

Comparative Report
Supplemental Environmental Projects, Injunctive Relief, and Penalties

Supplemental Environmental Projects (1992 - 1996)					
	1992	1993	1994	1995	1996
Total Number	32	52	36	63	31
Total Value (\$)	2,860,000	42,800,000	5,399,000	14,362,170	17,262,086
Average Value of SEP	89,375	823,076	149,972	227,970	556,841
No. with value \$100,000	8 (25%)	21 (40%)	13 (36%)	31 (49%)	12 (39%)
No. with value \$1,000,000	0 (0%)	5 (10%)	1 (3%)	3 (5%)	1 (3%)
No. of Pollution Prevention SEPs	1 (3%)	20 (38%)	6 (17%)	42 (67%)	11 (35%)
Value of penalties in SEP cases (\$)	222,000	6,842,000	1,548,000	7,601,349	1,295,758
No. Cases in any G.I.	not available	29 (56%)	23 (64%)	40 (63%)	16 (52%)
No. cases in GLB	17 (53%)	28 (54%)	21 (58%)	40 (63%)	13 (42%)
NWI	not available	not available	not available	6	1
GAT	1	not available	2	0	0
TRI	not available	1	0	0	1
SEMI	1	4	6	6	4
CLE / NEO	not available	not available	not available	3	0
SECH/GMC (GC)	not available	not available	not available	4	2
UM	not available				

Comparative Report
Supplemental Environmental Projects, Injunctive Relief, and Penalties

Supplemental Environmental Projects (1997 - 2000)					
	1997	1998	1999	2000	Cumulative 92-00
Total Number	59	49 (39 cases)	43 (37 cases)	44 (35 cases)	409
Total Value (\$)	11,464,147	13,963,633	96,308,753	24,394,624	228,814,413
Average Value of SEP	194,308	284,972	2,239,738	554,423	559,448
No. with value \$\$100,000	26 (44%)	29 (59%)	12 (28%)	12 (34%)	164 (43%)
No. with value \$\$1,000,000	3 (5%)	2 (4%)	3 (7%)	3 (7%)	21 (7%)
No. of Pollution Prevention SEPs	39 (66%)	28 (57%)	15 (35%)	21 (48%)	183 (45%)
Value of penalties in SEP cases (\$)	3,661,751	7,166,432	9,028,604	4,998,516	42,367,410
No. Cases in any G.I.	47 (80%)	34 (69%)	39 (91%)	28 (80%)	(93-00) 256 (67%)
No. cases in GLB	42 (71%)	28 (57%)	22 (51%)	20 (57%)	231 (60%)
NWI	4	2	2	2	(95-00) 17
GAT	0	2	3	0	(94-00) 7
TRI	0	0	0	1	(93-00) 3
SEMI	5	6	1	2	33
CLE / NEO	2	2	4	0	(95-00) 11
SECH/GMC (GC)	7	5	8	5	(95-00) 31
UM	19	15	26	13	(97-00) 73

Comparative Report
Supplemental Environmental Projects, Injunctive Relief, and Penalties

Injunctive Relief (1992 - 1996)					
	1992	1993	1994	1995	1996
Total Number	41	89	63	80	127
Total Value (\$)	286,000,000	756,000,000	141,073,000	340,285,000	191,426,000
Average Value of IR (in millions of \$)	6.98	8.49	2.23	4.25	1.50
No. cases in any G.I.	not available	48 (54%)	38 (60%)	40 (50%)	73 (57%)
No. cases in GLB	3 (7%)	47 (53%)	34 (54%)	39 (49%)	66 (52%)
NWI	not available	not available	not available	1	8
GAT	3	not available	not available	0	0
TRI	1	1	4	2	2
SEMI	2	7	4	5	5
CLE / NEO	not available	not available	not available	2	2
SECH/GMC (GC)	not available	not available	not available	2	14
UM	not available				

Comparative Report
Supplemental Environmental Projects, Injunctive Relief, and Penalties

<u>Injunctive Relief (1997 - 2000)</u>					
	1997	1998	1999	2000	Cumulative 92-00
Total Number	162	208	298	234	1302
Total Value (\$)	118,673,000	273,272,227	230,364,449	157,493,621	2,494,587,297
Average Value of IR (in millions of \$)	0.73	1.31	0.77	0.67	1.92
No. cases in any G.I.	111 (69%)	155 (75%)	221 (74%)	176 (75%)	(93-00) 862
No. cases in GLB	67 (41%)	122 (59%)	156 (52%)	118 (50%)	652
NWI	10	5	17	8	(95-00) 49
GAT	8	1	6	4	(96-00) 19
TRI	1	1	1	1	14
SEMI	8	17	17	25	90
CLE / NEO	4	10	17	16	(95-00) 51
SECH/GMC (GC)	14	17	36	16	(95-00) 99
UM	63	66	138	93	(97-00) 290

Comparative Report
Supplemental Environmental Projects, Injunctive Relief, and Penalties

Civil and Criminal Penalties (1992 - 1996)					
	1992	1993	1994	1995	1996
Total Number of Cases	182	220	279	312	174
Total Number of Judicial Cases	30	45	37	33	21
Total Number of Administrative Cases	147	173	236	278	148
Total Value (\$)	14,378,026	31,530,415	44,819,851	25,208,197	8,804,994
Average Penalty	79,000	143,320	160,645	80,796	50,603
No. cases in any G.I.	not available				
No. cases in GLB initiative	not available				
NWI	not available				
GAT	not available				
TRI	not available				
SEMI	not available				
CLE / NEO	not available				
SECH/GMC (GC)	not available				
UM	not available				

Civil and Criminal Penalties (1997 - 2000)					
	1997	1998	1999	2000	Cumulative 92-00

Comparative Report
Supplemental Environmental Projects, Injunctive Relief, and Penalties

Total Number of Cases	353	246	292	273	2,331
Total Number of Judicial Cases	24	21	14	22	247
Total Number of Administrative Cases	308	214	260	237	2,001
Total Value (\$)	18,777,653	18,700,494	17,922,741	14,634,310	195,193,051
Average Penalty	53,194	76,018	61,379	53,605	83,738
No. cases in any G.I.	not available	207 (84%)	260 (85%)	237 (87%)	(98-00) 704
No. cases in GLB initiative	not available	146 (59%)	170 (62%)	162 (59%)	(98-00) 478
NWI	not available	4	15	7	(98-00) 26
GAT	not available	7	6	3	(98-00) 16
TRI	not available	0	1	1	(98-00) 2
SEMI	not available	20	16	32	(98-00) 68
CLE	not available	12	18	23	(98-00) 53
SECH/GMC (GC)	not available	27	76	35	(98-00) 138
UM	not available	97	160	126	(98-00) 383

Notes:

- . For those cases which provided dollar ranges (for example, the cost of a SEP was \$1-3 million), the lower value was used.
- .. "Pollution prevention projects" refers to those projects involving, but not necessarily limited to, pollution prevention.

Comparative Report

Supplemental Environmental Projects, Injunctive Relief, and Penalties

- i. Values of SEPs and IRs are approximate due to incomplete and/or unavailable information (these values reflect only those cases for which costs were determined).
- i. The low total value of injunctive relief for FY96 is due in part to a high number of cases for which the cost of injunctive relief was unknown. Figures for FY97 and FY98 also suffer from this problem.
- i. "Not available" refers to information which was not provided for these years.
- i. Injunctive relief data for FY92 reflect only judicial cases, not administrative. This should be remembered when interpreting trends data.
- i. Certain values for FY92, 93 and 94 differ from those found in previous reports; this is due to updates and more thorough reviews of source information.
- i. GLB cases are not part of any official Region 5 geographic initiative. Rather, these cases are tracked in the same manner as official initiative cases because, similar to the geographic initiative cases, GLB cases represent Region 5's general efforts to secure environmental cleanup and protection within a specific geographic region.
- i. The Upper Mississippi (UM) geographic initiative was added in FY97. As a result, data for previous years are unavailable.
- 0. The SECH/GMC geographic initiatives were combined in FY97 into one initiative, Greater Chicago (GC).
 - 1. The large number of injunctive relief cases in FY97, FY98, FY99, and FY00 is attributable in part to an expanded definition of "injunctive relief." Permit compliance orders, site access orders, removal orders, wetlands restoration orders, and WWTP orders were included in FY97's report, but not in the reports of prior years.
 - 2. For more detailed penalty information, consult the Comparative Report on Civil and Criminal Penalties, FY92-00.