	Expenditure Amount	Defaulted Date (mm/dd/yyyy)
8	9	10	\$ 11	12
Notes: 13				

*Required Fields

- 1 EPA ID Number: 12-digit EPA ID Number assigned to the facility.
- 2 Handler Name: Enter name of facility.

3 **Supplemental Environmental Project (SEP): Add/Update/Delete:** Please check one of the three boxes. Select **Add** if you are adding a new SEP; **Update** if you want to modify an existing SEP; **Delete** if a SEP was reported in error.

4 **Link to Enforcement on Enforcement Form?:** Check Yes/No. If Yes, attach RCRAInfo CM&E Enforcement form. If No, fill in the information below.

5 **Enforcement Identifier:** (AKA Seq. No.) Enter the Identifier for the enforcement action of interest.

6 **Enforcement Date:** Enter the enforcement date for the enforcement action of interest.

7 **Enforcement Type:** Enter the enforcement type for the enforcement action of interest (ex. 210, 310, etc.).

SEP Data:

8 **SEP Type:** Enter the 3-letter SEP code (ex. EPP, ERE). A list of codes is available from RCRAInfo Help.

9 **Scheduled Completion Date:** Enter the scheduled date for the SEP.

10 **Actual Completion Date:** Enter the actual date for the SEP.

11 **Expenditure Amount:** Enter the amount expended for the SEP.

12 **Defaulted Date:** Enter the defaulted date for the SEP.

13 **Notes:** Self-explanatory.

RCRAInfo CM&E 3007 Information Requests and Commitments Form

(Attach to RCRAInfo CM&E Evaluation-Violation Form, if appropriate)

EPA ID Number	1		
Handler Name	2		
3007 Information Request Section			
<input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3 <small>(If Updating or Deleting, please provide the Seq. No. assigned in RCRAInfo.)</small>		Link to Above Evaluation <input type="checkbox"/> 4	
Seq. No.	Agency	Date of Request (mm/dd/yyyy)	Date Response Received (mm/dd/yyyy)
5	6	7	8
Notes: 9			
<input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3 <small>(If Updating or Deleting, please provide the Seq. No. assigned in RCRAInfo.)</small>		Link to Above Evaluation <input type="checkbox"/> 4	
Seq. No.	Agency	Date of Request (mm/dd/yyyy)	Date Response Received (mm/dd/yyyy)
5	6	7	8
Notes: 9			
<input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3 <small>(If Updating or Deleting, please provide the Seq. No. assigned in RCRAInfo.)</small>		Link to Above Evaluation <input type="checkbox"/> 4	
Seq. No.	Agency	Date of Request (mm/dd/yyyy)	Date Response Received (mm/dd/yyyy)
5	6	7	8
Notes: 9			
<input type="checkbox"/> Add <input type="checkbox"/> Update <input type="checkbox"/> Delete 3 <small>(If Updating or Deleting, please provide the Seq. No. assigned in RCRAInfo.)</small>		Link to Above Evaluation <input type="checkbox"/> 4	
Seq. No.	Agency	Date of Request (mm/dd/yyyy)	Date Response Received (mm/dd/yyyy)
5	6	7	8
Notes: 9			
LINK ABOVE EVALUATION TO EXISTING 3007 INFORMATION REQUEST(S)? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If Yes, fill in information below</i> <small>(Use this section if you are not making any updates to an existing 3007 request.)</small> 10			
Seq. No.	Agency	Date Response Received (mm/dd/yyyy)	Date of Request (mm/dd/yyyy)
11	12	13	14

- 1 **EPA ID Number:** 12-digit EPA ID Number assigned to the facility.
- 2 **Handler Name:** Enter name of facility.

- 3007 Information Request Section:**
- 3 Please check one of the three boxes. Select **Add** if you are adding a new 3007 Information Request; **Update** if you want to modify an existing 3007 Information Request; **Delete** if a 3007 Information Request was reported in error.
- 4 **Link to Above Evaluation?:** Check box to link. If checked, attach RCRAInfo CM&E Evaluation-Violation form.
- 5 **Seq. No.:** Enter the 3007 Information Request Seq. No. for updates and deletes. Leave blank for new requests.
- 6 **Agency:** Enter the Agency responsible for the 3007 Information Request.
- 7 **Date of Request:** Enter the date of the request.
- 8 **Date Response Received:** Enter this date.
- 9 **Notes:** Self-explanatory.

- 10 **Link Above Evaluation to Existing 3007 Information Request(s)?:** Check Yes/No. If Yes, fill in the section below.
- 11 **Seq. No.:** Enter the Seq. No. for 3007 Information Request of interest.
- 12 **Agency:** Enter the Agency responsible for the 3007 Information Request of interest.
- 13 **Date Response Received:** Enter this date for the 3007 Information Request of interest.
- 14 **Date of Request:** Enter this date for the 3007 Information Request of interest.

Commitment Section

Add New Commitment Type 1 Link to Above Evaluation 2

Commitment Type	Activity Location	Number of Commitments	Federal Fiscal Year
3	4	5	6

For States Only:

Start Date	End Date
7	8

Notes: 9

Link Above Commitment to Other Handler IDs? Yes No 10 If Yes, you do not need to fill in the Commitment Information below. If No, provide commitment information below.

Seq. No.	Type	Lead	Act. Location	Federal FY	Start Date	End Date	Handler ID
11	12	13	14	15	16	17	18

Update Existing Commitment Delete Existing Commitment 19
(If Updating or Deleting, please provide the Seq. No. assigned in RCRAInfo.)

Seq. No.	Commitment Type	Activity Location	Handler ID	Federal Fiscal Year
20	21	22	23	24

For States Only:

Start Date	End Date
25	26

Notes: 27

Update Existing Commitment Delete Existing Commitment 19
(If Updating or Deleting, please provide the Seq. No. assigned in RCRAInfo.)

Seq. No.	Commitment Type	Activity Location	Handler ID	Federal Fiscal Year
20	21	22	23	24

For States Only:

Start Date	End Date
25	26

Notes: 27

- 1 **Commitment Section:**
Select **Add** if you are adding a new Commitment.
- 2 **Link to Above Evaluation?:** Check box to link. If checked, attach RCRAInfo CM&E Evaluation-Violation form.
- 3 **Commitment Type:** Enter Commitment Type (ex. LQG, Mineral Non-Phosphor, TSD, etc.). A list of HQs defined types is available in RCRAInfo Help. Implementer defined types are allowed.
- 4 **Activity Location:** Enter the Activity Location for the Commitment.
- 5 **Number of Commitments:** Enter the number of commitments.
- 6 **Federal Fiscal Year:** Enter the Federal Fiscal Year to which this commitment is being made.
- 7 **Start Date:** Self-explanatory.
- 8 **End Date:** Self-explanatory.
- 9 **Notes:** Self-explanatory.
- 10 **Link Above Commitment to Other Handler IDs?:** Check Yes/No. If Yes, you do not need to fill in the commitment information below, just the Handler ID. If No, fill in the all the information below.
- 11 **Seq. No.:** Enter the Seq. No. for Commitment of interest.
- 12 **Type:** Enter the Commitment Type for the Commitment of interest. A list of HQs defined types is available in RCRAInfo Help.
- 13 **Lead:** Enter the Lead Agency for the Commitment of interest (ex. PA, 03, MD, etc.).
- 14 **Act. Location:** Enter the Activity Location for the Commitment of interest.
- 15 **Federal FY:** Enter the Federal Fiscal Year for the Commitment of interest.
- 16 **Start Date:** Enter this date for the Commitment of interest.
- 17 **End Date:** Enter this date for the Commitment of interest.
- 18 **Handler ID:** Enter the Handler ID belonging to the Commitment of interest.
- Update/Delete Commitment Section:**
- 19 Select **Update** if you want to modify an existing Commitment; **Delete** if a Commitment was reported in error.
- 20 **Seq. No.:** Enter the Seq. No. for Commitment.
- 21 **Commitment Type:** Enter the Commitment Type for the Commitment. A list of HQs defined types is available in RCRAInfo Help.
- 22 **Act. Location:** Enter the Activity Location for the Commitment.
- 23 **Handler ID:** Enter the Handler ID belonging to the Commitment.
- 24 **Federal FY:** Enter the Federal Fiscal Year for the Commitment.
- 25 **Start Date:** Enter this date for the Commitment.
- 26 **End Date:** Enter this date for the Commitment.
- 27 **Notes:** Self-explanatory.