



# National Environmental Performance Partnership System (NEPPS)

## 2016 Program Implementation Survey Summary

U.S. Environmental Protection Agency  
Office of Intergovernmental Relations



---

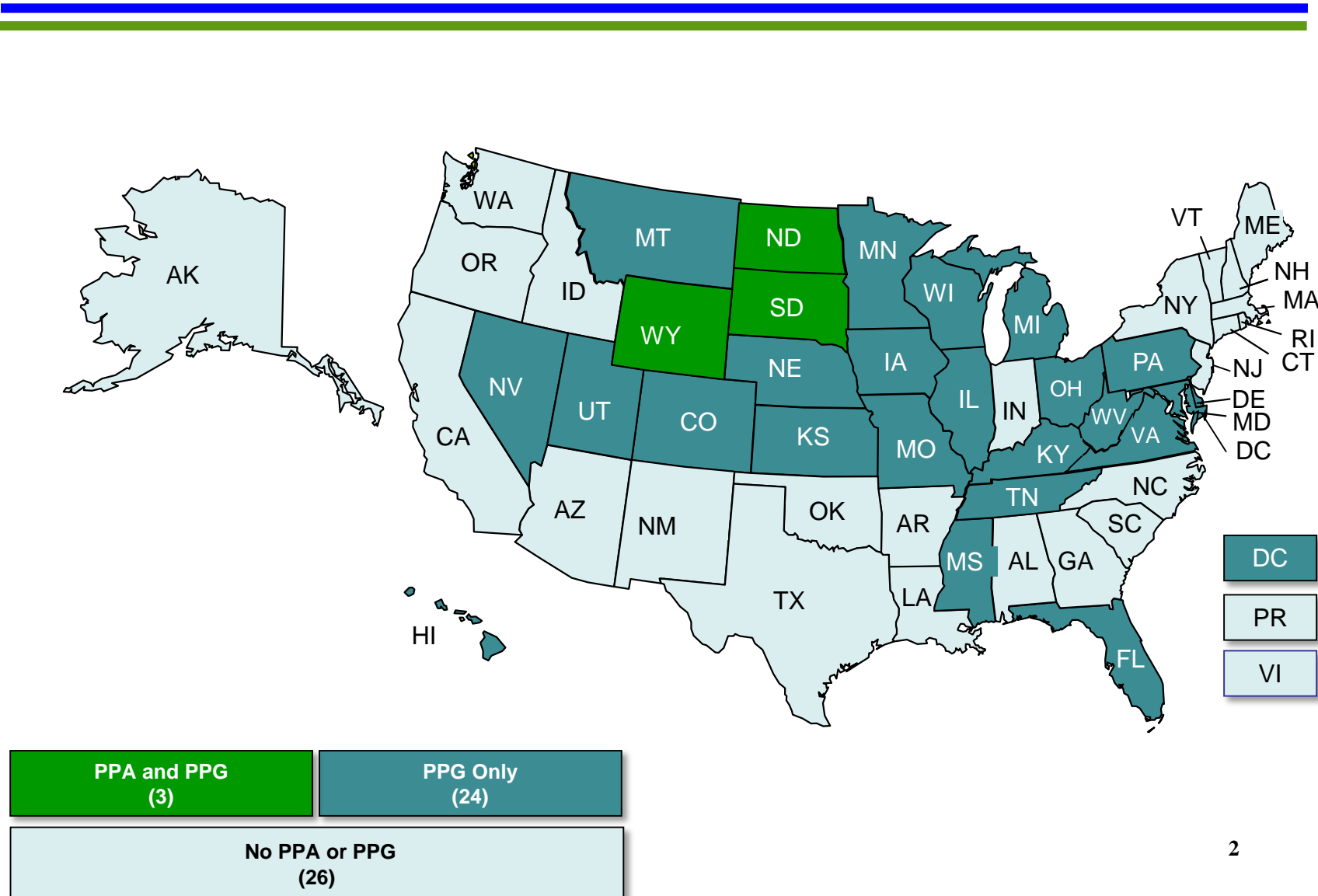
The following presentation contains maps, bar charts and pie charts with numerical and financial data.

For accessibility help, please call  
**202-564-3227.**



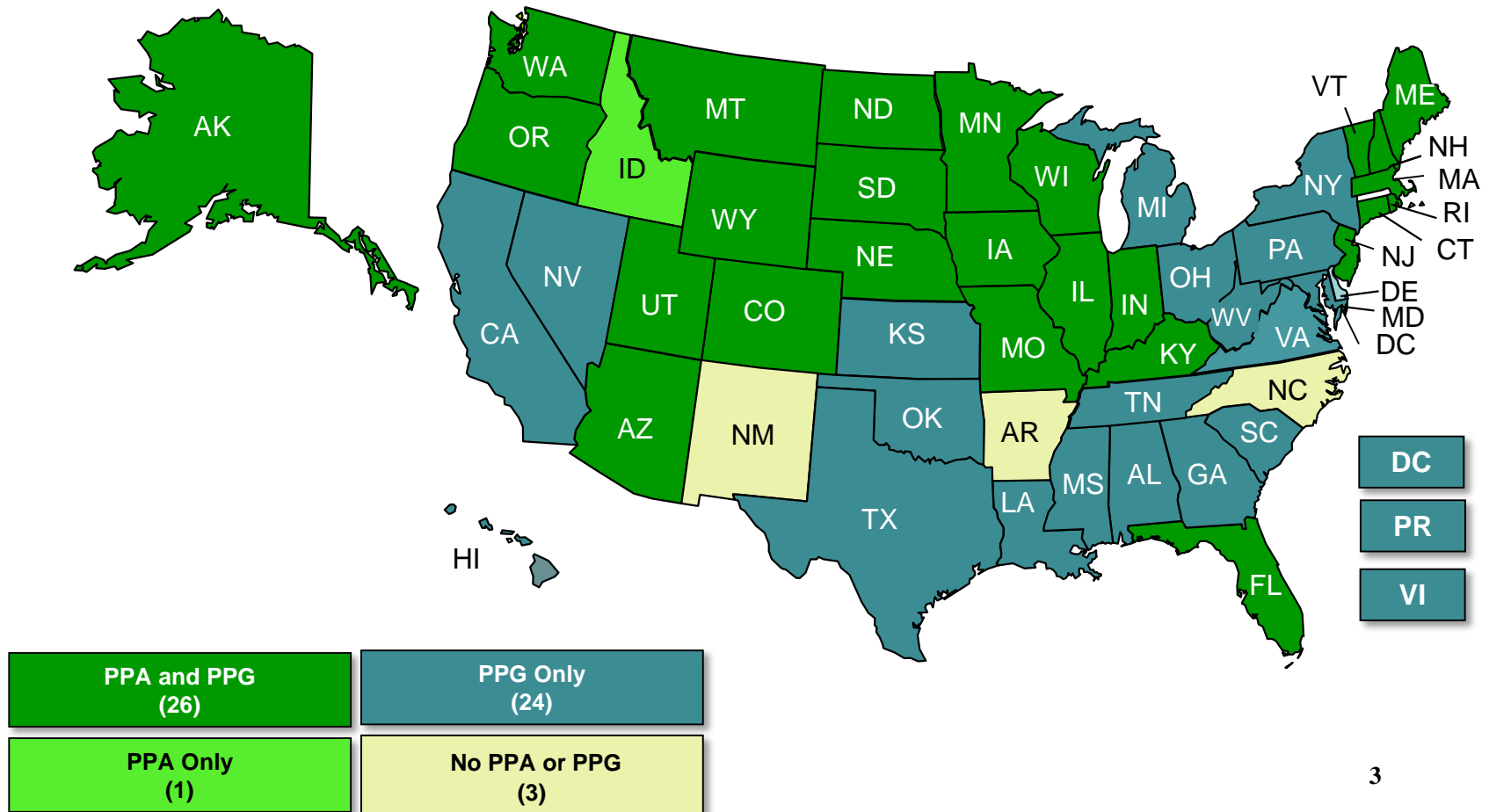


# Performance Partnership Agreements and Performance Partnership Grants Agriculture Agencies





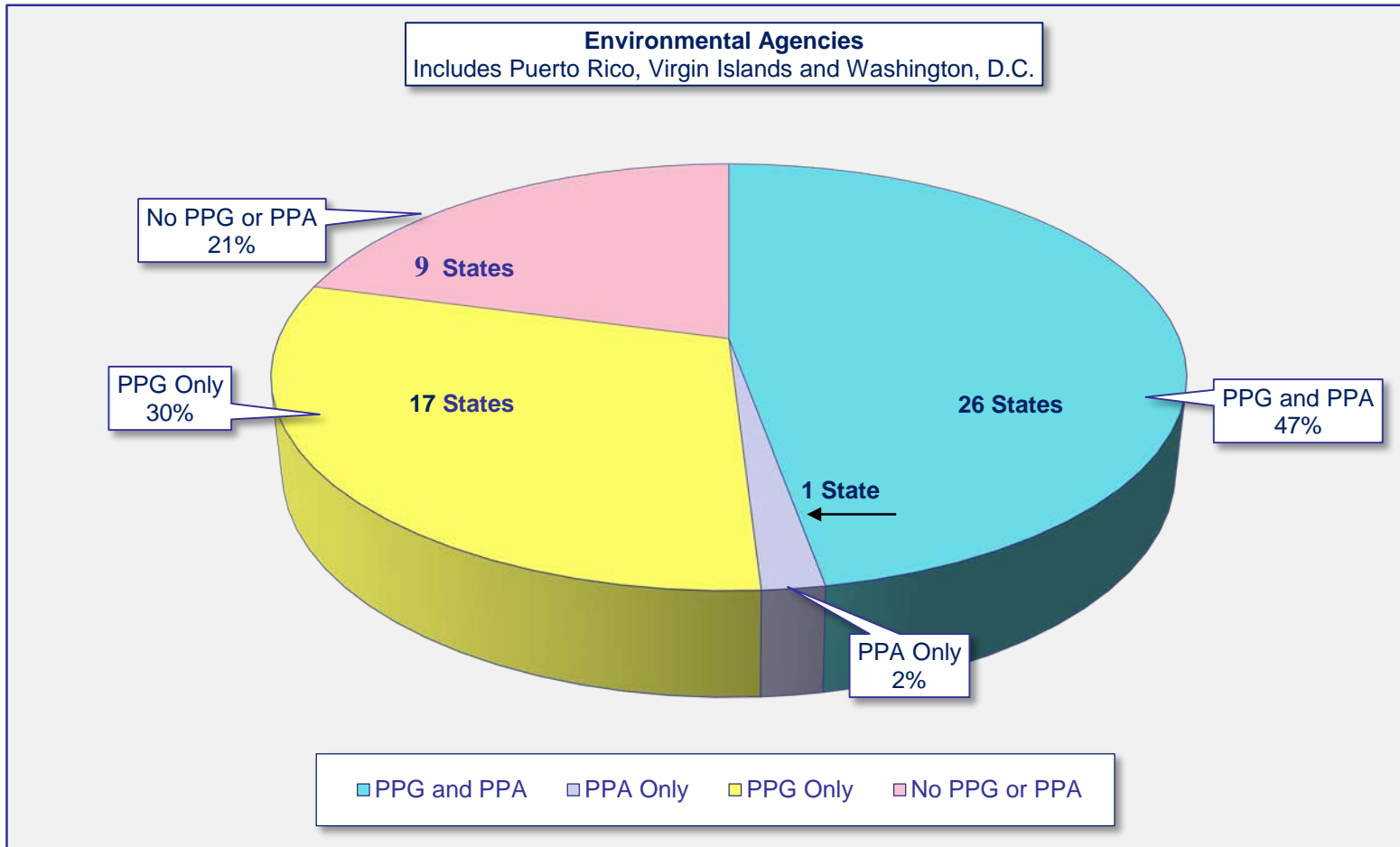
# Performance Partnership Agreements Performance Partnership Grants Environmental and Agriculture Agencies Combined





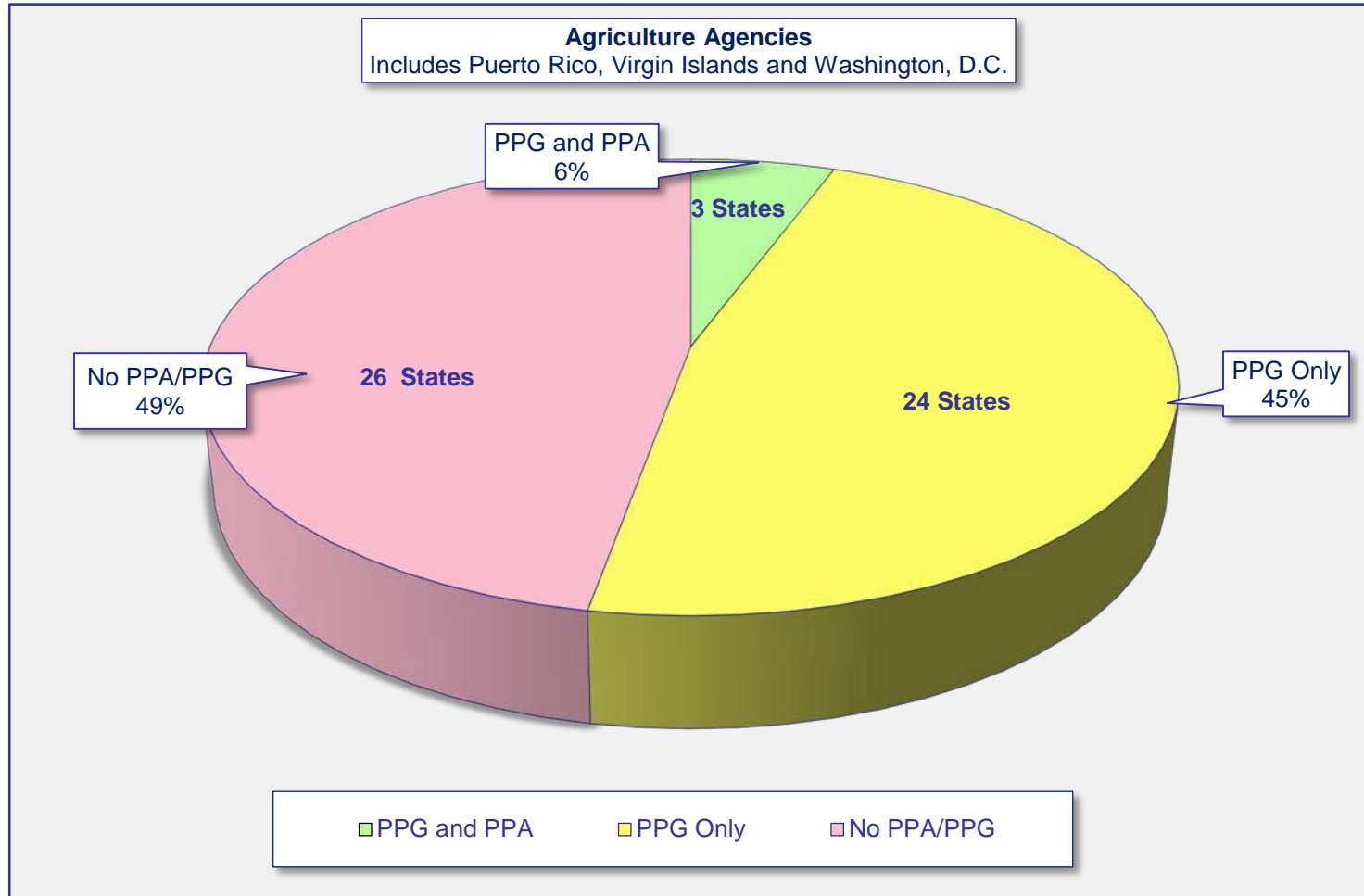


# Breakout of Performance Partnership Grants and Performance Partnership Agreements





# Breakout of Performance Partnership Grants and Performance Partnership Agreements

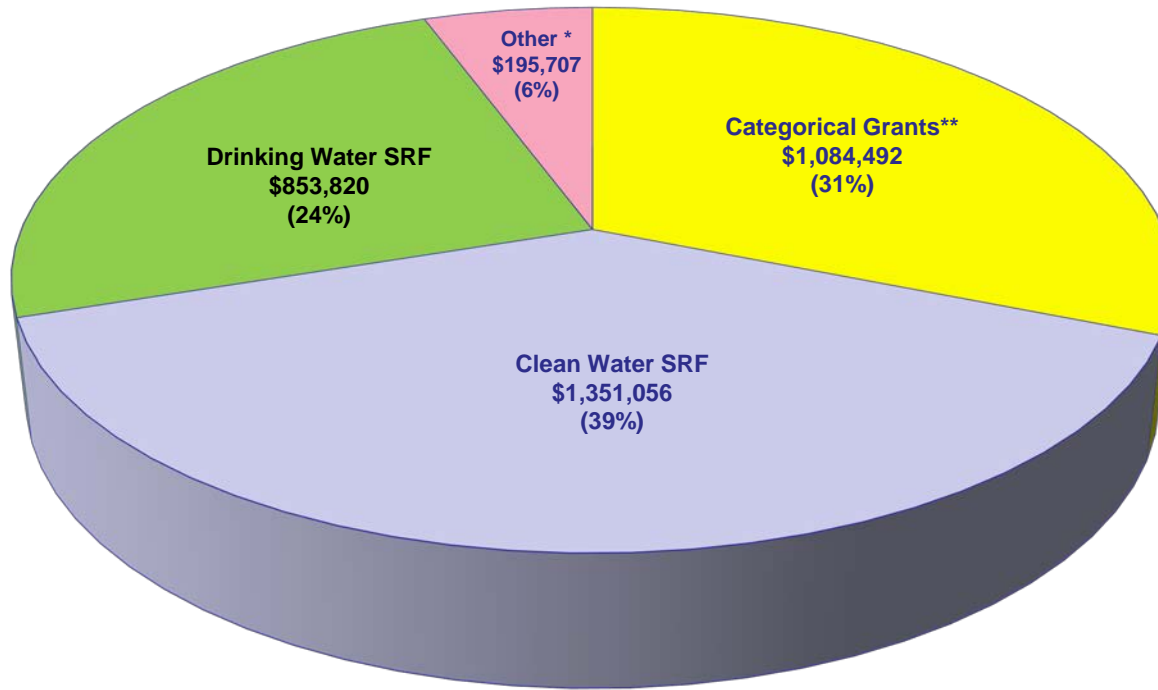






# FY 2016 State and Tribal Assistance Grant Obligations (\$ in thousands)

Total STAG Appropriation: \$3,485,075



## Eligible Grants

- **Underground Storage Tanks:** SDWA 2007f(2)
- **Environmental Information**
- **Haz. Waste Mgmt.**  
SDWA 301 1(a)
- **Lead Paint:** TSCA 404(g)
- **Toxics Substances Compliance:** TSCA 28
- **Pesticides Enforcement;**  
FIFRA 23(a)(1)
- **Pest. Prog. Implementation**  
FIFRA 23(a)(1)
- **Pest. Cert. Training;**  
FIFRA Sec (23)(a)(2)
- **Water Pollution Control**  
CWA; Sec.106
- **Pollution Prevention**  
PPA 6605
- **Public Water System Supervision** SDWA 1443(a)
- **Nonpoint Source**  
CWA Sec.319(h)
- **Underground Injection Control** SDWA 1443(a)
- **Wetlands Development:**  
CWA 104(b)(3)
- **Radon:** TSCA 306
- **Air Pollution Control** CAA 105
- **Beach Act Grant Program**  
Pub L. 113-114
- **Brownfields 128(a) Grants**
- **Multipurpose Grants**
- **Tribal General Assistance Program (GAP) Grants**

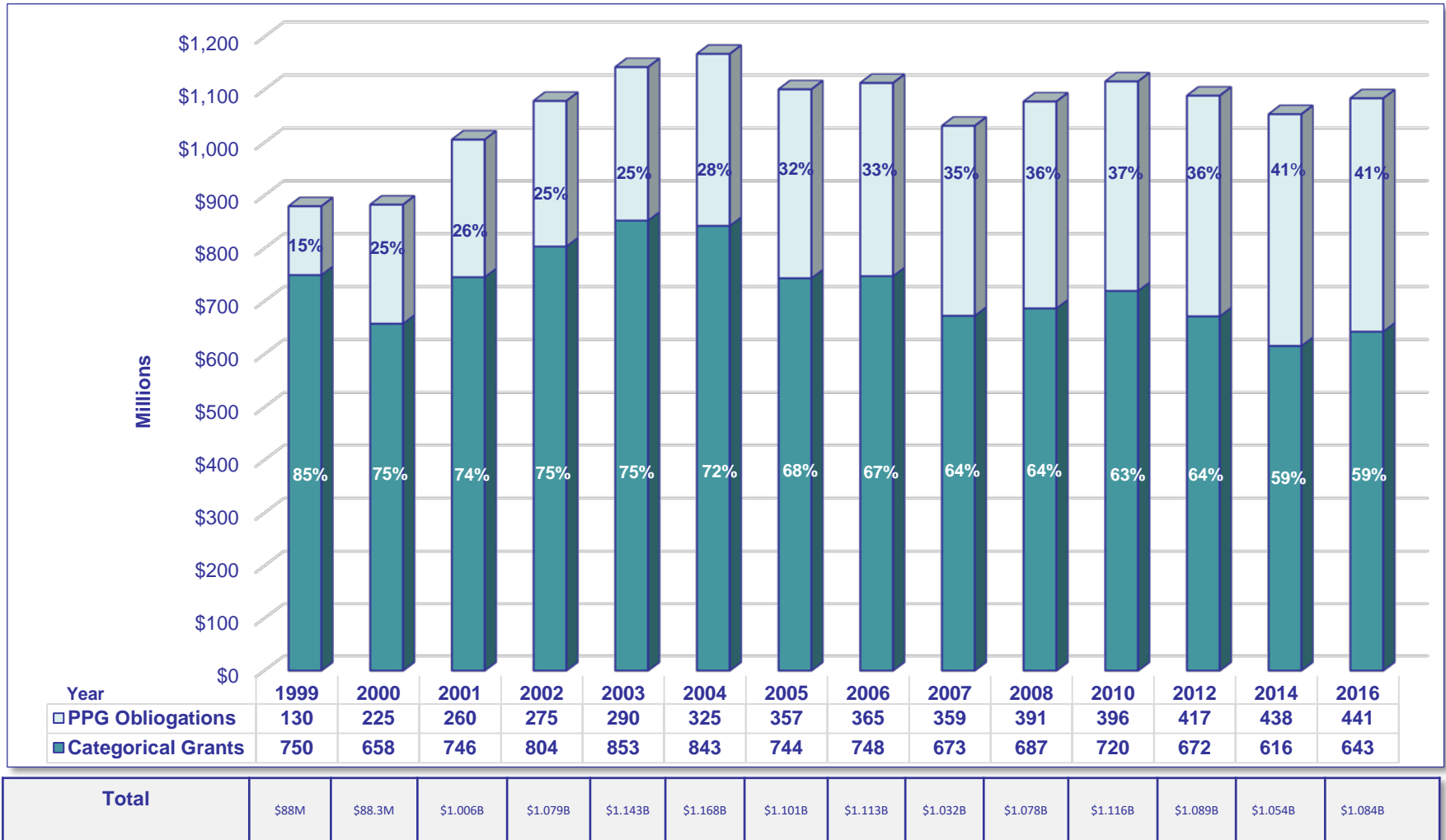
Source: EPA Chief Financial Officer's "FY 2016 13th Month Obligation History Report"

\* Other Obligations include Special STAG Programs, Cost Recoveries, Carryover funds and Congressional Earmarks

\*\* Of this total Categorical Grant funding, \$441M (41%) is utilized for Performance Partnership Grants.



# Obligations for Categorical Grants and Performance Partnership Grants

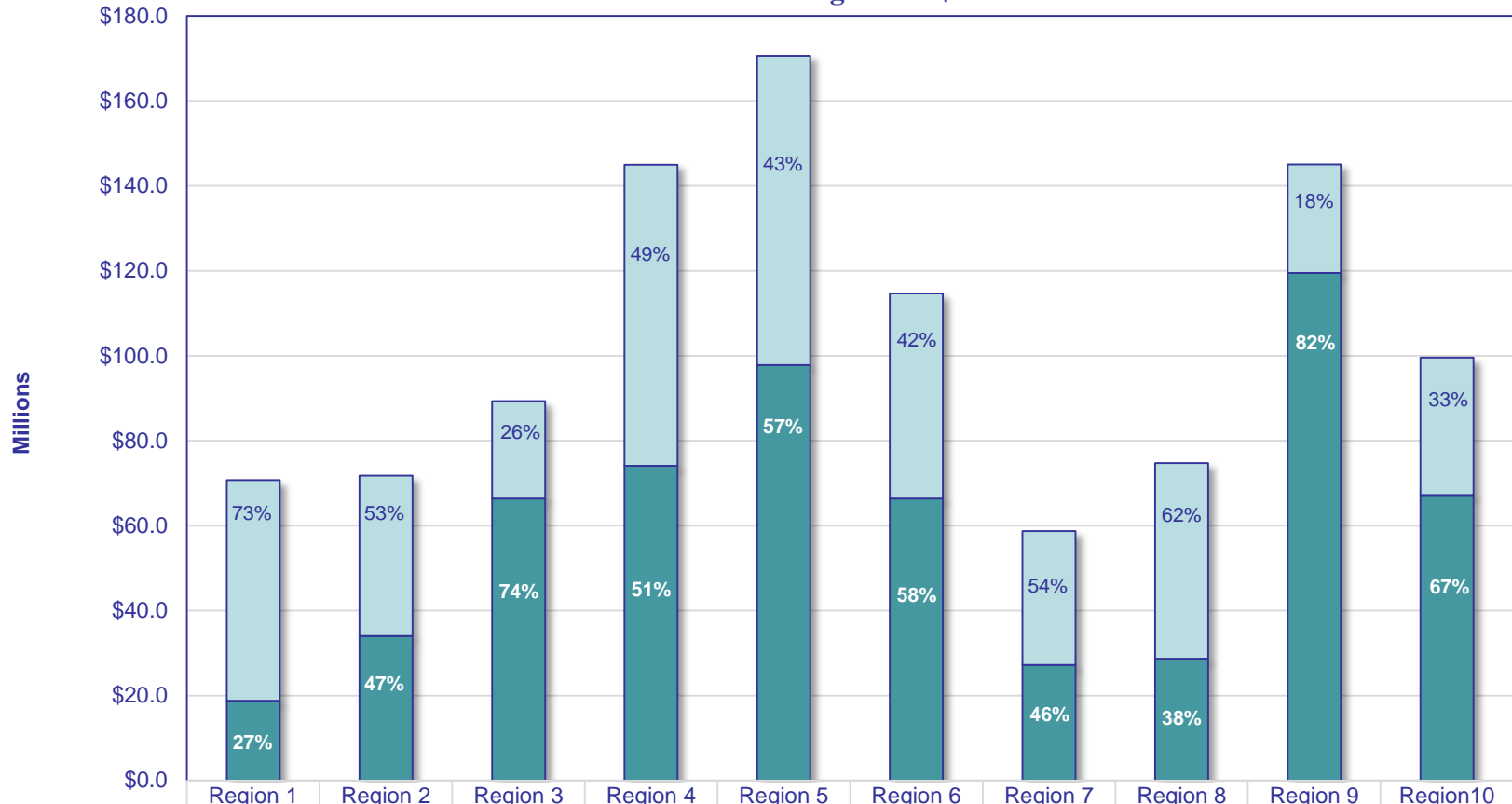


Source: EPA Office of the Chief Financial Officer's FY 2016 13th Month Obligation History Report



# Categorical Grant Funds awarded as Performance Partnership Grants by Region

**Total National PPG Obligations: \$441 Million**



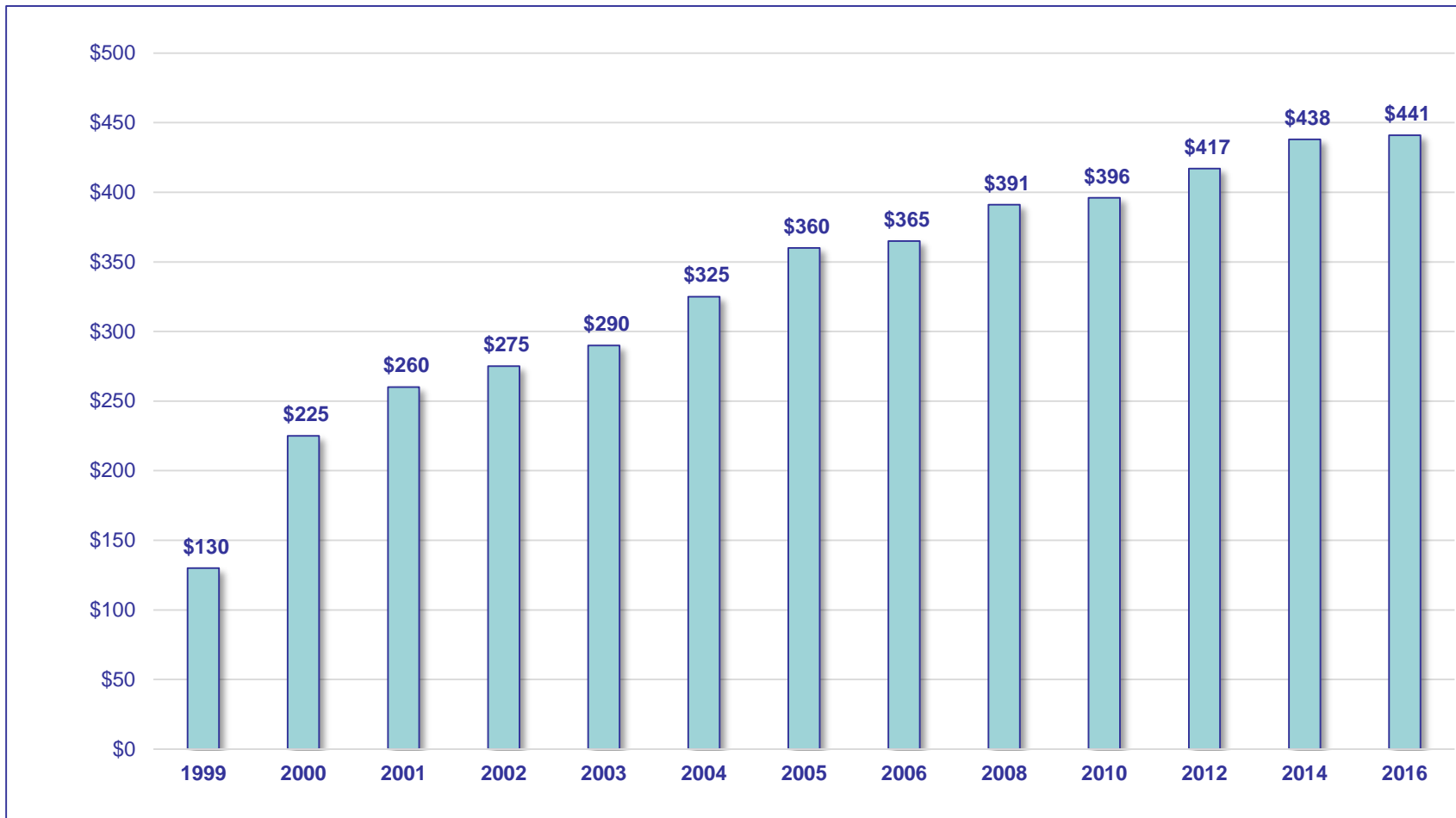
PPG Obligations	\$51.9	\$37.8	\$22.9	\$70.9	\$72.8	\$48.3	\$31.5	\$46.0	\$25.6	\$32.4
Categorical Grants	\$18.8	\$34.0	\$66.4	\$74.1	\$97.8	\$66.4	\$27.2	\$28.7	\$119.5	\$67.2

<b>Total</b>	\$71M	\$72M	\$89M	\$145M	\$171M	\$115M	\$59M	\$75M	\$145M	\$100M
--------------	-------	-------	-------	--------	--------	--------	-------	-------	--------	--------

Source: EPA Office of the Chief Financial Officer's FY 2016 13th Month Obligation History Report



# Historical Agency Obligations Performance Partnership Grants



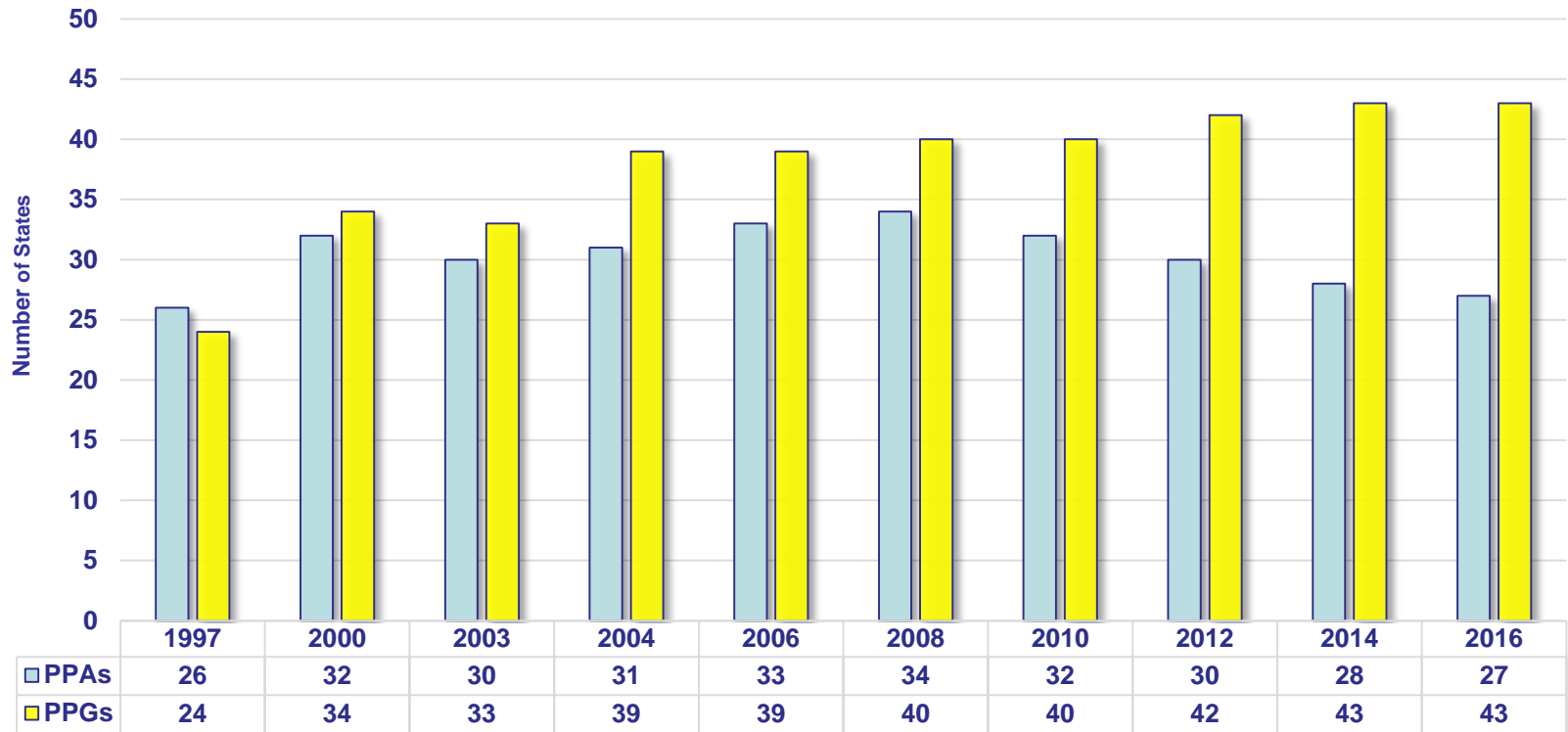
**Notes:** 1. In 2006, the Agency began collecting NEPPS survey data every two years.  
2. Totals include Tribal Assistance Grant Obligations

**Source:** OCF0's 13<sup>th</sup> Month Obligation History Report



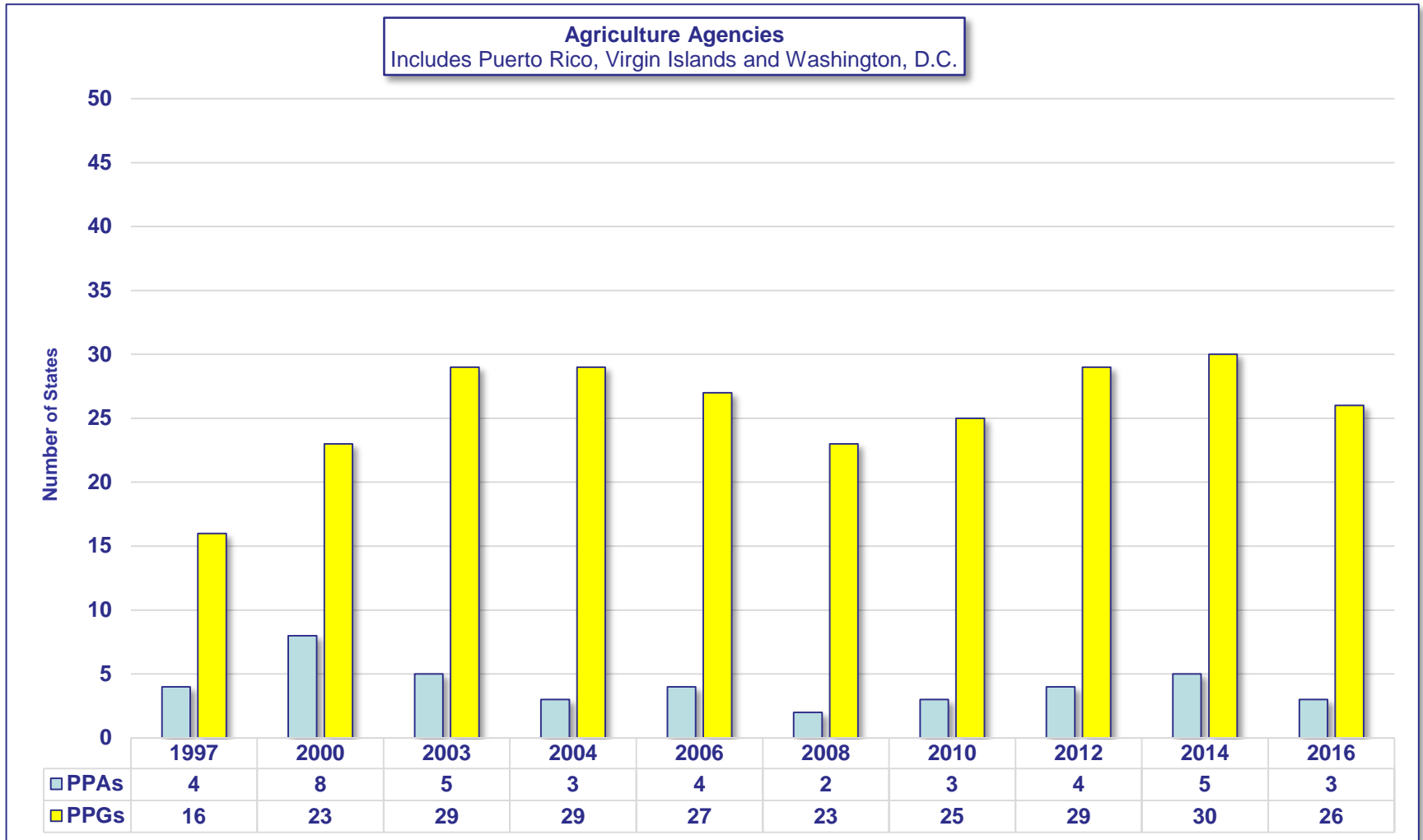
# Trends in PPAs and PPGs

**Environmental Agencies**  
Includes Puerto Rico, Virgin Islands and Washington, DC



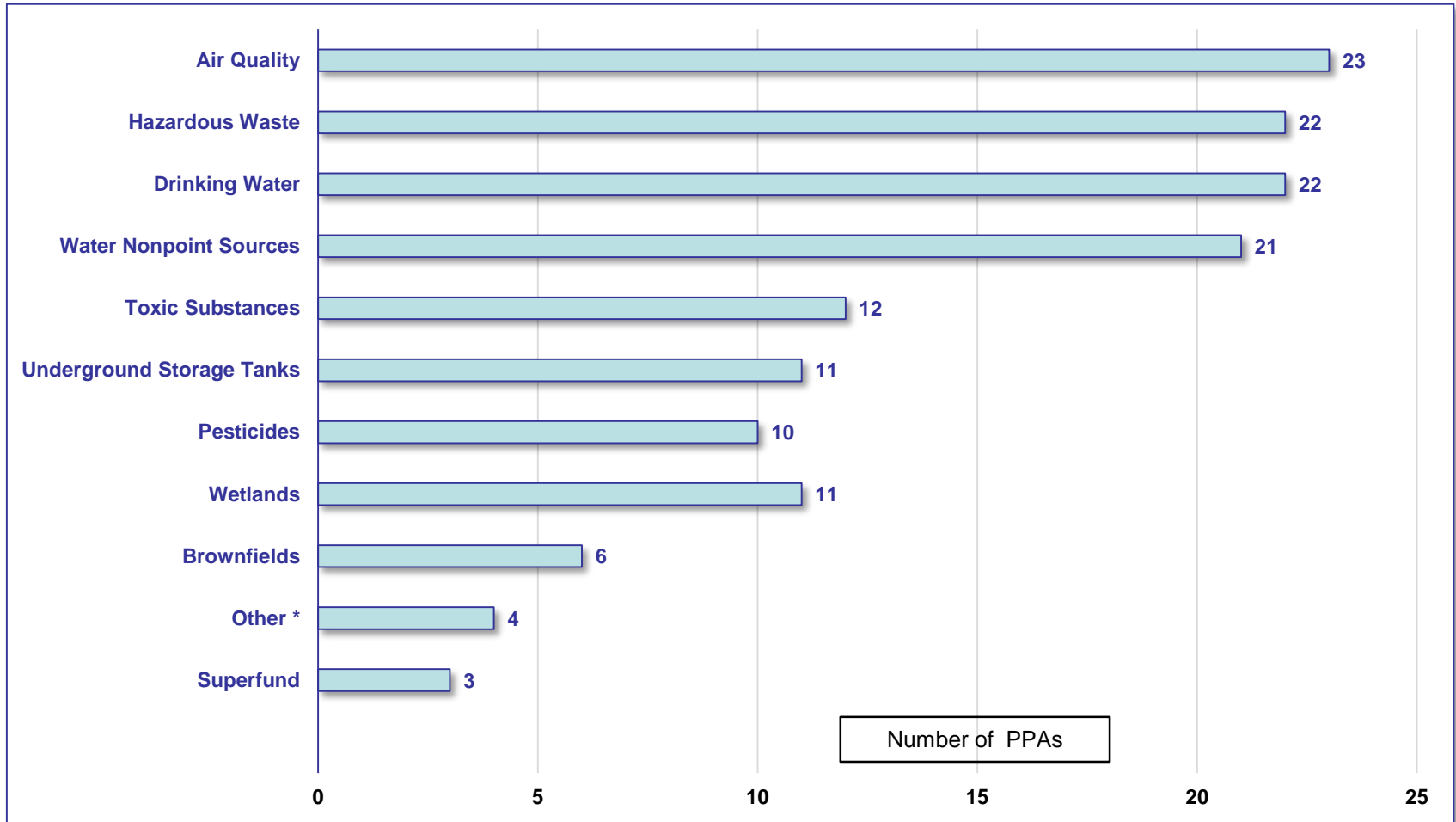


# Trends in PPAs and PPGs





# Program Areas Discussed in PPAs Environmental Agencies

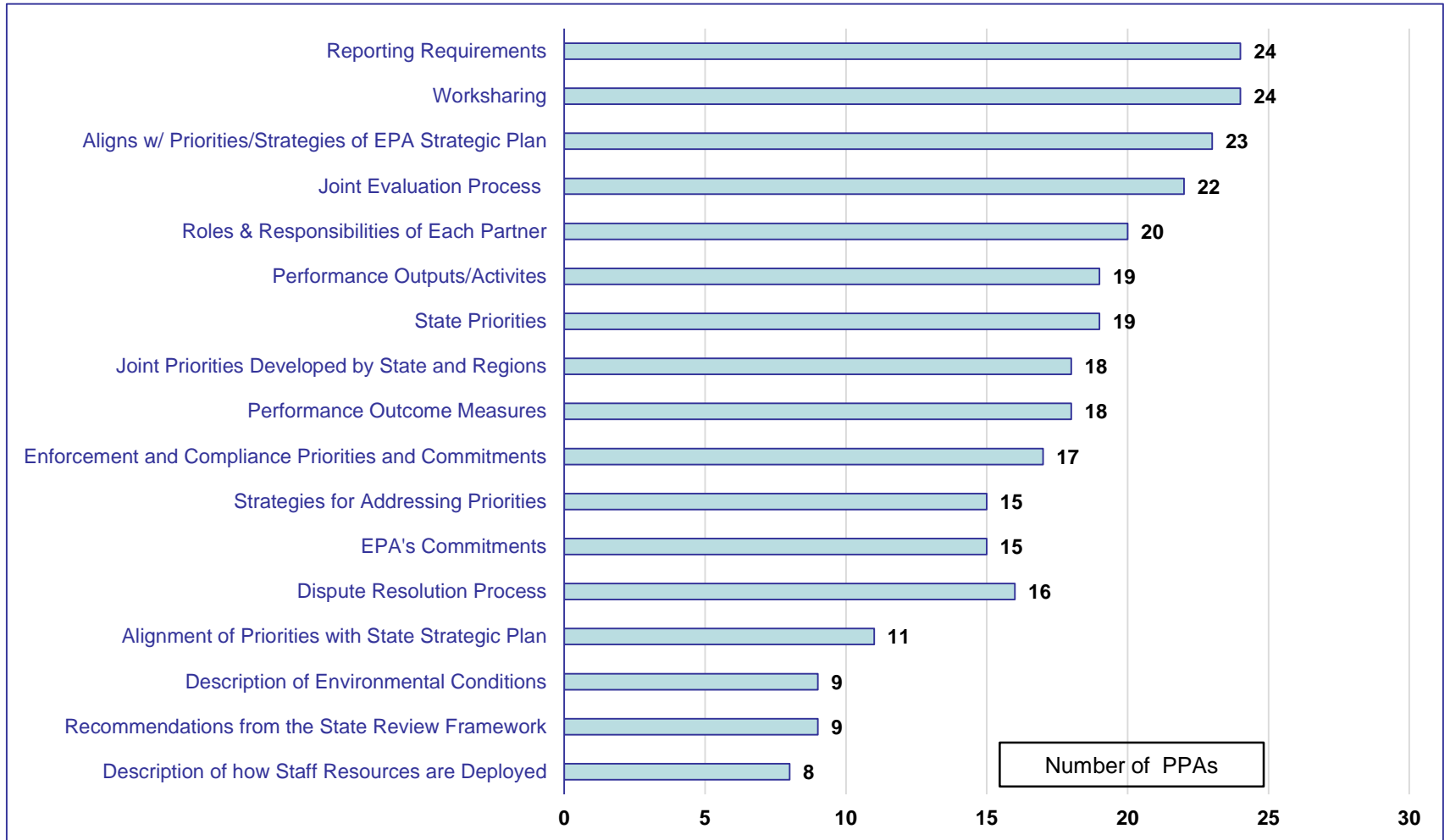


\*Other Program areas include: Emergency Preparedness, Radon and Indoor Air, and Underground Injection Control

Total PPAs in 2016: 27



# Elements Included in PPAs Environmental Agencies

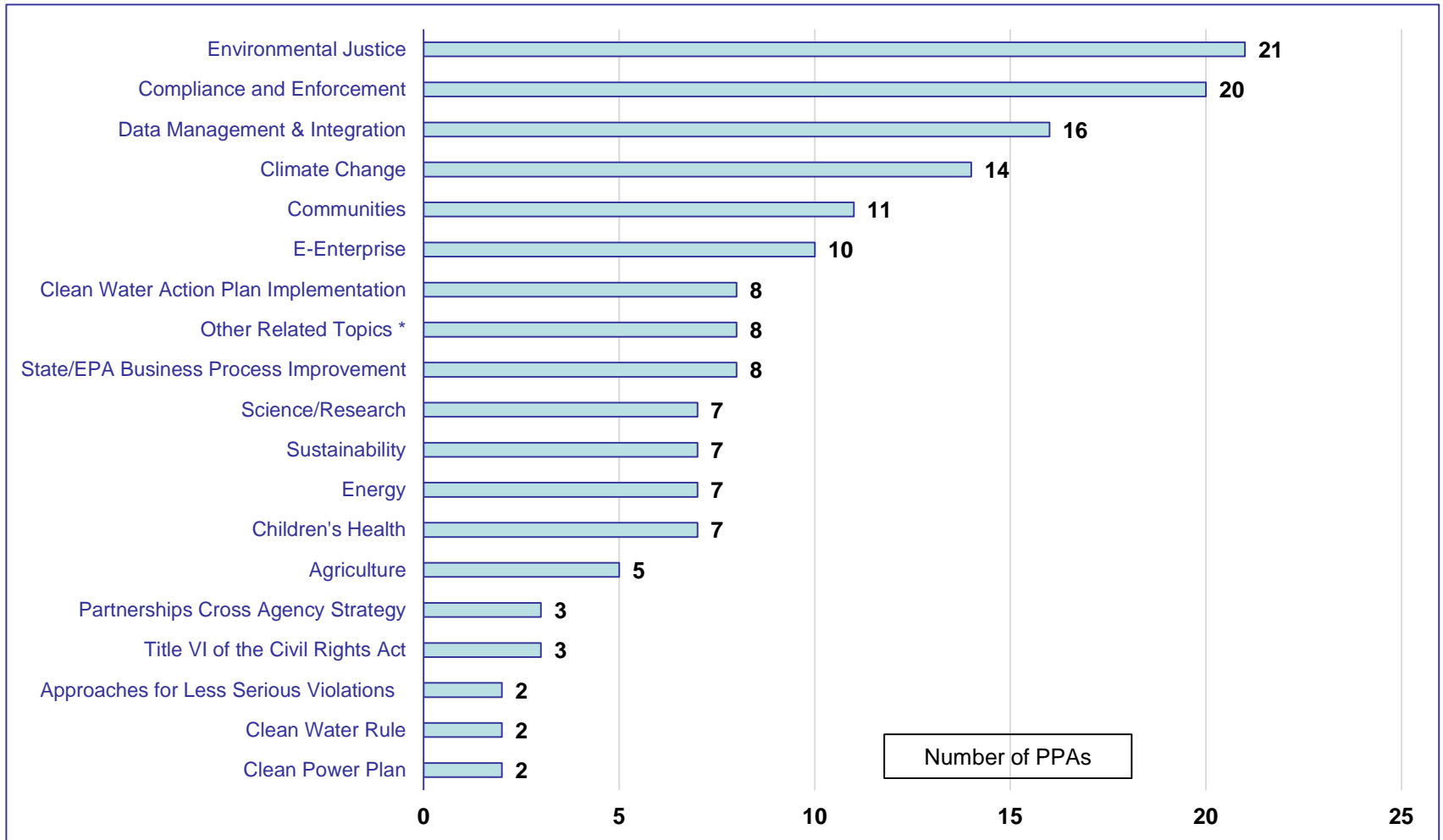


Total PPAs in 2016: 27





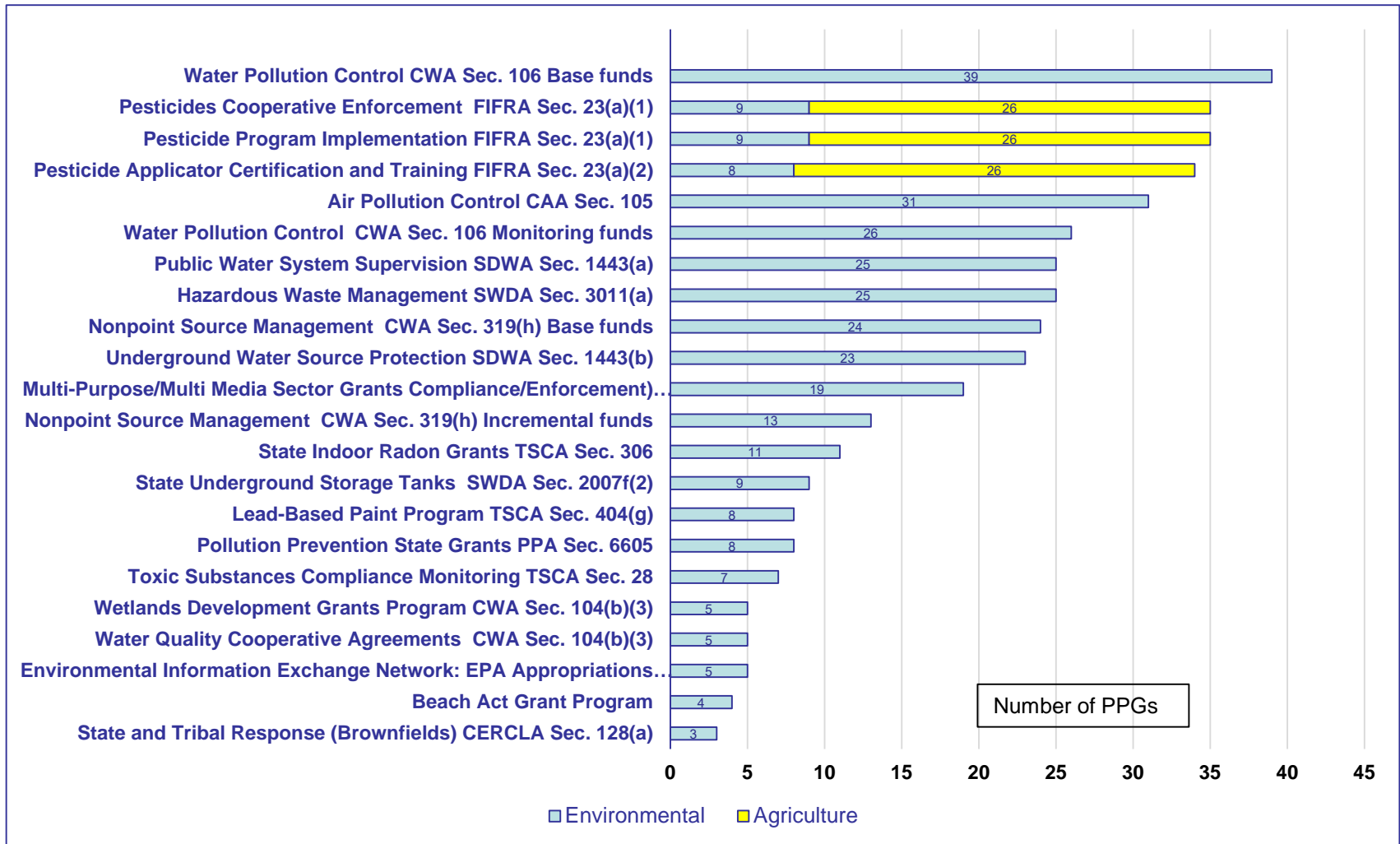
# Other Topics in PPAs Environmental Agencies



• Other Related Topics include: Quality Management Plan, Homeland Security, Cross jurisdiction: Narragansett Bay National Estuary Program (w MA); Southeast New England Council for Watershed Restoration (w MA), Puget Sound Initiative, Toxics reduction, USDEO Hanford Nuclear Reservation Cleanup



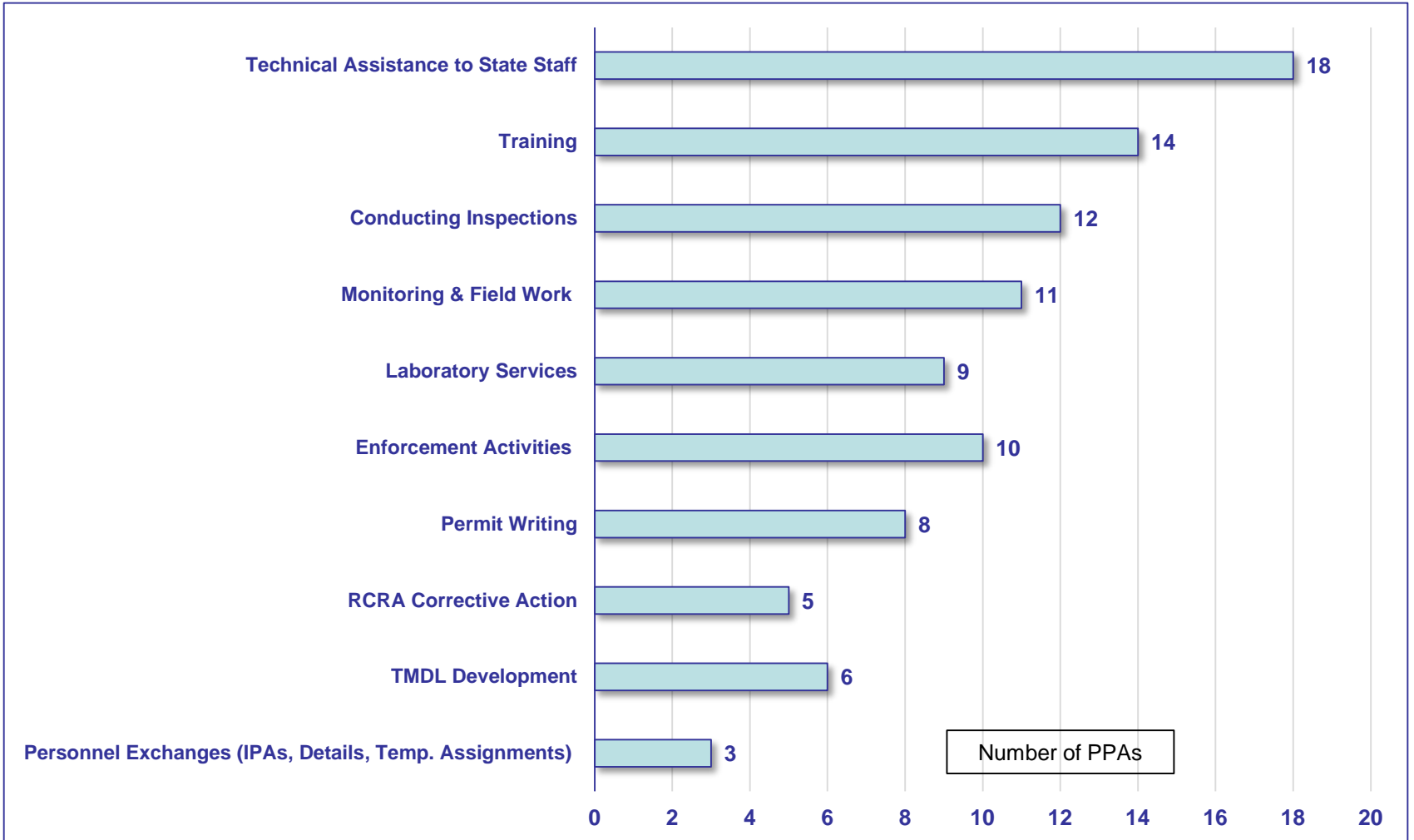
# Categorical Grants Combined in State Environmental and Agriculture PPGs



Total Environmental PPGs: 43  
 Total Agriculture PPGs: 26



# Worksharing Activities Between Regions and States

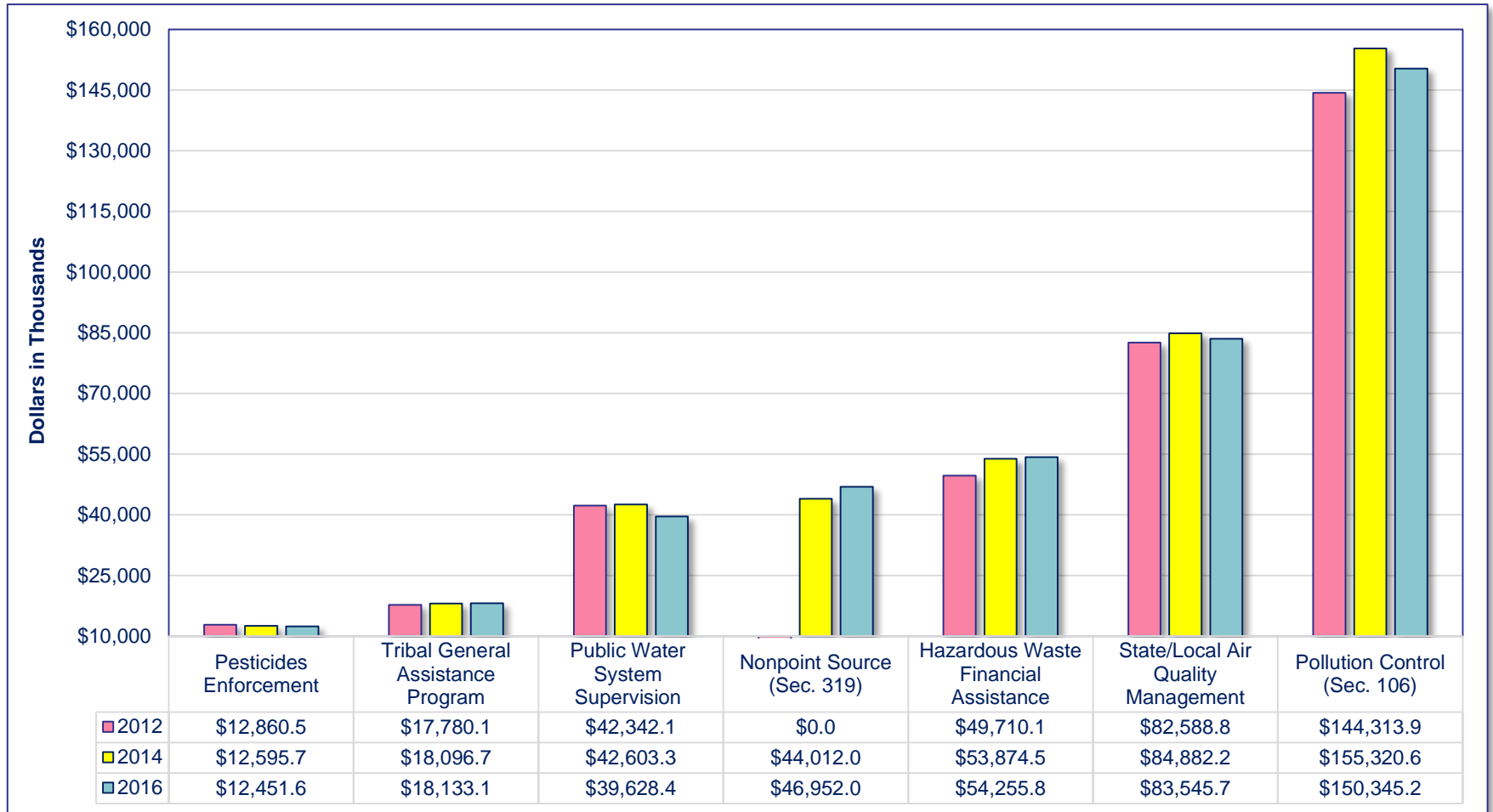




# Categorical Grants Awarded as PPGs

## Historical Funding: 2012, 2014, 2016

### Environmental Agencies



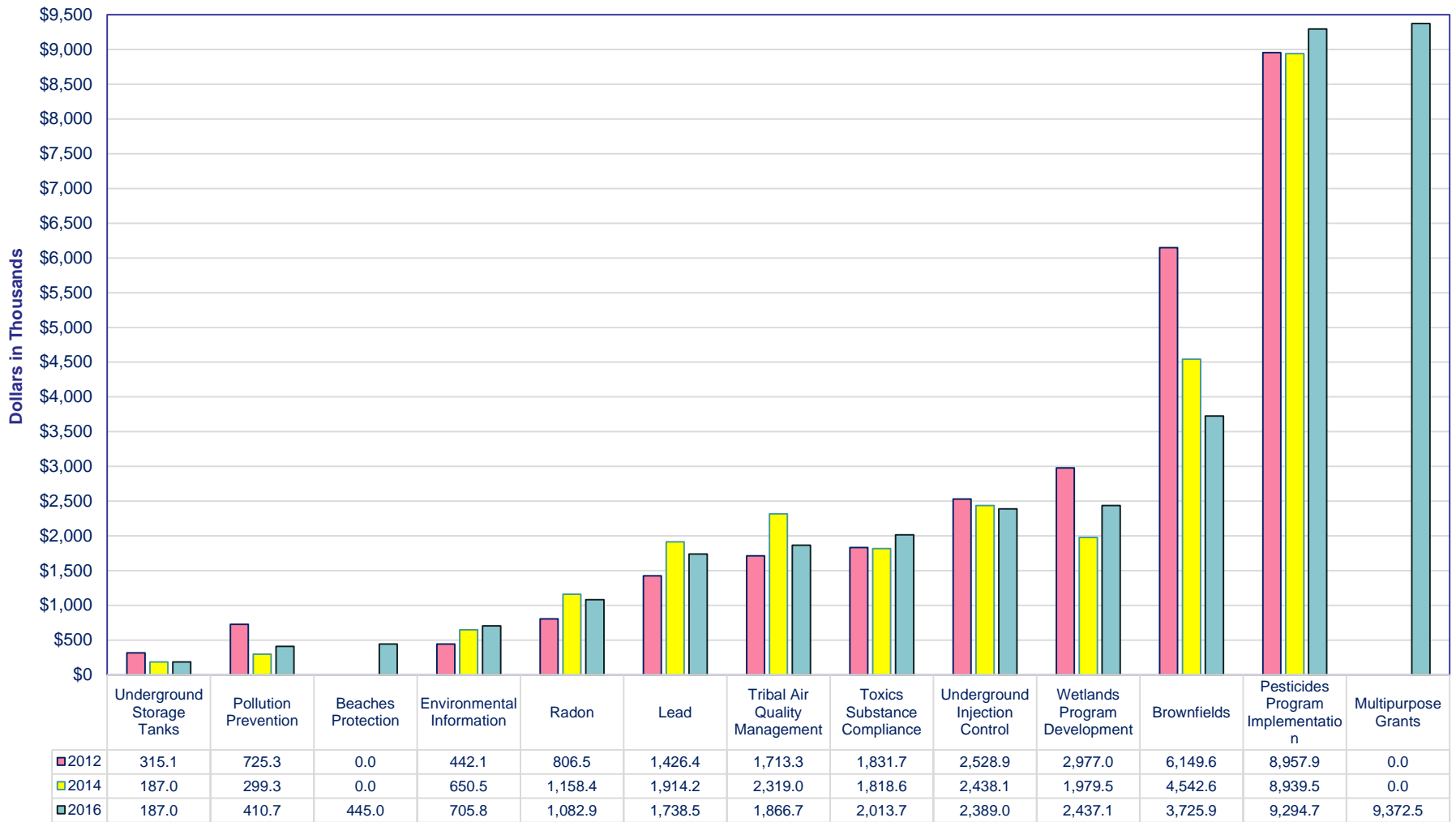
Source: EPA OCFO's 13<sup>th</sup> Month Obligation History Report



# Categorical Grants Awarded as PPGs

## Historical Funding: 2012, 2014, 2016

### Environmental Agencies





---

# Tribal Nations' Utilization of the National Environmental Performance Partnership System (NEPPS)

## **2016 Program Implementation Summary**

**U.S. Environmental Protection Agency  
Office of Intergovernmental Relations**



## 2016 Survey Snapshot: Tribal PPA and PPG Utilization

---

---

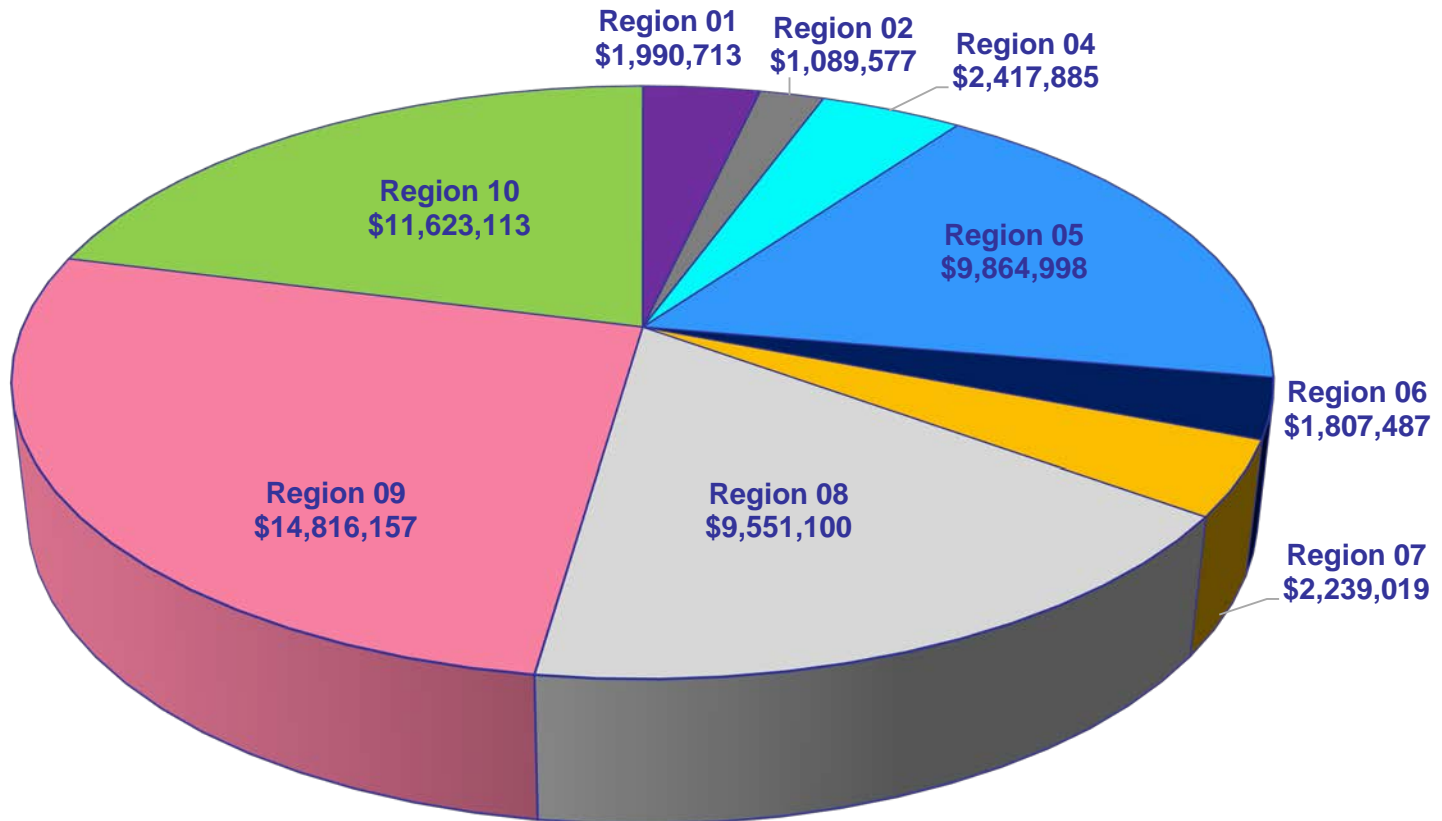
- Total Number of Tribal Nations with an Active PPG: **173**
- Total Number of Tribal Nations Eligible to Use PPGs: **567**
- Estimated funding in Tribal PPG's (PPG amount/years of activity): **\$55.4M**
- Regional Breakdown of Tribal PPG Utilization from eligible Tribal Nations:
  - Region 1: 7 out of 10
  - Region 2: 1 out of 8
  - Region 3: 0 out of 1\*
  - Region 4: 7 out of 6 \*\*
  - Region 5: 28 out of 35
  - Region 6: 7 out of 66
  - Region 7: 5 out of 7
  - Region 8: 19 out of 27
  - Region 9: 57 out of 148
  - Region 10: 35 out of 271
- Average number of Grants per PPG: 3.46, Maximum: 8, Minimum: 2, Mode & Median: 3
- Top 3 Grants included are: Sec 106 Pollution Control, Tribal GAP and 319 Non-point Source Pollution

\* A tribal nation in Region 3 received federal recognition in early 2016; however they did not apply for EPA grant funding

\*\* This figure represents all six tribes in this Region using a PPG as well as one Inter-Tribal Consortium



## Estimate of Total Funds included in Tribal PPGs (\$55,400,048)

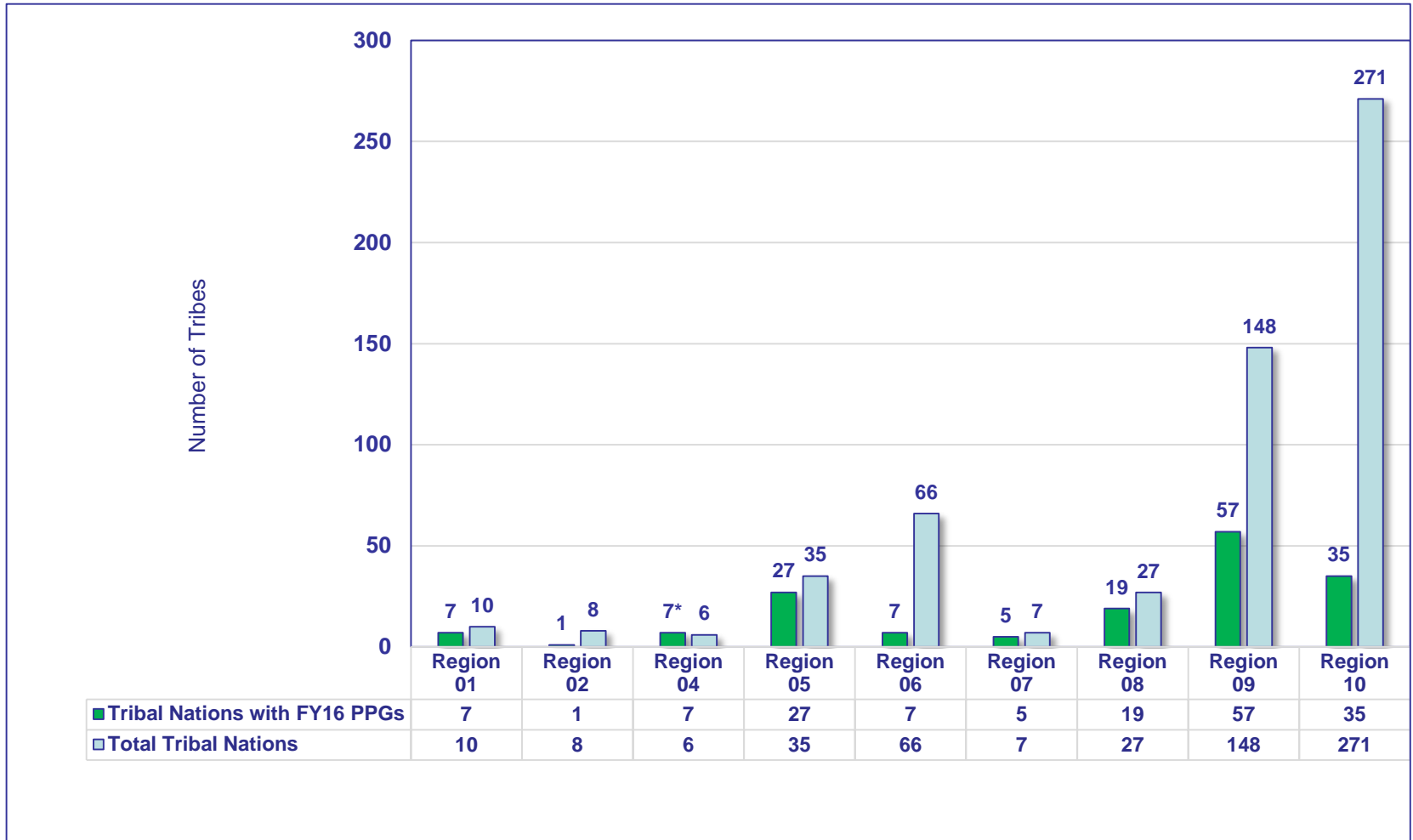


\* Estimated Funding is calculated by dividing the Total PPG funding by the duration of the PPG





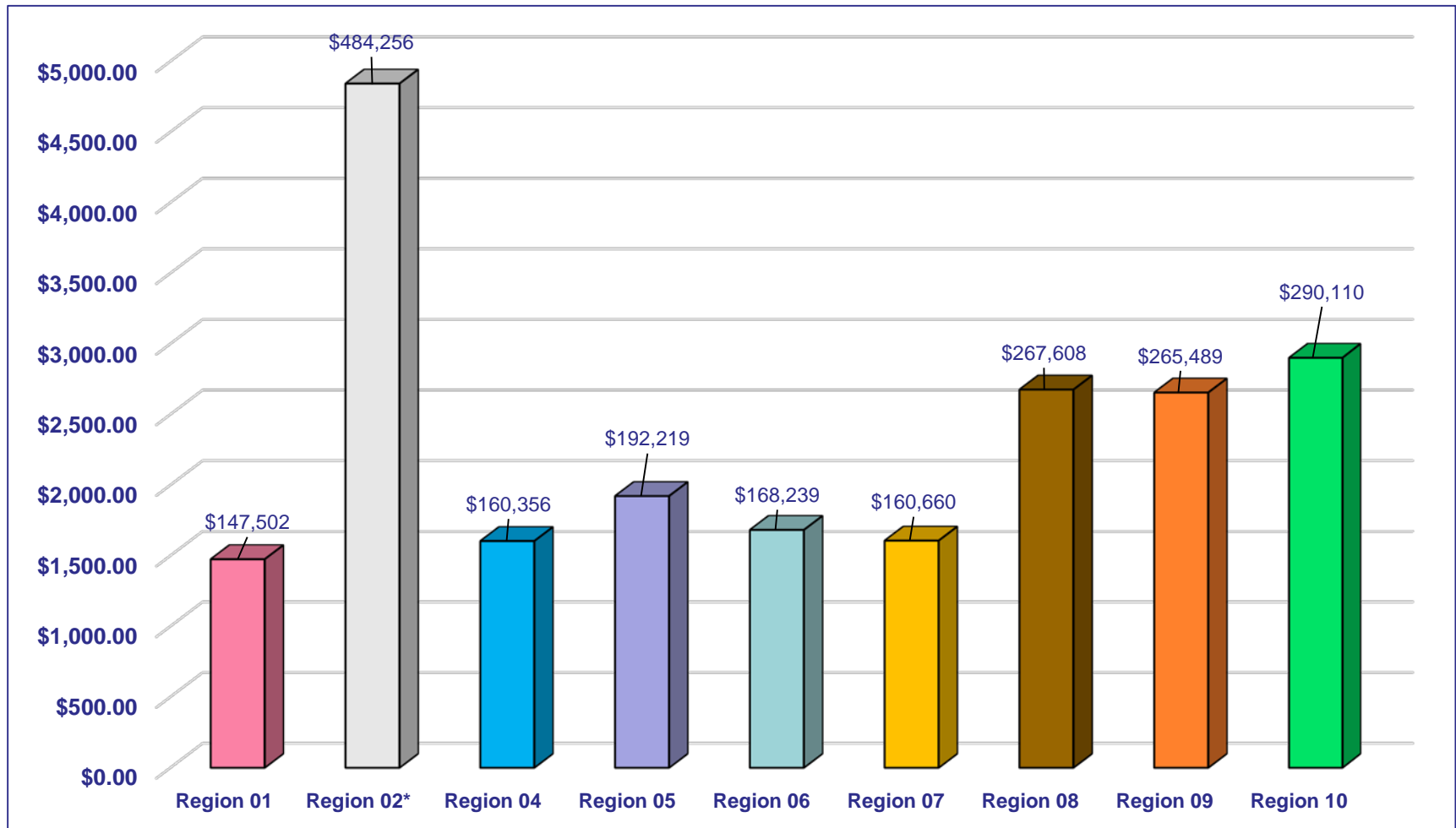
## Tribal Nations using PPGs Compared to all Federally-Recognized Tribes within EPA Regions



\* In Region 4, all six Tribes, along with one inter-tribal consortium, had a PPG.



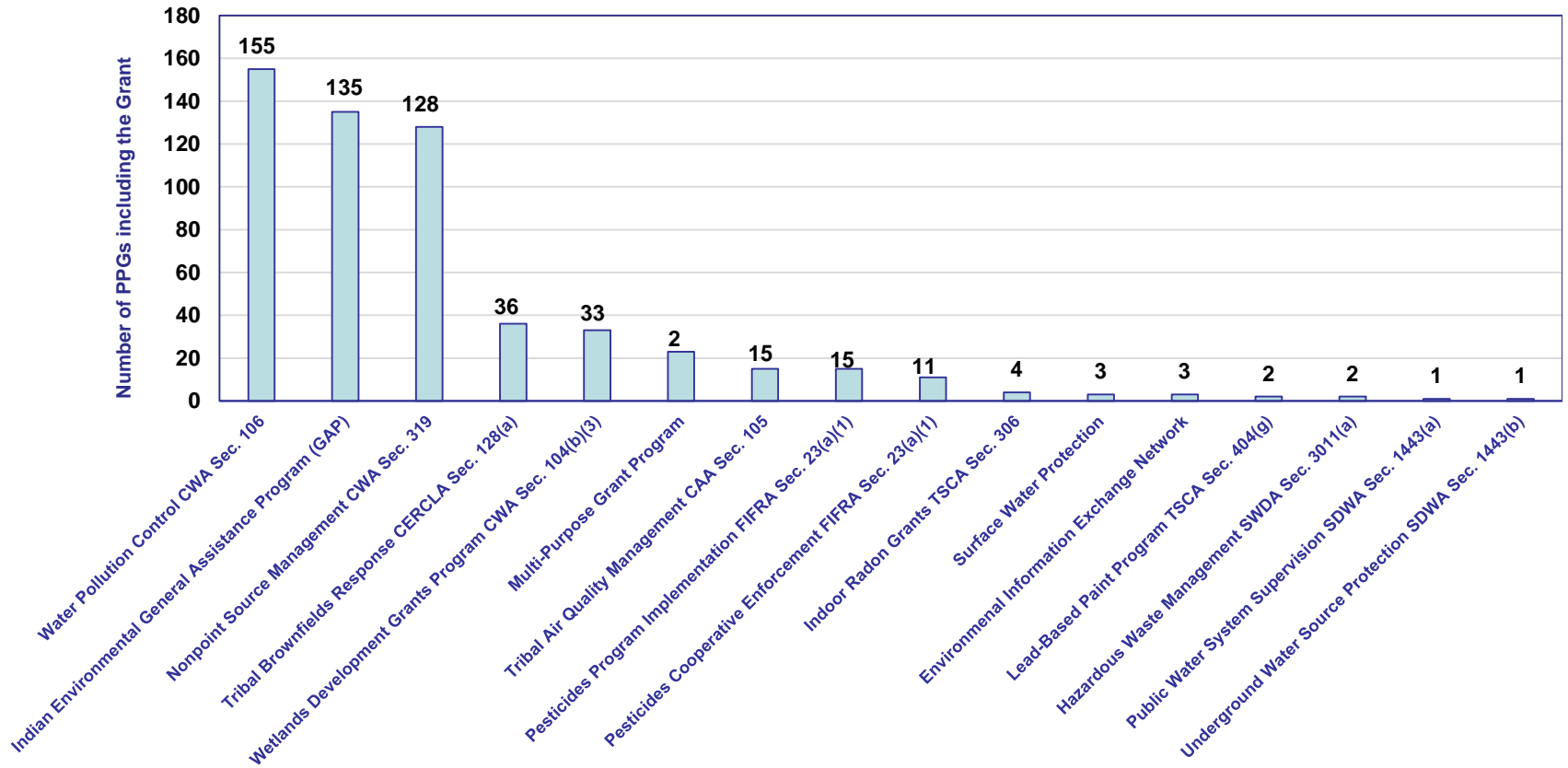
## Estimated Average Funding Included in Tribal PPGs



\* One Tribe in Region 2 had a PPG in 2016.



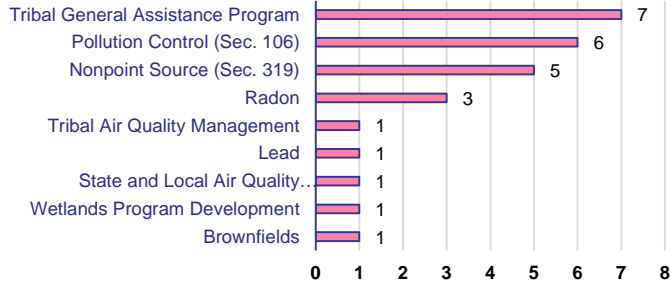
# Categorical Grants Included in Tribal PPGs



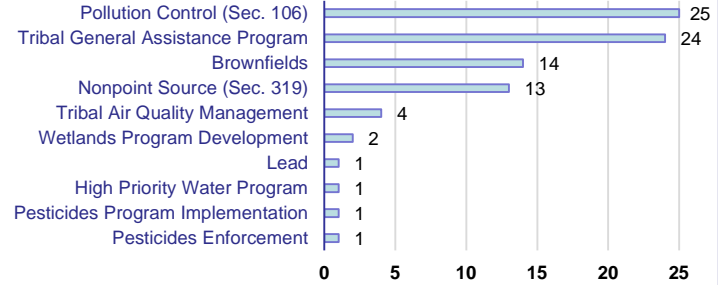


# Categorical Grants Included in PPGs by Region (Regions 1- 6)

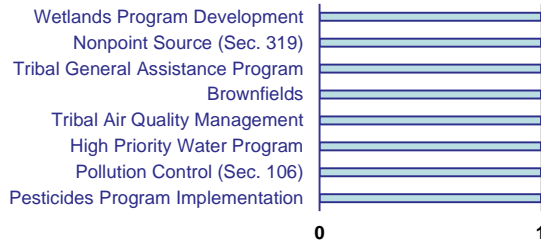
**Region 1: Grants Included Across 7 PPGs**



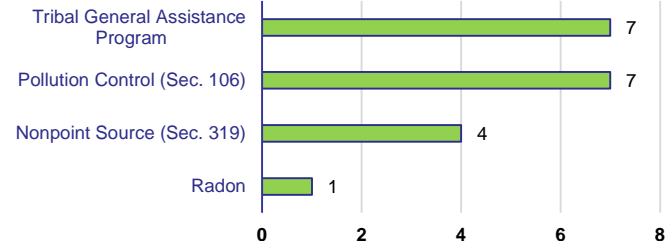
**Region 5: Grants Included Across 28 PPGs**



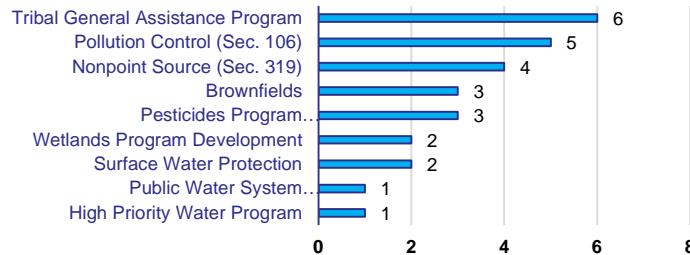
**Region 2: Grants Included in a Single PPG**



**Region 6: Grants Included Across 7 PPGs**

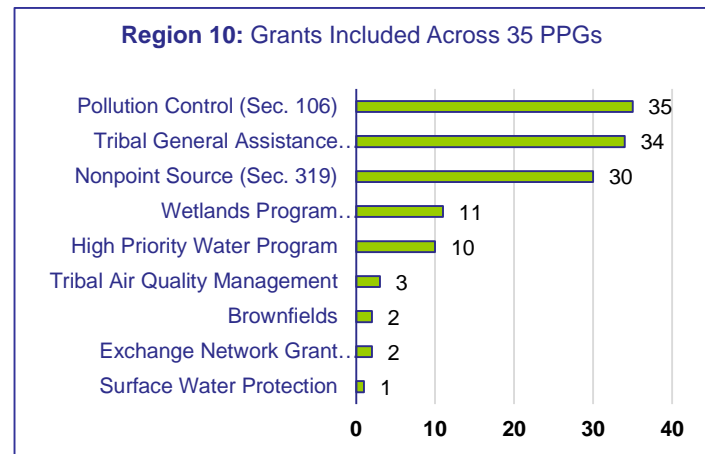
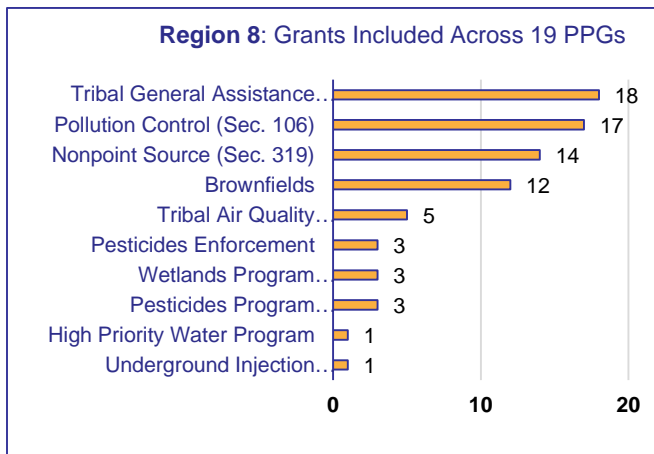
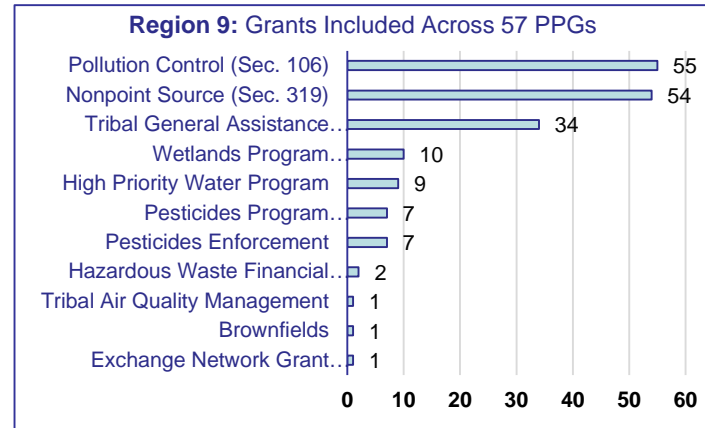
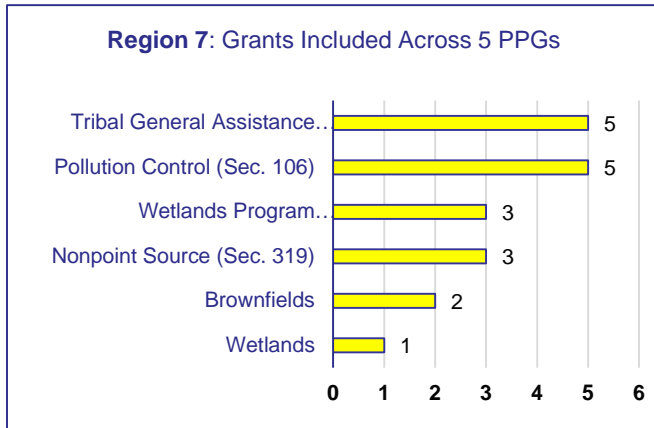


**Region 4: Grants Included Across 7 PPGs**





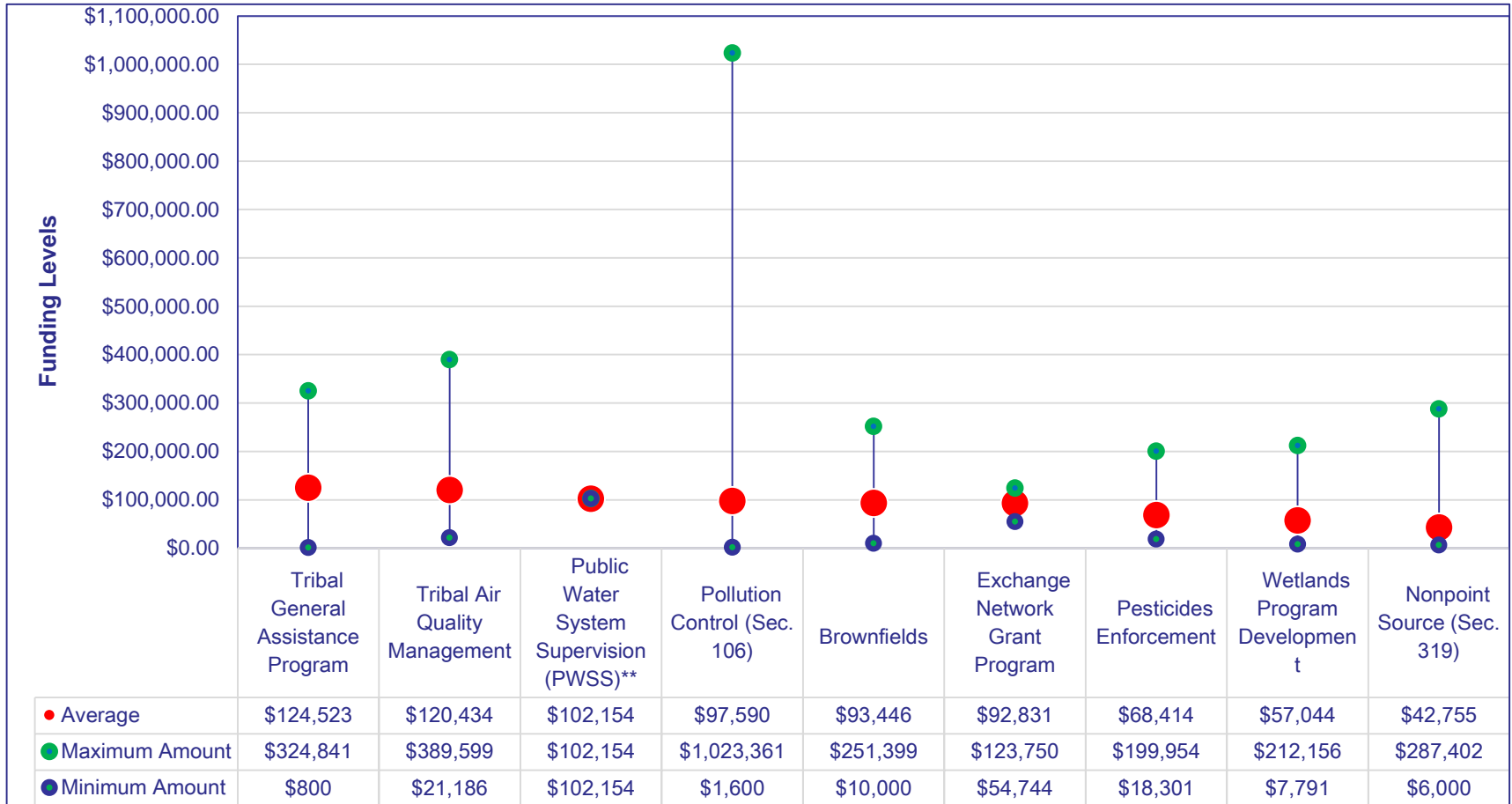
# Categorical Grants Included in PPGs by Region (Regions 7- 10)





# Estimated\* Funding Levels of Grants Included in Tribal PPGs

(Average Allocation Value Greater than \$40,000 per PPG)

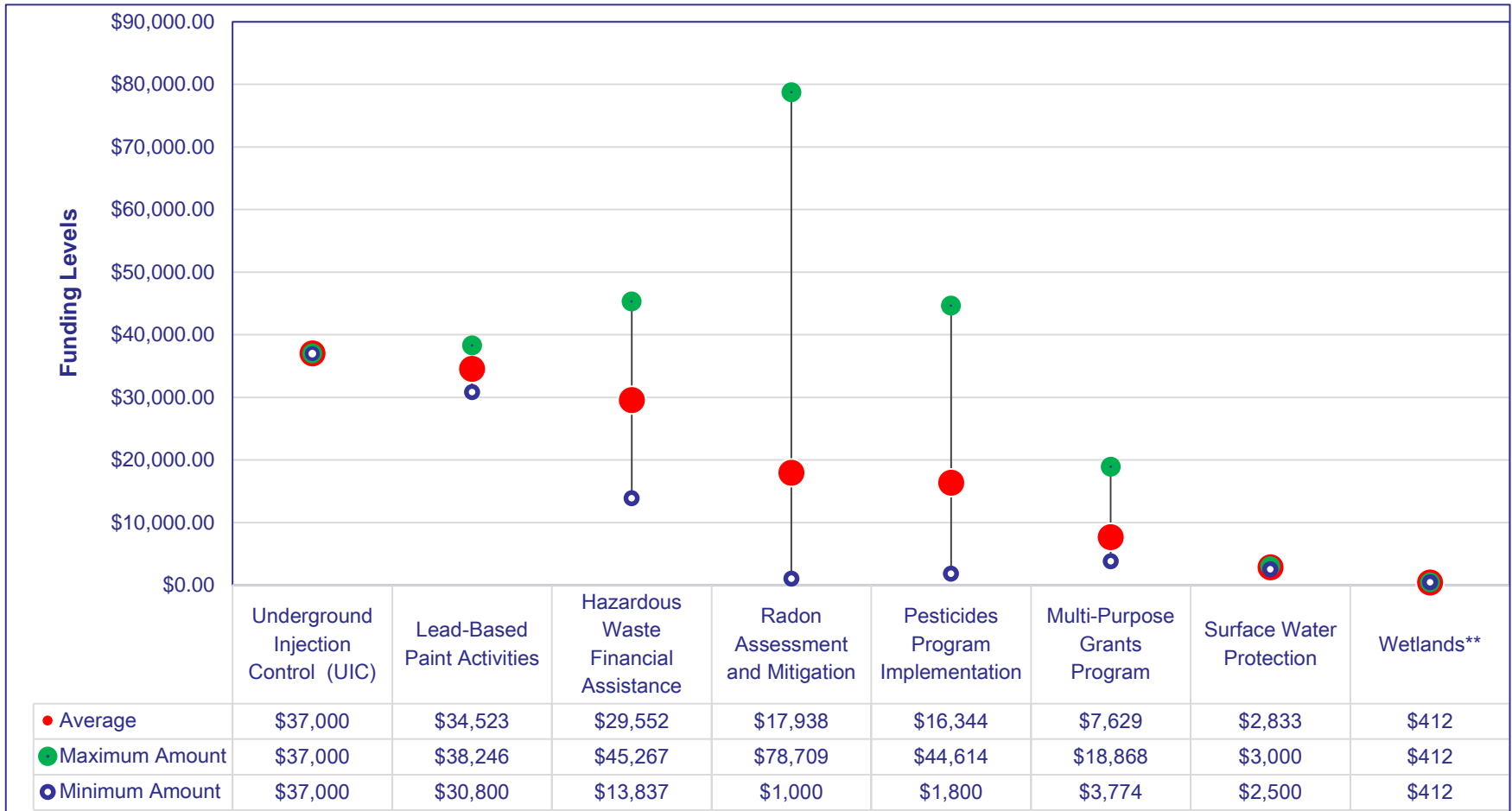


- Estimated Funding Levels are calculated by taking the total PPG Obligations and dividing by the duration of the PPG
- \*\* Categorical Grant used only in a single PPG



# Estimated Funding Levels of Grants Included in a Tribal PPG

(Average Allocation Value Less than \$40,000 per PPG)



- Estimated Funding Levels Derived from Total PPG Obligations Divided by the duration of a PPG
- \*\* Categorical Grant Used Only in a Single PPG