

## Top-down Estimate of Black Carbon Emissions for City Cluster Using Ground Observations: A Case Study in Southern Jiangsu, China

Yu Zhao\*, Xuefen Zhao, Dong Chen

School of the Environment, Nanjing University

[yuzhao@nju.edu.cn](mailto:yuzhao@nju.edu.cn)



1 Aug 2019 Dallas, TX



# Outlines

- **Motivation**

  - Evaluation of local emission inventory

  - Black carbon: sources and uncertainty

- **Methods: Model description**

- **Results and discussions**

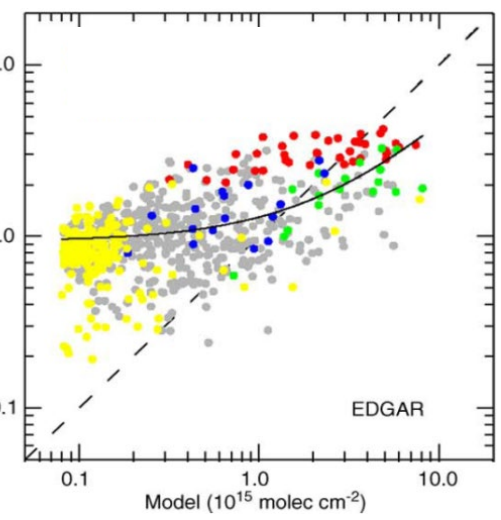
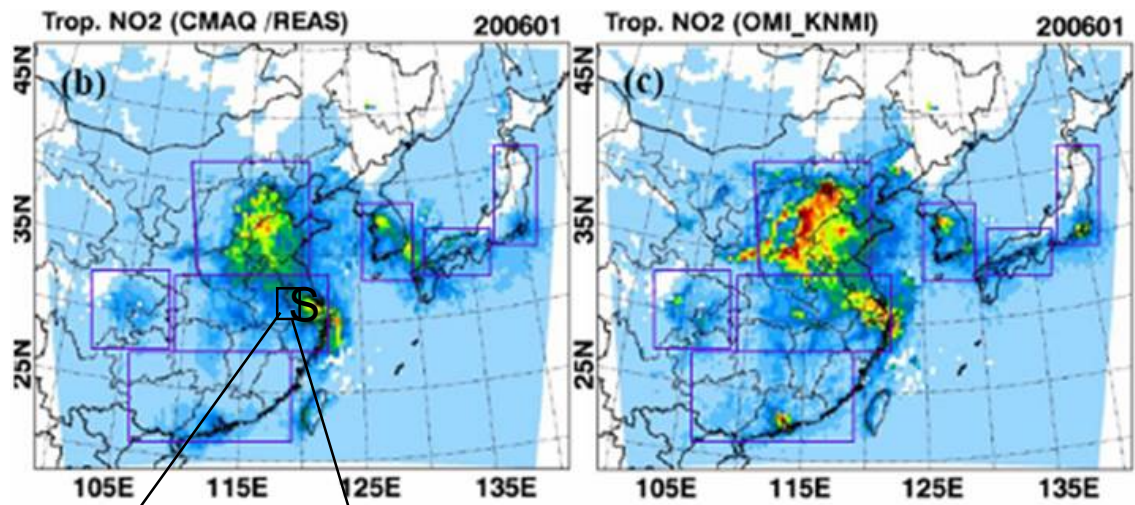
  - Result of the constrained top-down emissions

  - Impacts of sites, prior emissions and precipitation

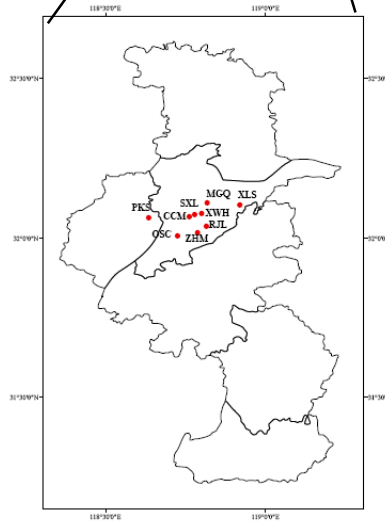
- **Conclusion**

# Motivation-Discrepancy between obs. and sim.

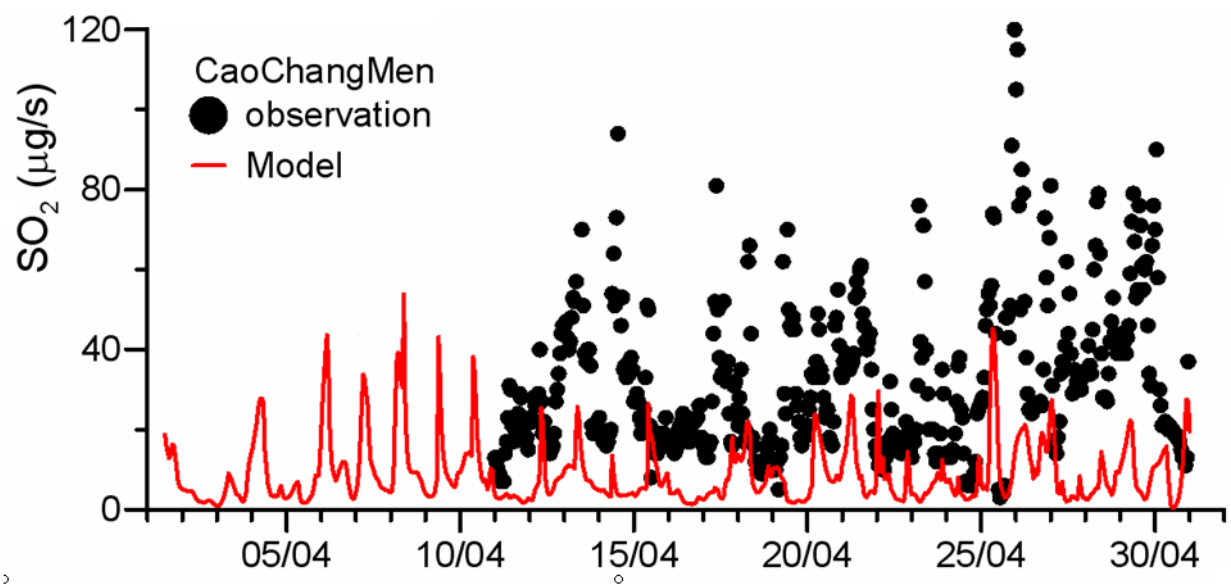
China



City

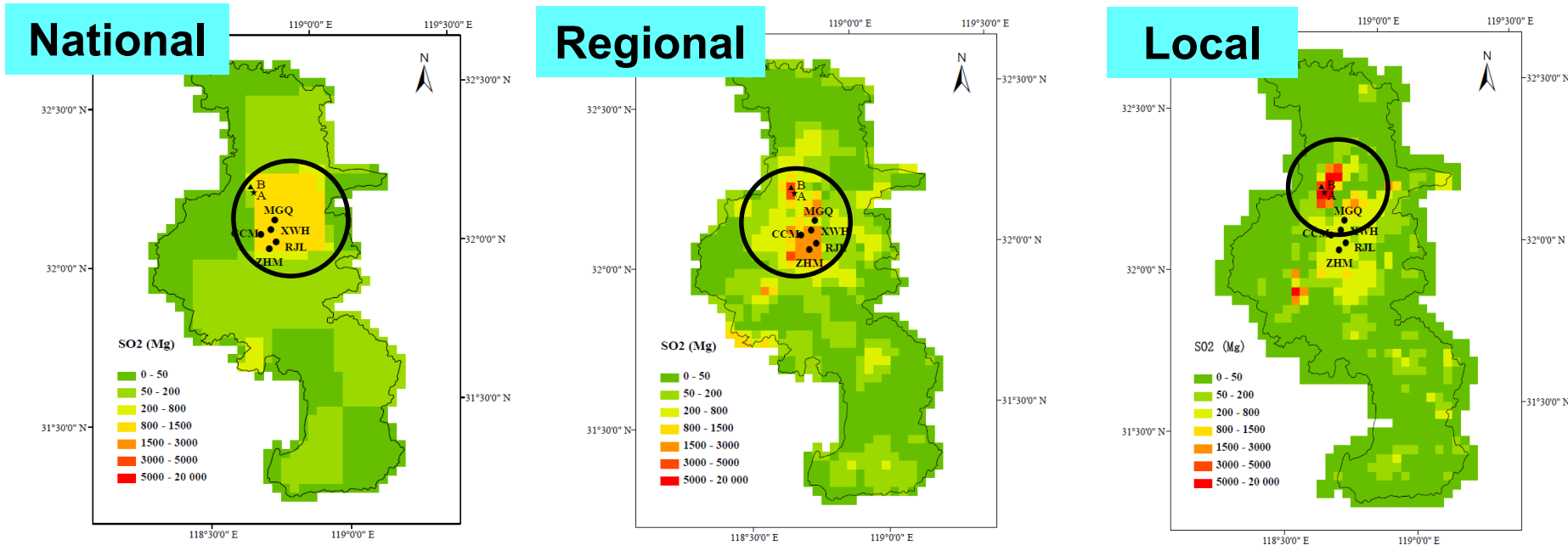


Nanjing



Ma et al., 2006; Han et al., 2015; Zhao et al., 2015

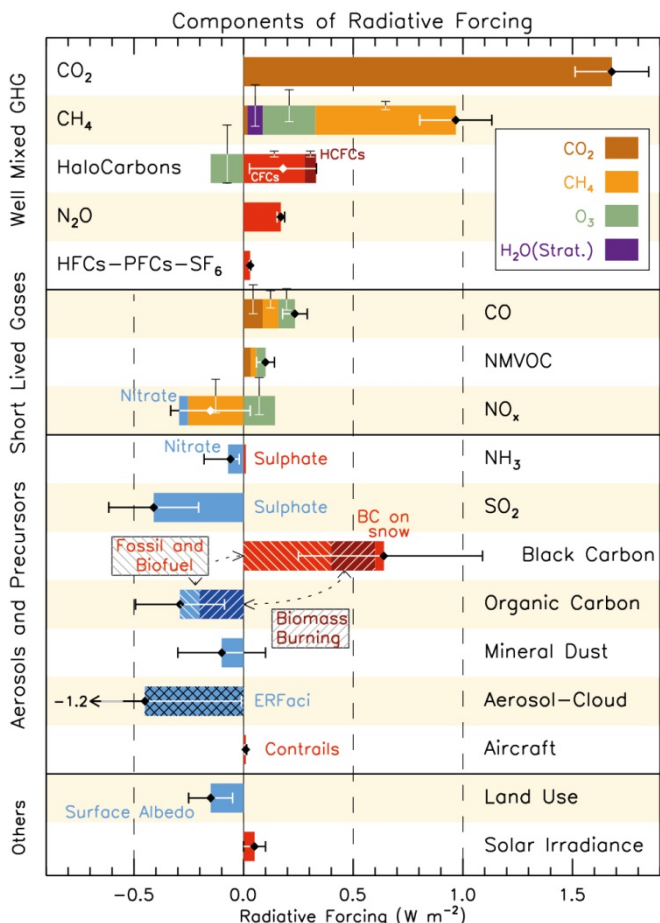
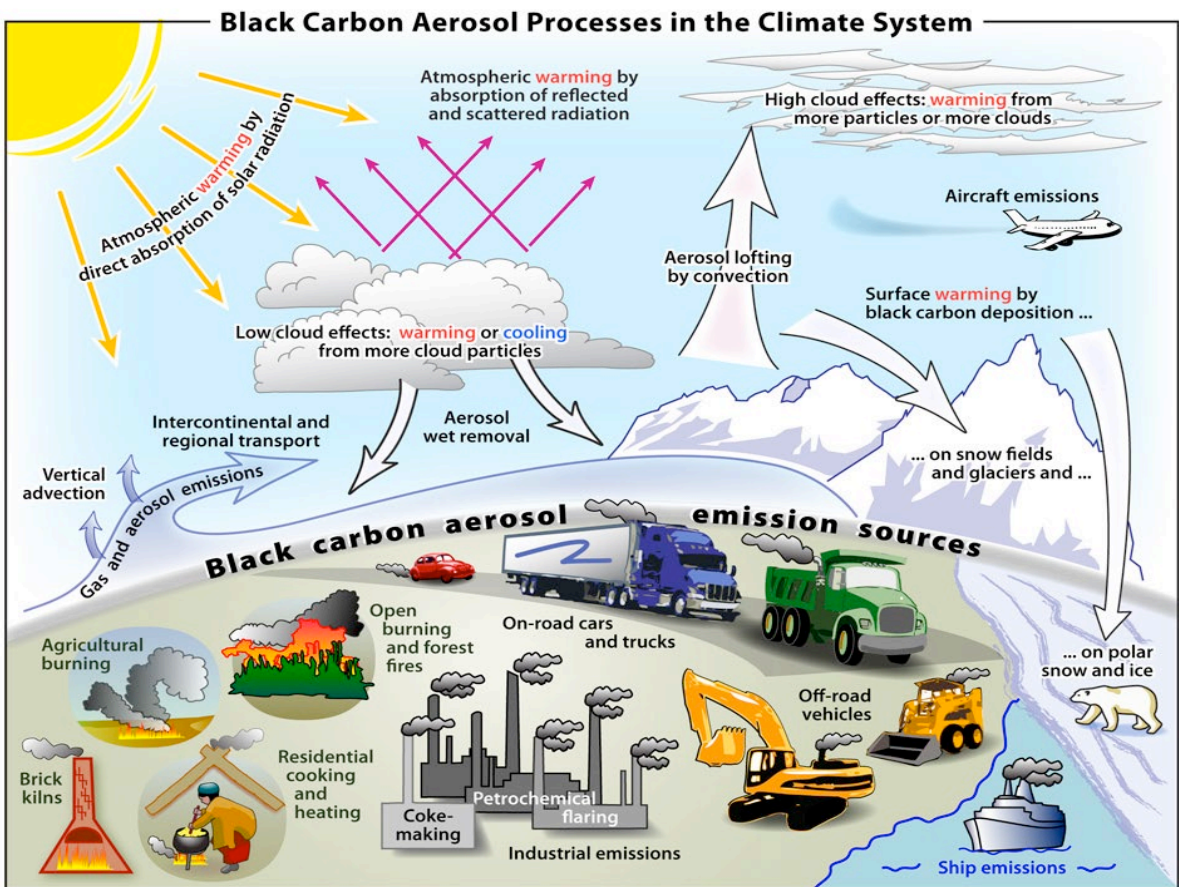
# Motivation-Simulations from different inventories



Pollutants	National (MEIC)		Regional (Fu et al., 2013)		Provincial (this work)	
	NMB	NME	NMB	NME	NMB	NME
SO <sub>2</sub>	48.45 %	76.53 %	74.08 %	95.04 %	-9.97 %	47.49 %
NO <sub>2</sub>	21.02 %	35.99 %	29.84 %	43.45 %	-14.47 %	33.22 %
O <sub>3</sub>	-65.55 %	68.57 %	-53.93 %	61.59 %	-24.98 %	44.29 %
PM <sub>2.5</sub>	-51.63 %	55.32 %	-49.16 %	56.00 %	-43.64 %	51.81 %

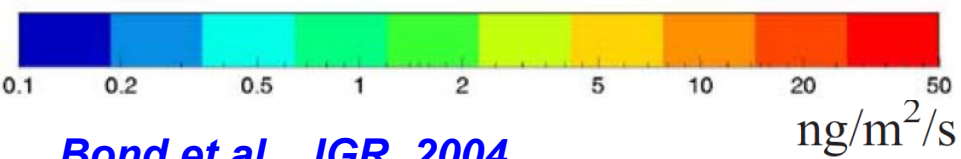
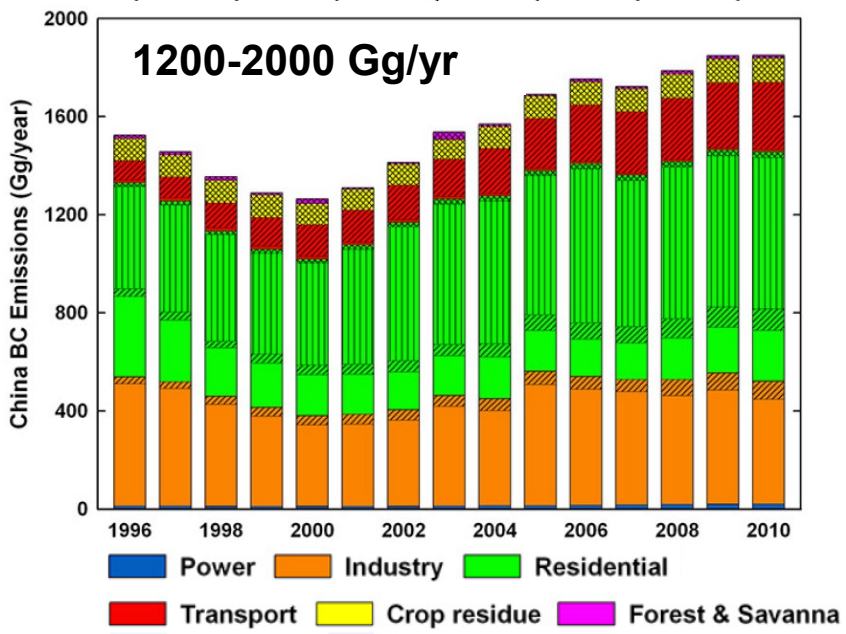
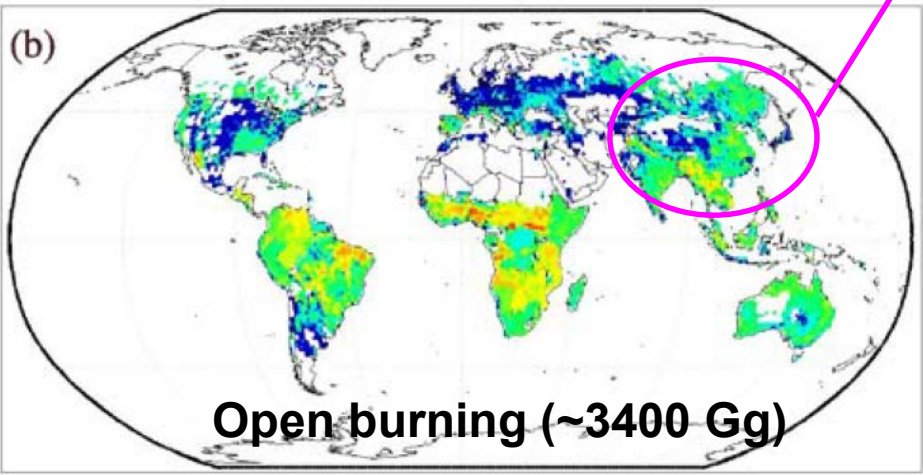
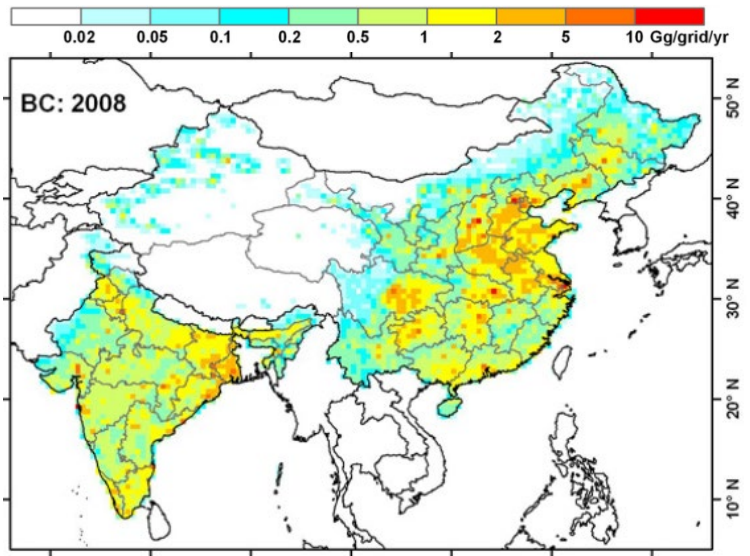
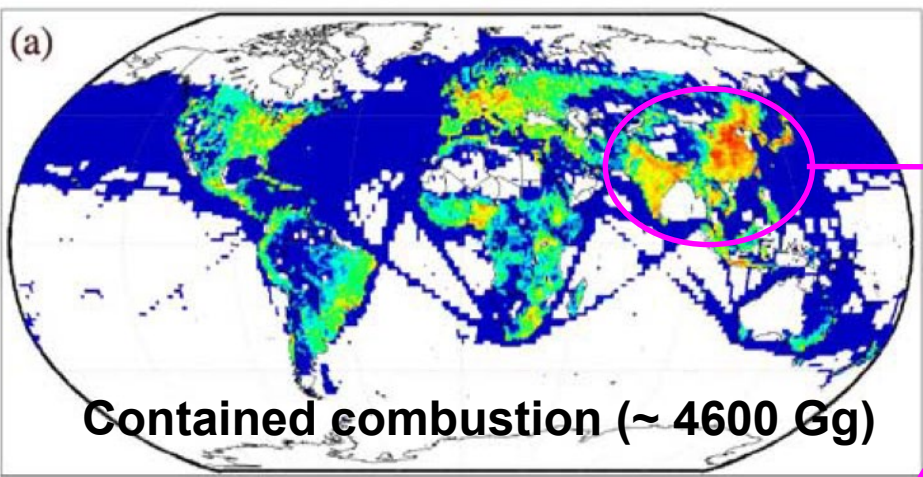
# Black carbon/elemental carbon

- Complicated sources (Industry, transportation, household)
- Significant climate (and health) impacts





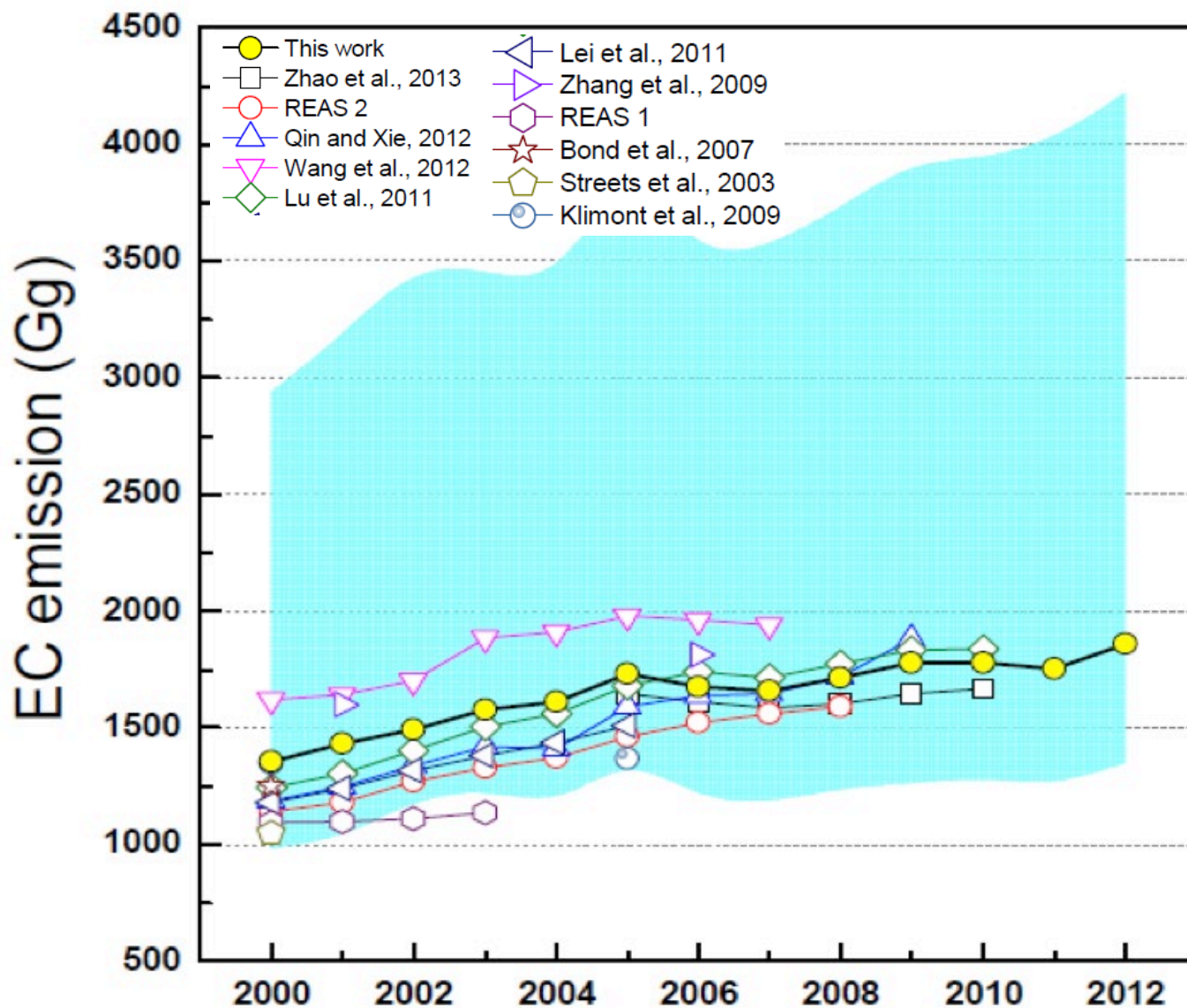
# Emissions of black carbon



Bond et al., JGR, 2004

Lu et al., ACP, 2011

# Inter-annual trends and uncertainty



# Outlines

- Motivation

  - Evaluation of local emission inventory

  - Black carbon: sources and uncertainty

- **Methods: Model description**

- Results and discussions

  - Result of the constrained top-down emissions

  - Impacts of sites, prior emissions and precipitation

- Conclusion

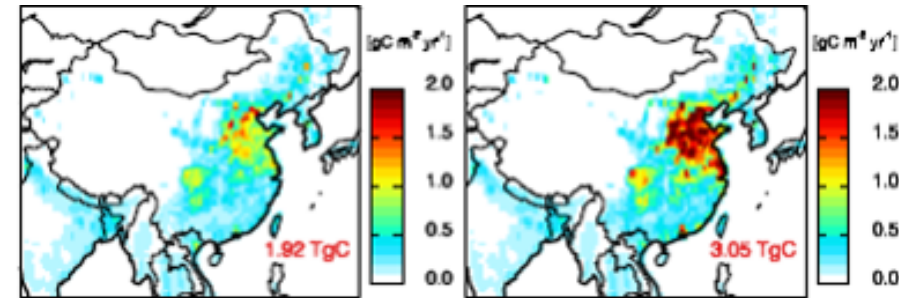


# Method Linear regression + transport model

**Whole country** Fu et al., ACP, 2012

Bottom-up emissions

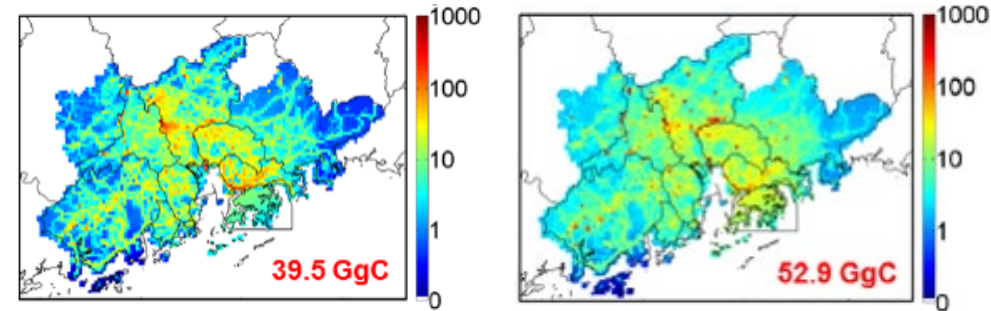
Top-down emissions



**Pearl river delta** Li et al., ACP, 2015

Bottom-up emissions( $\times 10^{-3}$ GgC  $y^{-1}$ )

Top-down emissions( $\times 10^{-3}$ GgC  $y^{-1}$ )



$$C_{\text{obs}} - C_{\text{background}} = \beta_1 C_{\text{residential}} + \beta_2 C_{\text{non-residential}} + \beta_3 C_{\text{biomass}} + \epsilon$$

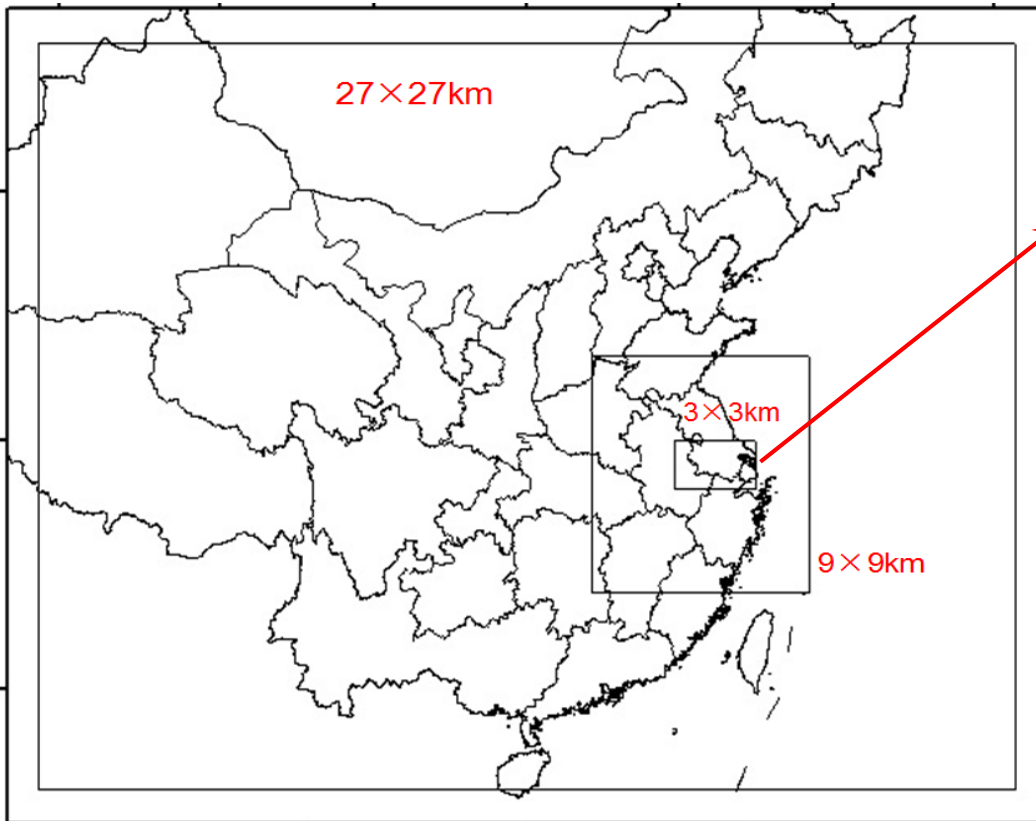
$$C_{\text{obs}} - C_{\text{background}} = \beta_1 C_{\text{transportation}} + \beta_2 C_{\text{non-transportation}} + \beta_3 C_{\text{biomass}} + \epsilon$$

## Application in southern Jiangsu city cluster

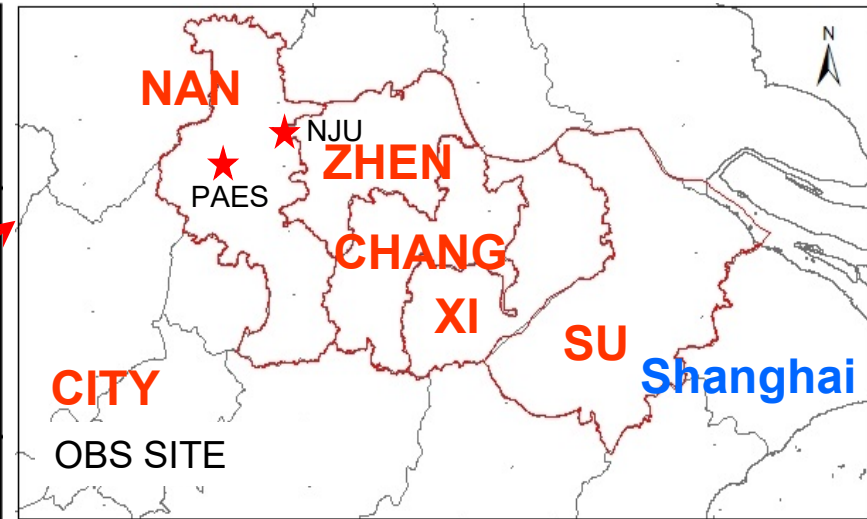
- Constraining emissions from hourly on-line ground measurements
- Revising emissions by sector from detailed categories of emissions

$$C_{\text{obs}} = \beta_1 C_{\text{industry}} + \beta_2 C_{\text{residential}} + \beta_3 C_{\text{transportation}} + \beta_4 C_{\text{power}} + \epsilon$$
$$E_{\text{top-down}} = \beta_1 E_{\text{industry}} + \beta_2 E_{\text{residential}} + \beta_3 E_{\text{transportation}} + \beta_4 E_{\text{power}} + \epsilon$$

# Method – Modeling domain and site location



**WRF-CMAQ modeling domain**



**City cluster**  
**SU-XI-CHANG-ZHEN-NAN**

**Observation sites**

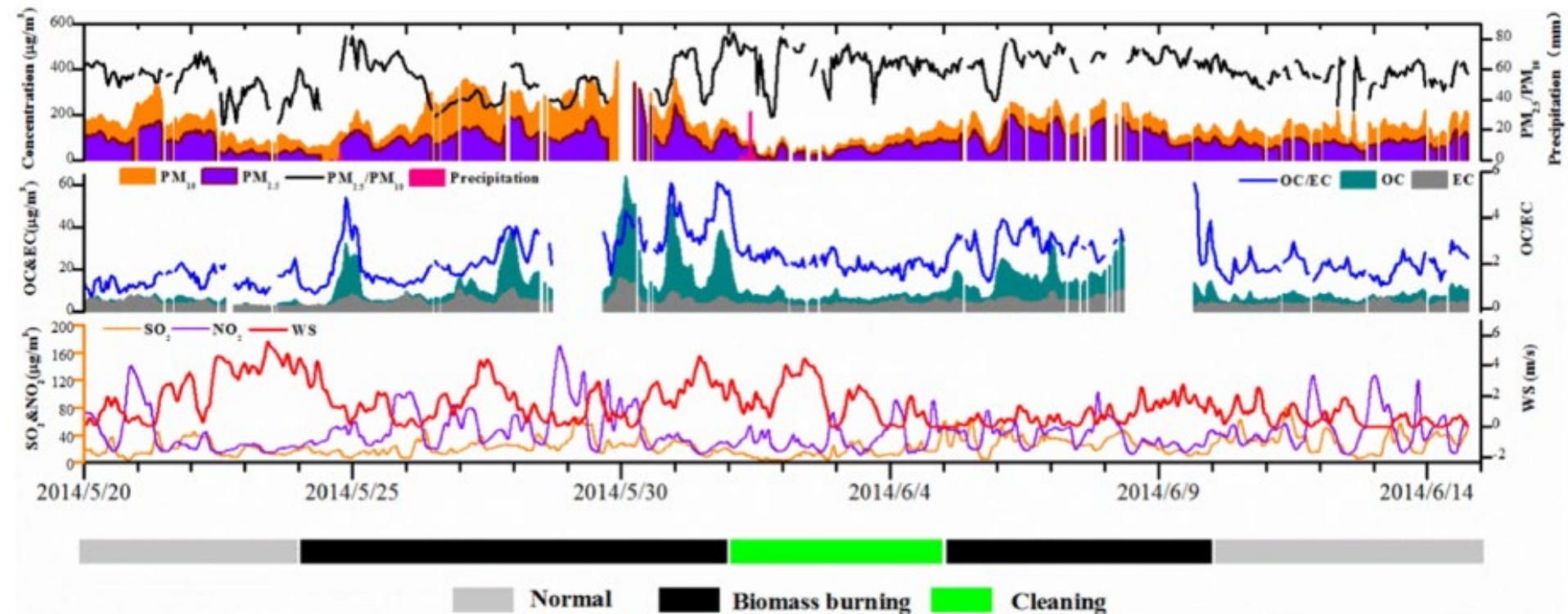
**NJU** Suburban site (upwind)

**PAES** Urban (downwind)

# Method – Ground measurements

$$C_{\text{obs}} = \beta_1 C_{\text{industry}} + \beta_2 C_{\text{residential}} + \beta_3 C_{\text{transportation}} + \beta_4 C_{\text{power}} + \varepsilon$$

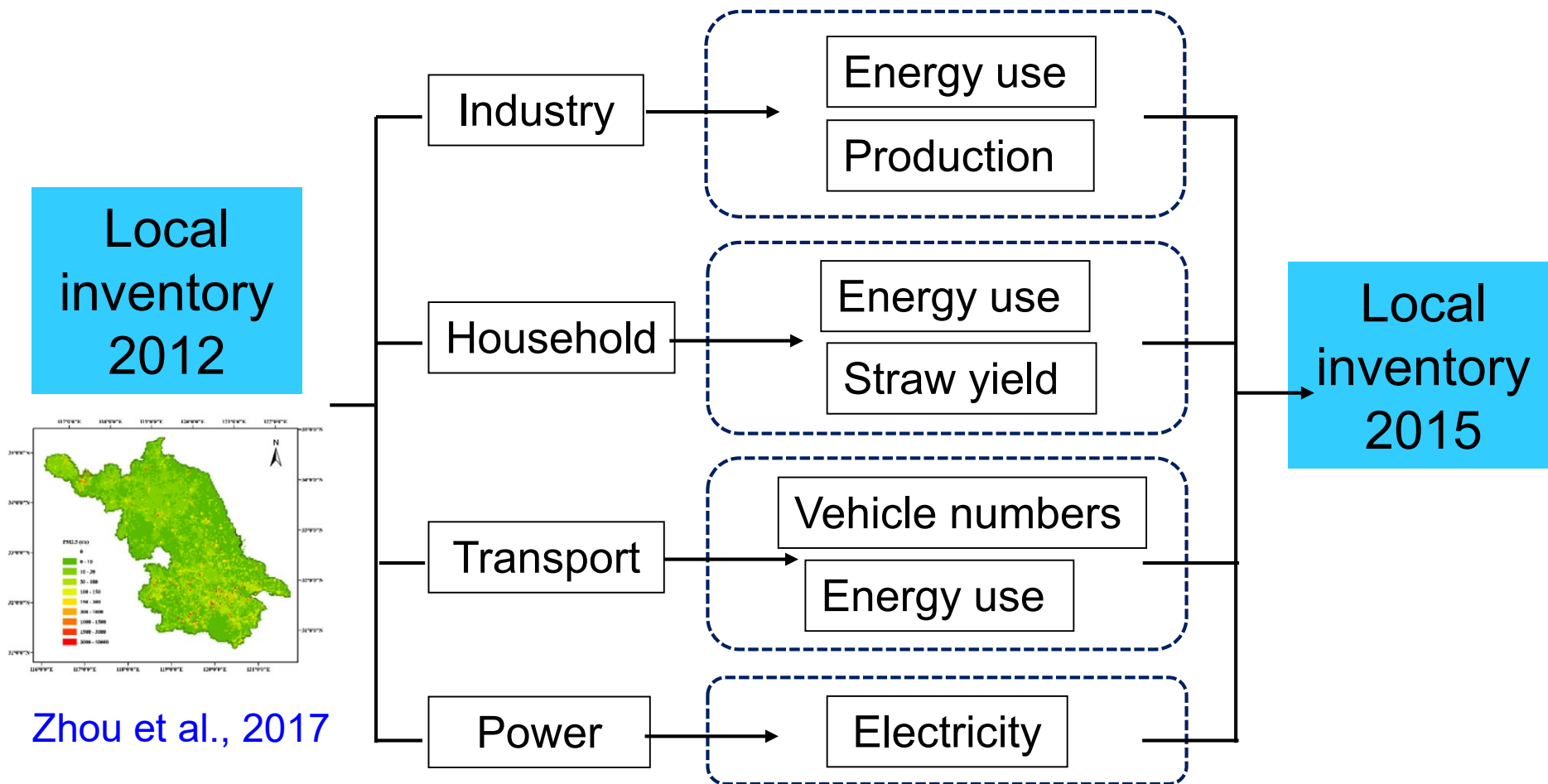
$$E_{\text{top-down}} = \beta_1 E_{\text{industry}} + \beta_2 E_{\text{residential}} + \beta_3 E_{\text{transportation}} + \beta_4 E_{\text{power}} + \varepsilon$$



**NJU: Suburban site (upwind); PAES Urban (downwind)**

# Method - Emission data

$$E_{\text{top-down}} = \beta_1 E_{\text{industry}} + \beta_2 E_{\text{residential}} + \beta_3 E_{\text{transportation}} + \beta_4 E_{\text{power}} + \varepsilon$$



Scaling from activity levels without emission control progress

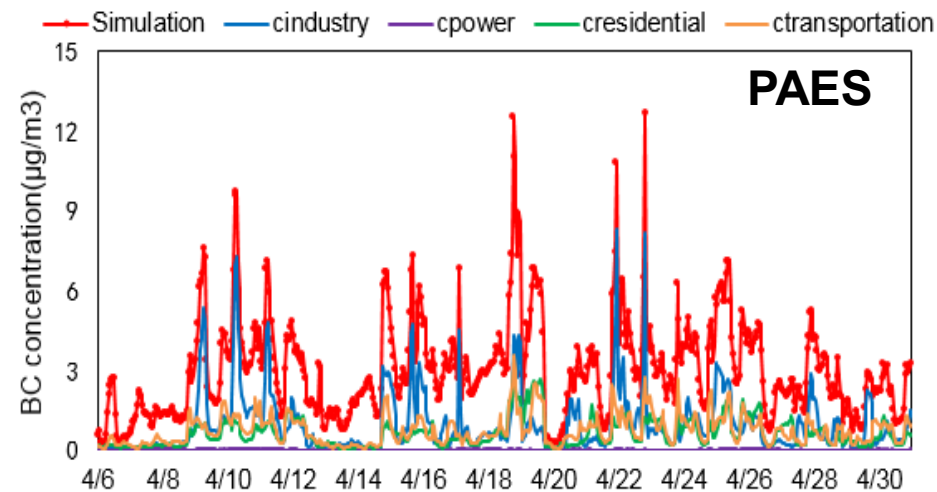
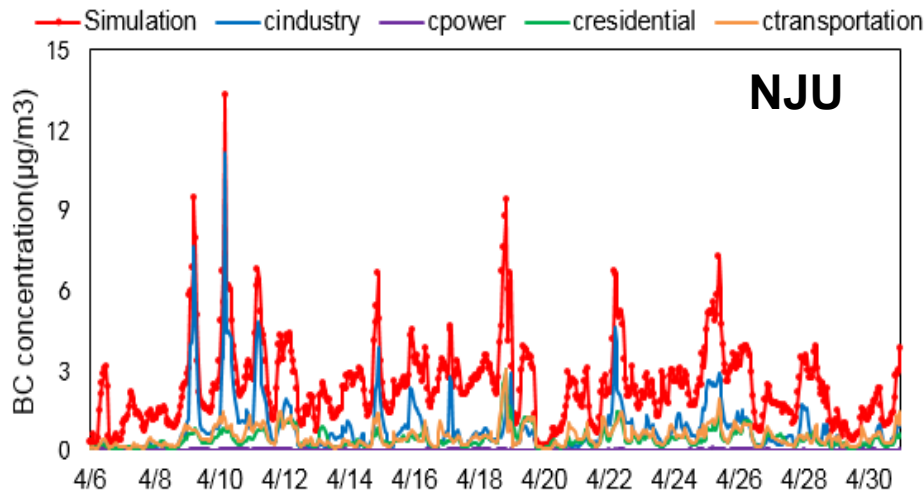


# Method - Contribution by sector

$$c_{\text{obs}} = \beta_1 c_{\text{industry}} + \beta_2 c_{\text{residential}} + \beta_3 c_{\text{transportation}} + \beta_4 c_{\text{power}} + \varepsilon$$

Brute Force Method  WRF-CMAQ

	industry	residential	transportation	power
Base	√	√	√	√
Case1		√	√	√
Case2	√		√	√
Case3	√	√		√
Case4	√	√	√	



# Outlines

- Motivation

  - Evaluation of local emission inventory

  - Black carbon: sources and uncertainty

- Methods: Model description

- Results and discussions

  - Result of the constrained top-down emissions

  - Impacts of sites, prior emissions and precipitation

- Conclusion

# Results and discussion - Linear regression

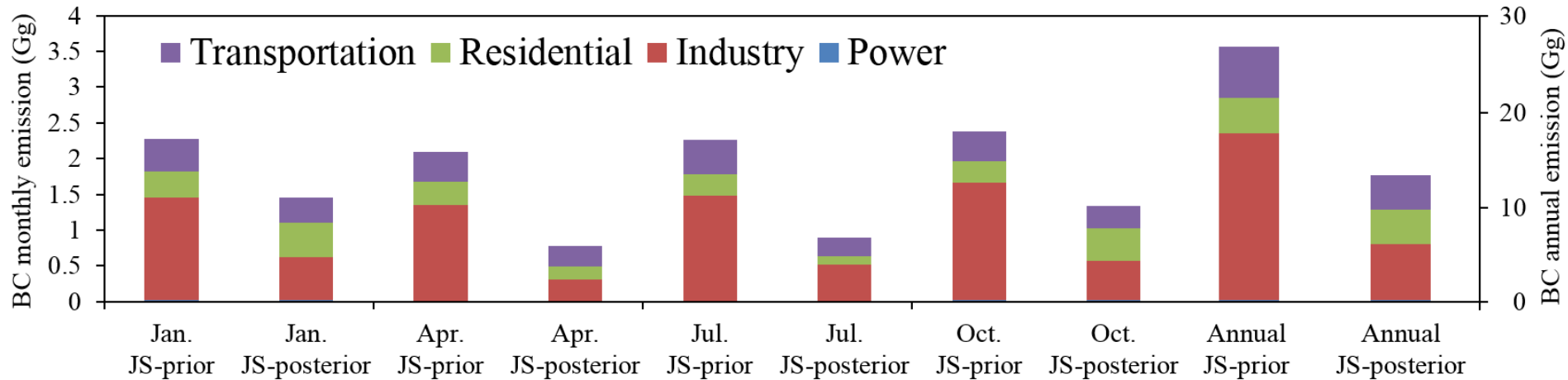
$$c_{\text{obs}} = \beta_1 c_{\text{industry}} + \beta_2 c_{\text{residential}} + \beta_3 c_{\text{transportation}} + \beta_4 c_{\text{power}} + \varepsilon$$

		factor	t <sup>a</sup>	Sig. <sup>b</sup>	VIF <sup>c</sup>
Jan.	$\beta_1$	0.421	2.649	0.008	1.755
	$\beta_2$	1.313	3.667	0.000	2.367
	$\beta_3$	0.790	2.226	0.026	2.715
Apr.	$\beta_1$	0.221	0.960	0.338	2.653
	$\beta_2$	0.582	1.625	0.105	4.616
	$\beta_3$	0.673	2.205	0.028	4.186
Jul.	$\beta_1$	0.346	3.092	0.002	2.088
	$\beta_2$	0.393	0.948	0.344	2.949
	$\beta_3$	0.550	2.201	0.028	3.463
Oct.	$\beta_1$	0.335	1.924	0.055	1.529
	$\beta_2$	1.516	4.123	0.000	2.198
	$\beta_3$	0.744	2.801	0.005	2.649

Criteria: t>2 b: Sig.<0.05 c: VIF<10

# Results and discussion – Emission levels

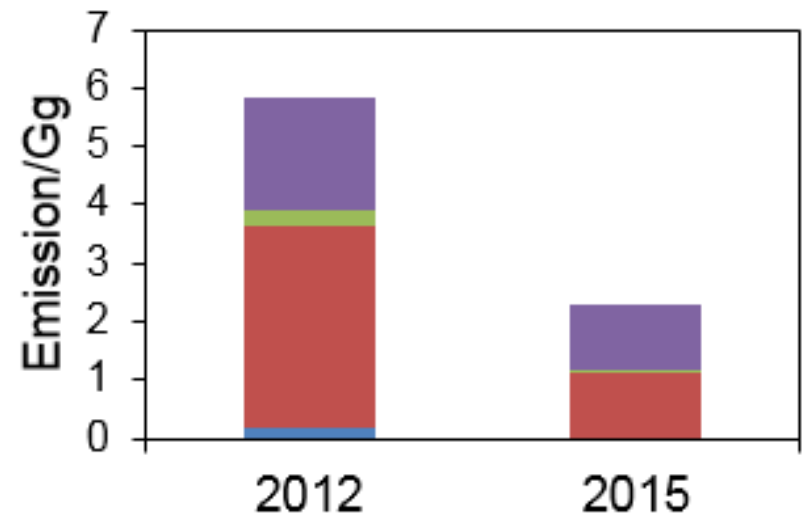
## Emissions largely reduced from Top-down constraining



**Total emissions reduced by 50.6%**

Industry	66.6%
Residential	2.9%
Transportation	31.9%

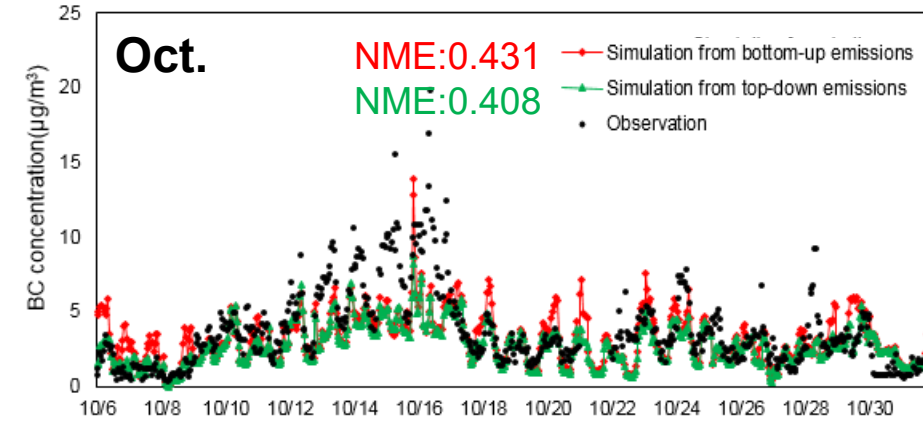
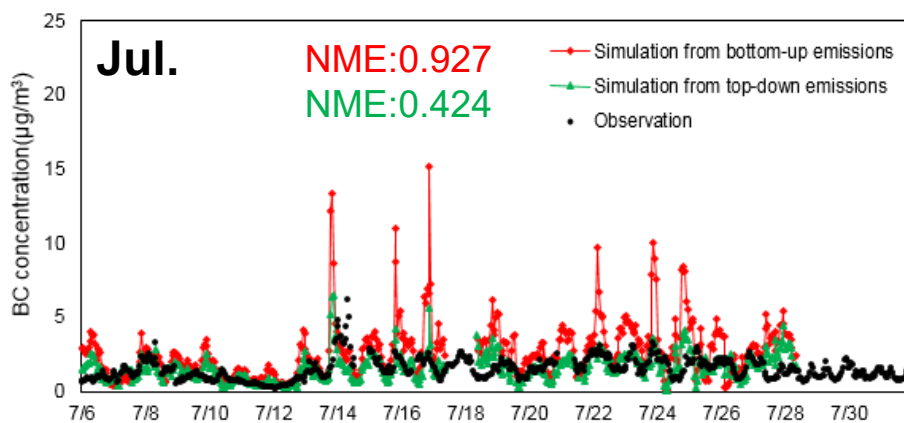
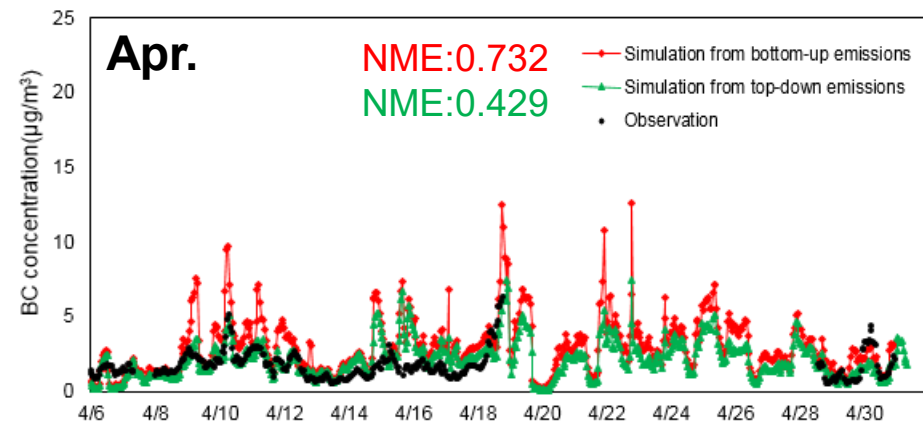
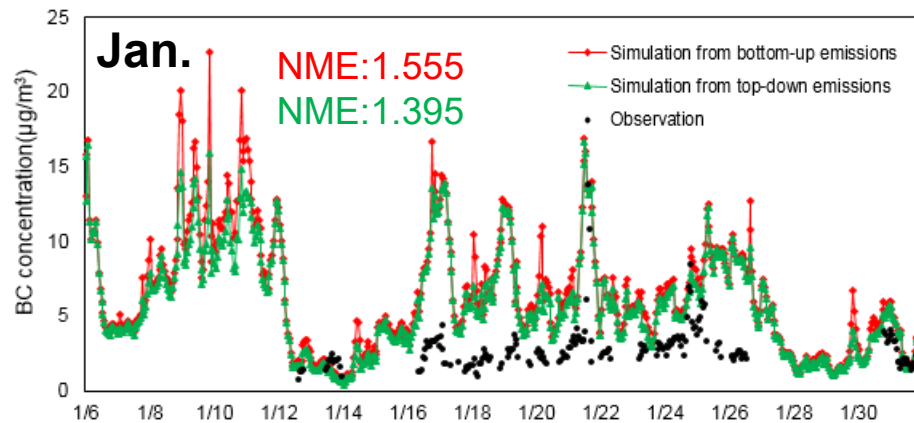
Nanjing emissions (bottom-up method)





# Results and discussion – Model performance

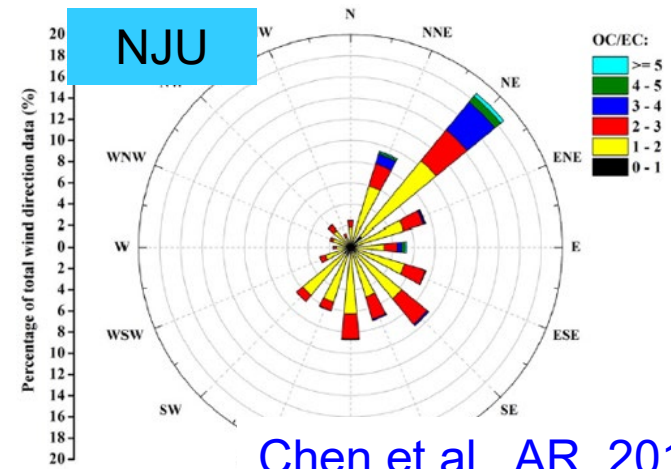
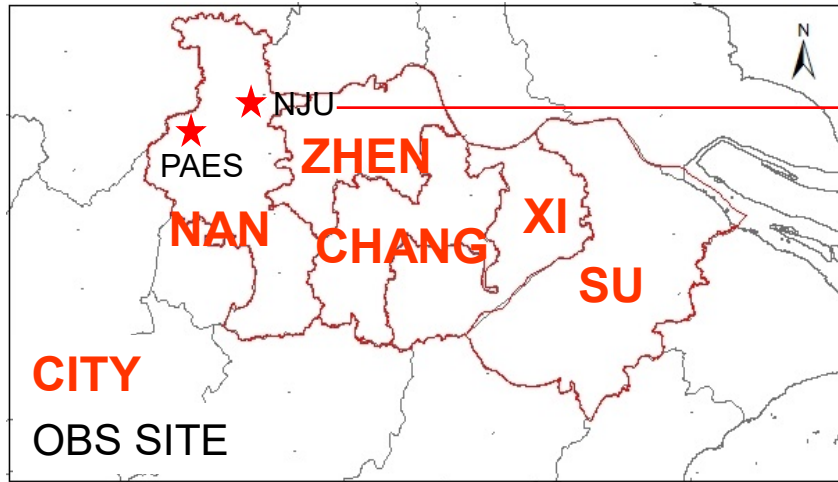
## Top-down emissions reduced overestimation



**PAES**

$$\text{NME} = \frac{\sum_{i=1}^n |P_i - O_i|}{\sum_{i=1}^n O_i} \times 100\% \quad (\text{P: prediction; O: observation})$$

# Results and discussion – Impacts of sites

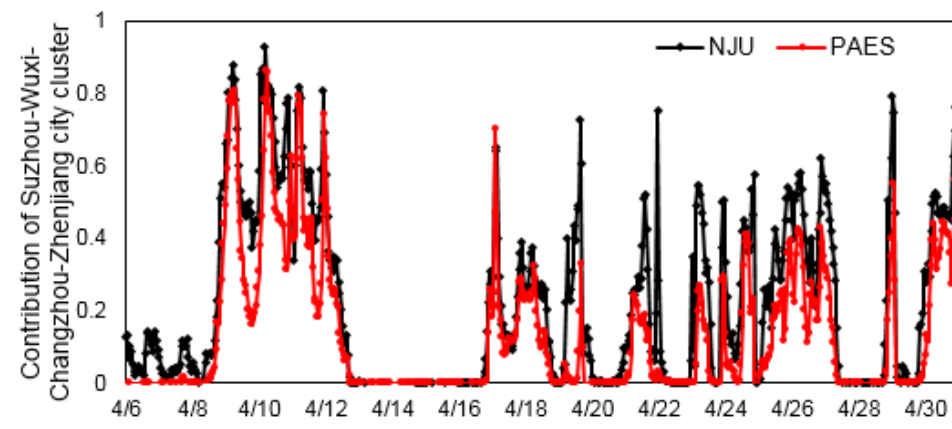
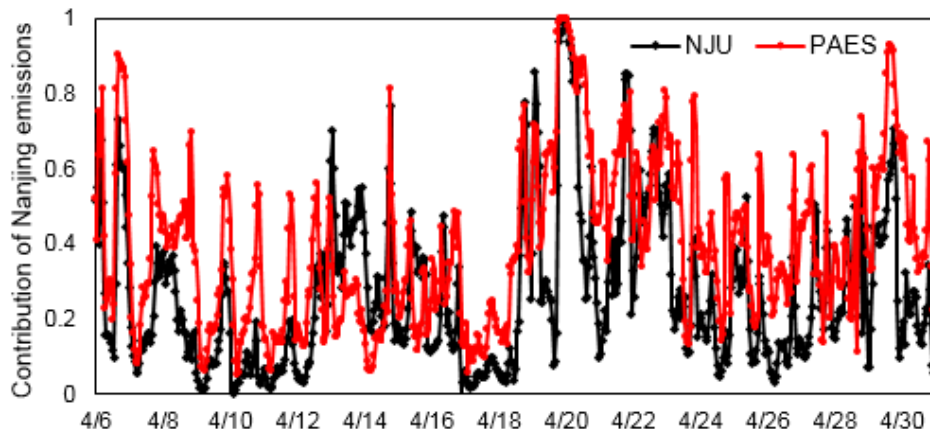


Contribution from Nanjing (NAN)

**PAES** > NJU □ 81.8%

Contribution from other cities

**NJU** > PAES □ 81.3%



# Results and discussion – Impacts of sites

Consideration of different spatial representativeness of the two sites

$$c_{\text{obs-NJU}} = \beta_1 c_{\text{industry}} + \beta_2 c_{\text{residential}} + \beta_3 c_{\text{transportation}} + \beta_4 c_{\text{power}} + \varepsilon$$
$$c_{\text{obs-PAES}} = \alpha_1 c'_{\text{industry}} + \alpha_2 c'_{\text{residential}} + \alpha_3 c'_{\text{transportation}} + \alpha_4 c'_{\text{power}} + \varepsilon$$

	sector	factor	t <sup>a</sup>	Sig. <sup>b</sup>	VIF <sup>c</sup>
NJU (other cities)	$\beta_1$	<b>0.416</b>	1.711	0.088	2.025
	$\beta_2$	<b>0.947</b>	2.498	0.013	2.520
	$\beta_3$	<b>0.651</b>	2.134	0.034	2.655
PAES (Nanjing)	$\alpha_1$	<b>0.193</b>	3.464	0.001	1.436
	$\alpha_2$	<b>0.360</b>	1.889	0.061	1.436
	$\alpha_3$	<b>0.651<sup>d</sup></b>			

**More stringent emission control policies** in Nanjing were implied compared to other southern Jiangsu cities

# Results and discussion – Impacts of sites

## More improvement when spatial representativeness considered

$$\text{NME} = \frac{\sum_{i=1}^n |P_i - O_i|}{\sum_{i=1}^n O_i} \times 100\% \quad (\text{P: prediction; O: observation})$$

		Result1	Result2	Result3	Result4
NJU	NME	0.423	0.386	0.326	0.325
	R	0.341	0.427	0.489	0.494
PAES	NME	0.732	0.429	0.396	0.616
	R	0.637	0.530	0.658	0.629

**Result1** Original bottom-up inventory

**Result2** Emissions constrained with two sites (representativeness not considered)

**Result3** Emissions constrained with two sites (representativeness considered)

**Result4** Emissions constrained with only one site (NJU)



# Results and discussion – Impacts of emissions

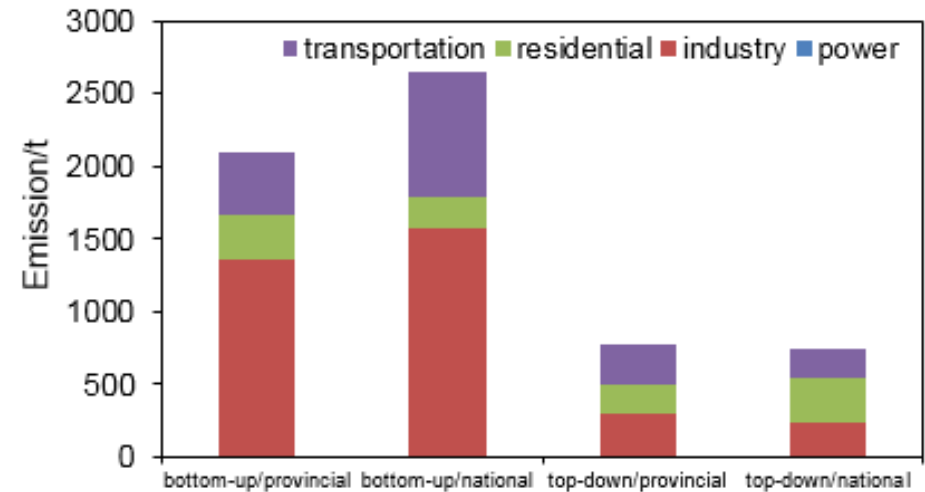
## The a prior emission data:

Local inventory

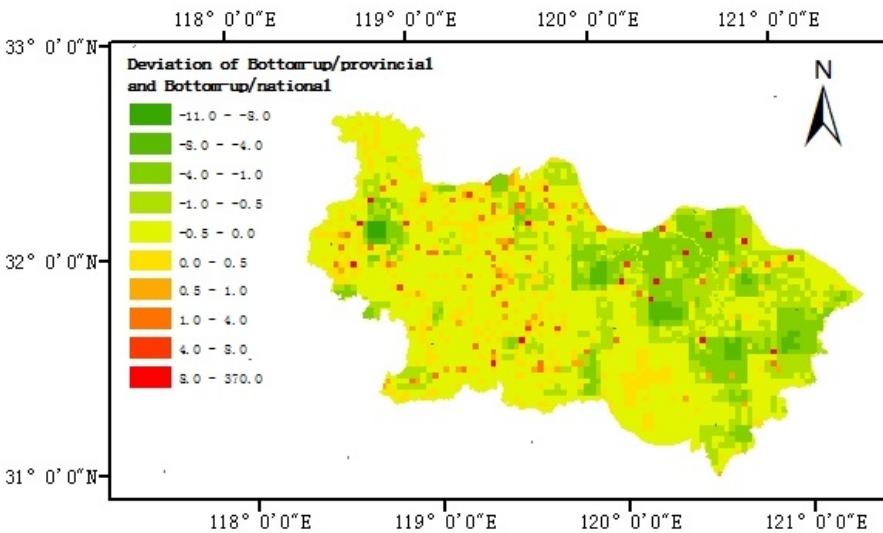
Zhou et al., 2017 □ Nanjing Univ. □

National inventory

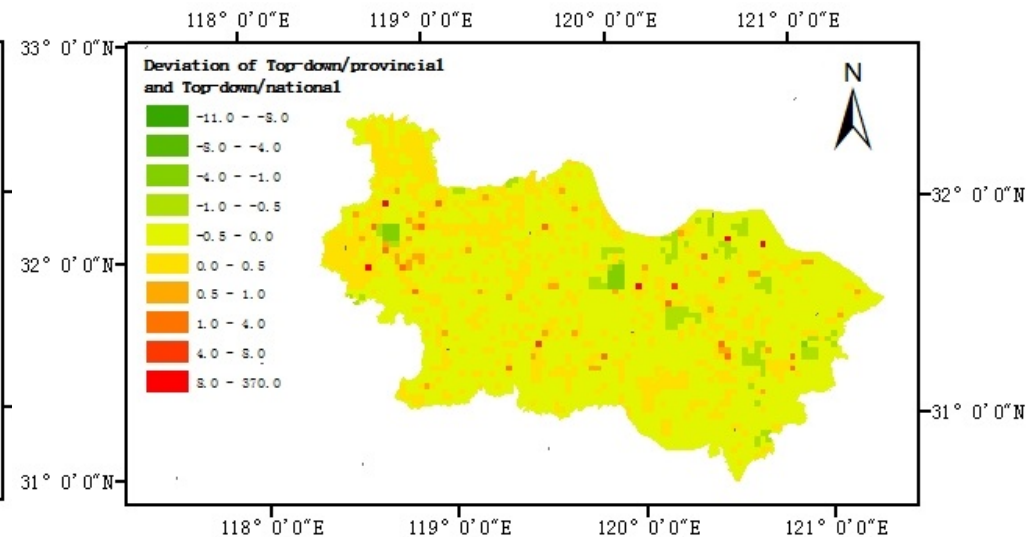
MEIC □ Tsinghua Univ. □



## Discrepancies largely decreased with emission constraining



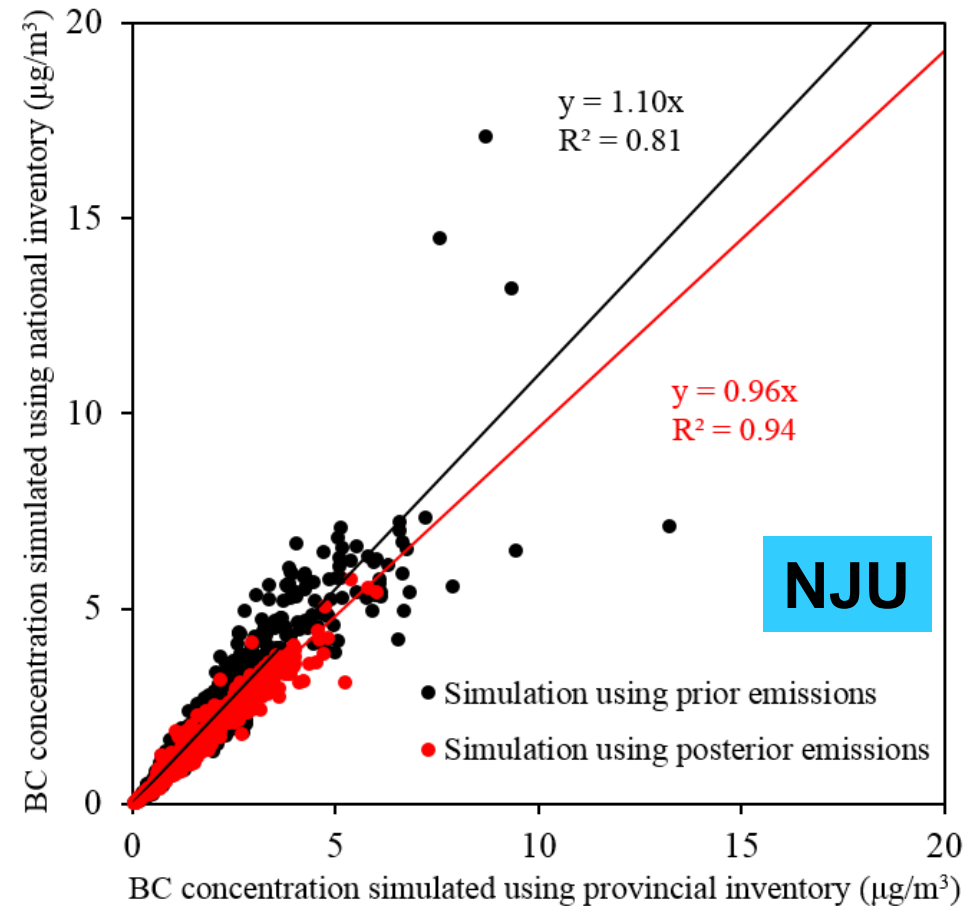
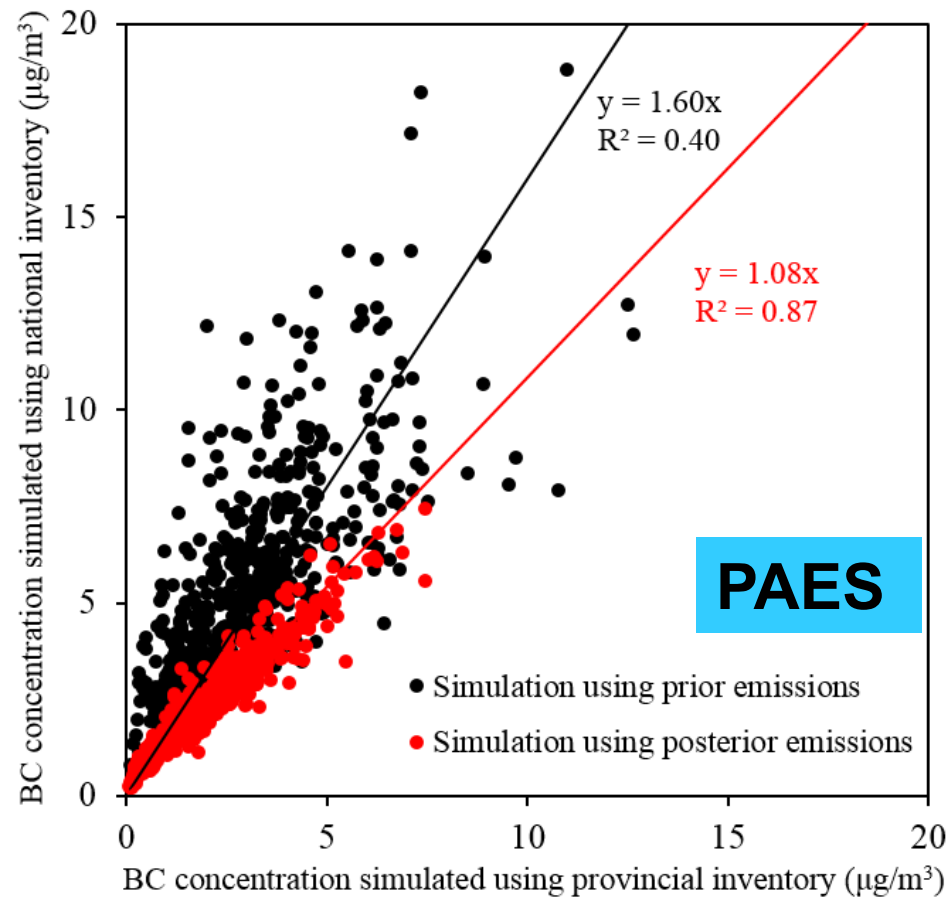
Discrepancies in prior emissions



Discrepancies in posterior emissions

# Results and discussion – Impacts of emissions

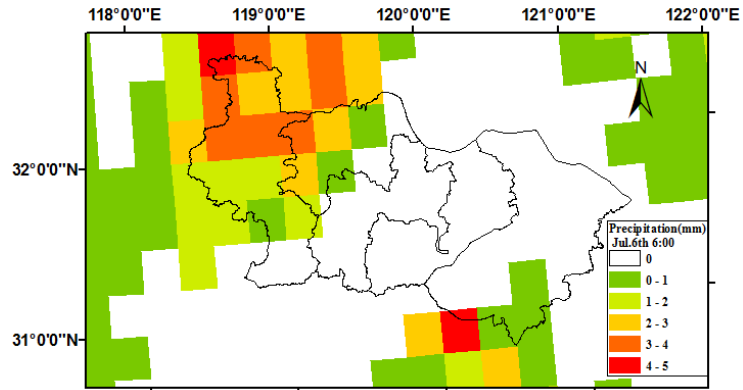
## The impacts of prior emissions were limited



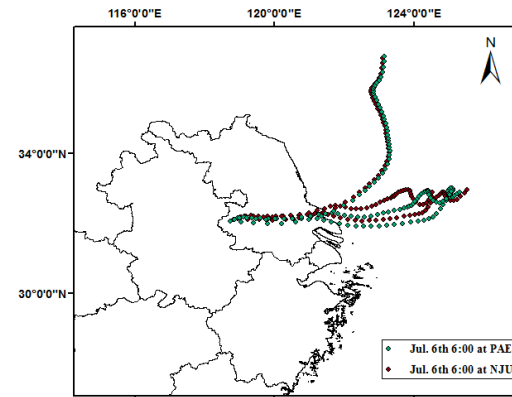
Modelling results of posterior inventories were closer than those of prior ones

# Results and discussion - Impacts of precipitation

## TRMM (Tropical Rainfall Measuring Mission)

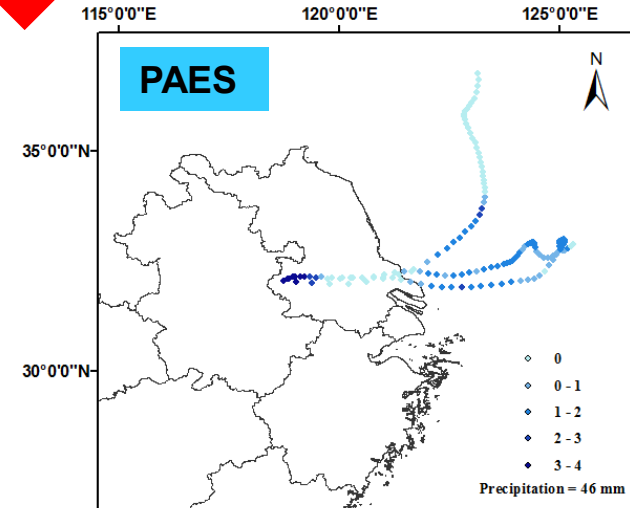
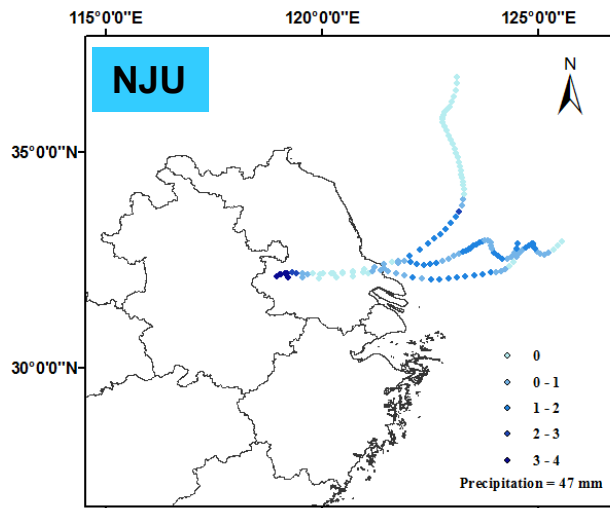


## HYSPLIT



Precipitation with a temporal resolution of 3 h

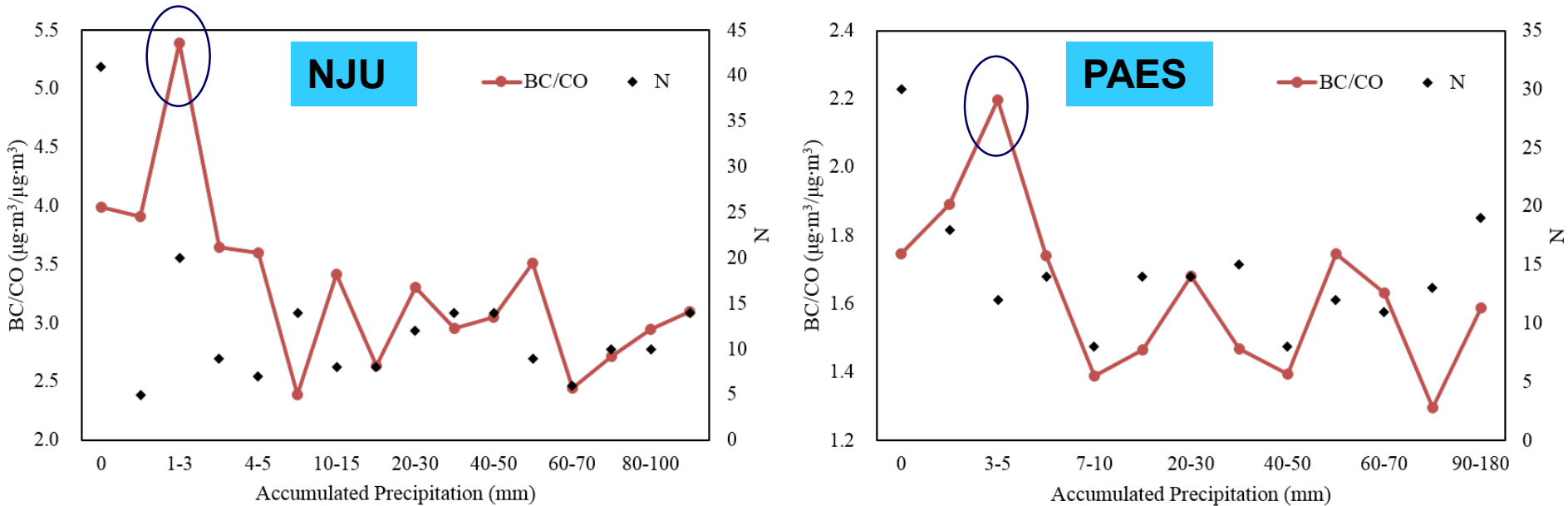
48 h back trajectories at NJU and PAES



Hourly accumulative precipitation along the 48 h back trajectories

# Results and discussion - Impacts of precipitation

The  $\Delta BC/\Delta CO$  ratio at the two sites separated by different accumulated precipitation along the back trajectories during 48 h



**Data screening:** We exclude BC-CO data pairs receiving more than 3 mm (NJU) and 5 mm (PAES) to minimize the effect of wet deposition and to retain sufficient data points for statistical significance

# Results and discussion - Impacts of precipitation

$$c_{\text{obs}} = \beta_1 c_{\text{industry}} + \beta_2 c_{\text{residential}} + \beta_3 c_{\text{transportation}} + \beta_4 c_{\text{power}} + \varepsilon$$
$$E_{\text{top-down}} = \beta_1 E_{\text{industry}} + \beta_2 E_{\text{residential}} + \beta_3 E_{\text{transportation}} + \beta_4 E_{\text{power}} + \varepsilon$$

	Factor ( $\beta$ )	t	RD (%)
Power			0.0
Industry	0.38	2.38	9.5
Residential	0.31	0.31	-20.6
Transportation	0.75	1.8	36.4
<b>Sum</b>			<b>13.4</b>

RD: relative difference from the estimates without data screening

**The impact of precipitation was moderate;**

**More effects on emission sources of relatively large uncertainty**

# Conclusions

- **Black carbon emissions** in southern Jiangsu city cluster were constrained combining chemistry transport model and available ground measurements with a multiple regression model.
- The modeling performance was improved with the constrained emissions. Reduced emissions from constraint **implied the effectiveness of emission control** in recent years.
- Uncertainty from **the a prior inventory** and **non-linearity between emissions and concentrations** was limited. Emissions could be better constrained if more available measurements are included.



# Thanks for attention!



## For More Information:

<http://www.airqualitynju.com/>

Zhao et al., *Atmos Chem Phys*, 19, 2095, 2019

Zhou et al., *Atmos Chem Phys*, 17, 211, 2017

Cui et al., *Atmos Chem Phys*, 15, 8657, 2015



[yuzhao@nju.edu.cn](mailto:yuzhao@nju.edu.cn)