

**EPA** U.S. Environmental Protection Agency  
STRATOSPHERIC OZONE PROTECTION PROGRAM

CLASS II CONTROLLED SUBSTANCE  
TRANSFERS OF PRODUCTION ALLOWANCES,  
CONSUMPTION ALLOWANCES, OR ARTICLE 5  
ALLOWANCES  
(Sec 82.23)

## SECTION 1 TRANSFEROR IDENTIFICATION

1.1 Date of Submission

1.2 Number of  
Transactions Reported

1.3 Number of Pages  
Submitted

1.4  Original Submittal  Re-submittal

### 1.5 Company Information

Company Name

Street Address

City

State

Zip Code

### 1.6 Company Contact Identification

Reporting Company Contact Person

Phone Number

Fax Number

E-mail Address

### 1.7 Signature of Reporting Company Representative

*I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.*

Name \_\_\_\_\_

Title \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

## SEND COMPLETED FORMS TO:

### For U.S. Postal Service:

Tracking System Program Manager  
Stratospheric Protection Division  
U.S. EPA (6205J)  
1200 Pennsylvania Avenue, NW  
Washington, DC 20460

### For Private Courier:

Tracking System Program Manager  
Stratospheric Protection Division  
U.S. EPA (6205J)  
1310 L Street, NW, 10<sup>th</sup> Floor  
Washington, DC 20005

Information in reports submitted in compliance with the final rule may be claimed as confidential. A company may assert a claim of confidentiality for information submitted by clearly marking that information as confidential. Such information shall be treated in accordance with EPA's procedures for information claimed as confidential at 40 CFR Part 2, Subpart B, and will only be disclosed by the means set forth in the subpart. If no claim of confidentiality accompanies the report when it is received by EPA, it may be made public without further notice to the company (40 CFR 2.203).

The public reporting and recordkeeping burden for this collection of information is estimated to average 4.5 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

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**SECTION 2 TRANSACTION RECORD**  
 (Reproduce additional sheets as needed)

<b>TRANSACTION #</b>			
<b>2.1 Transferee Identification</b>			
Transferee Company Name		Transferee Contact Person	
Street Address			
City		State	Zip Code
Phone Number		Fax Number	
Type of Allowance Being Transferred (check only one)			
		<input type="checkbox"/> Production	<input type="checkbox"/> Consumption
		<input type="checkbox"/> Article 5	
<b>2.2 Type of Allowances Transferred (check only one)</b>			
		<input type="checkbox"/> Current Year Allowances	<input type="checkbox"/> Baseline Year Allowances
<b>2.3 HCFC Transferring From</b>		<b>2.4 ODP</b>	
<b>2.5 Quantity of HCFC Allowances in Section 2.3 Being Transferred (kg)</b>			
<b>2.6 Calculated Level of HCFC in Section 2.3 Being Transferred (Section 2.4 x Section 2.5)</b>			
<b>2.7 HCFC Transferring To</b>		<b>2.8 ODP</b>	
<b>2.9 Amount of Offset (0.001 x Section 2.5) (kg)</b>			
<b>2.10 Number of Allowances Subtracted from Transferor's Balance of HCFC Allowances (Section 2.5 + Section 2.9) (kg)</b>			
<b>2.11 Quantity of HCFC Allowances in Section 2.7 Being Received (Section 2.6 / Section 2.8) (kg)</b>			

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**SECTION 3 ALLOWANCE BALANCE SUMMARY**

**3.1 Balance of Unexpended HCFC Allowances Prior to Transfers Reported**

A	B	C	D
Chemical Name	Balance of Unexpended Production Allowances	Balance of Unexpended Consumption Allowances	Balance of Unexpended Article 5 Allowances
HCFC-22			
HCFC-142b			
HCFC-123			
HCFC-124			
HCFC-225ca			
HCFC-225cb			