Date:

This document should accompany a Universal Biological Materials Transfer Agreement (UBMTA) implementation letter for those ORD centers that have signed on to the UBMTA. Please provide this document to the Federal Technology Transfer Act (FTTA) staff when submitting the implementation letter for review.

## HUMAN SUBJECTS AND DUAL USE RESEARCH OF CONCERN

This Research Material may not be used in human subjects. The Research Material will be used only for research purposes by Recipient's investigator in his/her laboratory, for the research project described below, under suitable containment conditions. This Research Material will not be used for screening, production, or sale, for which a commercialization license may be required. Recipient agrees to comply with all Federal rules and regulations applicable to the Research Project and the handling of the Research Material.

**EPA ONLY:** If the data or material that are being transferred constitute human subjects research, please visit the following intranet site to determine if your project needs review and approval by the HSRRO: <a href="https://intranet.ord.epa.gov/human-subject-research/hsr-projects-review">https://intranet.ord.epa.gov/human-subject-research/hsr-projects-review</a>

https://intranet.ord.epa.gov/human-subject-research/hsr-projects-review
☐ There is no Human Subjects material being used in this research.
☐ Research Plan reviewed and approval by HSRRO:  Name Date:
If the data or material that are being transferred involve life sciences research, or more specifically any of the select agents or toxins listed and/or the definitions provided in EPA Order 1000.19 <i>Policy and Procedures for Managing Dual Use Research of Concern</i> , then Principal Investigators should consult EPA's Institutional Contact for Dual Use Research of Concern (ICDUR) at <a href="DURC@epa.gov">DURC@epa.gov</a> before completing the following section. If not, then check the first box below.
☐ This research does not meet any of the definitions of Dual Use Research of Concern (DURC) and no additional review or oversight are required. The PI must report to the ICDUR any results or changes in the research that meet any of the definitions of DURC.
☐ This research meets one or more definitions of DURC and requires additional oversight under the <i>USG Policy for Institutional Oversight of DURC</i> . The parties to this Agreement are required to comply with EPA Order 1000.19, <i>Policy and Procedures for Managing Dual Use Research of Concern</i> .
For information about DURC and EPA Order 1000.19, please visit: <a href="http://intranet.ord.epa.gov/homeland-security/dual-use-research-concern-durc-policies">http://intranet.ord.epa.gov/homeland-security/dual-use-research-concern-durc-policies</a>
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