## ANNEX 3 Methodological Descriptions for Additional Source or Sink Categories

# 3.1. Methodology for Estimating Emissions of CH<sub>4</sub>, N<sub>2</sub>O, and Indirect Greenhouse Gases from Stationary Combustion

#### Estimates of CH<sub>4</sub> and N<sub>2</sub>O Emissions

Methane ( $CH_4$ ) and nitrous oxide ( $N_2O$ ) emissions from stationary combustion were estimated using methods from the Intergovernmental Panel on Climate Change (IPCC). Estimates were obtained by multiplying emission factors by sector and fuel type—by fossil fuel and wood consumption data. This "top-down" methodology is characterized by two basic steps, described below. Data are presented in Table A-73 through Table A-78.

#### Step 1: Determine Energy Consumption by Sector and Fuel Type

Energy consumption from stationary combustion activities was grouped by sector: industrial, commercial, residential, electric power, and U.S. Territories. For CH<sub>4</sub> and N<sub>2</sub>O emissions from industrial, commercial, residential, and U.S. Territories, estimates were based upon consumption of coal, gas, oil, and wood. Energy consumption and wood consumption data for the United States were obtained from the Energy Information Administration's (EIA) *Monthly Energy Review, November 2020* (EIA 2020). Because the United States does not include U.S. Territories in its national energy statistics, fuel consumption data for U.S. Territories were collected from EIA's International Energy Statistics database (EIA 2017) and Jacobs (2010).<sup>46</sup> Fuel consumption for the industrial sector was adjusted to subtract out construction and agricultural use, which is reported under mobile sources.<sup>47</sup> Construction and agricultural fuel use was obtained from EPA (2019) and the Federal Highway Administration (FHWA) (1996 through 2019). The energy consumption data by sector were then adjusted from higher to lower heating values by multiplying by 0.90 for natural gas and wood and by 0.95 for coal and petroleum fuel. This is a simplified convention used by the International Energy Agency (IEA). Table A-73 provides annual energy consumption data for the years 1990 through 2019.

In this Inventory, the energy consumption estimation methodology for the electric power sector used a Tier 2 methodology as fuel consumption by technology-type for the electric power sector was estimated based on the Acid Rain Program Dataset (EPA 2021). Total fuel consumption in the electric power sector from EIA (2020) was apportioned to each combustion technology type and fuel combination using a ratio of fuel consumption by technology type derived from EPA (2021) data. The combustion technology and fuel use data by facility obtained from EPA (2021) were only available from 1996 to 2019, so the consumption estimates from 1990 to 1995 were estimated by applying the 1996 consumption ratio by combustion technology type from EPA (2021) to the total EIA (2020) consumption for each year from 1990 to 1995.

#### Step 2: Determine the Amount of CH<sub>4</sub> and N<sub>2</sub>O Emitted

Activity data for industrial, commercial, residential, and U.S. Territories and fuel type for each of these sectors were then multiplied by default Tier 1 emission factors to obtain emission estimates. Emission factors for the residential, commercial, and industrial sectors were taken from the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC 2006). These N<sub>2</sub>O emission factors by fuel type (equivalent across sectors) were also assumed for U.S. Territories. The CH<sub>4</sub> emission factors by fuel type for U.S. Territories were estimated based on the emission factor for the primary sector in which each fuel was combusted. Table A-74 provides emission factors used for each sector and fuel type. For the electric power sector, emissions were estimated by multiplying fossil fuel and wood consumption by technology- and fuel-specific Tier 2 IPCC emission factors shown in Table A-75. Emission factors were taken from U.S. EPA publications on

 $<sup>^{46}</sup>$  U.S. Territories data also include combustion from mobile activities because data to allocate U.S. Territories' energy use were unavailable. For this reason, CH<sub>4</sub> and N<sub>2</sub>O emissions from combustion by U.S. Territories are only included in the stationary combustion totals.

<sup>&</sup>lt;sup>47</sup> Though emissions from construction and farm use occur due to both stationary and mobile sources, detailed data was not available to determine the magnitude from each. Currently, these emissions are assumed to be predominantly from mobile sources.

emissions rates for combustion sources, and EPA's Compilation of Air Pollutant Emission Factors, AP-42 (EPA 1997) for combined cycle natural gas units. The EPA factors were in large part used in the 2006 IPCC Guidelines as the factors presented.

#### Estimates of NO<sub>x</sub>, CO, and NMVOC Emissions

Emissions estimates for NO<sub>x</sub>, CO, and NMVOCs were obtained from data published on the National Emission Inventory (NEI) Air Pollutant Emission Trends web site (EPA 2020b) and disaggregated based on EPA (2003).

For indirect greenhouse gases, the major source categories included coal, fuel oil, natural gas, wood, other fuels (i.e., bagasse, liquefied petroleum gases, coke, coke oven gas, and others), and stationary internal combustion, which includes emissions from internal combustion engines not used in transportation. EPA periodically estimates emissions of NO<sub>x</sub>, CO, and NMVOCs by sector and fuel type using a "bottom-up" estimating procedure. In other words, the emissions were calculated either for individual sources (e.g., industrial boilers) or for many sources combined, using basic activity data (e.g., fuel consumption or deliveries) as indicators of emissions. The national activity data used to calculate the individual categories were obtained from various sources. Depending upon the category, these activity data may include fuel consumption or deliveries of fuel, tons of refuse burned, raw material processed, etc. Activity data were used in conjunction with emission factors that relate the quantity of emissions to the activity.

The basic calculation procedure for most source categories presented in EPA (2003) and EPA (2020) is represented by the following equation:

$$E_{p,s} = A_s \times EF_{p,s} \times (1 - C_{p,s}/100)$$

where,

E	=	Emissions
р	=	Pollutant
S	=	Source category
А	=	Activity level
EF	=	Emission factor
С	=	Percent control efficiency

EPA currently derives the overall emission control efficiency of a category from a variety of sources, including published reports, the 1985 National Acid Precipitation and Assessment Program (NAPAP) emissions inventory, and other EPA databases. The U.S. approach for estimating emissions of NO<sub>x</sub>, CO, and NMVOCs from stationary combustion as described above is similar to the methodology recommended by IPCC.

Fuel/End-Use																
Sector	1990	1995	2	000	2	)05	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Coal	19,637	20,912	23,	087	22,	966	20,733	19,535	16,941	17,828	17,806	15,455	14,268	13,772	13,155	11,115
Residential	31	17		11		8	0	0	0	0	0	0	0	0	0	0
Commercial	124	117		92		97	70	62	44	41	40	31	24	21	19	17
Industrial	1,668	1,557	1,	362	1,	246	993	906	823	837	833	734	662	614	569	517
Electric Power	17,807	19,216	21,	618	21,	582	19,633	18,531	16,038	16,919	16,889	14,645	13,547	13,110	12,540	10,553
U.S. Territories <sup>a</sup>	5	5		5		33	37	37	37	31	44	45	35	27	27	27
Petroleum	6,089	5,627	6,	486	6,	758	5,035	4,776	4,457	4,549	4,137	4,614	4,265	4,017	4,132	4,140
Residential	1,376	1,259	1,	425	1,	366	1,103	1,034	833	917	1,003	939	799	766	944	904
Commercial	1,023	724		767		761	698	670	551	581	558	938	834	809	733	787
Industrial	2,599	2,460	2,	449	2,	915	2,412	2,407	2,413	2,568	2,124	2,261	2,204	2,101	2,093	2,137
Electric Power	797	860	1,	269	1,	003	412	273	288	185	157	173	159	71	93	42
U.S. Territories <sup>a</sup>	295	324		575		712	410	392	373	299	296	304	268	269	269	269
Natural Gas	17,255	19,340	20,	923	20,	37	22,913	23,315	24,605	25,130	25,924	26,536	26,565	26,137	28,943	29,965
Residential	4,487	4,954	5,	105	4,	946	4,878	4,805	4,242	5,023	5,242	4,777	4,506	4,563	5,174	5,205
Commercial	2,680	3,096	3,	252	3,	)73	3,165	3,216	2,960	3,380	3,572	3,316	3,224	3,273	3,638	3,645
Industrial	7,713	8,726	8,	659	7,	331	7,685	7,871	8,196	8,513	8,818	8,679	8,769	8,872	9,335	9,515
Electric Power	2,376	2,564	3,	894	5,	562	7,157	7,396	9,158	8,156	8,231	9,707	10,003	9,381	10,747	11,552
U.S. Territories <sup>a</sup>	0	0		13		24	28	27	49	58	61	57	64	48	48	48
Wood	2,095	2,252	2,	138	1,	963	2,046	2,055	1,989	2,160	2,209	2,127	2,057	2,014	2,105	2,094
Residential	580	520		420		130	541	524	438	572	579	513	442	425	517	529
Commercial	66	72		71		70	72	69	61	70	76	79	84	84	84	84
Industrial	1,442	1,652	1,	636	1,	152	1,409	1,438	1,462	1,489	1,495	1,476	1,474	1,442	1,438	1,413
Electric Power	7	8		11		11	25	24	28	30	60	59	57	62	66	68
U.S. Territories	NE	NE		NE		NE	NE	NE	NE	NE	NE	NE	NE	NE	NE	NE

Table A-73: Fuel Consumption by Stationary Combustion for Calculating CH<sub>4</sub> and N<sub>2</sub>O Emissions (TBtu)

NE (Not Estimated)

<sup>a</sup> U.S. Territories coal is assumed to be primarily consumed in the electric power sector, natural gas in the industrial sector, and petroleum in the transportation sector.

Fuel/End-Use Sector	CH <sub>4</sub>	N₂O
Coal		
Residential	300	1.5
Commercial	10	1.5
Industrial	10	1.5
U.S. Territories	1	1.5
Petroleum		
Residential	10	0.6
Commercial	10	0.6
Industrial	3	0.6
U.S. Territories	5	0.6
Natural Gas		
Residential	5	0.1
Commercial	5	0.1
Industrial	1	0.1
U.S. Territories	1	0.1
Wood		
Residential	300	4.0
Commercial	300	4.0
Industrial	30	4.0
U.S. Territories	NA	NA
NIA (Net Analizabla)		

#### Table A-74: CH<sub>4</sub> and N<sub>2</sub>O Emission Factors by Fuel Type and Sector (g/GJ)<sup>a</sup>

NA (Not Applicable)

<sup>a</sup> GJ (Gigajoule) =  $10^9$  joules. One joule =  $9.486 \times 10^{-4}$  Btu.

### Table A-75: CH<sub>4</sub> and N<sub>2</sub>O Emission Factors by Technology Type and Fuel Type for the Electric Power Sector (g/GJ)<sup>a</sup>

Technology	Configuration	CH <sub>4</sub>	N <sub>2</sub> O
Liquid Fuels			
Residual Fuel Oil/Shale Oil Boilers	Normal Firing	0.8	0.3
	Tangential Firing	0.8	0.3
Gas/Diesel Oil Boilers	Normal Firing	0.9	0.4
	Tangential Firing	0.9	0.4
Large Diesel Oil Engines >600 hp (447kW)		4.0	NA
Solid Fuels			
Pulverized Bituminous Combination Boilers	Dry Bottom, wall fired	0.7	5.8
	Dry Bottom, tangentially fired	0.7	1.4
	Wet bottom	0.9	1.4
Bituminous Spreader Stoker Boilers	With and without re-injection	1.0	0.7
Bituminous Fluidized Bed Combustor	Circulating Bed	1.0	61
	Bubbling Bed	1.0	61
Bituminous Cyclone Furnace		0.2	0.6
Lignite Atmospheric Fluidized Bed		NA	71
Natural Gas			
Boilers		1.0	0.3
Gas-Fired Gas Turbines >3MW		3.7	1.3
Large Dual-Fuel Engines		258	NA
Combined Cycle		3.7	1.3
Peat			
Peat Fluidized Bed Combustion	Circulating Bed	3.0	7.0
	Bubbling Bed	3.0	3.0
Biomass			
Wood/Wood Waste Boilers		11.0	7.0
Wood Recovery Boilers		1.0	1.0

<sup>a</sup> Ibid.

Sector/Fuel Type	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Electric Power	6,045	5,792	4,829	3,434	2,226	1,893	1,779	1,666	1,603	1,419	1,234	1,049	1,032	902
Coal	5,119	5,061	4,130	2,926	1,896	1,613	1,516	1,419	1,366	1,209	1,051	894	879	769
Fuel Oil	200	87	147	114	74	63	59	55	53	47	41	35	34	30
Natural gas	513	510	376	250	162	138	129	121	117	103	90	76	75	66
Wood	NA	NA	36	29	19	16	15	14	13	12	10	9	9	8
Other Fuels <sup>a</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Internal Combustion	213	134	140	115	75	63	60	56	54	48	41	35	35	30
Industrial	2,559	2,650	2,278	1,515	1,087	1,048	1,016	984	952	921	890	859	859	859
Coal	530	541	484	342	245	237	229	222	215	208	201	194	194	194
Fuel Oil	240	224	166	101	73	70	68	66	64	62	60	57	57	57
Natural gas	877	999	710	469	336	324	314	305	295	285	275	266	266	266
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels <sup>a</sup>	119	111	109	76	55	53	51	50	48	46	45	43	43	43
Internal Combustion	792	774	809	527	378	364	353	342	331	320	309	299	299	299
Commercial	671	607	507	490	456	548	535	521	448	444	440	537	537	537
Coal	36	35	21	19	15	15	14	14	14	14	13	13	13	13
Fuel Oil	88	94	52	49	38	37	37	37	36	35	34	33	33	33
Natural gas	181	210	161	155	120	118	117	116	115	112	108	105	105	105
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels <sup>a</sup>	366	269	273	267	284	378	366	354	283	283	284	386	386	386
Residential	749	813	439	418	324	318	315	312	310	301	292	283	283	283
Coal <sup>b</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Fuel Oil <sup>b</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Natural Gas <sup>b</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Wood	42	44	21	20	16	16	15	15	15	15	14	14	14	14
Other Fuels <sup>a</sup>	707	769	417	398	308	302	300	297	295	286	278	269	269	269
Total	10,023	9,862	8,053	5,858	4,092	3,807	3 <i>,</i> 645	3,483	3,313	3,084	2,856	2,728	2,711	2,581

Table A-76: NOx Emissions from Stationary Combustion (kt)

NA (Not Applicable)

<sup>a</sup> Other Fuels include LPG, waste oil, coke oven gas, coke, and non-residential wood (EPA 2019).

<sup>b</sup> Residential coal, fuel oil, and natural gas emissions are included in the Other Fuels category (EPA 2019).

Sector/Fuel Type	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Electric Power	329	337	439	582	693	710	694	678	661	618	575	532	532	532
Coal	213	227	221	292	347	356	348	340	331	310	288	267	267	267
Fuel Oil	18	9	27	37	44	45	44	43	42	39	36	34	34	34
Natural gas	46	49	96	122	145	149	146	142	139	130	121	112	112	112
Wood	NA													
Other Fuels <sup>a</sup>	NA	NA	31	43	51	52	51	50	48	45	42	39	39	39
Internal Combustion	52	52	63	89	106	108	106	104	101	94	88	81	81	81
Industrial	797	958	1,106	1,045	853	872	861	851	840	806	771	737	737	737
Coal	95	88	118	115	94	96	95	94	93	89	85	81	81	81
Fuel Oil	67	64	48	42	34	35	34	34	33	32	31	29	29	29
Natural gas	205	313	355	336	274	281	277	274	270	259	248	237	237	237
Wood	NA													
Other Fuels <sup>a</sup>	253	270	300	295	241	247	244	241	238	228	218	208	208	208
Internal Combustion	177	222	285	257	209	214	212	209	206	198	189	181	181	181
Commercial	205	211	151	166	140	142	134	127	120	124	128	133	133	133
Coal	13	14	14	14	12	12	12	11	10	11	11	12	12	12
Fuel Oil	16	17	17	19	16	16	15	14	13	14	14	15	15	15
Natural gas	40	49	83	91	77	78	74	70	66	68	71	73	73	73
Wood	NA													
Other Fuels <sup>a</sup>	136	132	36	41	35	35	34	32	30	31	32	33	33	33
Residential	3,668	3,877	2,644	2,856	2,416	2,446	2,319	2,192	2,065	2,140	2,215	2,291	2,291	2,291
Coal <sup>b</sup>	NA													
Fuel Oil <sup>b</sup>	NA													
Natural Gas <sup>b</sup>	NA													
Wood	3,430	3,629	2,416	2,615	2,212	2,239	2,123	2,007	1,890	1,959	2,028	2,097	2,097	2,097
Other Fuels <sup>a</sup>	238	248	228	241	204	207	196	185	174	181	187	193	193	193
Total	5,000	5,383	4,340	4,648	4,103	4,170	4,009	3,847	3,686	3,688	3,690	3,692	3,692	3,692

Table A-77: CO Emissions from Stationary Combustion (kt)

NA (Not Applicable)

<sup>a</sup> Other Fuels include LPG, waste oil, coke oven gas, coke, and non-residential wood (EPA 2019).

<sup>b</sup> Residential coal, fuel oil, and natural gas emissions are included in the Other Fuels category (EPA 2019).

Sector/Fuel Type	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Electric Power	43	40	56	44	38	37	36	35	34	33	31	29	29	29
Coal	24	26	27	21	18	18	17	17	16	16	15	14	14	14
Fuel Oil	5	2	4	3	3	3	3	3	3	3	2	2	2	2
Natural Gas	2	2	12	10	8	8	8	8	8	7	7	6	6	6
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels <sup>a</sup>	NA	NA	2	1	1	1	1	1	1	1	1	1	1	1
Internal Combustion	11	9	11	8	7	7	7	7	7	6	6	6	6	6
Industrial	165	187	157	120	100	101	101	100	99	100	101	101	101	101
Coal	7	5	9	8	7	7	7	7	7	7	7	7	7	7
Fuel Oil	11	11	9	6	5	5	5	5	5	5	5	5	5	5
Natural Gas	52	66	53	41	34	34	34	34	34	34	34	34	34	34
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels <sup>a</sup>	46	45	27	22	18	19	19	18	18	18	19	19	19	19
Internal Combustion	49	60	58	43	36	36	36	36	35	36	36	36	36	36
Commercial	18	21	28	33	40	42	40	39	35	36	36	47	47	47
Coal	1	1	1	1	+	+	+	+	+	+	+	+	+	+
Fuel Oil	3	3	4	2	2	2	2	2	1	1	1	1	1	1
Natural Gas	7	10	14	9	7	7	7	6	6	6	6	6	6	6
Wood	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other Fuels <sup>a</sup>	8	8	9	22	31	32	31	31	28	28	28	40	40	40
Residential	686	725	837	518	399	419	389	358	327	324	322	319	319	319
Coal <sup>b</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Fuel Oil <sup>b</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Natural Gas <sup>b</sup>	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Wood	651	688	809	502	386	406	376	346	317	314	311	308	308	308
Other Fuels <sup>a</sup>	35	37	27	17	13	14	13	12	11	11	10	10	10	10
Total	912	973	1,077	716	576	599	566	532	497	493	489	496	496	496

Table A-78: NMVOC Emissions from Stationary Combustion (kt)

+ Does not exceed 0.5 kt.

NA (Not Applicable)

<sup>a</sup> "Other Fuels" include LPG, waste oil, coke oven gas, coke, and non-residential wood (EPA 2019).

<sup>b</sup> Residential coal, fuel oil, and natural gas emissions are included in the "Other Fuels" category (EPA 2019).

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# **3.2.** Methodology for Estimating Emissions of CH<sub>4</sub>, N<sub>2</sub>O, and Indirect Greenhouse Gases from Mobile Combustion and Methodology for and Supplemental Information on Transportation-Related Greenhouse Gas Emissions

#### Estimating CO<sub>2</sub> Emissions by Transportation Mode

Transportation-related CO<sub>2</sub> emissions, as presented in the CO<sub>2</sub> Emissions from Fossil Fuel Combustion section of the Energy chapter, were calculated using the methodology described in Annex 2.1. This section provides additional information on the data sources and approach used for each transportation fuel type. As noted in Annex 2.1, CO<sub>2</sub> emissions estimates for the transportation sector were calculated directly for on-road diesel fuel and motor gasoline based on data sources for individual modes of transportation (considered a bottom up approach). For most other fuel and energy types (aviation gasoline, residual fuel oil, natural gas, LPG, and electricity), CO<sub>2</sub> emissions were calculated based on transportation sector-wide fuel consumption estimates from the Energy Information Administration (EIA 2020a and EIA 2020d) and apportioned to individual modes (considered a "top down" approach). Carbon dioxide emissions from commercial jet fuel use are obtained directly from the Federal Aviation Administration (FAA 2021), while CO<sub>2</sub> emissions from other aircraft jet fuel consumption is determined using a top down approach.

Based on interagency discussions between EPA, EIA, and FHWA beginning in 2005, it was agreed that use of "bottom up" data would be more accurate for diesel fuel and motor gasoline consumption in the transportation sector, based on the availability of reliable data sources. A "bottom up" diesel calculation was first implemented in the 1990 through 2005 Inventory, and a bottom-up gasoline calculation was introduced in the 1990 through 2006 Inventory for the calculation of emissions from on-road vehicles. Estimated motor gasoline and diesel consumption data for on-road vehicles by vehicle type come from FHWA's *Highway Statistics*, Table VM-1 (FHWA 1996 through 2019),<sup>48</sup> and are based on federal and state fuel tax records. These fuel consumption estimates were then combined with estimates of fuel shares by vehicle type from DOE's Transportation Energy Data Book Annex Tables A.1 through A.6 (DOE 1993 through 2018) to develop an estimate of fuel consumption for each vehicle type (i.e., passenger cars, light-duty trucks, buses, medium- and heavy-duty trucks, motorcycles). The on-road gas and diesel fuel consumption across all on-road vehicle categories matched the fuel consumption estimates in *Highway Statistics*' Table MF-27 (FHWA 1996 through 2019). This resulted in a final "bottom up" estimate of motor gasoline and diesel fuel use by vehicle type, consistent with the FHWA total for on-road motor gasoline and diesel fuel use.

A primary challenge to switching from a top-down approach to a bottom-up approach for the transportation sector relates to potential incompatibilities with national energy statistics. From a multi-sector national standpoint, EIA develops the most accurate estimate of total motor gasoline and diesel fuel supplied and consumed in the United States. EIA then allocates this total fuel consumption to each major end-use sector (residential, commercial, industrial and transportation) using data from the *Fuel Oil and Kerosene Sales* (FOKS) report for distillate fuel oil and FHWA for motor gasoline. However, the "bottom-up" approach used for the on-road and non-road fuel consumption estimate, as described above, is considered to be the most representative of the transportation sector's share of the EIA total consumption. Therefore, for years in which there was a disparity between EIA's fuel allocation estimate for the transportation sector and the "bottom-up" estimate, adjustments were made to other end-use sector fuel allocations (residential, commercial and industrial) in order for the consumption of all sectors combined to equal the "top-down" EIA value.

In the case of motor gasoline, estimates of fuel use by recreational boats come from the Nonroad component of EPA's MOVES2014b model (EPA 2019a), and these estimates, along with those from other sectors (e.g., commercial sector, industrial sector), were adjusted for years in which the bottom-up on-road motor gasoline consumption estimate exceeded the EIA estimate for total gasoline consumption of all sectors. Similarly, to ensure consistency with EIA's total

<sup>&</sup>lt;sup>48</sup> In 2011 FHWA changed its methods for estimating vehicle miles traveled (VMT) and related data. These methodological changes included how vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. These changes were first incorporated for the 1990 through 2008 Inventory and applied to the 2007 to 2019 time period. This resulted in large changes in VMT and fuel consumption data by vehicle class, thus leading to a shift in emissions among on-road vehicle classes. For example, the category "Passenger Cars" has been replaced by "Light-duty Vehicles-Short Wheelbase" and "Other 2 axle-4 Tire Vehicles" has been replaced by "Light-duty Vehicles, Long Wheelbase." This change in vehicle classification has moved some smaller trucks and sport utility vehicles from the light truck category to the passenger vehicle category in this emission inventory. These changes are reflected in a large drop in light-truck emissions between 2006 and 2007.

diesel estimate for all sectors, the diesel consumption totals for the residential, commercial, and industrial sectors were adjusted proportionately.

Estimates of diesel fuel consumption from rail were taken from: the Association of American Railroads (AAR 2008 through 2019) for Class I railroads, the American Public Transportation Association (APTA 2007 through 2019 and APTA 2006) and Gaffney (2007) for commuter rail, the Upper Great Plains Transportation Institute (Benson 2002 through 2004), Whorton (2006 through 2014), and Railinc (2014 through 2019) for Class II and III railroads, and the U.S. Department of Energy's *Transportation Energy Data Book* (DOE 1993 through 2018) for passenger rail. Class II and III railroad diesel consumption is estimated by applying the historical average fuel usage per carload factor to yearly carloads.

Estimates of diesel fuel consumption from ships and boats were taken from EIA's *Fuel Oil and Kerosene Sales* (1991 through 2019).

As noted above, for fuels other than motor gasoline and diesel, EIA's transportation sector total was apportioned to specific transportation sources. For jet fuel, estimates come from: FAA (2021) for domestic and international commercial aircraft, and DLA Energy (2020) for domestic and international military aircraft. General aviation jet fuel consumption is calculated as the difference between total jet fuel consumption as reported by EIA and the total consumption from commercial and military jet fuel consumption. Commercial jet fuel CO<sub>2</sub> estimates are obtained directly from the Federal Aviation Administration (FAA 2021), while CO<sub>2</sub> emissions from domestic military and general aviation jet fuel consumption is determined using a top down approach. Domestic commercial jet fuel CO<sub>2</sub> from FAA is subtracted from total domestic jet fuel CO<sub>2</sub> emissions, and this remaining value is apportioned among domestic military and domestic general aviation based on their relative proportion of energy consumption. Estimates for biofuels, including ethanol and biodiesel, were discussed separately in Section 3.2 Carbon Emitted from Non-Energy Uses of Fossil Fuels under the methodology for Estimating CO<sub>2</sub> from Fossil Combustion, and in Section 3.11 Wood Biomass and Ethanol Consumption, and were not apportioned to specific transportation sources. Consumption estimates for biofuels were calculated based on data from the Energy Information Administration (EIA 2020a).

Table A-79 displays estimated fuel consumption by fuel and vehicle type. Table A-80 displays estimated energy consumption by fuel and vehicle type. The values in both tables correspond to the figures used to calculate CO<sub>2</sub> emissions from transportation. Except as noted above, they are estimated based on EIA transportation sector energy estimates by fuel type, with activity data used to apportion fuel consumption to the various modes of transport. The motor gasoline and diesel fuel consumption volumes published by EIA and FHWA include ethanol blended with gasoline and biodiesel blended with diesel. Biofuels blended with conventional fuels were subtracted from these consumption totals in order to be consistent with IPCC methodological guidance and UNFCCC reporting obligations, for which net carbon fluxes in biogenic carbon reservoirs in croplands are accounted for in the estimates for Land Use, Land-Use Change and Forestry chapter, not in Energy chapter totals. Ethanol fuel volumes were removed from diesel fuel consumption volumes for years 2001 through 2019, as there was negligible use of biodiesel as a diesel blending competent prior to 2001. The subtraction or removal of biofuels blended into motor gasoline and diesel were conducted following the methodology outlined in Step 2 ("Remove Biofuels from Petroleum") of the EIA's *Monthly Energy Review* (MER) Section 12 notes.

In order to remove the volume of biodiesel blended into diesel fuel, the 2009 to 2019 biodiesel and renewable diesel fuel consumption estimates from EIA (2020a) were subtracted from the transportation sector's total diesel fuel consumption volume (for both the "top-down" EIA and "bottom-up" FHWA estimates). To remove the ethanol blended into motor gasoline, ethanol energy consumption data sourced from MER *Table 10.2b - Renewable Energy Consumption: Industrial and Transportation Sectors* (EIA 2020a) were subtracted from the total EIA and FHWA transportation motor gasoline energy consumption estimates. Total ethanol and biodiesel consumption estimates are shown separately in Table A-81.<sup>49</sup>

<sup>&</sup>lt;sup>49</sup> Note that the refinery and blender net volume inputs of renewable diesel fuel sourced from EIA's Petroleum Supply Annual (PSA) differs from the biodiesel volume presented in Table A-81. The PSA data is representative of the amount of biodiesel that refineries and blenders added to diesel fuel to make low level biodiesel blends. This is the appropriate value to subtract from total diesel fuel volume, as it represents the amount of biofuel blended into diesel to create low-level biodiesel blends. The biodiesel consumption value presented in Table A-79 is representative of the total biodiesel consumed and includes biodiesel components in all types of fuel formulations, from low level (<5%) to high level (6–20%, 100%) blends of biodiesel. This value is sourced from MER Table 10.4 and is calculated as biodiesel production plus biodiesel net imports minus biodiesel stock exchange.

Fuel/Vehicle Type	1990	1995	2000	2009 ª	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Motor Gasoline <sup>b,c</sup>	107,651	114,119	125,232	120,888	119,829	117,229	116,810	116,960	121,472	120,631	123,482	123,079	124,886	123,709
Passenger Cars	67,846	65,554	70,380	81,706	81,012	80,445	80,326	80,369	82,325	82,532	83,979	83 <i>,</i> 898	85,233	84,495
Light-Duty Trucks	33,745	42,806	49,046	32,591	32,376	30,780	30,459	30,510	32,938	31,959	33,214	32,793	33,114	32,909
Motorcycles	189	193	203	455	400	390	447	426	425	413	430	421	427	407
Buses	38	40	42	82	80	78	90	93	101	99	99	107	119	114
Medium- and Heavy-Duty Trucks	4,230	3,928	3,956	4,672	4,646	4,267	4,245	4,341	4,486	4,432	4,556	4,648	4,774	4,564
Recreational Boats <sup>d</sup>	1,604	1,598	1,606	1,382	1,315	1,270	1,243	1,220	1,196	1,197	1,205	1,211	1,218	1,220
Distillate Fuel Oil (Diesel Fuel) <sup>b,c</sup>	25,631	31,605	39,241	39,618	41,309	41,646	41,540	41,850	43,283	44,491	44,193	45,585	46,697	46,784
Passenger Cars	771	765	356	352	366	393	396	391	401	415	414	419	424	444
Light-Duty Trucks	1,119	1,452	1,961	1,173	1,222	1,258	1,256	1,241	1,340	1,345	1,370	1,370	1,379	1,447
Buses	781	851	997	1,326	1,320	1,398	1,497	1,495	1,629	1,656	1,620	1,743	1,860	1,877
Medium- and Heavy-Duty Trucks	18,574	23,241	30,180	32,158	33,546	33,351	33 <i>,</i> 470	33,763	34,899	35 <i>,</i> 668	35 <i>,</i> 933	37,147	38,016	38 <i>,</i> 392
Recreational Boats	267	269	270	269	263	254	252	246	245	257	264	270	277	283
Ships and Non-Recreational Boats	658	1,164	1,372	835	809	1,076	832	842	720	1,281	1,064	980	914	735
Rail <sup>e</sup>	3,461	3,864	4,106	3,506	3,783	3,915	3,838	3,872	4,049	3 <i>,</i> 868	3 <i>,</i> 528	3 <i>,</i> 655	3 <i>,</i> 828	3,606
Jet Fuel <sup>f</sup>	19,186	17,991	20,002	15,809	15,537	15,036	14,705	15,088	15,217	16,162	17,028	17,616	17,674	18,236
Commercial Aircraft	11,569	12,136	14,672	12,588	11,931	12,067	11,932	12,031	12,131	12,534	12,674	13,475	13,650	14,132
General Aviation Aircraft	4,034	3,360	3,163	1,787	2,322	1,895	1,659	2,033	1,786	2,361	3,184	2,984	2,910	2 <i>,</i> 987
Military Aircraft	3,583	2,495	2,167	1,434	1,283	1,074	1,114	1,024	1,300	1,267	1,170	1,156	1,114	1,118
Aviation Gasoline <sup>f</sup>	374	329	302	221	225	225	209	186	181	176	170	174	186	195
General Aviation Aircraft	374	329	302	221	225	225	209	186	181	176	170	174	186	195
Residual Fuel Oil <sup>f, g</sup>	2,006	2,587	2,963	1,241	1,818	1,723	1,410	1,345	517	378	1,152	1,465	1,246	1,309
Ships and Boats	2,006	2,587	2,963	1,241	1,818	1,723	1,410	1,345	517	378	1,152	1,465	1,246	1,309
Natural Gas <sup>f</sup> (trillion cubic feet)	0.7	0.7	0.7	0.7	0.7	0.7	0.8	0.9	0.7	0.7	0.7	0.8	0.9	1.0
Passenger Cars	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Light-Duty Trucks	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Medium- and Heavy-Duty Trucks	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Buses	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Pipelines	0.7	0.7	0.7	0.7	0.7	0.7	0.7	0.8	0.7	0.7	0.7	0.8	0.9	1.0
LPG <sup>f</sup>	251	194	130	307	81	79	78	76	78	72	78	78	84	82
Passenger Cars	1	0.9	0.6	4	0	0	0	0	1	4	3	0	0	0
Light-Duty Trucks	34	26	18	76	19	15	8	8	17	11	10	11	13	13
Medium- and Heavy-Duty Trucks	199	154	103	174	48	55	60	57	51	49	53	53	55	52
Buses	16	13	8	52	14	9	10	10	9	8	12	14	15	17
Electricity <sup>h,i</sup>	4,751	4,975	5,382	7,768	7,750	7,786	7,564	8,150	8,633	8,880	9,243	9,900	11,058	12,142
Passenger Cars	+	+	+	+	4	14	31	68	113	151	190	238	338	415
Light-Duty Trucks	+	+	+	+	+	0	1	1	2	3	17	32	51	64

 Table A-79: Fuel Consumption by Fuel and Vehicle Type (million gallons unless otherwise specified)

Buses	+	+	+	+	2	2	1	1	1	1	2	5	8	9
Rail	4,751	4,975	5,382	7,768	7,745	7,770	7,531	8,080	8,517	8,725	9,034	9,624	10,661	11,654

+ Does not exceed 0.05 trillion cubic feet

<sup>a</sup> In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007 to 2019 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in fuel consumption data by vehicle class between 2006 and 2007.

<sup>b</sup> Figures do not include ethanol blended in motor gasoline or biodiesel blended into distillate fuel oil. Net carbon fluxes associated with ethanol are accounted for in the Land Use, Land-Use Change and Forestry chapter. This table is calculated with the heat content for gasoline without ethanol (from Table A.1 in the EIA Monthly Energy Review) rather than the annually variable quantity-weighted heat content for gasoline with ethanol, which varies by year.

<sup>c</sup> Gasoline and diesel highway vehicle fuel consumption estimates are based on data from FHWA Highway Statistics Table MF-21, MF-27, and VM-1 (FHWA 1996 through 2019). Data from Table VM-1 is used to estimate the share of consumption between each on-road vehicle class. These fuel consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

<sup>d</sup> Fluctuations in recreational boat gasoline estimates reflect the use of this category to reconcile bottom-up values with EIA total gasoline estimates.

<sup>e</sup> Class II and Class III diesel consumption data for 2014-2019 is estimated by applying the historical average fuel usage per carload factor to the annual number of carloads. <sup>f</sup> Estimated based on EIA transportation sector energy estimates by fuel type, with bottom-up activity data used for apportionment to modes. Transportation sector natural gas and LPG consumption are based on data from EIA (2020a). In previous Inventory years, data from DOE TEDB was used to estimate each vehicle class's share of the total natural gas and LPG consumption. Since TEDB does not include estimates for natural gas use by medium and heavy-duty trucks or LPG use by passenger cars, EIA Alternative Fuel Vehicle Data (Browning 2017) is now used to determine each vehicle class's share of the total natural gas and LPG consumption. These changes were first incorporated in the 2016 Inventory and apply to the 1990 through 2019 time period.

<sup>g</sup> Fluctuations in reported fuel consumption may reflect data collection problems.

<sup>h</sup> Million kilowatt-hours

<sup>i</sup> Electricity consumption by passenger cars, light-duty trucks (SUVs), and buses is based on plug-in electric vehicle sales data and engine efficiencies, as outlined in Browning (2018a). In prior Inventory years, CO<sub>2</sub> emissions from electric vehicle charging were allocated to the residential and commercial sectors. They are now allocated to the transportation sector. These changes were first incorporated in the 2017 Inventory and applied to the 2010 through 2019 time period.

Fuel/Vehicle Type	1990	1995	2000	2009 a	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Motor Gasoline <sup>b,c</sup>	13,464	14,273	15,663	15,030	14,899	14,576	14,523	14,542	15,103	14,999	15,353	15,303	15,528	15,381
Passenger Cars	8,486	8,199	8,803	10,159	10,073	10,002	9 <i>,</i> 987	9 <i>,</i> 993	10,236	10,261	10,441	10,431	10,597	10,505
Light-Duty Trucks	4,221	5,354	6,134	4,052	4,025	3,827	3,787	3,793	4,095	3 <i>,</i> 974	4,130	4,077	4,117	4,092
Motorcycles	24	24	25	57	50	49	56	53	53	51	53	52	53	51
Buses	5	5	5	10	10	10	11	12	13	12	12	13	15	14
Medium- and Heavy-														
Duty Trucks	529	491	495	581	578	531	528	540	558	551	566	578	594	567
Recreational Boats <sup>d</sup>	201	200	201	172	163	158	155	152	149	149	150	151	151	152
Distillate Fuel Oil (Diesel														
Fuel) <sup>b,c</sup>	3,555	4,383	5,442	5,495	5,729	5,776	5,761	5 <i>,</i> 804	6,003	6,170	6,129	6,322	6,476	6,489
Passenger Cars	107	106	49	49	51	55	55	54	56	58	57	58	59	62
Light-Duty Trucks	155	201	272	163	170	174	174	172	186	186	190	190	191	201
Buses	108	118	138	184	183	194	208	207	226	230	225	242	258	260

Table A-80: Ener	y Consumption b	v Fuel and	Vehicle Type (	TBtu)
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Medium- and Heavy-														
Duty Trucks	2,576	3,223	4,186	4,460	4,652	4,625	4,642	4,683	4,840	4,947	4,984	5,152	5,272	5,325
Recreational Boats	37	37	37	37	36	35	35	34	34	36	37	37	38	39
Ships and Non-														
<b>Recreational Boats</b>	91	161	190	116	112	149	115	117	100	178	148	136	127	102
Rail <sup>e</sup>	480	536	569	486	525	543	532	537	562	536	489	507	531	500
Jet Fuel <sup>f</sup>	2,590	2,429	2,700	2,134	2,097	2,030	1,985	2,037	2,054	2,182	2,299	2,378	2,386	2,462
Commercial Aircraft	1,562	1,638	1,981	1,699	1,611	1,629	1,611	1,624	1,638	1,692	1,711	1,819	1,843	1,908
General Aviation														
Aircraft	545	454	427	241	314	256	224	274	241	319	430	403	393	403
Military Aircraft	484	337	293	194	173	145	150	138	175	171	158	156	150	151
Aviation Gasoline <sup>f</sup>	45	40	36	27	27	27	25	22	22	21	20	21	22	23
General Aviation														
Aircraft	45	40	36	27	27	27	25	22	22	21	20	21	22	23
Residual Fuel Oil <sup>f,g</sup>	300	387	443	186	272	258	211	201	77	57	172	219	186	196
Ships and Boats	300	387	443	186	272	258	211	201	77	57	172	219	186	196
Natural Gas <sup>f</sup>	679	724	672	715	719	734	780	887	760	745	757	799	962	1,035
Passenger Cars	+	+	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Light-Duty Trucks	+	+	0.4	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Medium- and Heavy-														
Duty Trucks	+	+	0.2	0.3	0.3	0.3	0.4	0.4	0.5	0.6	0.7	0.7	0.8	0.8
Buses	+	+	3	15	15	15	15	15	15	17	16	18	18	18
Pipelines	679	724	668	699	703	718	765	872	744	727	740	780	943	1,016
LPG <sup>f</sup>	23	18	12	28	7	7	7	7	7	7	7	7	8	8
Passenger Cars	0.1	0.1	0.1	0.4	+	+	+	+	0.1	0	0	+	+	+
Light-Duty Trucks	3	2	2	7	2	1	1	1	2	1	1	1	1	1
Medium- and Heavy-														
Duty Trucks	18	14	9	16	4	5	6	5	5	4	5	5	5	5
Buses	1	1	1	5	1	1	1	1	1	1	1	1	1	2
Electricity <sup>h</sup>	3	3	3	4	5	4	4	4	4	4	4	4	5	5
Passenger Cars	+	+	+	+	+	+	0.1	0.2	0.4	0.5	0.6	0.8	1.2	1.4
Light-Duty Trucks	+	+	+	+	+	+	+	+	+	+	0.1	0.1	0.2	0.2
Buses	+	+	+	+	+	+	+	+	+	+	+	+	+	+
Rail	3	3	3	4	4	4	4	4	4	4	3	3	3	3
Total	20,659	22,257	24,973	23,619	23,756	23,411	23,297	23,505	24,031	24,184	24,742	25,053	25,573	25,598
· Dess net sussed 0.05 T		-												

+ Does not exceed 0.05 TBtu

<sup>a</sup> In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007 to 2019 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in fuel consumption data by vehicle class between 2006 and 2007.

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<sup>b</sup> Figures do not include ethanol blended in motor gasoline or biodiesel blended into distillate fuel oil. Net carbon fluxes associated with ethanol are accounted for in the Land Use, Land-Use Change and Forestry chapter.

<sup>c</sup> Gasoline and diesel highway vehicle fuel consumption estimates are based on data from FHWA Highway Statistics Table MF-21, MF-27, and VM-1 (FHWA 1996 through 2019). Data from Table VM-1 is used to estimate the share of consumption between each on-road vehicle class. These fuel consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

<sup>d</sup> Fluctuations in recreational boat gasoline estimates reflect the use of this category to reconcile bottom-up values with EIA total gasoline estimates.

<sup>e</sup> Class II and Class III diesel consumption data for 2014-2019 is estimated by applying the historical average fuel usage per carload factor to the annual number of carloads. <sup>f</sup> Estimated based on EIA transportation sector energy estimates, with bottom-up data used for apportionment to modes. Transportation sector natural gas and LPG consumption are based on data from EIA (2020a). In previous Inventory years, data from DOE TEDB was used to estimate each vehicle class's share of the total natural gas and LPG consumption. Since TEDB does not include estimates for natural gas use by medium and heavy-duty trucks or LPG use by passenger cars, EIA Alternative Fuel Vehicle Data (Browning 2017) is now used to determine each vehicle class's share of the total natural gas and LPG consumption. These changes were first incorporated in the 2016 Inventory and apply to the 1990–2019 time period.

<sup>g</sup> Fluctuations in reported fuel consumption may reflect data collection problems. Residual fuel oil for ships and boats data is based on EIA (2020a).

<sup>h</sup> Electricity consumption by passenger cars, light-duty trucks (SUVs), and buses is based on plug-in electric vehicle sales data and engine efficiencies, as outlined in Browning (2018a). In Inventory years prior to 2017, CO<sub>2</sub> emissions from electric vehicle charging were allocated to the residential and commercial sectors. They are now allocated to the transportation sector. These changes were first incorporated in the 2017 Inventory and apply to the 2010 through 2019 time period.

#### Table A-81: Transportation Sector Biofuel Consumption by Fuel Type (million gallons)

Fuel Type	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Ethanol	699	1,290	1,556	10,072	11,833	11,972	11,997	12,154	12,758	12,793	13,261	13,401	13,573	13,589
Biodiesel	NA	NA	NA	322	260	886	899	1,429	1,417	1,494	2,085	1,985	1,904	1,813

NA (Not Available)

Note: According to the MER, there was no biodiesel consumption prior to 2001.

#### Estimates of CH<sub>4</sub> and N<sub>2</sub>O Emissions

Mobile source emissions of greenhouse gases other than CO<sub>2</sub> are reported by transport mode (e.g., road, rail, aviation, and waterborne), vehicle type, and fuel type. Emissions estimates of CH<sub>4</sub> and N<sub>2</sub>O were derived using a methodology similar to that outlined in the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC 2006).

Activity data were obtained from a number of U.S. government agencies and other publications. Depending on the category, these basic activity data included fuel consumption and vehicle miles traveled (VMT). These estimates were then multiplied by emission factors, expressed as grams per unit of fuel consumed or per vehicle mile.

#### Methodology for On-Road Gasoline and Diesel Vehicles

#### Step 1: Determine Vehicle Miles Traveled by Vehicle Type, Fuel Type, and Model Year

VMT by vehicle type (e.g., passenger cars, light-duty trucks, medium- and heavy-duty trucks,<sup>50</sup> buses, and motorcycles) were obtained from the FHWA's *Highway Statistics* (FHWA 1996 through 2019).<sup>51</sup> As these vehicle categories are not fuel-specific, VMT for each vehicle type was disaggregated by fuel type (gasoline, diesel) so that the appropriate emission factors could be applied. VMT from *Highway Statistics* Table VM-1 (FHWA 1996 through 2019) was allocated to fuel types (gasoline, diesel, other) using historical estimates of fuel shares reported in the Appendix to the *Transportation Energy Data Book, Tables A.5 and A.6* (DOE 1993 through 2018). These fuel shares are drawn from various sources, including the Vehicle Inventory and Use Survey, the National Vehicle Population Profile, and the American Public Transportation Association. Fuel shares were first adjusted proportionately such that gasoline and diesel shares for each vehicle/fuel type category equaled 100 percent of national VMT. VMT for alternative fuel vehicles (AFVs) was calculated separately, and the methodology is explained in the following section on AFVs. Estimates of VMT from AFVs were then subtracted from the appropriate total VMT estimates to develop the final VMT estimates by vehicle/fuel type category.<sup>52</sup> The resulting national VMT estimates for gasoline and diesel on-road vehicles are presented in Table A-82 and Table A-83, respectively.

Total VMT for each on-road category (i.e., gasoline passenger cars, light-duty gasoline trucks, heavy-duty gasoline vehicles, diesel passenger cars, light-duty diesel trucks, medium- and heavy-duty diesel vehicles, and motorcycles) were distributed across 30 model years shown for 2019 in Table A-84.

This distribution was derived by weighting the appropriate age distribution of the U.S. vehicle fleet according to vehicle registrations by the average annual age-specific vehicle mileage accumulation of U.S. vehicles. Age distribution values were obtained from EPA's MOBILE6 model for all years before 1999 (EPA 2000) and EPA's MOVES2014b model for years 2009 forward (EPA 2019a).<sup>53</sup> Age-specific vehicle mileage accumulations were also obtained from EPA's MOVES2014b model (EPA 2019a).<sup>54</sup>

#### Step 2: Allocate VMT Data to Control Technology Type

VMT by vehicle type for each model year was distributed across various control technologies as shown in Table A-90 through Table A-93. The categories "EPA Tier 0" and "EPA Tier 1" were used instead of the early three-way catalyst and advanced three-way catalyst categories, respectively, as defined in the *Revised 1996 IPCC Guidelines*. EPA Tier 0, EPA

<sup>&</sup>lt;sup>50</sup> Medium- and heavy-duty trucks correspond to FHWA's reporting categories of single-unit trucks and combination trucks. Single-unit trucks are defined as single frame trucks that have 2-axles and at least 6 tires or a gross vehicle weight rating (GVWR) exceeding 10,000 lbs.
<sup>51</sup> In 2011 FHWA changed its methods for estimated vehicle miles traveled (VMT) and related data. These methodological changes included how vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. These changes were first incorporated for the 1990 through 2008 Inventory and apply to the 2007 to 2019 time period. This resulted in large changes in VMT data by vehicle class, thus leading to a shift in emissions among on-road vehicle classes. For example, the category "Passenger Cars" has been replaced by "Light-duty Vehicles-Short Wheelbase" and "Other 2 axle-4 Tire Vehicles" has been replaced by "Light-duty Vehicles, Long Wheelbase." This change in vehicle classification has moved some smaller trucks and sport utility vehicles from the light truck category to the passenger vehicle category in this emission inventory. These changes are reflected in a large drop in light-truck emissions between 2006 and 2007.

<sup>&</sup>lt;sup>52</sup> In Inventories through 2002, gasoline-electric hybrid vehicles were considered part of an "alternative fuel and advanced technology" category. However, vehicles are now only separated into gasoline, diesel, or alternative fuel categories, and gas-electric hybrids are now considered within the gasoline vehicle category.

<sup>&</sup>lt;sup>53</sup> Age distributions were held constant for the period 1990 to 1998, and reflect a 25-year vehicle age span. EPA (2020b) provides a variable age distribution and 31-year vehicle age span beginning in year 1999.

<sup>&</sup>lt;sup>54</sup> The updated vehicle distribution and mileage accumulation rates by vintage obtained from the MOVES2014b model resulted in a decrease in emissions due to more miles driven by newer light-duty gasoline vehicles.

Tier 1, EPA Tier 2, and EPA Tier 3 refer to U.S. emission regulations and California Air Resources Board (CARB) LEV, CARB LEVII, and CARB LEVII refer to California emissions regulations, rather than control technologies; however, each does correspond to particular combinations of control technologies and engine design. EPA Tier 2 and Tier 3 and its predecessors EPA Tier 1 and Tier 0 as well as CARB LEV, LEVII, and LEVIII apply to vehicles equipped with three-way catalysts. The introduction of "early three-way catalysts," and "advanced three-way catalysts," as described in the *Revised 1996 IPCC Guidelines*, roughly correspond to the introduction of EPA Tier 0 and EPA Tier 1 regulations (EPA 1998).<sup>55</sup> EPA Tier 2 regulations affect vehicles produced starting in 2004 and are responsible for a noticeable decrease in N<sub>2</sub>O emissions compared EPA Tier 1 emissions technology (EPA 1999b). EPA Tier 3 regulations affect vehicles produced starting in 2017 and are fully phased in by 2025. ARB LEVII regulations affect California vehicles produced starting in 2015.

Emission control technology assignments for light- and heavy-duty conventional fuel vehicles for model years 1972 (when regulations began to take effect) through 1995 were estimated in EPA (1998). Assignments for 1998 through 2019 were determined using confidential engine family sales data submitted to EPA (EPA 2020c). Vehicle classes and emission standard tiers to which each engine family was certified were taken from annual certification test results and data (EPA 2019d). This information was used to determine the fraction of sales of each class of vehicle that met EPA Tier 0, EPA Tier 1, EPA Tier 2, EPA Tier 3 and CARB LEV, CARB LEVII and CARB LEVII standards. Assignments for 1996 and 1997 were estimated based on the fact that EPA Tier 1 standards for light-duty vehicles were fully phased in by 1996. Tier 2 began initial phase-in by 2004. EPA Tier 3 began initial phase-in by 2017 and CARB LEV III standards began initial phase-in by 2015.

#### Step 3: Determine CH<sub>4</sub> and N<sub>2</sub>O Emission Factors by Vehicle, Fuel, and Control Technology Type

Methane and N<sub>2</sub>O emission factors (in grams of CH<sub>4</sub> and N<sub>2</sub>O per mile) for gasoline and diesel on-road vehicles utilizing EPA Tier 2, EPA Tier 3, and CARB LEV, LEVII, and LEVIII technologies were developed by Browning (2019). These emission factors were calculated based upon annual certification data submitted to EPA by vehicle manufacturers. Emission factors for earlier standards and technologies were developed by ICF (2004) based on EPA, CARB and Environment and Climate Change Canada laboratory test results of different vehicle and control technology types. The EPA, CARB and Environment and Climate Change Canada tests were designed following the Federal Test Procedure (FTP). The procedure covers three separate driving segments, since vehicles emit varying amounts of GHGs depending on the driving segment. These driving segments are: (1) a transient driving cycle that includes cold start and running emissions, (2) a cycle that represents running emissions only, and (3) a transient driving cycle that includes hot start and running emissions. For each test run, a bag was affixed to the tailpipe of the vehicle and the exhaust was collected; the content of this bag was later analyzed to determine quantities of gases present. The emission characteristics of driving Segment 2 was used to define running emissions. Running emissions were subtracted from the total FTP emissions to determine start emissions. These were then recombined based upon MOBILE6.2's ratio of start to running emissions for each vehicle class to approximate average driving characteristics.

#### Step 4: Determine the Amount of CH4 and N2O Emitted by Vehicle, Fuel, and Control Technology Type

Emissions of CH<sub>4</sub> and N<sub>2</sub>O were then calculated by multiplying total VMT by vehicle, fuel, and control technology type by the emission factors developed in Step 3.

#### Methodology for Alternative Fuel Vehicles (AFVs)

#### Step 1: Determine Vehicle Miles Traveled by Vehicle and Fuel Type

VMT for alternative fuel and advanced technology vehicles were calculated from "Updated Methodology for Estimating CH<sub>4</sub> and N<sub>2</sub>O Emissions from Highway Vehicle Alternative Fuel Vehicles" (Browning 2017). Alternative Fuels include Compressed Natural Gas (CNG), Liquid Natural Gas (LNG), Liquefied Petroleum Gas (LPG), Ethanol, Methanol, Biodiesel, Hydrogen and Electricity. Most of the vehicles that use these fuels run on an Internal Combustion Engine (ICE) powered by the alternative fuel, although many of the vehicles can run on either the alternative fuel or gasoline (or diesel), or some combination.<sup>56</sup> Except for electric vehicles and plug-in hybrid vehicles, the alternative fuel vehicle VMT

<sup>&</sup>lt;sup>55</sup> For further description, see "Definitions of Emission Control Technologies and Standards" section of this annex below.

<sup>&</sup>lt;sup>56</sup> Fuel types used in combination depend on the vehicle class. For light-duty vehicles, gasoline is generally blended with ethanol and diesel is blended with biodiesel; dual-fuel vehicles can run on gasoline or an alternative fuel – either natural gas or LPG – but not at the same time, while

were calculated using the Energy Information Administration (EIA) Alternative Fuel Vehicle Data. The EIA data provides vehicle counts and fuel use for fleet vehicles used by electricity providers, federal agencies, natural gas providers, propane providers, state agencies and transit agencies, for calendar years 2003 through 2015. For 1992 to 2002, EIA Data Tables were used to estimate fuel consumption and vehicle counts by vehicle type. These tables give total vehicle fuel use and vehicle counts by fuel and calendar year for the United States over the period 1992 through 2010. Breakdowns by vehicle type for 1992 through 2002 (both fuel consumed and vehicle counts) were assumed to be at the same ratio as for 2003 where data existed. For 1990, 1991, 2018 and 2019, fuel consumed by alternative fuel and vehicle type were extrapolated based on a regression analysis using the best curve fit based upon R<sup>2</sup> using the nearest five years of data.

For the current Inventory, counts of electric vehicles (EVs) and plug-in hybrid-electric vehicles (PHEVs) were taken from data compiled by the Hybridcars.com from 2010 to 2018 (Hybridcars.com, 2019). For 2019, EV and PHEV sales were taken from Wards Intelligence U.S. Light Vehicle Sales Report (Ward Intelligence, 2020). EVs were divided into cars and trucks using confidential engine family sales data submitted to EPA (EPA 2020c). Fuel use per vehicle for personal EVs and PHEVs were assumed to be the same as those for the public fleet vehicles surveyed by EIA and provided in their data tables.

Because AFVs run on different fuel types, their fuel use characteristics are not directly comparable. Accordingly, fuel economy for each vehicle type is expressed in gasoline equivalent terms, i.e., how much gasoline contains the equivalent amount of energy as the alternative fuel. Energy economy ratios (the ratio of the gasoline equivalent fuel economy of a given technology to that of conventional gasoline or diesel vehicles) were taken from the Argonne National Laboratory's GREET2019 model (ANL 2020). These ratios were used to estimate fuel economy in miles per gasoline gallon equivalent for each alternative fuel and vehicle type. Energy use per fuel type was then divided among the various weight categories and vehicle technologies that use that fuel. Total VMT per vehicle type for each calendar year was then determined by dividing the energy usage by the fuel economy. Note that for AFVs capable of running on both/either traditional and alternative fuels, the VMT given reflects only those miles driven that were powered by the alternative fuel, as explained in Browning (2017). VMT estimates for AFVs by vehicle category (passenger car, light-duty truck, medium-duty and heavy-duty vehicles) are shown in Table A-84, while more detailed estimates of VMT by control technology are shown in Table A-85.

#### Step 2: Determine CH<sub>4</sub> and N<sub>2</sub>O Emission Factors by Vehicle and Alternative Fuel Type

Methane and  $N_2O$  emission factors for alternative fuel vehicles (AFVs) were calculated using Argonne National Laboratory's GREET model (ANL 2020) and are reported in Browning (2018). These emission factors are shown in Table A-95 and Table A-96.

#### Step 3: Determine the Amount of $\mathsf{CH}_4$ and $\mathsf{N}_2\mathsf{O}$ Emitted by Vehicle and Fuel Type

Emissions of  $CH_4$  and  $N_2O$  were calculated by multiplying total VMT for each vehicle and fuel type (Step 1) by the appropriate emission factors (Step 2).

#### Methodology for Non-Road Mobile Sources

Methane and  $N_2O$  emissions from non-road mobile sources were estimated by applying emission factors to the amount of fuel consumed by mode and vehicle type.

Activity data for non-road vehicles include annual fuel consumption statistics by transportation mode and fuel type, as shown in Table A-89. Consumption data for ships and boats (i.e., vessel bunkering) were obtained from DHS (2008) and EIA (1991 through 2019) for distillate fuel, and DHS (2008) and EIA (2020a) for residual fuel; marine transport fuel consumption data for U.S. Territories (EIA 2017) were added to domestic consumption, and this total was reduced by the amount of fuel used for international bunkers.<sup>57</sup> Gasoline consumption by recreational boats was obtained from the NONROAD component of EPA's MOVES2014b model (EPA 2019a). Annual diesel consumption for Class I rail was obtained from the Association of American Railroads (AAR 2008 through 2019), diesel consumption from commuter rail was obtained from APTA (2007 through 2019) and Gaffney (2007), and consumption by Class II and III rail was provided

flex-fuel vehicles are designed to run on E85 (85 percent ethanol) or gasoline, or any mixture of the two in between. Heavy-duty vehicles are more likely to run on diesel fuel, natural gas, or LPG.

<sup>&</sup>lt;sup>57</sup> See International Bunker Fuels section of the Energy chapter.

by Benson (2002 through 2004) and Whorton (2006 through 2014).<sup>58</sup> It is estimated that an average of 41 gallons of diesel consumption per Class II and III carload originated from 2000-2009 based on carload data reported from AAR (2008 through 2019) and fuel consumption data provided by Whorton, D. (2006 through 2014). Class II and Class III diesel consumption for 2014-2019 is estimated by multiplying this average historical fuel usage per carload factor by the number of shortline carloads originated each year (RailInc 2014 through 2019). Diesel consumption by commuter and intercity rail was obtained from DOE (1993 through 2018). Data on the consumption of jet fuel and aviation gasoline in aircraft were obtained from EIA (2020a) and FAA (2021), as described in Annex 2.1: Methodology for Estimating Emissions of CO<sub>2</sub> from Fossil Fuel Combustion, and were reduced by the amount allocated to international bunker fuels (DLA 2020 and FAA 2021). Pipeline fuel consumption was obtained from EIA (2007 through 2019) (note: pipelines are a transportation source but are stationary, not mobile sources). Data on fuel consumption by non-transportation mobile sources were obtained from the NONROAD component of EPA's MOVES2014b model (EPA 2019a) for gasoline and diesel powered equipment, and from FHWA (1996 through 2019) for gasoline consumption by off-road trucks used in the agriculture, industrial, commercial, and construction sectors.<sup>59</sup> Specifically, this Inventory uses FHWA's Agriculture, Construction, and Commercial/Industrial MF-24 fuel volumes along with the MOVES NONROAD model gasoline volumes to estimate non-road mobile source CH<sub>4</sub> and N<sub>2</sub>O emissions for these categories. For agriculture, the MF-24 gasoline volume is used directly because it includes both off-road trucks and equipment. For construction and commercial/industrial gasoline estimates, the 2014 and older MF-24 volumes represented off-road trucks only; therefore, the MOVES NONROAD gasoline volumes for construction and commercial/industrial are added to the respective categories in the Inventory. Beginning in 2015, this addition is no longer necessary since the FHWA updated its method for estimating on-road and non-road gasoline consumption. Among the method updates, FHWA now incorporates MOVES NONROAD equipment gasoline volumes in the construction and commercial/industrial categories.

Emissions of  $CH_4$  and  $N_2O$  from non-road mobile sources were calculated using the updated 2006 IPCC Tier 3 guidance and estimates of activity from EPA's MOVES2014b model.  $CH_4$  and  $N_2O$  emission factors were calculated from engine certification data by engine and fuel type and weighted by activity estimates calculated by MOVES2014b to determine overall emission factors in grams per kg of fuel consumed by fuel type.

#### Estimates of NO<sub>x</sub>, CO, and NMVOC Emissions

The emission estimates of NO<sub>x</sub>, CO, and NMVOCs from mobile combustion (transportation) were obtained from EPA's National Emission Inventory (NEI) Air Pollutant Emission Trends web site (EPA 2020b). This EPA report provides emission estimates for these gases by fuel type using a procedure whereby emissions were calculated using basic activity data, such as amount of fuel delivered or miles traveled, as indicators of emissions. Table A-99 through Table A-101 provides complete emission estimates for 1990 through 2019.

	Passenger	Light-Duty	Heavy-Duty	
Year	Cars	Trucks	Vehicles <sup>a</sup>	Motorcycles
1990	1,391.4	554.8	25.8	9.6
1991	1,341.9	627.8	25.4	9.2
1992	1,355.1	683.4	25.1	9.6
1993	1,356.8	721.0	24.9	9.9
1994	1,387.7	739.2	25.3	10.2
1995	1,421.0	763.0	25.1	9.8
1996	1,455.1	788.6	24.5	9.9
1997	1,489.0	821.7	24.1	10.1
1998	1,537.1	837.7	24.1	10.3
1999	1,559.6	868.3	24.3	10.6
2000	1,592.2	887.6	24.2	10.5
2001	1,620.1	906.0	24.0	9.6
2002	1,650.0	926.8	23.9	9.6

#### Table A-82: Vehicle Miles Traveled for Gasoline On-Road Vehicles (billion miles)

<sup>58</sup> Diesel consumption from Class II and Class III railroad were unavailable for 2014-2017. Diesel consumption data for 2014-2018 is estimated by applying the historical average fuel usage per carload factor to the annual number of carloads.

<sup>59</sup> "Non-transportation mobile sources" are defined as any vehicle or equipment not used on the traditional road system, but excluding aircraft, rail and watercraft. This category includes snowmobiles, golf carts, riding lawn mowers, agricultural equipment, and trucks used for off-road purposes, among others.

2003	1,663.6	944.1	24.3	9.6
2004	1,691.2	985.5	24.6	10.1
2005	1,699.7	998.8	24.8	10.5
2006	1,681.9	1,038.6	24.8	12.0
2007 <sup>b</sup>	2,093.7	562.8	34.2	21.4
2008	2,014.5	580.9	35.0	20.8
2009	2,005.4	592.5	32.5	20.8
2010	2,015.4	597.4	32.3	18.5
2011	2,035.7	579.6	30.2	18.5
2012	2,051.8	576.8	30.5	21.4
2013	2,062.5	578.7	31.2	20.4
2014	2,059.3	612.4	31.7	20.0
2015	2,133.7	606.1	31.8	19.6
2016	2,176.3	630.8	32.7	20.4
2017	2,203.9	629.1	33.8	20.1
2018	2,212.7	636.4	34.7	20.1
2019	2,231.6	641.1	34.2	19.7

Notes: In 2015, EIA changed its methods for estimating AFV fuel consumption. These methodological changes included how vehicle counts are estimated, moving from estimates based on modeling to one that is based on survey data. EIA now publishes data about fuel use and number of vehicles for only four types of AFV fleets: federal government, state government, transit agencies, and fuel providers. These changes were first incorporated in the 1990 through 2014 Inventory and apply to the 1990 through 2019 time period. This resulted in large reductions in AFV VMT, thus leading to a shift in VMT to conventional on-road vehicle classes. Gasoline and diesel highway vehicle mileage are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2019). These mileage consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

<sup>a</sup> Heavy-Duty Vehicles includes Medium-Duty Trucks, Heavy-Duty Trucks, and Buses.

<sup>b</sup> In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007 to 2019 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in VMT data by vehicle class between 2006 and 2007.

Source: Derived from FHWA (1996 through 2019), DOE (1990 through 2018), Browning (2018a), and Browning (2017).

Table A-83: Vehicle Miles	<b>Traveled for Diesel On-Road</b>	Vehicles (billion miles)
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	Passenger	Light-Duty	Heavy-Duty
Year	Cars	Trucks	Vehicles <sup>a</sup>
1990	16.9	19.7	125.7
1991	16.3	21.6	129.5
1992	16.5	23.4	133.7
1993	17.9	24.7	140.7
1994	18.3	25.3	150.9
1995	17.3	26.9	159.1
1996	14.7	27.8	164.7
1997	13.5	29.0	173.8
1998	12.4	30.5	178.9
1999	9.4	32.6	185.6
2000	8.0	35.2	188.4
2001	8.1	37.0	191.5
2002	8.3	38.9	196.8
2003	8.4	39.7	199.7
2004	8.5	41.4	202.1
2005	8.5	41.9	203.4
2006	8.4	43.5	202.2
2007 <sup>b</sup>	10.5	23.4	281.7
2008	10.1	24.2	288.0
2009	10.0	24.7	267.5
2010	10.1	24.9	265.7
2011	10.1	23.7	245.2

2012	10.2	23.5	247.5
2013	10.1	23.2	249.9
2014	10.1	24.6	254.3
2015	10.4	24.3	254.6
2016	10.4	24.9	258.3
2017	10.6	24.9	268.2
2018	10.6	25.3	276.0
2019	10.7	25.6	272.3

Note: Gasoline and diesel highway vehicle mileage are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2019). These mileage consumption estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

<sup>a</sup> Heavy-Duty Vehicles includes Medium-Duty Trucks, Heavy-Duty Trucks, and Buses.

<sup>b</sup> In 2011, FHWA changed its methodology for Table VM-1, which impacts estimates for the 2007 to 2019 time period. These methodological changes include how on-road vehicles are classified, moving from a system based on body-type to one that is based on wheelbase. This resulted in large changes in VMT data by vehicle class between 2006 and 2007.

Source: Derived from FHWA (1996 through 2019), DOE (1993 through 2018), and Browning (2017), Browning (2018a).

	Passenger	Light-Duty	Heavy-Duty
Year	Cars	Trucks	Vehicles <sup>a</sup>
1990	0.0	0.1	0.4
1991	0.0	0.1	0.4
1992	0.0	0.1	0.3
1993	0.0	0.1	0.4
1994	0.1	0.1	0.4
1995	0.1	0.1	0.4
1996	0.1	0.1	0.4
1997	0.1	0.1	0.4
1998	0.1	0.1	0.4
1999	0.1	0.1	0.4
2000	0.1	0.2	0.5
2001	0.1	0.2	0.6
2002	0.2	0.3	0.7
2003	0.1	0.3	0.8
2004	0.2	0.2	0.9
2005	0.2	0.3	1.3
2006	0.2	0.4	2.3
2007	0.2	0.4	2.8
2008	0.2	0.4	2.5
2009	0.2	0.4	2.6
2010	0.2	0.4	2.2
2011	0.5	0.9	5.9
2012	0.9	1.0	6.0
2013	1.8	1.4	9.1
2014	2.7	1.4	9.1
2015	3.7	1.5	9.7
2016	4.9	2.3	13.3
2017	6.2	2.6	12.8
2018	9.1	3.0	12.4
2019	11.7	3.3	11.6

Table A-84: Vehicle Miles Traveled for Alternative Fuel On-Road Vehicles (billion miles)

Note: In 2017, estimates of alternative fuel vehicle mileage for the last ten years were revised to reflect updates made to EIA data on alternative fuel use and vehicle counts. These changes were incorporated into this year's Inventory and apply to the 2005 to 2019 time period.

<sup>a</sup> Heavy Duty-Vehicles includes medium-duty trucks, heavy-duty trucks, and buses.

Source: Derived from Browning (2017), Browning (2018a), and EIA (2019h).

Vehicle Type/Year	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Light-Duty Cars	3.7	60.2	105.8	227.6	232.6	524.5	911.3	1,801.3	2,727.2	3,727.4	4,929.8	6,208.7	9,056.1	11,725.7
Methanol-Flex	+	45.9	14.3	+	+	+	+	+	+	+	+	+	+	+
Fuel ICE														
Ethanol-Flex Fuel	+	0.3	19.6	90.3	114.8	111.2	139.8	163.0	127.2	110.4	124.5	87.0	85.6	70.5
ICE														
CNG ICE	+	0.1	5.2	10.8	10.1	10.8	11.1	12.1	11.6	11.7	13.7	12.9	14.0	14.0
CNG Bi-fuel	+	0.2	16.9	9.3	7.4	6.6	4.1	3.2	2.3	1.7	1.4	1.5	1.8	2.2
LPG ICE	1.1	1.1	1.1	1.5	+	0.1	0.1	0.3	3.1	7.6	6.1	0.9	0.5	0.2
LPG Bi-fuel	2.7	2.8	2.8	1.7	1.2	0.3	0.2	0.2	0.1	0.1	0.1	+	+	+
Biodiesel (BD100)	+	+	1.1	41.5	34.3	127.1	156.6	274.1	298.0	337.5	501.3	512.1	521.2	507.9
NEVs	+	9.4	43.6	71.2	61.5	102.9	98.9	103.8	113.2	124.3	83.8	89.9	86.4	80.2
Electric Vehicle	+	0.3	1.3	1.2	1.3	113.8	263.5	768.6	1,438.8	2,200.3	2,921.4	3,810.8	6,097.1	8,488.8
SI PHEV -	+	+	+	+	2.0	51.7	237.0	476.0	732.7	933.7	1,276.5	1,692.0	2,247.5	2 <i>,</i> 559.8
Electricity														
Fuel Cell Hydrogen	+	+	+	+	+	0.1	0.1	0.1	0.1	0.1	1.1	1.4	2.0	2.0
Light-Duty Trucks	72.7	87.7	168.2	390.5	362.3	873.1	957.3	1,421.4	1,430.5	1,477.1	2,258.4	2,646.0	3,007.5	3,325.0
Ethanol-Flex Fuel	+	0.3	21.9	96.4	121.7	135.4	180.1	213.6	208.8	218.2	279.1	418.4	411.9	495.8
ICE														
CNG ICE	+	0.1	5.3	9.1	8.0	8.6	8.9	8.7	7.6	6.6	5.8	8.9	6.5	7.7
CNG Bi-fuel	+	0.4	44.3	20.4	19.0	18.2	14.8	16.1	19.3	20.3	26.3	24.3	28.9	29.4
LPG ICE	21.0	24.9	25.9	12.1	9.7	9.6	5.9	6.3	7.3	7.5	7.3	7.9	8.4	7.9
LPG Bi-fuel	51.7	61.2	63.6	26.8	23.8	12.4	4.9	5.9	21.8	8.7	6.5	7.9	9.0	8.1
LNG	+	+	0.1	0.2	+	+	+	+	+	+	+	0.1	0.1	0.1
Biodiesel (BD100)	+	+	3.3	220.9	175.7	685.5	736.3	1,152.2	1,132.5	1,172.2	1,615.9	1,540.6	1,481.5	1,371.0
Electric Vehicle	+	0.8	3.8	4.6	4.3	3.1	6.2	18.4	32.5	35.0	271.8	533.4	847.9	1,102.3
SI PHEV -	+	+	+	+	+	+	+	+	0.4	8.2	45.7	104.4	213.4	302.6
Electricity														
Fuel Cell Hydrogen	+	+	+	+	+	0.3	0.2	0.2	0.3	0.3	+	+	+	+
Medium-Duty	255.4	249.9	244.6	636.7	476.2	1,510.3	1,574.3	2,503.3	2,519.8	2,670.0	3,741.2	3,590.8	3,448.3	3,258.3
Trucks														
CNG ICE	+	+	0.8	5.7	5.6	7.5	8.9	9.3	10.4	11.7	12.5	13.9	14.9	16.6
CNG Bi-fuel	+	0.1	7.8	6.6	6.3	6.1	6.8	7.1	9.5	10.2	11.3	12.3	13.9	15.1
LPG ICE	215.6	210.8	192.5	33.0	29.0	27.1	25.6	23.6	22.7	17.9	16.0	14.8	12.1	9.8
LPG Bi-fuel	39.9	39.0	35.6	6.4	7.8	7.0	9.4	10.0	12.7	9.5	11.5	12.5	12.9	13.5
LNG	+	+	+	+	+	+	+	0.1	+	0.1	0.1	0.2	0.3	0.3
Biodiesel (BD100)	+	+	7.8	585.1	427.5	1,462.6	1,523.5	2,453.2	2,464.4	2,620.7	3,689.7	3,536.9	3,394.2	3,203.0
Heavy-Duty Trucks	104.4	102.0	115.4	1,323.6	1,103.5	3,663.7	3,666.0	5,795.9	5,771.2	6,133.6	8,613.1	8,268.9	7,977.3	7,353.3

Table A-85: Detailed Vehicle Miles Traveled for Alternative Fuel On-Road Vehicles (10<sup>6</sup> Miles)

Neat Methanol ICE	+	+	+		+ +	+	+	+	+	+	+	+	+	+
Neat Ethanol ICE	+	+	+	2.9	3.6	5.7	9.1	12.6	15.0	20.2	23.9	11.1	7.3	7.7
CNG ICE	+	+	0.9	3.2	3.4	3.4	3.9	4.7	5.2	7.3	9.4	8.5	10.5	10.8
LPG ICE	98.1	95.9	87.5	39.9	33.0	34.7	22.5	22.2	18.0	16.8	15.4	13.6	11.5	9.1
LPG Bi-fuel	6.3	6.2	5.6	4.1	4.3	6.3	4.9	5.2	2.2	2.1	2.1	2.1	2.0	1.7
LNG	+	+	+	1.2	1.5	1.6	1.6	1.4	1.9	2.0	1.6	1.6	1.4	1.3
Biodiesel (BD100)	+	+	21.4	1,272.2	1,057.7	3,612.0	3,624.0	5,749.7	5,728.9	6,085.2	8,560.7	8,232.1	7,944.6	7,322.7
Buses	20.0	38.7	140.3	664.5	673.1	761.9	754.5	823.8	824.0	906.5	922.8	987.9	995.1	982.8
Neat Methanol ICE	6.4	10.4	+	+	+	+	+	+	+	+	+	+	+	+
Neat Ethanol ICE	+	4.8	0.1	+	+	+	0.1	0.1	2.7	3.6	1.4	1.0	0.5	0.2
CNG ICE	+	1.1	100.2	560.7	584.2	614.6	606.6	627.9	627.6	705.2	654.5	723.5	734.8	741.6
LPG ICE	13.2	12.7	11.5	7.2	6.5	3.9	3.8	4.0	4.4	3.2	4.4	5.2	4.9	5.1
LNG	0.4	8.5	22.3	34.7	35.5	38.1	39.7	28.4	26.2	21.0	17.5	10.7	6.8	3.3
Biodiesel (BD100)	+	+	0.9	57.5	42.5	100.4	101.0	160.0	159.3	168.8	236.7	227.1	218.9	197.1
Electric	+	1.1	5.2	4.4	4.5	4.5	3.0	3.1	3.6	3.9	7.2	19.2	27.8	33.9
Fuel Cell Hydrogen	+	+	+	+	+	0.3	0.3	0.3	0.3	0.8	1.1	1.3	1.4	1.6
Total VMT	456.3	538.6	774.2	3,242.8	2,847.7	7,333.5	7,863.3	12,345.7	13,272.8	14,914.6	20,465.3	21,702.2	24,484.4	26,645.2

Note: Throughout the rest of this Inventory, medium-duty trucks are grouped with heavy-duty trucks; they are reported separately here because these two categories may run on a slightly different range of fuel types. In 2017, estimates of alternative fuel vehicle mileage for the last ten years were revised to reflect updates made to EIA data on alternative fuel use and vehicle counts. These changes were incorporated into this year's Inventory and apply to the 2005 to 2019 time period. Source: Derived from Browning (2017), Browning (2018a), and EIA (2019h).

+ Does not exceed 0.05 million vehicle miles traveled.

Vehicle Age	LDGV	LDGT	HDGV	LDDV	LDDT	HDDV	МС
0	7.0%	7.7%	6.5%	9.8%	8.0%	6.1%	7.1%
1	7.0%	7.8%	6.5%	9.8%	8.1%	6.0%	7.0%
2	7.1%	7.8%	6.4%	9.9%	8.1%	5.9%	7.0%
3	7.1%	7.8%	6.3%	9.8%	8.0%	5.8%	6.5%
4	6.8%	7.4%	6.1%	9.6%	7.6%	5.6%	5.9%
5	6.7%	6.9%	5.5%	9.3%	7.1%	5.1%	5.4%
6	6.3%	6.3%	4.9%	8.8%	6.6%	4.5%	4.8%
7	6.0%	5.8%	4.4%	8.4%	6.1%	4.1%	4.3%
8	3.7%	3.8%	2.4%	5.1%	4.0%	2.5%	3.5%
9	4.0%	3.2%	1.6%	4.8%	2.2%	1.7%	3.1%
10	3.6%	2.3%	1.4%	3.1%	1.9%	2.0%	3.2%
11	4.4%	3.7%	2.7%	0.3%	4.5%	2.9%	5.7%
12	4.7%	3.7%	2.4%	0.2%	3.8%	5.7%	5.1%
13	4.1%	3.6%	3.4%	3.3%	4.6%	4.8%	4.8%
14	3.6%	3.5%	2.6%	2.0%	3.7%	4.4%	4.2%
15	3.0%	3.2%	3.2%	1.1%	3.1%	3.0%	3.6%
16	2.7%	2.7%	2.8%	1.2%	2.6%	2.6%	3.1%
17	2.3%	2.4%	2.7%	1.1%	2.1%	2.1%	2.7%
18	1.9%	2.0%	2.2%	0.6%	2.2%	2.7%	2.3%
19	1.7%	1.8%	4.3%	0.5%	1.1%	4.1%	1.8%
20	1.2%	1.4%	4.0%	0.3%	1.5%	3.2%	1.4%
21	1.0%	1.1%	1.7%	0.2%	0.5%	2.2%	1.2%
22	0.8%	0.9%	3.0%	0.1%	0.7%	2.0%	1.1%
23	0.7%	0.6%	1.8%	0.1%	0.5%	1.8%	1.0%
24	0.6%	0.6%	2.4%	0.1%	0.4%	2.2%	0.7%
25	0.5%	0.5%	1.9%	0.0%	0.2%	1.6%	0.9%
26	0.4%	0.4%	1.5%	0.0%	0.2%	1.2%	0.7%
27	0.3%	0.3%	1.1%	0.0%	0.2%	0.8%	0.6%
28	0.3%	0.2%	0.9%	0.1%	0.1%	0.8%	0.5%
29	0.2%	0.2%	1.2%	0.0%	0.1%	1.0%	0.4%
30	0.3%	0.2%	2.1%	0.0%	0.1%	1.6%	0.3%
Total	100.0%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Table A-86: Age Distribution by Vehicle/Fuel Type for On-Road Vehicles,<sup>a</sup> 2019

Note: This year's Inventory includes updated vehicle population data based on the MOVES2014b Model.

<sup>a</sup> The following abbreviations correspond to vehicle types: LDGV (light-duty gasoline vehicles), LDGT (light-duty gasoline trucks), HDGV (heavy-duty gasoline vehicles), LDDV (light-duty diesel vehicles), LDDT (light-duty diesel trucks), HDDV (heavy-duty diesel vehicles), and MC (motorcycles).

Source: EPA (2019a).

 Table A-87: Annual Average Vehicle Mileage Accumulation per Vehicle<sup>a</sup> (miles)

Vehicle Age	LDGV	LDGT	HDGV	LDDV	LDDT	HDDV	MC <sup>b</sup>
0	13,414	15,161	17,663	13,414	15,161	41,952	7,720
1	13,159	14,876	17,666	13,159	14,876	41,527	4,122
2	12,884	14,555	17,670	12,884	14,555	41,008	3,119
3	12,590	14,203	17,669	12,590	14,203	40,964	2,578
4	12,280	13,824	16,724	12,280	13,824	38,890	2,231
5	11,954	13,421	15,782	11,954	13,421	36,611	1,984
6	11,617	12,998	14,839	11,617	12,998	34,435	1,799
7	11,269	12,558	13,897	11,269	12,558	33,049	1,652
8	10,913	12,106	12,074	10,913	12,106	37,287	1,528
9	10,550	11,647	10,309	10,550	11,647	36,330	1,428
10	10,183	11,181	9,749	10,183	11,181	37,001	1,343
11	9,815	10,716	9,890	9,815	10,716	24,963	1,266
12	9,446	10,253	8,122	9,446	10,254	33,019	1,204

13	9,080	9,799	7,911	9,080	9,799	27,000	1,142
14	8,718	9,354	6,515	8,718	9,354	24,819	1,088
15	8,361	8,924	6,018	8,361	8,924	19,678	1,042
16	8,014	8,513	5,045	8,014	8,513	18,278	1,004
17	7,676	8,123	4,844	7,676	8,123	16,178	965
18	7,351	7,761	4,448	7,351	7,761	14,926	926
19	7,040	7,429	4,855	7,040	7,429	14,515	895
20	6,746	7,130	4,537	6,746	7,130	13,128	865
21	6,472	6,869	4,141	6,472	6,870	12,555	841
22	6,217	6,650	3,691	6,217	6,650	9,151	818
23	5,985	6,477	3,467	5,985	6,477	9,480	772
24	5,779	6,352	3,428	5,779	6,353	7,739	726
25	5,599	6,280	3,028	5,599	6,280	6,476	679
26	5,448	6,266	3,024	5,448	6,266	5,996	625
27	5,329	6,266	2,333	5,329	6,266	5,336	579
28	5,243	6,266	2,337	5,243	6,266	4,758	548
29	5,192	6,266	2,122	5,192	6,266	3,806	509
30	5,192	6,266	2,149	5,192	6,266	3,142	471

<sup>a</sup> The following abbreviations correspond to vehicle types: LDGV (light-duty gasoline vehicles), LDGT (light-duty gasoline trucks), HDGV (heavy-duty gasoline vehicles), LDDV (light-duty diesel vehicles), LDDT (light-duty diesel trucks), HDDV (heavy-duty diesel vehicles), and MC (motorcycles).

<sup>b</sup> Because of a lack of data, all motorcycles over 12 years old are considered to have the same emissions and travel characteristics, and therefore are presented in aggregate.

Source: EPA (2019a).

#### Table A-88: VMT Distribution by Vehicle Age and Vehicle/Fuel Type,<sup>a</sup> 2019

Vehicle Age	LDGV	LDGT	HDGV	LDDV	LDDT	HDDV	MC
0	8.78%	9.73%	10.65%	11.25%	9.97%	9.08%	24.91%
1	8.57%	9.63%	10.69%	10.97%	9.86%	8.95%	13.26%
2	8.50%	9.43%	10.45%	10.89%	9.64%	8.56%	9.96%
3	8.26%	9.15%	10.35%	10.57%	9.35%	8.47%	7.64%
4	7.82%	8.46%	9.41%	10.01%	8.64%	7.72%	6.01%
5	7.44%	7.67%	8.11%	9.52%	7.82%	6.62%	4.91%
6	6.84%	6.84%	6.73%	8.76%	6.98%	5.49%	3.96%
7	6.30%	6.08%	5.70%	8.10%	6.23%	4.85%	3.25%
8	3.71%	3.77%	2.75%	4.76%	3.95%	3.37%	2.41%
9	3.96%	3.08%	1.54%	4.36%	2.13%	2.14%	2.04%
10	3.40%	2.12%	1.29%	2.71%	1.75%	2.65%	1.98%
11	4.04%	3.33%	2.47%	0.23%	3.92%	2.61%	3.31%
12	4.13%	3.16%	1.85%	0.15%	3.19%	6.74%	2.80%
13	3.46%	2.90%	2.49%	2.58%	3.67%	4.66%	2.52%
14	2.94%	2.71%	1.60%	1.52%	2.86%	3.87%	2.10%
15	2.30%	2.40%	1.81%	0.77%	2.26%	2.12%	1.71%
16	1.98%	1.93%	1.30%	0.84%	1.83%	1.72%	1.41%
17	1.61%	1.65%	1.23%	0.75%	1.40%	1.21%	1.19%
18	1.27%	1.30%	0.91%	0.41%	1.42%	1.45%	0.97%
19	1.11%	1.09%	1.92%	0.31%	0.68%	2.14%	0.75%
20	0.78%	0.85%	1.68%	0.16%	0.89%	1.51%	0.55%
21	0.58%	0.62%	0.64%	0.14%	0.31%	0.97%	0.45%
22	0.48%	0.51%	1.04%	0.05%	0.36%	0.66%	0.42%
23	0.37%	0.35%	0.57%	0.05%	0.26%	0.61%	0.35%
24	0.35%	0.32%	0.78%	0.04%	0.18%	0.60%	0.25%
25	0.26%	0.27%	0.53%	0.00%	0.10%	0.38%	0.27%
26	0.21%	0.19%	0.42%	0.02%	0.11%	0.25%	0.20%

27	0.17%	0.14%	0.25%	0.02%	0.10%	0.16%	0.15%
28	0.14%	0.12%	0.20%	0.04%	0.06%	0.13%	0.12%
29	0.11%	0.10%	0.24%	0.01%	0.04%	0.13%	0.09%
30	0.15%	0.10%	0.41%	0.01%	0.04%	0.18%	0.07%
Total	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Note: Estimated by weighting data by data in Table A-87. This year's Inventory includes updated vehicle population data based on the MOVES2014b model that affects this distribution.

<sup>a</sup> The following abbreviations correspond to vehicle types: LDGV (light-duty gasoline vehicles), LDGT (light-duty gasoline trucks), HDGV (heavy-duty gasoline vehicles), LDDV (light-duty diesel vehicles), LDDT (light-duty diesel trucks), HDDV (heavy-duty diesel vehicles), and MC (motorcycles).

Vehicle Type/Year	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Aircraft <sup>a</sup>	19,560	18,320	20,304	16,030	15,762	15,262	14,914	15,274	15,397	16,338	17,198	17,790	17,860	18,431
Aviation Gasoline	374	329	302	221	225	225	209	186	181	176	170	174	186	195
Jet Fuel	19,186	17,991	20,002	15,809	15,537	15,036	14,705	15,088	15,217	16,162	17,028	17,616	17,674	18,236
Commercial Aviation <sup>b</sup>	11,569	12,136	14,672	12,588	11,931	12,067	11,932	12,031	12,131	12,534	12,674	13,475	13,650	14,132
Ships and Boats	4,826	5,932	6,544	4,201	4,693	4,833	4,239	4,175	3,191	3,652	4,235	4,469	4,190	4,074
Diesel	1,156	1,661	1,882	1,395	1,361	1,641	1,389	1,414	1,284	1,881	1,680	1,593	1,525	1,342
Gasoline	1,611	1,626	1,636	1,498	1,446	1,401	1,372	1,349	1,323	1,325	1,335	1,344	1,352	1,355
Residual	2,060	2,646	3,027	1,308	1,886	1,791	1,477	1,413	584	445	1,219	1,532	1,313	1,377
Construction/Mining														
Equipment <sup>c</sup>														
Diesel	4,317	4,718	5,181	5,885	5,727	5,650	5,533	5,447	5,313	5,200	5,483	5,978	6,262	6,464
Gasoline	472	437	357	583	678	634	651	1,100	710	367	375	375	385	387
CNG (million cubic feet)	5,082	5,463	6,032	6,378	6,219	6,121	5,957	5,802	5,598	5,430	5,629	6,018	6,204	6,321
LPG	22	24	27	27	26	25	24	24	23	22	23	25	26	27
Agricultural Equipment <sup>d</sup>														
Diesel	3,514	3,400	3,278	3,938	3,942	3,876	3,932	3,900	3,925	3,862	3,760	3,728	3,732	3,742
Gasoline	813	927	652	676	692	799	875	655	644	159	168	168	160	129
CNG (million cubic feet)	1,758	1,712	1,678	1,677	1,647	1,600	1,611	1,588	1,590	1,561	1,517	1,503	1,502	1,507
LPG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Rail	3,461	3,864	4,106	3,535	3,807	3,999	3,921	4,025	4,201	4,020	3,715	3,832	3,996	3,756
Diesel	3,461	3,864	4,106	3,535	3,807	3,999	3,921	4,025	4,201	4,020	3,715	3,832	3,996	3,756
Other <sup>e</sup>														
Diesel	2,095	2,071	2,047	2,375	2,450	2,523	2,639	2,725	2,811	2,832	2,851	2,919	3,027	3,110
Gasoline	4,371	4,482	4,673	5,291	5,525	5,344	5,189	5,201	5,281	5,083	5,137	5,178	5,238	5,287
CNG (million cubic feet)	20,894	22,584	25,035	28,163	29,891	32,035	35,085	37,436	39,705	38,069	37,709	38,674	40,390	41,474
LPG	1,412	1,809	2,191	2,130	2,165	2,168	2,181	2,213	2,248	2,279	2,316	2,408	2,526	2,616
Total (gallons)	44,863	45,984	49,361	44,671	45,467	45,113	44,099	44,740	43,745	43,815	45,261	46,871	47,402	48,024
Total (million cubic feet)	27,735	29,759	32,745	36,218	37,757	39,755	42,653	44,826	46,893	45,060	44,854	46,194	48,097	49,301

Table A-89: Fuel Consumption for Non-Road Sources by Fuel Type (million gallons unless otherwise noted)

Note: This year's Inventory uses the NONROAD component of MOVES2014b for years 1999 through 2019.

<sup>a</sup> For aircraft, this is aviation gasoline. For all other categories, this is motor gasoline.

<sup>b</sup> Commercial aviation, as modeled in FAA's AEDT, consists of passenger aircraft, cargo, and other chartered flights.

<sup>c</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

<sup>d</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

e "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Sources: AAR (2008 through 2019), APTA (2007 through 2019), BEA (2018), Benson (2002 through 2004), DHS (2008), DOC (1991 through 2019), DLA (2020), DOE (1993 through 2018), DOT (1991 through 2019), EIA (2002), EIA (2007b), EIA (2020a), EIA (2007 through 2019), EIA (1991 through 2019), EIA (2020b), FAA (2021), Gaffney (2007), and Whorton (2006 through 2014).

Model	Non-								
Years	catalyst	Oxidation	EPA Tier 0	EPA Tier 1	CARB LEV	CARB LEV 2	EPA Tier 2	CARB LEV 3	EPA Tier 3
1973-1974	100%	-	-	-	-	-	-	-	-
1975	20%	80%	-	-	-	-	-	-	-
1976-1977	15%	85%	-	-	-	-	-	-	-
1978-1979	10%	90%	-	-	-	-	-	-	-
1980	5%	88%	7%	-	-	-	-	-	-
1981	-	15%	85%	-	-	-	-	-	-
1982	-	14%	86%	-	-	-	-	-	-
1983	-	12%	88%	-	-	-	-	-	-
1984-1993	-	-	100%	-	-	-	-	-	-
1994	-	-	80%	20%	-	-	-	-	-
1995	-	-	60%	40%	-	-	-	-	-
1996	-	-	40%	54%	6%	-	-	-	-
1997	-	-	20%	68%	12%	-	-	-	-
1998	-	-	<1%	82%	18%	-	-	-	-
1999	-	-	<1%	67%	33%	-	-	-	-
2000	-	-	-	44%	56%	-	-	-	-
2001	-	-	-	3%	97%	-	-	-	-
2002	-	-	-	1%	99%	-	-	-	-
2003	-	-	-	<1%	85%	2%	12%	-	-
2004	-	-	-	<1%	24%	16%	60%	-	-
2005	-	-	-	-	13%	27%	60%	-	-
2006	-	-	-	-	18%	35%	47%	-	-
2007	-	-	-	-	4%	43%	53%	-	-
2008	-	-	-	-	2%	42%	56%	-	-
2009	-	-	-	-	<1%	43%	57%	-	-
2010	-	-	-	-	-	44%	56%	-	-
2011	-	-	-	-	-	42%	58%	-	-
2012	-	-	-	-	-	41%	59%	-	-
2013	-	-	-	-	-	40%	60%	-	-
2014	-	-	-	-	-	37%	62%	1%	-
2015	-	-	-	-	-	33%	56%	11%	<1%
2016	-	-	-	-	-	25%	50%	18%	6%
2017	-	-	-	-	-	14%	1%	29%	56%
2018	-	-	-	-	-	7%	0%	42%	52%
2019	-	-	-	-	-	3%	0%	44%	53%

 Table A-90: Emissions Control Technology Assignments for Gasoline Passenger Cars (Percent of VMT)

Note: Detailed descriptions of emissions control technologies are provided in the following section of this Annex. In 2016, historical confidential vehicle sales data was re-evaluated to determine the engine technology assignments. First several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, which emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore were not included in the engine technology breakouts. For this Inventory, HEVs are now classified as gasoline vehicles across the entire time series.

- (Not Applicable)

Sources: EPA (1998), EPA (2019d), and EPA (2020c).

Table A-91: Emissions Control Technology	Assignments for Gasoline Light-Dut	v Trucks (Percent of VMT) <sup>a</sup>

Model	Non-								
Years	catalyst	Oxidation	EPA Tier 0	EPA Tier 1	CARB LEV <sup>b</sup>	CARB LEV 2	EPA Tier 2	CARB LEV 3	EPA Tier 3
1973-1974	100%	-	-	-	-	-	-	-	-
1975	30%	70%	-	-	-	-	-	-	-
1976	20%	80%	-	-	-	-	-	-	-
1977-1978	25%	75%	-	-	-	-	-	-	-

1979-1980	20%	80%	-	-	-	-	-	-	-
1981	-	95%	5%	-	-	-	-	-	-
1982	-	90%	10%	-	-	-	-	-	-
1983	-	80%	20%	-	-	-	-	-	-
1984	-	70%	30%	-	-	-	-	-	-
1985	-	60%	40%	-	-	-	-	-	-
1986	-	50%	50%	-	-	-	-	-	-
1987-1993	-	5%	95%	-	-	-	-	-	-
1994	-	-	60%	40%	-	-	-	-	-
1995	-	-	20%	80%	-	-	-	-	-
1996	-	-	-	100%	-	-	-	-	-
1997	-	-	-	100%	-	-	-	-	-
1998	-	-	-	87%	13%	-	-	-	-
1999	-	-	-	61%	39%	-	-	-	-
2000	-	-	-	63%	37%	-	-	-	-
2001	-	-	-	24%	76%	-	-	-	-
2002	-	-	-	31%	69%	-	-	-	-
2003	-	-	-	25%	69%	-	6%	-	-
2004	-	-	-	1%	26%	8%	65%	-	-
2005	-	-	-	-	17%	17%	66%	-	-
2006	-	-	-	-	24%	22%	54%	-	-
2007	-	-	-	-	14%	25%	61%	-	-
2008	-	-	-	-	<1%	34%	66%	-	-
2009	-	-	-	-	-	34%	66%	-	-
2010	-	-	-	-	-	30%	70%	-	-
2011	-	-	-	-	-	27%	73%	-	-
2012	-	-	-	-	-	24%	76%	-	-
2013	-	-	-	-	-	31%	69%	-	-
2014	-	-	-	-	-	26%	73%	1%	-
2015	-	-	-	-	-	22%	72%	6%	-
2016	-	-	-	-	-	20%	62%	16%	2%
2017	-	-	-	-	-	9%	14%	28%	48%
2018	-	-	-	-	-	7%	-	38%	55%
2019	-	-	-	-	-	3%	0%	44%	53%

Note: In 2016, historical confidential vehicle sales data was re-evaluated to determine the engine technology assignments. First several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, which emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore were not included in the engine technology breakouts. For this Inventory, HEVs are now classified as gasoline vehicles across the entire time series.

- (Not Applicable)

<sup>a</sup> Detailed descriptions of emissions control technologies are provided in the following section of this Annex.

<sup>b</sup> The proportion of LEVs as a whole has decreased since 2001, as carmakers have been able to achieve greater emission reductions with certain types of LEVs, such as ULEVs. Because ULEVs emit about half the emissions of LEVs, a carmaker can reduce the total number of LEVs they need to build to meet a specified emission average for all of their vehicles in a given model year.

Sources: EPA (1998), EPA (2019d), and EPA (2020c).

Model		Non-								
Years	Uncontrolled	catalyst	Oxidation	EPA Tier 0	EPA Tier 1	CARB LEV <sup>b</sup>	CARB LEV 2	EPA Tier 2	CARB LEV 3	EPA Tier 3
≤1980	100%	-	-	-	-	-	-	-	-	-
1981-1984	95%	-	5%	-	-	-	-	-	-	-
1985-1986	-	95%	5%	-	-	-	-	-	-	-
1987	-	70%	15%	15%	-	-	-	-	-	-

1988-1989	-	60%	25%	15%	-	-	-	-	-	-
1990-1995	-	45%	30%	25%	-	-	-	-	-	-
1996	-	-	25%	10%	65%	-	-	-	-	-
1997	-	-	10%	5%	85%	-	-	-	-	-
1998	-	-	-	-	100%	-	-	-	-	-
1999	-	-	-	-	98%	2%	-	-	-	-
2000	-	-	-	-	93%	7%	-	-	-	-
2001	-	-	-	-	78%	22%	-	-	-	-
2002	-	-	-	-	94%	6%	-	-	-	-
2003	-	-	-	-	85%	14%	-	1%	-	-
2004	-	-	-	-	-	33%	-	67%	-	-
2005	-	-	-	-	-	15%	-	85%	-	-
2006	-	-	-	-	-	50%	-	50%	-	-
2007	-	-	-	-	-	-	27%	73%	-	-
2008	-	-	-	-	-	-	46%	54%	-	-
2009	-	-	-	-	-	-	45%	55%	-	-
2010	-	-	-	-	-	-	24%	76%	-	-
2011	-	-	-	-	-	-	7%	93%	-	-
2012	-	-	-	-	-	-	17%	83%	-	-
2013	-	-	-	-	-	-	17%	83%	-	-
2014	-	-	-	-	-	-	19%	81%	-	-
2015	-	-	-	-	-	-	31%	64%	5%	-
2016	-	-	-	-	-	-	24%	10%	21%	44%
2017	-	-	-	-	-	-	8%	8%	39%	45%
2018	-	-	-	-	-	-	13%	-	35%	52%
2019	-	-	-	-	-	-	10%	-	40%	50%

Note: In 2016, historical confidential vehicle sales data was re-evaluated to determine the engine technology assignments. First several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, which emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore were not included in the engine technology breakouts. For this Inventory, HEVs are now classified as gasoline vehicles across the entire time series.

- (Not Applicable)

<sup>a</sup> Detailed descriptions of emissions control technologies are provided in the following section of this Annex.

<sup>b</sup> The proportion of LEVs as a whole has decreased since 2000, as carmakers have been able to achieve greater emission reductions with certain types of LEVs, such as ULEVs. Because ULEVs emit about half the emissions of LEVs, a manufacturer can reduce the total number of LEVs they need to build to meet a specified emission average for all of their vehicles in a given model year.

Sources: EPA (1998), EPA (2019d), and EPA (2020c).

Vehicle Type/Control Technology	Model Years
Diesel Passenger Cars and Light-Duty Trucks	
Uncontrolled	1960–1982
Moderate control	1983–1995
Advanced control	1996–2006
Aftertreatment	2007-2019
Diesel Medium- and Heavy-Duty Trucks and Buses	
Uncontrolled	1960–1989
Moderate control	1990–2003
Advanced control	2004–2006
Aftertreatment	2007–2019
Motorcycles	
Uncontrolled	1960–1995
Non-catalyst controls	1996–2019

Note: Detailed descriptions of emissions control technologies are provided in the following section of this Annex.

Source: EPA (1998) and Browning (2005).

able A-94: Emission Factors for CH <sub>4</sub> a		
	N <sub>2</sub> O	CH.
Vehicle Type/Control Technology	(g/mi)	(g/mi)
Gasoline Passenger Cars		
EPA Tier 3	0.0015	0.0055
ARB LEV III	0.0012	0.0045
EPA Tier 2	0.0048	0.0072
ARB LEV II	0.0043	0.0070
ARB LEV	0.0205	0.0100
EPA Tier 1 <sup>a</sup>	0.0429	0.0271
EPA Tier 0 <sup>a</sup>	0.0647	0.0704
Oxidation Catalyst	0.0504	0.1355
Non-Catalyst Control	0.0197	0.1696
Uncontrolled	0.0197	0.1780
Gasoline Light-Duty Trucks		
EPA Tier 3	0.0012	0.0092
ARB LEV III	0.0012	0.0065
EPA Tier 2	0.0025	0.0100
ARB LEV II	0.0057	0.0084
ARB LEV	0.0223	0.0148
EPA Tier 1 <sup>a</sup>	0.0871	0.0452
EPA Tier 0 <sup>a</sup>	0.1056	0.0776
Oxidation Catalyst	0.0639	0.1516
Non-Catalyst Control	0.0218	0.1908
Uncontrolled	0.0220	0.2024
Gasoline Heavy-Duty Vehicles		
EPA Tier 3	0.0063	0.0252
ARB LEV III	0.0136	0.0411
EPA Tier 2	0.0015	0.0297
ARB LEV II	0.0049	0.0391
ARBLEV	0.0466	0.0300
EPA Tier 1ª	0.1750	0.0655
EPA Tier 0 <sup>a</sup>	0.2135	0.2630
Oxidation Catalyst	0.1317	0.2356
Non-Catalyst Control	0.0473	0.4181
Uncontrolled	0.0497	0.4604
Diesel Passenger Cars	0.0457	0.400-
Aftertreatment	0.0192	0.0302
Advanced	0.0010	0.0005
Moderate	0.0010	0.0005
Uncontrolled	0.0010	0.0000
Diesel Light-Duty Trucks	0.0012	0.0000
Aftertreatment	0.0214	0.0290
Advanced	0.0014	0.0009
Moderate	0.0014	0.0003
Uncontrolled		
	0.0017	0.0011
Diesel Medium- and Heavy-Duty		
Trucks and Buses	0.0424	0.000
Aftertreatment	0.0431	0.0095
Advanced	0.0048	0.0051
Moderate	0.0048 0.0048	0.0051 0.0051
Uncontrolled		

Table A-94: Emission Factors for CH<sub>4</sub> and N<sub>2</sub>O for On-Road Vehicles

Non-Catalyst Control	0.0069	0.0672
Uncontrolled	0.0087	0.0899

<sup>a</sup> The categories "EPA Tier 0" and "EPA Tier 1" were substituted for the early three-way catalyst and advanced three-way catalyst categories, respectively, as defined in the 2006 IPCC Guidelines. Detailed descriptions of emissions control technologies are provided at the end of this Annex.

Source: ICF (2006b and 2017a).

	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Light-Duty Cars														
Methanol-Flex Fuel ICE	0.035	0.035	0.034	0.010	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.005
Ethanol-Flex Fuel ICE	0.035	0.035	0.034	0.010	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.005
CNG ICE	0.021	0.021	0.027	0.010	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.005
CNG Bi-fuel	0.021	0.021	0.027	0.010	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.005
LPG ICE	0.021	0.021	0.027	0.010	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.005
LPG Bi-fuel	0.021	0.021	0.027	0.010	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.005
Biodiesel (BD100)	0.001	0.001	0.001	0.001	0.001	0.004	0.008	0.012	0.015	0.019	0.019	0.019	0.019	0.019
Light-Duty Trucks														
Ethanol-Flex Fuel ICE	0.068	0.069	0.072	0.024	0.016	0.016	0.016	0.016	0.016	0.016	0.014	0.012	0.011	0.009
CNG ICE	0.041	0.041	0.058	0.024	0.016	0.016	0.016	0.016	0.016	0.016	0.014	0.012	0.011	0.009
CNG Bi-fuel	0.041	0.041	0.058	0.024	0.016	0.016	0.016	0.016	0.016	0.016	0.014	0.012	0.011	0.009
LPG ICE	0.041	0.041	0.058	0.024	0.016	0.016	0.016	0.016	0.016	0.016	0.015	0.014	0.013	0.012
LPG Bi-fuel	0.041	0.041	0.058	0.024	0.016	0.016	0.016	0.016	0.016	0.016	0.015	0.014	0.013	0.012
LNG	0.041	0.041	0.058	0.024	0.016	0.016	0.016	0.016	0.016	0.016	0.014	0.012	0.011	0.009
Biodiesel (BD100)	0.001	0.001	0.001	0.001	0.001	0.005	0.009	0.013	0.017	0.021	0.021	0.021	0.021	0.021
Medium Duty Trucks														
CNG ICE	0.002	0.002	0.003	0.003	0.003	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001
CNG Bi-fuel	0.002	0.002	0.003	0.003	0.003	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001
LPG ICE	0.055	0.055	0.069	0.043	0.034	0.034	0.034	0.034	0.034	0.034	0.034	0.034	0.034	0.034
LPG Bi-fuel	0.055	0.055	0.069	0.043	0.034	0.034	0.034	0.034	0.034	0.034	0.034	0.034	0.034	0.034
LNG	0.002	0.002	0.003	0.003	0.003	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001
Biodiesel (BD100)	0.002	0.002	0.003	0.003	0.003	0.011	0.019	0.027	0.035	0.043	0.043	0.043	0.043	0.043
Heavy-Duty Trucks														
Neat Methanol ICE	0.040	0.040	0.049	0.034	0.028	0.028	0.028	0.028	0.028	0.028	0.028	0.028	0.028	0.028
Neat Ethanol ICE	0.002	0.040	0.049	0.034	0.028	0.028	0.028	0.028	0.028	0.028	0.028	0.028	0.028	0.028
CNG ICE	0.045	0.002	0.002	0.002	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
LPG ICE	1.229	0.045	0.049	0.032	0.026	0.026	0.026	0.026	0.026	0.026	0.026	0.026	0.026	0.026
LPG Bi-fuel	0.002	0.045	0.049	0.032	0.026	0.026	0.026	0.026	0.026	0.026	0.026	0.026	0.026	0.026
LNG	0.002	0.002	0.002	0.002	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
Biodiesel (BD100)	0.040	0.002	0.002	0.002	0.002	0.010	0.018	0.027	0.035	0.043	0.043	0.043	0.043	0.043
Buses														
Neat Methanol ICE	0.045	0.045	0.058	0.040	0.032	0.032	0.032	0.032	0.032	0.032	0.032	0.032	0.032	0.032
Neat Ethanol ICE	0.045	0.045	0.058	0.040	0.032	0.032	0.032	0.032	0.032	0.032	0.032	0.032	0.032	0.032
CNG ICE	0.002	0.002	0.002	0.002	0.002	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001
LPG ICE	0.051	0.051	0.058	0.038	0.030	0.028	0.025	0.022	0.020	0.017	0.017	0.017	0.017	0.017
LNG	0.002	0.002	0.002	0.002	0.002	0.002	0.002	0.001	0.001	0.001	0.001	0.001	0.001	0.001

Table A-95: Emission Factors for N<sub>2</sub>O for Alternative Fuel Vehicles (g/mi)

Biodiesel (BD100)	0.002	0.002	0.002	0.002	0.002	0.011	0.019	0.027	0.035	0.043	0.043	0.043	0.043	0.043	
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Note: When driven in all-electric mode, plug-in electric vehicles have zero tailpipe emissions. Therefore, emissions factors for battery electric vehicle (BEVs) and the electric portion of plug-in hybrid electric vehicles (PHEVs) are not included in this table.

Source: Developed by ICF (Browning 2017) using ANL (2020).

	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Light-Duty Cars														
Methanol-Flex Fuel ICE	0.034	0.034	0.019	0.015	0.015	0.014	0.013	0.011	0.010	0.009	0.008	0.008	0.008	0.00
Ethanol-Flex Fuel ICE	0.034	0.034	0.019	0.015	0.015	0.014	0.013	0.011	0.010	0.009	0.008	0.008	0.008	0.00
CNG ICE	0.489	0.489	0.249	0.153	0.153	0.139	0.126	0.113	0.100	0.086	0.085	0.083	0.082	0.08
CNG Bi-fuel	0.489	0.489	0.249	0.153	0.153	0.139	0.126	0.113	0.100	0.086	0.085	0.083	0.082	0.08
LPG ICE	0.049	0.049	0.025	0.015	0.015	0.014	0.013	0.011	0.010	0.009	0.008	0.008	0.008	0.00
LPG Bi-fuel	0.049	0.049	0.025	0.015	0.015	0.014	0.013	0.011	0.010	0.009	0.008	0.008	0.008	0.00
Biodiesel (BD100)	0.002	0.002	0.002	0.001	0.001	0.007	0.013	0.018	0.024	0.030	0.019	0.030	0.030	0.03
Light-Duty Trucks														
Ethanol-Flex Fuel ICE	0.051	0.051	0.053	0.033	0.033	0.029	0.025	0.021	0.017	0.013	0.013	0.013	0.012	0.01
CNG ICE	0.728	0.725	0.709	0.349	0.332	0.292	0.251	0.210	0.170	0.129	0.127	0.125	0.123	0.12
CNG Bi-fuel	0.728	0.725	0.709	0.349	0.332	0.292	0.251	0.210	0.170	0.129	0.127	0.125	0.123	0.12
LPG ICE	0.073	0.072	0.071	0.035	0.033	0.029	0.025	0.021	0.017	0.013	0.013	0.013	0.012	0.01
LPG Bi-fuel	0.073	0.072	0.071	0.035	0.033	0.029	0.025	0.021	0.017	0.013	0.013	0.013	0.012	0.01
LNG	0.728	0.725	0.709	0.349	0.332	0.292	0.251	0.210	0.170	0.129	0.127	0.125	0.123	0.12
Biodiesel (BD100)	0.005	0.005	0.005	0.002	0.001	0.007	0.012	0.018	0.023	0.029	0.029	0.029	0.029	0.02
Medium Duty Trucks														
CNG ICE	6.800	6.800	6.800	6.800	6.800	6.280	5.760	5.240	4.720	4.200	4.200	4.200	4.200	4.20
CNG Bi-fuel	6.800	6.800	6.800	6.800	6.800	6.280	5.760	5.240	4.720	4.200	4.200	4.200	4.200	4.20
LPG ICE	0.262	0.262	0.248	0.023	0.021	0.020	0.018	0.017	0.016	0.014	0.014	0.014	0.014	0.01
LPG Bi-fuel	0.262	0.262	0.248	0.023	0.021	0.020	0.018	0.017	0.016	0.014	0.014	0.014	0.014	0.01
LNG	6.800	6.800	6.800	6.800	6.800	6.280	5.760	5.240	4.720	4.200	4.200	4.200	4.200	4.20
Biodiesel (BD100)	0.004	0.004	0.004	0.002	0.002	0.004	0.005	0.006	0.008	0.009	0.009	0.009	0.009	0.00
Heavy-Duty Trucks														
Neat Methanol ICE	0.296	0.296	0.095	0.136	0.151	0.136	0.120	0.105	0.090	0.075	0.075	0.075	0.075	0.07
Neat Ethanol ICE	0.296	0.296	0.095	0.136	0.151	0.136	0.120	0.105	0.090	0.075	0.075	0.075	0.075	0.07
CNG ICE	4.100	4.100	4.100	4.100	4.100	4.020	3.940	3.860	3.780	3.700	3.700	3.700	3.700	3.70
LPG ICE	0.158	0.158	0.149	0.014	0.013	0.013	0.013	0.013	0.013	0.013	0.013	0.013	0.013	0.01
LPG Bi-fuel	0.158	0.158	0.149	0.014	0.013	0.013	0.013	0.013	0.013	0.013	0.013	0.013	0.013	0.01
LNG	4.100	4.100	4.100	4.100	4.100	4.020	3.940	3.860	3.780	3.700	3.700	3.700	3.700	3.70
Biodiesel (BD100)	0.012	0.012	0.005	0.005	0.005	0.006	0.007	0.007	0.008	0.009	0.009	0.009	0.009	0.00
Buses														
Neat Methanol ICE	0.086	0.086	0.067	0.068	0.075	0.067	0.060	0.052	0.045	0.037	0.032	0.027	0.022	0.01

#### Table A-96: Emission Factors for CH4 for Alternative Fuel Vehicles (g/mi)

Neat Ethanol ICE	0.086	0.086	0.067	0.068	0.075	0.067	0.060	0.052	0.045	0.037	0.032	0.027	0.022	0.016
CNG ICE	18.800	18.800	18.800	18.800	18.800	17.040	15.280	13.520	11.760	10.000	10.000	10.000	10.000	10.000
LPG ICE	0.725	0.725	0.686	0.063	0.058	0.053	0.048	0.044	0.039	0.034	0.034	0.034	0.034	0.034
LNG	18.800	18.800	18.800	18.800	18.800	17.040	15.280	13.520	11.760	10.000	10.000	10.000	10.000	10.000
Biodiesel (BD100)	0.004	0.004	0.003	0.002	0.002	0.004	0.005	0.006	0.008	0.009	0.009	0.009	0.009	0.009

Note: When driven in all-electric mode, plug-in electric vehicles have zero tailpipe emissions. Therefore, emissions factors for battery electric vehicle (BEVs) and the electric portion of plug-in hybrid electric vehicles (PHEVs) are not included in this table.

Source: Developed by ICF (Browning 2017) using ANL (2020).

#### Table A-97: Emission Factors for N<sub>2</sub>O Emissions from Non-Road Mobile Combustion (g/kg fuel)

	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Ships and Boats														
Residual Fuel Oil	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09	0.09
Gasoline														
2 Stroke	0.021	0.021	0.021	0.024	0.025	0.025	0.025	0.026	0.026	0.026	0.027	0.027	0.027	0.027
4 Stroke	0.002	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003	0.003
Distillate Fuel Oil	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054	0.054
Rail														
Diesel	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08	0.08
Aircraft														
Jet Fuel	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10	0.10
Aviation Gasoline	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04	0.04
Agricultural														
Equipment <sup>a</sup>														
Gasoline-Equipment														
2 Stroke	0.055	0.060	0.064	0.091	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092
4 Stroke	0.543	0.550	0.558	0.618	0.624	0.629	0.634	0.638	0.642	0.644	0.647	0.650	0.653	0.656
Gasoline-Off-road														
Trucks	0.54	0.55	0.56	0.62	0.62	0.63	0.63	0.64	0.64	0.64	0.65	0.65	0.65	0.66
Diesel-Equipment	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282	0.282
Diesel-Off-Road														
Trucks	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288
CNG	0.036	0.036	0.036	0.042	0.043	0.044	0.044	0.044	0.044	0.044	0.044	0.045	0.045	0.045
LPG	0.250	0.250	0.250	0.277	0.281	0.283	0.285	0.287	0.288	0.290	0.291	0.292	0.293	0.294
Construction/Mining														
Equipment <sup>b</sup>														
Gasoline-Equipment														
2 Stroke	0.079	0.082	0.084	0.120	0.121	0.121	0.121	0.121	0.121	0.121	0.121	0.121	0.121	0.121
4 Stroke	0.461	0.486	0.509	0.582	0.584	0.587	0.590	0.591	0.592	0.594	0.595	0.596	0.596	0.597

Gasoline-Off-road														
Trucks	0.46	0.49	0.51	0.58	0.58	0.59	0.59	0.59	0.59	0.59	0.59	0.60	0.60	0.60
Diesel-Equipment	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274	0.274
Diesel-Off-Road														
Trucks	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288	0.288
CNG	0.036	0.036	0.036	0.038	0.038	0.038	0.039	0.039	0.039	0.040	0.040	0.041	0.042	0.042
LPG	0.250	0.250	0.250	0.279	0.283	0.287	0.290	0.293	0.295	0.298	0.300	0.303	0.305	0.306
Lawn and Garden Equ	ipment													
Gasoline-Residential														
2 Stroke	0.053	0.056	0.060	0.084	0.084	0.085	0.085	0.085	0.085	0.085	0.085	0.085	0.085	0.085
4 Stroke	0.401	0.421	0.447	0.526	0.529	0.532	0.534	0.535	0.536	0.537	0.537	0.537	0.538	0.537
Gasoline-														
Commercial														
2 Stroke	0.066	0.069	0.072	0.102	0.102	0.102	0.102	0.102	0.102	0.102	0.102	0.102	0.102	0.102
4 Stroke	0.427	0.464	0.498	0.553	0.554	0.555	0.557	0.558	0.558	0.558	0.559	0.559	0.559	0.559
Diesel-Residential														
Diesel-Commercial	0.271	0.271	0.270	0.270	0.270	0.270	0.270	0.270	0.270	0.270	0.270	0.270	0.270	0.270
LPG	0.250	0.250	0.250	0.291	0.297	0.302	0.306	0.307	0.309	0.310	0.311	0.311	0.312	0.312
Airport Equipment														
Gasoline														
4 Stroke	0.597	0.616	0.631	0.734	0.741	0.750	0.755	0.758	0.760	0.762	0.763	0.764	0.764	0.764
Diesel	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285	0.285
LPG	0.250	0.250	0.250	0.295	0.299	0.304	0.307	0.308	0.310	0.311	0.311	0.312	0.312	0.312
Industrial/Commercia	1													
Equipment														
Gasoline														
2 Stroke	0.055	0.060	0.064	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092
4 Stroke	0.457	0.485	0.510	0.583	0.587	0.590	0.593	0.594	0.595	0.596	0.597	0.598	0.599	0.600
Diesel	0.270	0.270	0.270	0.271	0.271	0.272	0.272	0.273	0.273	0.273	0.272	0.272	0.272	0.272
CNG	0.036	0.036	0.036	0.042	0.043	0.043	0.044	0.044	0.044	0.044	0.044	0.044	0.044	0.044
LPG	0.250	0.250	0.250	0.285	0.291	0.297	0.303	0.305	0.307	0.308	0.309	0.310	0.311	0.311
Logging Equipment														
Gasoline														
2 Stroke	0.082	0.085	0.087	0.124	0.124	0.124	0.124	0.124	0.124	0.124	0.124	0.124	0.124	0.124
4 Stroke	0.445	0.453	0.463	0.518	0.522	0.528	0.535	0.542	0.549	0.554	0.557	0.559	0.562	0.563
Diesel	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287	0.287
Railroad Equipment														
Gasoline														
4 Stroke	0.442	0.464	0.485	0.561	0.562	0.564	0.565	0.566	0.567	0.567	0.568	0.568	0.568	0.568

Diesel	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243	0.243
LPG	0.250	0.250	0.250	0.274	0.276	0.283	0.287	0.291	0.294	0.297	0.299	0.303	0.305	0.306
Recreational														
Equipment														
Gasoline														
2 Stroke	0.059	0.060	0.060	0.063	0.064	0.064	0.065	0.066	0.067	0.068	0.069	0.070	0.071	0.072
4 Stroke	0.719	0.732	0.743	0.790	0.790	0.792	0.793	0.793	0.793	0.794	0.794	0.794	0.795	0.795
Diesel	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236	0.236
LPG	0.250	0.250	0.250	0.263	0.265	0.267	0.270	0.272	0.274	0.276	0.278	0.281	0.283	0.285

<sup>a</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>b</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

Source: IPCC (2006) and Browning, L (2018b), EPA (2019a).

#### Table A-98: Emission Factors for CH<sub>4</sub> Emissions from Non-Road Mobile Combustion (g/kg fuel)

	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Ships and Boats														
Residual Fuel Oil	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31
Gasoline														
2 Stroke	1.259	1.259	1.270	1.443	1.465	1.489	1.514	1.537	1.557	1.578	1.598	1.615	1.629	1.642
4 Stroke	0.720	0.721	0.725	0.757	0.760	0.764	0.769	0.773	0.778	0.783	0.789	0.794	0.798	0.802
Distillate Fuel Oil	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010	2.010
Rail														
Diesel	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
Aircraft														
Jet Fuel <sup>c</sup>	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Aviation Gasoline	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64	2.64
Agricultural Equipment <sup>a</sup>														
Gasoline-Equipment														
2 Stroke	2.111	2.141	2.284	3.288	3.294	3.294	3.294	3.294	3.294	3.294	3.294	3.294	3.294	3.293
4 Stroke	0.997	1.000	1.014	1.125	1.135	1.143	1.153	1.160	1.167	1.172	1.176	1.182	1.187	1.193
Gasoline-Off-road Trucks	0.997	1.000	1.014	1.125	1.135	1.143	1.153	1.160	1.167	1.172	1.176	1.182	1.187	1.193
Diesel-Equipment	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303	0.303
Diesel-Off-Road Trucks	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310
CNG	2.293	2.293	2.293	2.698	2.762	2.798	2.819	2.834	2.845	2.853	2.858	2.861	2.861	2.860
LPG	0.175	0.175	0.175	0.194	0.197	0.198	0.200	0.201	0.202	0.203	0.204	0.205	0.205	0.206
Construction/Mining														
Equipment <sup>b</sup>														
Gasoline-Equipment														
2 Stroke	2.924	2.945	3.033	4.329	4.339	4.341	4.341	4.341	4.341	4.341	4.341	4.341	4.341	4.340

4 Stroke	0.875	0.885	0.926	1.058	1.063	1.068	1.073	1.075	1.077	1.080	1.082	1.083	1.085	1.085
Gasoline-Off-road Trucks	0.875	0.885	0.926	1.058	1.063	1.068	1.073	1.075	1.077	1.080	1.082	1.083	1.085	1.085
Diesel-Equipment	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295	0.295
Diesel-Off-Road Trucks	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310	0.310
CNG	2.293	2.293	2.293	2.424	2.444	2.468	2.488	2.511	2.531	2.556	2.600	2.653	2.695	2.730
LPG	0.175	0.175	0.175	0.195	0.198	0.201	0.204	0.205	0.207	0.209	0.211	0.213	0.214	0.215
Lawn and Garden														
Equipment														
Gasoline-Residential														
2 Stroke	1.99	2.01	2.14	3.01	3.03	3.05	3.06	3.06	3.06	3.06	3.06	3.06	3.06	3.06
4 Stroke	0.76	0.77	0.81	0.96	0.96	0.97	0.97	0.97	0.97	0.98	0.98	0.98	0.98	0.98
Gasoline-Commercial														
2 Stroke	2.46	2.49	2.60	3.65	3.65	3.65	3.65	3.65	3.65	3.65	3.65	3.66	3.66	3.66
4 Stroke	0.83	0.84	0.91	1.01	1.01	1.01	1.01	1.01	1.01	1.02	1.02	1.02	1.02	1.02
Diesel-Residential														
Diesel-Commercial	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29
LPG	0.18	0.18	0.18	0.20	0.21	0.21	0.21	0.22	0.22	0.22	0.22	0.22	0.22	0.22
Airport Equipment														
Gasoline														
4 Stroke	1.11	1.12	1.15	1.33	1.35	1.36	1.37	1.38	1.38	1.39	1.39	1.39	1.39	1.39
Diesel	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31
LPG	0.18	0.18	0.18	0.21	0.21	0.21	0.21	0.22	0.22	0.22	0.22	0.22	0.22	0.22
Industrial/Commercial														
Equipment														
Gasoline														
2 Stroke	2.13	2.16	2.29	3.29	3.29	3.30	3.30	3.30	3.30	3.30	3.30	3.30	3.30	3.30
4 Stroke	0.87	0.88	0.93	1.06	1.07	1.07	1.08	1.08	1.08	1.08	1.09	1.09	1.09	1.09
Diesel	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29	0.29
CNG	2.29	2.29	2.29	2.72	2.75	2.78	2.81	2.82	2.83	2.84	2.84	2.84	2.85	2.85
LPG	0.18	0.18	0.18	0.20	0.20	0.21	0.21	0.21	0.22	0.22	0.22	0.22	0.22	0.22
Logging Equipment														
Gasoline														
2 Stroke	3.03	3.05	3.13	4.47	4.47	4.47	4.47	4.47	4.47	4.47	4.47	4.47	4.47	4.47
4 Stroke	0.82	0.82	0.84	0.94	0.95	0.96	0.97	0.99	1.00	1.01	1.01	1.02	1.02	1.02
Diesel	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31	0.31
Railroad Equipment														
Gasoline														
4 Stroke	0.84	0.84	0.88	1.02	1.02	1.03	1.03	1.03	1.03	1.03	1.03	1.03	1.03	1.03
Diesel	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26	0.26
				-										

LPG	0.18	0.18	0.18	0.19	0.19	0.20	0.20	0.20	0.21	0.21	0.21	0.21	0.21	0.21
<b>Recreational Equipment</b>														
Gasoline														
2 Stroke	1.28	1.29	1.29	1.35	1.37	1.38	1.40	1.42	1.44	1.46	1.48	1.50	1.52	1.53
4 Stroke	1.15	1.15	1.17	1.24	1.24	1.24	1.25	1.25	1.25	1.25	1.25	1.25	1.25	1.54
Diesel	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25	0.25
LPG	0.18	0.18	0.18	0.18	0.19	0.19	0.19	0.19	0.19	0.19	0.20	0.20	0.20	0.20

<sup>a</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>b</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

Source: IPCC (2006) and Browning, L (2018b), EPA (2019a).

#### Table A-99: NO<sub>x</sub> Emissions from Mobile Combustion (kt)

Fuel Type/Vehicle Type	1990	1995	200		2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Gasoline On-Road	5,746	4,560	3,81	2	2,966	2,724	2,805	2,647	2,489	2,332	2,122	1,751	1,670	1,463	1,326
Passenger Cars	3,847	2,752	2,084		1,618	1,486	1,530	1,444	1,358	1,272	1,158	955	911	798	723
Light-Duty Trucks	1,364	1,325	1,30		1,026	942	970	915	861	806	734	605	578	506	459
Medium- and Heavy-															
Duty Trucks and Buses	515	469	41		311	286	294	278	261	245	223	184	175	154	139
Motorcycles	20	14	1	:	11	10	10	10	9	9	8	6	6	5	5
Diesel On-Road	2,956	3,493	3,80	;	2,665	2,448	2,520	2,379	2,237	2,095	1,907	1,573	1,501	1,315	1,191
Passenger Cars	39	19		'	5	4	4	4	4	4	3	3	3	2	2
Light-Duty Trucks	20	12		;	4	4	4	4	4	3	3	3	2	2	2
Medium- and Heavy-															
Duty Trucks and Buses	2,897	3,462	3,79		2,656	2,439	2,512	2,370	2,229	2,088	1,900	1,568	1,495	1,310	1,187
Alternative Fuel On-															
Road <sup>a</sup>	IE	IE	1		IE										
Non-Road	2,160	2,483	2,58		2,166	2,118	1,968	1,883	1,797	1,712	1,605	1,416	1,392	1,345	1,345
Ships and Boats	402	488	50	;	448	438	407	389	372	354	332	293	288	278	278
Rail	338	433	45		400	391	363	348	332	316	296	261	257	248	248
Aircraft <sup>b</sup>	25	31	40	)	32	32	29	28	27	26	24	21	21	20	20
Agricultural Equipment <sup>c</sup>	437	478	484	L I	392	383	356	340	325	309	290	256	252	243	243
Construction/Mining															
Equipment <sup>d</sup>	641	697	69	,	563	550	511	489	467	445	417	368	362	349	349
Other <sup>e</sup>	318	357	40	,	332	324	301	288	275	262	246	217	213	206	206
Total	10,862	10,536	10,19	)	7,797	7,290	7,294	6,909	6,523	6,138	5,634	4,739	4,563	4,123	3,862

Notes: The source of this data is the National Emissions Inventory. Updates to estimates from MOVES2014b is a change that affects the emissions time series. Totals may not sum due to independent rounding.

IE (Included Elsewhere)

<sup>a</sup> NO<sub>x</sub> emissions from alternative fuel on-road vehicles are included under gasoline and diesel on-road.

<sup>b</sup> Aircraft estimates include only emissions related to LTO cycles, and therefore do not include cruise altitude emissions.

<sup>c</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>d</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

<sup>e</sup> "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Fuel Type/Vehicle Type	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Gasoline On-Road	98,328	74,673	60,657	24,515	25,235	24,442	23,573	22,704	21,834	20,864	17,995	17,435	15,898	15,070
Passenger Cars	60,757	42,065	32,867	13,659	14,060	13,618	13,134	12,649	12,165	11,625	10,026	9,714	8,858	8,396
Light-Duty Trucks	29,237	27,048	24,532	9,758	10,044	9,729	9,383	9,037	8,690	8,304	7,162	6,940	6,328	5,998
Medium- and Heavy-														
Duty Trucks and Buses	8,093	5,404	3,104	1,042	1,073	1,039	1,002	965	928	887	765	741	676	641
Motorcycles	240	155	154	57	58	57	55	53	51	48	42	40	37	35
Diesel On-Road	1,696	1,424	1,088	376	387	375	361	348	335	320	276	267	244	231
Passenger Cars	35	18	7	3	3	3	2	2	2	2	2	2	2	2
Light-Duty Trucks	22	16	6	2	2	2	2	2	2	2	2	2	1	1
Medium- and Heavy-														
Duty Trucks and Buses	1,639	1,391	1,075	371	382	370	357	343	330	316	272	264	241	228
Alternative Fuel On-														
Road <sup>a</sup>	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE	IE
Non-Road	19,337	21,533	21,814	14,365	13,853	13,488	12,981	12,474	11,966	11,451	10,518	10,421	10,448	10,448
Ships and Boats	1,559	1,781	1,825	1,182	1,140	1,109	1,068	1,026	984	942	865	857	859	859
Rail	85	93	90	58	56	54	52	50	48	46	42	42	42	42
Aircraft <sup>b</sup>	217	224	245	151	145	141	136	131	125	120	110	109	110	110
Agricultural Equipment <sup>c</sup>	581	628	626	401	386	376	362	348	334	319	293	291	291	291
Construction/Mining														
Equipment <sup>d</sup>	1,090	1,132	1,047	672	648	631	607	583	560	535	492	487	489	489
Other <sup>e</sup>	15,805	17,676	17,981	11,903	11,479	11,176	10,756	10,335	9,915	9,488	8,715	8,635	8,657	8,657
Total	119,360	97,630	83,559	39,256	39,475	38,305	36,915	35,525	34,135	32,635	28,789	28,124	26,590	25,749

Table A-100: CO Emissions from Mobile Combustion (kt)

Notes: The source of this data is the National Emissions Inventory. Updates to estimates from MOVES2014b is a change that affects the emissions time series. Totals may not sum due to independent rounding.

IE (Included Elsewhere)

<sup>a</sup> CO emissions from alternative fuel on-road vehicles are included under gasoline and diesel on-road.

<sup>b</sup> Aircraft estimates include only emissions related to LTO cycles, and therefore do not include cruise altitude emissions.

<sup>c</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>d</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

<sup>e</sup> "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

Fuel Type/Vehicle Type	1990	199	5	2000	200	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Gasoline On-Road	8,110	5,81	9	4,615	2,38	1 2 <i>,</i> 393	2,485	2,342	2,200	2,058	1,929	1,626	1,570	1,395	1,295
Passenger Cars	5,120	3,39	4	2,610	1,33	2 1,336	1,388	1,308	1,229	1,149	1,077	908	877	779	723
Light-Duty Trucks	2,374	2,01	Э	1,750	92	5 929	965	910	854	799	749	631	610	542	503
Medium- and Heavy-Duty															
Trucks and Buses	575	38	2	232	11	5 115	120	113	106	99	93	78	76	67	62
Motorcycles	42	2	4	23	1	2 12	13	12	11	11	10	8	8	7	7
Diesel On-Road	406	30	4	216	11	5 116	120	113	106	100	93	79	76	68	63
Passenger Cars	16		8	3		2 2	2	2	2	1	1	1	1	1	1
Light-Duty Trucks	14		Э	4		2 2	2	2	2	2	2	1	1	1	1
Medium- and Heavy-Duty															
Trucks and Buses	377	28	5	209	11	2 112	116	110	103	96	90	76	74	65	61
Alternative Fuel On-Road <sup>a</sup>	IE	- I	E	IE	- I	E IE	IE	IE	IE	IE	IE	IE	IE	IE	IE
Non-Road	2,415	2,62	2	2,398	2,15	2,082	1,957	1,837	1,717	1,597	1,435	1,168	1,112	1,080	1,080
Ships and Boats	608	73	Э	744	66	0 639	600	564	527	490	440	358	341	331	331
Rail	33	3	5	35	3	2 31	29	27	26	24	21	17	17	16	16
Aircraft <sup>b</sup>	28	2	8	24	1	7 17	16	15	14	13	12	9	9	9	9
Agricultural Equipment <sup>c</sup>	85	8	5	76	6	5 63	60	56	52	49	44	36	34	33	33
Construction/Mining															
Equipment <sup>d</sup>	149	15	2	130	11	3 109	103	96	90	84	75	61	58	57	57
Other <sup>e</sup>	1,512	1,58	5	1,390	1,26	3 1,223	1,149	1,079	1,008	938	843	686	653	634	634
Total	10,932	8,74	5	7,230	4,65	) 4,591	4,562	4,293	4,023	3,754	3,458	2,873	2,758	2,543	2,437

#### Table A-101: NMVOCs Emissions from Mobile Combustion (kt)

Notes: The source of this data is the National Emissions Inventory. Updates to estimates from MOVES2014b is a change that affects the emissions time series. Totals may not sum due to independent rounding.

IE (Included Elsewhere)

<sup>a</sup> NMVOC emissions from alternative fuel on-road vehicles are included under gasoline and diesel on-road.

<sup>b</sup> Aircraft estimates include only emissions related to LTO cycles, and therefore do not include cruise altitude emissions.

<sup>c</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>d</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

<sup>e</sup> "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

#### **Definitions of Emission Control Technologies and Standards**

The N<sub>2</sub>O and CH<sub>4</sub> emission factors used depend on the emission standards in place and the corresponding level of control technology for each vehicle type. Table A-90 through Table A-93 show the years in which these technologies or standards were in place and the penetration level for each vehicle type. These categories are defined below and were compiled from EPA (1993, 1994a, 1994b, 1998, 1999) and IPCC/UNEP/OECD/IEA (1997).

#### Uncontrolled

Vehicles manufactured prior to the implementation of pollution control technologies are designated as uncontrolled. Gasoline passenger cars and light-duty trucks (pre-1973), gasoline heavy-duty vehicles (pre-1984), diesel vehicles (pre-1983), and motorcycles (pre-1996) are assumed to have no control technologies in place.

#### **Gasoline Emission Controls**

Below are the control technologies and emissions standards applicable to gasoline vehicles.

#### Non-catalyst

These emission controls were common in gasoline passenger cars and light-duty gasoline trucks during model years (1973-1974) but phased out thereafter, in heavy-duty gasoline vehicles beginning in the mid-1980s, and in motorcycles beginning in 1996. This technology reduces hydrocarbon (HC) and carbon monoxide (CO) emissions through adjustments to ignition timing and air-fuel ratio, air injection into the exhaust manifold, and exhaust gas recirculation (EGR) valves, which also helps meet vehicle NO<sub>x</sub> standards.

#### **Oxidation Catalyst**

This control technology designation represents the introduction of the catalytic converter, which was the most common technology in gasoline passenger cars and light-duty gasoline trucks made from 1975 to 1980 (cars) and 1975 to 1985 (trucks). This technology was also used in some heavy-duty gasoline vehicles between 1982 and 1997. The two-way catalytic converter oxidizes HC and CO, significantly reducing emissions over 80 percent beyond non-catalyst-system capacity. One reason unleaded gasoline was introduced in 1975 was due to the fact that oxidation catalysts cannot function properly with leaded gasoline.

#### EPA Tier 0

This emission standard from the Clean Air Act was met through the implementation of early "three-way" catalysts, a technology used in gasoline passenger cars and light-duty gasoline trucks beginning in the early 1980s which remained common until 1994. This more sophisticated emission control system improves the efficiency of the catalyst by converting CO and HC to  $CO_2$  and  $H_2O$ , reducing  $NO_x$  to nitrogen and oxygen, and using an on-board diagnostic computer and oxygen sensor. In addition, this type of catalyst includes a fuel metering system (carburetor or fuel injection) with electronic "trim" (also known as a "closed-loop system"). New cars with three-way catalysts met the Clean Air Act's amended standards (enacted in 1977) of reducing HC to 0.41 g/mile by 1980, CO to 3.4 g/mile by 1981 and  $NO_x$  to 1.0 g/mile by 1981.

#### EPA Tier 1

This emission standard created through the 1990 amendments to the Clean Air Act limited passenger car NO<sub>x</sub> emissions to 0.4 g/mi, and HC emissions to 0.25 g/mi. These bounds respectively amounted to a 60 and 40 percent reduction from the EPA Tier 0 standard set in 1981. For light-duty trucks, this standard set emissions at 0.4 to 1.1 g/mi for NO<sub>x</sub>, and 0.25 to 0.39 g/mi for HCs, depending on the weight of the truck. Emission reductions were met through the use of more advanced emission control systems applied to light-duty gasoline vehicles beginning in 1994. These advanced emission control systems included advanced three-way catalysts, electronically controlled fuel injection and ignition timing, EGR, and air injection.

#### EPA Tier 2

This emission standard was specified in the 1990 amendments to the Clean Air Act, limiting passenger car  $NO_x$  emissions to 0.07 g/mi on average and aligning emissions standards for passenger cars and light-duty trucks.

Manufacturers can meet this average emission level by producing vehicles in 11 emission "Bins," the three highest of which expired in 2006. These emission standards represent a 77 to 95 percent reduction in emissions from the EPA Tier 1 standard set in 1994. Emission reductions were met through the use of more advanced emission control systems and lower sulfur fuels and applied to vehicles beginning in 2004. These advanced emission control systems include improved combustion, advanced three-way catalysts, electronically controlled fuel injection and ignition timing, EGR, and air injection.

#### EPA Tier 3

These standards begin in 2017 and will fully phase-in by 2025, although some Tier 3-compliant vehicles were produced prior to 2017. This emission standard reduces both tailpipe and evaporative emissions from passenger cars, light-duty trucks, medium-duty passenger vehicles, and some heavy-duty vehicles. It is combined with a gasoline sulfur standard that will enable more stringent vehicle emissions standards and will make emissions control systems more effective.

#### CARB Low Emission Vehicles (LEV)

This emission standard requires a much higher emission control level than the Tier 1 standard. Applied to lightduty gasoline passenger cars and trucks beginning in small numbers in the mid-1990s, LEV includes multi-port fuel injection with adaptive learning, an advanced computer diagnostics systems and advanced and close coupled catalysts with secondary air injection. LEVs as defined here include transitional low-emission vehicles (TLEVs), low emission vehicles, ultra-low emission vehicles (ULEVs). In this analysis, all categories of LEVs are treated the same due to the fact that there are very limited CH<sub>4</sub> or N<sub>2</sub>O emission factor data for LEVs to distinguish among the different types of vehicles. Zero emission vehicles (ZEVs) are incorporated into the alternative fuel and advanced technology vehicle assessments.

#### CARB LEVII

This emission standard builds upon ARB's LEV emission standards. They represent a significant strengthening of the emission standards and require light trucks under 8500 lbs gross vehicle weight meet passenger car standards. It also introduces a super ultra-low vehicle (SULEV) emission standard. The LEVII standards decreased emission requirements for LEV and ULEV vehicles as well as increasing the useful life of the vehicle to 150,000. These standards began with 2004 vehicles. In this analysis, all categories of LEVIIs are treated the same due to the fact that there are very limited  $CH_4$  or  $N_2O$  emission factor data for LEVIIs to distinguish among the different types of vehicles. Zero emission vehicles (ZEVs) are incorporated into the alternative fuel and advanced technology vehicle assessments.

#### CARB LEVIII

These standards begin in 2015 and are fully phased in by 2025, although some LEVIII-compliant vehicles were produced prior to 2017. LEVIII set new vehicle emissions standards and lower the sulfur content of gasoline, considering the vehicle and its fuel as an integrated system. These new tailpipe standards apply to all light-duty vehicles, medium duty and some heavy-duty vehicles. Zero emission vehicles (ZEVs) are incorporated into the alternative fuel and advanced technology vehicle assessments.

#### **Diesel Emission Controls**

Below are the three levels of emissions control for diesel vehicles.

#### Moderate control

Improved injection timing technology and combustion system design for light- and heavy-duty diesel vehicles (generally in place in model years 1983 to 1995) are considered moderate control technologies. These controls were implemented to meet emission standards for diesel trucks and buses adopted by the EPA in 1985 to be met in 1991 and 1994.

#### Advanced control

EGR and modern electronic control of the fuel injection system are designated as advanced control technologies. These technologies provide diesel vehicles with the level of emission control necessary to comply with standards in place from 1996 through 2006.

#### Aftertreatment

Use of diesel particulate filters (DPFs), oxidation catalysts and  $NO_x$  absorbers or selective catalytic reduction (SCR) systems are designated as aftertreatment control. These technologies provide diesel vehicles with a level of emission control necessary to comply with standards in place from 2007 on.

#### Supplemental Information on GHG Emissions from Transportation and Other Mobile Sources

This section of this Annex includes supplemental information on the contribution of transportation and other mobile sources to U.S. greenhouse gas emissions. In the main body of the Inventory report, emission estimates are generally presented by greenhouse gas, with separate discussions of the methodologies used to estimate CO<sub>2</sub>, N<sub>2</sub>O, CH<sub>4</sub>, and HFC emissions. Although the Inventory is not required to provide detail beyond what is contained in the body of this report, the IPCC allows presentation of additional data and detail on emission sources. The purpose of this sub-annex, within the Annex that details the calculation methods and data used for non-CO<sub>2</sub> calculations, is to consolidate all transportation estimates presented throughout the report.

This section of this Annex reports total greenhouse gas emissions from transportation and other (nontransportation) mobile sources in CO<sub>2</sub> equivalents, with information on the contribution by greenhouse gas and by mode, vehicle type, and fuel type. Additional analyses were conducted to develop estimates of CO<sub>2</sub> from nontransportation mobile sources (e.g., agricultural equipment, construction/mining equipment, recreational vehicles), and to provide more detailed breakdowns of emissions by source.

#### Estimation of CO<sub>2</sub> from Non-Transportation Mobile Sources

The estimates of N<sub>2</sub>O and CH<sub>4</sub> from fuel combustion presented in the Energy chapter of the Inventory include both transportation sources and other mobile sources. Other mobile sources include construction/mining equipment, agricultural equipment, vehicles used off-road, and other sources that have utility associated with their movement but do not have a primary purpose of transporting people or goods (e.g., snowmobiles, riding lawnmowers, etc.). Estimates of CO<sub>2</sub> from non-transportation mobile sources, based on EIA fuel consumption estimates, are included in the industrial and commercial sectors of the Inventory. In order to provide comparable information on transportation and mobile sources, Table A-102 provides estimates of CO<sub>2</sub> from these other mobile sources, developed from the Nonroad component of EPA's MOVES2014b model and FHWA's Highway Statistics. These other mobile source estimates were developed using the same fuel consumption data utilized in developing the N<sub>2</sub>O and CH<sub>4</sub> estimates (see Table A-89). Note that the method used to estimate fuel consumption volumes for CO<sub>2</sub> emissions from non-transportation mobile sources for the supplemental information presented in Table A-102, Table A-104, and Table A-105 differs from the method used to estimate fuel consumption volumes for CO<sub>2</sub> in the industrial and commercial sectors in this Inventory, which include CO<sub>2</sub> emissions from all non-transportation mobile sources (see Section 3.1 for a discussion of that methodology).

Fuel Type/ Vehicle Type	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Agricultural Equipment <sup>a</sup>	43.4	43.1	39.9	46.5	46.6	46.8	48.0	45.8	45.9	41.1	40.2	39.8	39.8	39.7
Construction/Mining														
Equipment <sup>b</sup>	48.9	52.7	57.4	66.1	65.3	64.0	62.9	65.9	61.1	57.0	60.0	65.1	68.2	70.3
Other Sources <sup>c</sup>	69.6	72.2	76.3	83.8	86.6	85.8	85.9	87.0	88.8	87.4	88.3	89.9	92.3	94.1
Total	161.9	168.0	173.6	196.3	198.4	196.6	196.8	198.7	195.9	185.6	188.4	194.8	200.3	204.1

Table A-102: CO<sub>2</sub> Emissions from Non-Transportation Mobile Sources (MMT CO<sub>2</sub> Eq.)

Note: The method used to estimate CO<sub>2</sub> emissions in this supplementary information table differs from the method used to estimate CO<sub>2</sub> in the industrial and commercial sectors in the Inventory, which include CO<sub>2</sub> emissions from all non-transportation mobile sources (see Section 3.1 for the methodology for estimating CO<sub>2</sub> emissions from fossil fuel combustion in this Inventory). The current Inventory uses the Nonroad component of MOVES2014b for years 1999 through 2019.<sup>a</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>b</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

<sup>c</sup> "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

#### **Estimation of HFC Emissions from Transportation Sources**

In addition to CO<sub>2</sub>, N<sub>2</sub>O and CH<sub>4</sub> emissions, transportation sources also result in emissions of HFCs. HFCs are emitted to the atmosphere during equipment manufacture and operation (as a result of component failure, leaks, and purges), as well as at servicing and disposal events. There are three categories of transportation-related HFC emissions: Mobile air-conditioning represents the emissions from air conditioning units in passenger cars, light-duty trucks, and heavy-duty vehicles; Comfort Cooling represents the emissions from air conditioning units in passenger trains and buses; and Refrigerated Transport represents the emissions from units used to cool freight during transportation.

Table A-103 below presents these HFC emissions. Table A-104 presents all transportation and mobile source greenhouse gas emissions, including HFC emissions.

Vehicle Type	1990	1	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Mobile AC	+		19.4	55.2	68.2	64.7	58.6	52.7	46.7	43.4	40.5	36.9	33.3	31.0	28.8
Passenger Cars	+		11.2	28.0	29.9	27.5	23.9	20.6	17.2	15.8	14.7	13.2	11.4	10.4	9.3
Light-Duty Trucks	+		7.8	25.6	35.2	34.1	31.6	29.2	26.5	24.7	23.0	21.1	19.2	18.1	16.9
Heavy-Duty Vehicles	+		0.5	1.6	3.0	3.1	3.0	2.9	2.9	2.9	2.8	2.7	2.6	2.6	2.6
<b>Comfort Cooling for Trains and Buses</b>	+		+	0.1	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5
School and Tour Buses	+		+	0.1	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4
Transit Buses	+		+	+	+	+	+	+	+	0.1	0.1	0.1	0.1	0.1	0.1
Rail	+		+	+	+	+	+	+	+	+	+	+	+	+	0.1
Refrigerated Transport	+		0.2	0.8	2.4	2.9	3.4	3.9	4.4	4.9	5.4	5.9	6.4	6.9	7.4
Medium- and Heavy-Duty Trucks	+		0.1	0.4	1.4	1.6	1.8	2.1	2.3	2.5	2.7	2.9	3.1	3.3	3.5
Rail	+		+	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Ships and Boats	+		+	0.3	0.9	1.2	1.5	1.7	2.0	2.3	2.6	2.9	3.3	3.6	3.9
Total	+		19.6	56.2	71.1	68.1	62.4	57.1	51.6	48.8	46.3	43.3	40.1	38.5	36.7

Table A-103: HFC Emissions from Transportation Sources (MMT CO<sub>2</sub> Eq.)

Note: Totals may not sum due to independent rounding.

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

## Contribution of Transportation and Mobile Sources to Greenhouse Gas Emissions, by Mode/Vehicle Type/Fuel Type

Table A-104 presents estimates of greenhouse gas emissions from an expanded analysis including all transportation and additional mobile sources, as well as emissions from electricity generation by the consuming category, in CO<sub>2</sub> equivalents. In total, transportation and non-transportation mobile sources emitted 2,092 MMT CO<sub>2</sub> Eq. in 2019, an increase of 23 percent from 1990.<sup>60</sup> Transportation sources account for 1,880.5 MMT CO<sub>2</sub> Eq. while non-transportation mobile sources account for 211.5 MMT CO<sub>2</sub> Eq. These estimates include HFC emissions for mobile AC, comfort cooling for trains and buses, and refrigerated transport. These estimates were generated using the estimates of CO<sub>2</sub> emissions from transportation sources reported in Section 3.1 CO<sub>2</sub> Emissions from Fossil Fuel Combustion, and CH<sub>4</sub> emissions and N<sub>2</sub>O emissions reported in the Mobile Combustion section of the Energy chapter; information on HFCs from mobile air conditioners, comfort cooling for trains and buses, and refrigerated transport section of the Energy chapter; information on HFCs from mobile air conditioners, comfort cooling for trains and buses, and refrigerated transportation from the Substitution of Ozone Depleting Substances section of the IPPU chapter; and estimates of CO<sub>2</sub> emitted from non-transportation mobile sources reported in Table A-102 above.

Although all emissions reported here are based on estimates reported throughout this Inventory, some additional calculations were performed in order to provide a detailed breakdown of emissions by mode and vehicle category. In the case of N<sub>2</sub>O and CH<sub>4</sub>, additional calculations were performed to develop emission estimates by type of aircraft and type of heavy-duty vehicle (i.e., medium- and heavy-duty trucks or buses) to match the level of detail for CO<sub>2</sub> emissions. N<sub>2</sub>O estimates for both jet fuel and aviation gasoline, and CH<sub>4</sub> estimates for aviation gasoline were developed for individual aircraft types by multiplying the emissions estimates for each fuel type (jet fuel and aviation gasoline) by the portion of fuel used by each aircraft type (from FAA 2021 and DLA 2020). Emissions of CH<sub>4</sub> from jet fuels are no longer considered to be emitted from aircraft gas turbine engines burning jet fuel A at higher power settings. This update applies to the entire time series.<sup>61</sup> Recent research indicates that modern aircraft jet engines are typically net consumers of methane (Santoni et al. 2011). Methane is emitted at low power and idle operation, but at higher power modes aircraft engines consume methane. Over the range of engine operating modes, aircraft engines are net consumers of methane on average. Based on this data, CH<sub>4</sub> emission factors for jet aircraft were reported as zero to reflect the latest emissions testing data.

Similarly, N<sub>2</sub>O and CH<sub>4</sub> estimates were developed for medium- and heavy-duty trucks and buses by multiplying the emission estimates for heavy-duty vehicles for each fuel type (gasoline, diesel) from the Mobile Combustion section in the Energy chapter, by the portion of fuel used by each vehicle type (from DOE 1993 through 2018). Carbon dioxide emissions from non-transportation mobile sources are calculated using data from the NONROAD component of EPA's MOVES2014b model (EPA 2019a). Otherwise, the table and figure are drawn directly from emission estimates presented elsewhere in the Inventory, and are dependent on the methodologies presented in Annex 2.1 (for CO<sub>2</sub>), Chapter 4, and Annex 3.9 (for HFCs), and earlier in this Annex (for CH<sub>4</sub> and N<sub>2</sub>O).

Transportation sources include on-road vehicles, aircraft, boats and ships, rail, and pipelines (note: pipelines are a transportation source but are stationary, not mobile, emissions sources). In addition, transportation-related greenhouse gas emissions also include HFC released from mobile air-conditioners and refrigerated transport, and the release of  $CO_2$  from lubricants (such as motor oil) used in transportation. Together, transportation sources were responsible for 1,880.5 MMT  $CO_2$  Eq. in 2019.

On-road vehicles were responsible for about 74 percent of all transportation and non-transportation mobile greenhouse gas emissions in 2019. Although passenger cars make up the largest component of on-road vehicle greenhouse gas emissions, medium- and heavy-duty trucks have been the primary sources of growth in on-road vehicle emissions. Between 1990 and 2019, greenhouse gas emissions from passenger cars increased by 19 percent, while emissions from light-duty trucks decreased by one percent. Meanwhile, greenhouse gas emissions from medium- and

<sup>&</sup>lt;sup>60</sup> Recommended Best Practice for Quantifying Speciated Organic Gas Emissions from Aircraft Equipped with Turbofan, Turbojet and Turboprop Engines," EPA-420-R-09-901, May 27, 2009 (see <a href="https://www.epa.gov/regulations-emissions-vehicles-and-engines/organic-gas-speciation-profile-aircraft">https://www.epa.gov/regulations-emissions-vehicles-and-engines/organic-gas-speciation-profile-aircraft</a>).

<sup>&</sup>lt;sup>61</sup> In 2011 FHWA changed how they defined vehicle types for the purposes of reporting VMT for the years 2007 to 2010. The old approach to vehicle classification was based on body type and split passenger vehicles into "Passenger Cars" and "Other 2 Axle 4-Tire Vehicles." The new approach is a vehicle classification system based on wheelbase. Vehicles with a wheelbase less than or equal to 121 inches are counted as "Light-duty Vehicles –Short Wheelbase." Passenger vehicles with a wheelbase greater than 121 inches are counted as "Light-duty Vehicles." This change in vehicle classification has moved some smaller trucks and sport utility vehicles from the light truck category to the passenger vehicle category in this Inventory. These changes are reflected in a large drop in light-truck emissions between 2006 and 2007.

heavy-duty trucks increased 93 percent between 1990 and 2019, reflecting the increased volume of total freight movement and an increasing share transported by trucks.

Greenhouse gas emissions from aircraft decreased four percent between 1990 and 2019. Emissions from military aircraft decreased 66 percent between 1990 and 2019. Commercial aircraft emissions rose 27 percent between 1990 and 2007 then dropped 4 percent from 2007 to 2019, a change of approximately 22 percent between 1990 and 2019.

Non-transportation mobile sources, such as construction/mining equipment, agricultural equipment, and industrial/commercial equipment, emitted approximately 211.5 MMT  $CO_2$  Eq. in 2019. Together, these sources emitted more greenhouse gases than ships and boats, and rail combined. Emissions from non-transportation mobile sources increased, growing approximately 26 percent between 1990 and 2019. Methane and N<sub>2</sub>O emissions from these sources are included in the "Mobile Combustion" section and  $CO_2$  emissions are included in the relevant economic sectors.

#### Contribution of Transportation and Mobile Sources to Greenhouse Gas Emissions, by Gas

Table A-105 presents estimates of greenhouse gas emissions from transportation and other mobile sources broken down by greenhouse gas. As this table shows, CO<sub>2</sub> accounts for the vast majority of transportation greenhouse gas emissions (approximately 97 percent in 2019). Emissions of CO<sub>2</sub> from transportation and mobile sources increased by 389 MMT CO<sub>2</sub> Eq. between 1990 and 2019. In contrast, the combined emissions of CH<sub>4</sub> and N<sub>2</sub>O decreased by 30.8 MMT CO<sub>2</sub> Eq. over the same period, due largely to the introduction of control technologies designed to reduce criteria pollutant emissions.<sup>62</sup> Meanwhile, HFC emissions from mobile air-conditioners and refrigerated transport increased from virtually no emissions in 1990 to 36.7 MMT CO<sub>2</sub> Eq. in 2019 as these chemicals were phased in as substitutes for ozone depleting substances. It should be noted, however, that the ozone depleting substances that HFCs replaced are also powerful greenhouse gases, but are not included in national greenhouse gas inventories per UNFCCC reporting requirements.

#### Greenhouse Gas Emissions from Freight and Passenger Transportation

Table A-106 and Table A-107 present greenhouse gas estimates from transportation, broken down into the passenger and freight categories. Passenger modes include light-duty vehicles, buses, passenger rail, aircraft (general aviation and commercial aircraft), recreational boats, and mobile air conditioners, and are illustrated in Table A-106. Freight modes include medium- and heavy-duty trucks, freight rail, refrigerated transport, waterborne freight vessels, pipelines, and commercial aircraft and are illustrated in Table A-107. Commercial aircraft do carry some freight, in addition to passengers, and emissions have been split between passenger and freight transportation. The amount of commercial aircraft emissions to allocate to the passenger and freight categories was calculated using BTS data on freight shipped by commercial aircraft, and the total number of passengers enplaned. Each passenger was considered to weigh an average of 150 pounds, with a luggage weight of 50 pounds. The total freight weight and total passenger weight carried were used to determine percent shares which were used to split the total commercial aircraft emission estimates. The remaining transportation and mobile emissions were from sources not considered to be either freight or passenger modes (e.g., construction/mining and agricultural equipment, lubricants).

The estimates in these tables are derived from the estimates presented in Table A-104. In addition, estimates of fuel consumption from DOE (1993 through 2018) were used to allocate rail emissions between passenger and freight categories.

In 2019, passenger transportation modes emitted 1,276.8 MMT CO<sub>2</sub> Eq., while freight transportation modes emitted 569.8 MMT CO<sub>2</sub> Eq. Between 1990 and 2019, the percentage growth of greenhouse gas emissions from freight sources was 63 percent, while emissions from passenger sources grew by 13 percent. This difference in growth is due largely to the rapid increase in emissions associated with medium- and heavy-duty trucks.

<sup>&</sup>lt;sup>62</sup> The decline in CFC emissions is not captured in the official transportation estimates.

															Percent Change
Mode / Vehicle Type / Fuel	4000	4005	2000	2000	2010	2014	2012	2012	2014	2045	2016	2017	2010	2010	1990-
Type	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2019
Transportation Total <sup>a</sup>	1,529.8	1,670.3	1,917.2	1,800.8	1,806.8	1,773.5	1,753.5	1,756.3	1,790.5	1,798.4	1,834.3	1,851.8	1,883.0	1,880.5	23%
On-Road Vehicles	1,206.8	1,342.0	1,557.8	1,517.5	1,515.2	1,483.9	1,473.1	1,466.2	1,514.3	1,510.5	1,534.6	1,540.7	1,563.9	1,555.7	29%
Passenger Cars	639.6	629.9	685.8	774.0	762.7	753.3	746.2	739.2	753.0	752.5	763.5	760.6	770.3	762.3	19%
Gasoline <sup>b</sup>	631.7	610.8	654.1	740.4	731.4	725.3	721.4	717.7	732.6	733.0	745.4	744.0	754.3	747.0	18%
Dieselb	7.9	7.9	3.7	3.6	3.8	4.1	4.1	4.1	4.2	4.3	4.3	4.4	4.4	4.6	-41%
AFVs <sup>c</sup>	+	+	+	+	+	0.1	0.1	0.2	0.4	0.5	0.7	0.8	1.2	1.4	NA
HFCs from Mobile AC	0.0	11.2	28.0	29.9	27.5	23.9	20.6	17.2	15.8	14.7	13.2	11.4	10.4	9.3	NA
Light-Duty Trucks	326.7	425.2	506.7	343.5	339.6	322.6	316.1	312.1	331.9	320.9	330.2	324.3	325.7	323.1	-1%
Gasoline <sup>b</sup>	315.1	402.4	460.7	295.7	292.7	277.9	273.8	272.6	293.2	283.8	294.8	290.7	293.0	290.9	-8%
Diesel <sup>b</sup>	11.5	14.9	20.3	12.1	12.6	13.0	13.0	12.8	13.9	13.9	14.2	14.2	14.3	15.0	31%
AFVs <sup>c</sup>	0.2	0.2	0.1	0.5	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.2	0.3	0.3	60%
HFCs from Mobile AC	0.0	7.8	25.6	35.2	34.1	31.6	29.2	26.5	24.7	23.0	21.1	19.2	18.1	16.9	NA
Medium- and Heavy-Duty															
Trucks	230.3	275.9	352.3	379.7	393.3	387.8	389.0	393.3	406.4	413.9	417.9	431.4	442.1	444.4	93%
Gasoline <sup>b</sup>	38.5	35.8	36.4	42.3	42.0	38.5	38.1	38.8	39.9	39.3	40.4	41.2	42.2	40.3	5%
Diesel <sup>b</sup>	190.7	238.6	313.2	331.9	346.4	344.1	345.5	348.9	360.8	368.7	371.5	384.0	393.5	397.5	108%
AFVs <sup>c</sup>	1.2	0.9	0.6	1.0	0.3	0.4	0.4	0.4	0.4	0.4	0.5	0.5	0.5	0.5	-58%
HFCs from Refrigerated															
Transport and Mobile	0.0	0.0	2.0		47	4.0	F 0	F 0	F 0				F 0	6.4	
ACe	0.0	0.6	2.0	4.4	4.7	4.8	5.0	5.2	5.3	5.5	5.5	5.7	5.9	6.1	NA
Buses	8.5	9.2	11.1	16.2	16.0	16.7	17.8	17.8	19.2	19.6	19.1	20.6	22.0	22.2	162%
Gasoline <sup>b</sup>	0.3	0.4	0.4	0.7	0.7	0.7	0.8	0.8	0.9	0.9	0.9	0.9	1.1	1.0	193%
Diesel <sup>b</sup>	8.0	8.7	10.3	13.7	13.6	14.4	15.5	15.4	16.8	17.1	16.7	18.0	19.3	19.4	142%
AFVs <sup>c</sup>	0.1	0.1	0.3	1.4	1.2	1.1	1.1	1.1	1.1	1.1	1.1	1.2	1.3	1.3	1257%
HFCs from Comfort	0.0		0.1	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.4	
Cooling	0.0	+	0.1 <b>1.9</b>	0.4 <b>4.1</b>	0.4 <b>3.6</b>	0.4	0.4	0.4 <b>3.8</b>	0.4 <b>3.8</b>	0.4 <b>3.7</b>	0.4	0.4	0.4	0.4	NA
Motorcycles	1.7	1.8				3.5	4.0			-	3.9	3.8	3.8	3.6	111%
Gasoline <sup>b</sup>	1.7	1.8	1.9	4.1	3.6	3.5	4.0	3.8	3.8	3.7	3.9	3.8	3.8	3.6	111%
Aircraft	189.2	176.7	199.4	157.4	154.8	149.9	146.5	150.1	151.3	160.5	169.0	174.8	175.5	181.1	-4%
General Aviation Aircraft	42.9	35.8	35.9	21.2	26.7	22.5	19.9	23.6	20.9	26.8	35.1	33.3	32.8	33.7	-22%
Jet Fuel <sup>f</sup>	39.8	33.0	33.4	19.4	24.8	20.6	18.2	22.0	19.4	25.3	33.7	31.8	31.2	32.0	-19%
Aviation Gasoline	3.2	2.8	2.6	1.9	1.9	1.9	1.8	1.6	1.5	1.5	1.5	1.5	1.6	1.7	-48%
Commercial Aircraft	110.9	116.3	140.6	120.6	114.4	115.7	114.3	115.4	116.3	120.1	121.5	129.2	130.8	135.4	22%
Jet Fuel <sup>f</sup>	110.9	116.3	140.6	120.6	114.4	115.7	114.3	115.4	116.3	120.1	121.5	129.2	130.8	135.4	22%

Table A-104: Total U.S. Greenhouse Gas Emissions from Transportation and Mobile Sources (MMT CO <sub>2</sub> Eq.)
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Military Aircraft	35.3	24.5	22.9	15.5	13.7	11.7	12.2	11.1	14.1	13.6	12.4	12.3	11.9	12.0	-66%
Jet Fuel <sup>f</sup>	35.3	24.5	22.9	15.5	13.7	11.7	12.2	11.1	14.1	13.6	12.4	12.3	11.9	12.0	-66%
Ships and Boats <sup>d</sup>	47.0	58.8	65.8	39.1	44.9	46.4	40.3	39.7	29.1	33.8	40.8	43.9	41.2	40.4	-14%
Gasoline	14.4	14.3	14.5	12.4	11.8	11.4	11.1	10.9	10.6	10.7	10.7	10.8	10.9	10.9	-25%
Distillate Fuel	9.7	15.0	17.4	11.6	11.3	14.0	11.4	11.5	10.2	16.2	14.0	13.2	12.6	10.7	10%
Residual Fuel <sup>e</sup>	22.8	29.4	33.7	14.1	20.7	19.6	16.0	15.3	5.9	4.3	13.1	16.7	14.2	14.9	-35%
HFCs from Refrigerated															
Transport <sup>e</sup>	+	+	0.3	0.9	1.2	1.5	1.7	2.0	2.3	2.6	2.9	3.3	3.6	3.9	NA
Rail	39.0	43.1	46.6	41.1	44.0	45.2	43.9	44.5	46.4	44.1	40.3	41.5	43.3	40.7	4%
Distillate Fuel <sup>f</sup>	35.8	40.0	43.0	36.4	39.3	40.6	39.8	40.2	42.1	40.2	36.6	37.9	39.7	37.4	5%
Electricity	3.1	3.1	3.5	4.5	4.5	4.3	3.9	4.1	4.1	3.8	3.5	3.4	3.4	3.1	2%
Other Emissions from Rail															
Electricity Use <sup>g</sup>	0.1	0.1	+	+	+	+	+	+	+	+	+	0.1	0.1	+	-100%
HFCs from Comfort Cooling	0.0	+	+	+	+	+	+	+	+	+	+	+	+	0.1	NA
HFCs from Refrigerated															
Transport <sup>e</sup>	0.0	+	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	NA
Pipelines <sup>h</sup>	36.0	38.4	35.5	37.1	37.3	38.1	40.6	46.2	39.4	38.5	39.2	41.3	49.9	53.7	49%
Natural Gas	36.0	38.4	35.5	37.1	37.3	38.1	40.6	46.2	39.4	38.5	39.2	41.3	49.9	53.7	49%
Other Transportation	11.8	11.3	12.1	8.5	10.4	10.0	9.1	9.6	10.0	11.0	10.4	9.6	9.2	8.9	-25%
Lubricants	11.8	11.3	12.1	8.5	10.4	10.0	9.1	9.6	10.0	11.0	10.4	9.6	9.2	8.9	-25%
Non-Transportation Mobile <sup>i</sup>															
Total	167.3	173.8	179.5	203.7	206.0	204.1	204.3	206.3	203.4	192.4	195.4	201.9	207.6	211.5	26%
Agricultural Equipment <sup>i,j</sup>	44.8	44.6	41.2	48.0	48.2	48.4	49.7	47.3	47.5	42.4	41.4	41.0	41.0	40.8	-9%
Gasoline	7.7	8.6	6.1	6.2	6.4	7.3	8.0	6.0	5.9	1.4	1.5	1.5	1.5	1.2	-85%
Diesel	37.1	35.9	34.9	41.7	41.7	41.0	41.6	41.3	41.5	40.8	39.7	39.4	39.5	39.6	7%
CNG	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	-13%
LPG	+	+	+	+	+	+	+	+	+	+	+	+	+	+	-9%
Construction/Mining															
Equipment <sup>i,k</sup>	50.3	54.2	59.0	68.1	67.3	66.0	64.9	68.1	63.1	58.7	61.8	67.0	70.2	72.3	44%
Gasoline	4.4	4.0	3.3	5.4	6.2	5.8	5.9	10.0	6.4	3.3	3.4	3.4	3.5	3.5	-21%
Diesel	45.5	49.7	55.2	62.2	60.6	59.7	58.5	57.6	56.2	54.9	57.9	63.1	66.2	68.3	50%
CNG	0.3	0.3	0.3	0.4	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.4	0.4	26%
LPG	0.1	0.1	0.2	0.2	0.2	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.2	0.2	21%
Other Equipment <sup>i,l</sup>	72.2	74.9	79.3	87.6	90.5	89.7	89.7	90.9	92.9	91.3	92.2	93.9	96.4	98.4	36%
Gasoline	40.6	41.2	43.2	48.3	50.1	48.4	47.0	46.9	47.6	45.7	46.3	46.6	47.2	47.6	17%
Diesel	22.1	21.8	21.8	25.1	25.9	26.7	27.9	28.8	29.7	29.9	30.1	30.8	32.0	32.9	49%
CNG	1.2	1.3	1.4	1.6	1.7	1.8	2.0	2.1	2.2	2.2	2.1	2.2	2.3	2.4	101%
LPG	8.3	10.7	12.9	12.6	12.8	12.9	12.9	13.1	13.3	13.5	13.7	14.3	15.0	15.5	86%

Transportation and Non-															
Transportation Mobile															
Total <sup>i</sup>	1,697.1	1,844.1	2,096.7	2,004.5	2,012.7	1,977.6	1,957.8	1,962.6	1,993.9	1,990.8	2,029.6	2,053.7	2,090.6	2,092.0	23%

Notes: Increases to CH<sub>4</sub> and N<sub>2</sub>O emissions from mobile combustion relative to previous Inventories are largely due to updates made to the Motor Vehicle Emissions Simulator (MOVES2014b) model that is used to estimate on-road gasoline vehicle distribution and mileage across the time series, as well as non-transportation mobile fuel consumption. See Section 3.1 "CH<sub>4</sub> and N<sub>2</sub>O from Mobile Combustion" for more detail. This year's Inventory uses the NONROAD component of MOVES2014b for years 1999 through 2019. In 2016, historical confidential vehicle sales data were re-evaluated to determine the engine technology assignments. First, several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, the emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore not included in the engine technology breakouts. For this Inventory, HEVs are classified as gasoline vehicles across the entire time series.

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

NA (Not Applicable), as there were no HFC emissions allocated to the transport sector in 1990, and thus a growth rate cannot be calculated.

<sup>a</sup> Not including emissions from international bunker fuels.

- <sup>b</sup> Gasoline and diesel highway vehicle fuel consumption estimates used to develop CO<sub>2</sub> estimates in this Inventory are based on data from FHWA Highway Statistics Table MF-21, MF-27 and VM-1 (FHWA 1996 through 2019). Data from Table VM-1 are used to estimate the share of fuel consumption between each on-road vehicle class. For mobile CH<sub>4</sub> and N<sub>2</sub>O emissions estimates, gasoline and diesel highway vehicle mileage estimates are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2019). These fuel consumption and mileage estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).
- <sup>c</sup> In 2017, estimates of alternative fuel vehicle mileage for the last ten years were revised to reflect updates made to EIA data on alternative fuel use and vehicle counts. These changes were incorporated into this year's Inventory and apply to the 2005 to 2019 time period.
- <sup>d</sup> Fluctuations in emission estimates reflect data collection problems. Note that CH<sub>4</sub> and N<sub>2</sub>O from U.S. Territories are included in this value, but not CO<sub>2</sub> emissions from U.S. Territories, which are estimated separately in the section on U.S. Territories.

<sup>e</sup> Domestic residual fuel for ships and boats is estimated by taking the total amount of residual fuel and subtracting out an estimate of international bunker fuel use.

- <sup>f</sup> Class II and Class III diesel consumption data for 2014 to 2019 is not available. Diesel consumption data for 2014-2019 is estimated by applying the historical average fuel usage per carload factor to the annual number of carloads.
- <sup>g</sup> Other emissions from electricity generation are a result of waste incineration (as the majority of municipal solid waste is combusted in "trash-to-steam" electricity generation plants), electrical transmission and distribution, and a portion of Other Process Uses of Carbonates (from pollution control equipment installed in electricity generation plants).
- <sup>h</sup> Includes only CO<sub>2</sub> from natural gas used to power natural gas pipelines; does not include emissions from electricity use or non-CO<sub>2</sub> gases.
- <sup>1</sup> Note that the method used to estimate CO<sub>2</sub> emissions from non-transportation mobile sources in this supplementary information table differs from the method used to estimate CO<sub>2</sub> in the industrial and commercial sectors in the Inventory, which include CO<sub>2</sub> emissions from all non-transportation mobile sources (see Section 3.1 for the methodology for estimating CO<sub>2</sub> emissions from fossil fuel combustion in this Inventory).
- <sup>j</sup> Includes equipment, such as tractors and combines, as well as fuel consumption from trucks that are used off-road in agriculture.

<sup>k</sup> Includes equipment, such as cranes, dumpers, and excavators, as well as fuel consumption from trucks that are used off-road in construction.

<sup>1</sup> "Other" includes snowmobiles and other recreational equipment, logging equipment, lawn and garden equipment, railroad equipment, airport equipment, commercial equipment, and industrial equipment, as well as fuel consumption from trucks that are used off-road for commercial/industrial purposes.

																Percent
																Change
	1990		1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	1990-2019
CO <sub>2</sub> <sup>a</sup>	1,645.9	1,	,763.1	1,981.4	1,897.9	1,910.2	1,882.1	1,870.2	1,882.4	1,918.6	1,920.0	1,962.9	1,991.1	2,030.7	2,034.9	24%
N <sub>2</sub> O	44.7		55.2	54.2	32.0	31.1	29.7	27.3	25.6	23.7	21.7	20.8	19.8	18.8	18.0	-60%
$CH_4$	6.4		6.1	4.8	3.3	3.3	3.3	3.0	2.9	2.7	2.6	2.5	2.5	2.4	2.4	-63%
HFC	+		19.6	56.2	71.1	68.1	62.4	57.1	51.6	48.8	46.3	43.3	40.1	38.5	36.7	NA
Total <sup>b</sup>	1,697.0	1,	,844.0	2,096.6	2,004.3	2,012.6	1,977.5	1,957.7	1,962.5	1,993.8	1,990.7	2,029.5	2,053.6	2,090.5	2,092.0	23%

Table A-105: Transportation and Mobile Source Emissions by Gas (MMT CO<sub>2</sub> Eq.)

Notes: Gasoline and diesel highway vehicle fuel consumption estimates used to develop CO<sub>2</sub> estimates in this Inventory are based on data from FHWA Highway Statistics Table MF-21, MF-27 and VM-1 (FHWA 1996 through 2019). Data from Table VM-1 is used to estimate the share of fuel consumption between each on-road vehicle class. For mobile CH<sub>4</sub> and N<sub>2</sub>O emissions estimates, gasoline and diesel highway vehicle mileage estimates are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2019). These fuel consumption and mileage estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

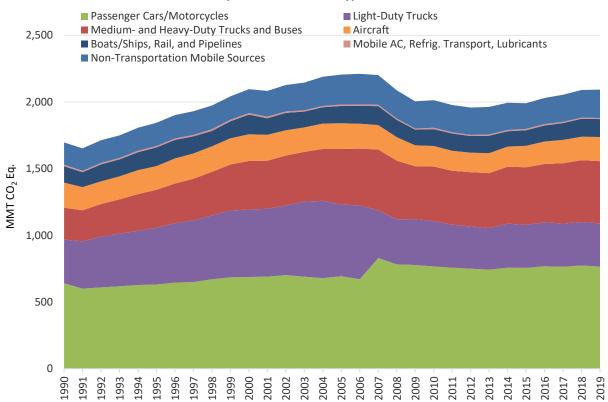
In 2016, historical confidential vehicle sales data was re-evaluated to determine the engine technology assignments. First several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, the emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore not included in the engine technology breakouts. For this Inventory, HEVs are classified as gasoline vehicles across the entire time series.

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

NA (Not Applicable), as there were no HFC emissions allocated to the transport sector in 1990, and thus a growth rate cannot be calculated.

<sup>a</sup> The method used to estimate CO<sub>2</sub> emissions from non-transportation mobile sources in this supplementary information table differs from the method used to estimate CO<sub>2</sub> in the industrial and commercial sectors in the Inventory, which include CO<sub>2</sub> emissions from all non-transportation mobile sources (see Section 3.1 for the methodology for estimating CO<sub>2</sub> emissions from fossil fuel combustion in this Inventory).

<sup>b</sup> Total excludes other emissions from electricity generation and CH<sub>4</sub> and N<sub>2</sub>O emissions from electric rail.



#### Figure A-4: Domestic Greenhouse Gas Emissions by Mode and Vehicle Type, 1990 to 2019

						-									Percent Change
Vehicle Type	1990	1995	2000	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	1990-2019
On-Road Vehicles <sup>a,b</sup>	976.5	1,066.1	1,205.5	1,137.8	1,121.9	1,096.1	1,084.0	1,072.9	1,107.9	1,096.6	1,116.7	1,109.3	1,121.8	1,111.3	14%
Passenger Cars	639.6	629.9	685.8	774.0	762.7	753.3	746.2	739.2	753.0	752.5	763.5	760.6	770.3	762.3	19%
Light-Duty Trucks	326.7	425.2	506.7	343.5	339.6	322.6	316.1	312.1	331.9	320.9	330.2	324.3	325.7	323.1	-1%
Buses	8.5	9.2	11.1	16.2	16.0	16.7	17.8	17.8	19.2	19.6	19.1	20.6	22.0	22.2	162%
Motorcycles	1.7	1.8	1.9	4.1	3.6	3.5	4.0	3.8	3.8	3.7	3.9	3.8	3.8	3.6	111%
Aircraft	134.6	132.0	152.2	125.2	124.8	122.1	118.5	123.1	120.9	130.5	139.8	144.1	144.9	149.8	11%
General Aviation	42.9	35.8	35.9	21.2	26.7	22.5	19.9	23.6	20.9	26.8	35.1	33.3	32.8	33.7	-22%
Commercial															
Aircraft	91.7	96.2	116.3	103.9	98.0	99.6	98.6	99.5	100.0	103.6	104.7	110.7	112.1	116.1	27%
<b>Recreational Boats</b>	17.2	17.1	17.3	15.2	14.5	14.0	13.7	13.5	13.4	13.6	10.7	10.8	10.9	10.9	-37%
Passenger Rail	4.4	4.5	5.2	6.2	6.2	6.0	5.5	5.8	5.7	5.4	5.2	5.1	5.0	4.8	10%
Total	1,132.7	1,219.7	1,380.2	1,284.3	1,267.3	1,238.2	1,221.8	1,215.3	1,248.0	1,246.1	1,272.4	1,269.3	1,282.6	1,276.8	13%

Table A-106: Greenhouse Gas Emissions from Passenger Transportation (MMT CO<sub>2</sub> Eq.)

Notes: Data from DOE (1993 through 2018) were used to disaggregate emissions from rail and buses. Emissions from HFCs have been included in these estimates. This year's Inventory uses the NONROAD component of MOVES2014b for years 1999 through 2019. In 2017, estimates of alternative fuel vehicle mileage for the last ten years were revised to reflect updates made to EIA data on alternative fuel use and vehicle counts. These changes were incorporated into this year's Inventory and apply to the 2005 to 2019 time period.

In 2016, historical confidential vehicle sales data were re-evaluated to determine the engine technology assignments. First, several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, the emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore not included in the engine technology breakouts. For this Inventory, HEVs are classified as gasoline vehicles across the entire time series.

<sup>a</sup> The current Inventory includes updated vehicle population data based on the MOVES2014b Model.

<sup>b</sup> Gasoline and diesel highway vehicle fuel consumption estimates used to develop CO<sub>2</sub> estimates in this Inventory are based on data from FHWA Highway Statistics Table MF-21, MF-27 and VM-1 (FHWA 1996 through 2019). Data from Table VM-1 is used to estimate the share of fuel consumption between each on-road vehicle class. For mobile CH<sub>4</sub> and N<sub>2</sub>O emissions estimates, gasoline and diesel highway vehicle mileage estimates are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2019). These fuel consumption and mileage estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

#### Table A-107: Greenhouse Gas Emissions from Domestic Freight Transportation (MMT CO<sub>2</sub> Eq.)

			_													Percent
			- 1													Change
By Mode	1990	19	95	2000	200	9 2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	1990-2019
Trucking <sup>a,b</sup>	230.3	27	5.4	350.7	376.	5 390.3	384.7	386.1	390.3	403.6	411.1	415.2	428.7	439.5	441.8	92%
Freight Rail	34.5	3	8.6	41.4	34.	9 37.8	39.2	38.4	38.7	40.6	38.7	35.1	36.4	38.2	35.9	4%
Ships and Non-	29.8	4	1.7	48.5	23.	9 30.5	32.5	26.6	30.8	19.4	14.9	16.4	20.2	18.0	19.0	-36%
<b>Recreational Boats</b>			_													

Pipelines <sup>c</sup>	36.0	38.4	35.5	37.1	37.3	38.1	40.6	46.2	39.4	38.5	39.2	41.3	49.9	53.7	49%
Commercial Aircraft	19.2	20.1	24.3	16.7	16.3	16.0	15.8	15.9	16.2	16.5	16.8	18.4	18.7	19.3	1%
Total	349.8	414.3	500.4	489.3	512.2	510.5	507.4	521.9	519.2	519.6	522.7	545.1	564.4	569.8	63%

Notes: Data from DOE (1993 through 2018) were used to disaggregate emissions from rail and buses. Emissions from HFCs have been included in these estimates. This year's Inventory uses the NONROAD component of MOVES2014b for years 1999 through 2019. In 2017, estimates of alternative fuel vehicle mileage for the last ten years were revised to reflect updates made to EIA data on alternative fuel use and vehicle counts. These changes were incorporated into this year's Inventory and apply to the 2005 to 2019 time period.

In 2016, historical confidential vehicle sales data were re-evaluated to determine the engine technology assignments. First, several light-duty trucks were re-characterized as heavy-duty vehicles based upon gross vehicle weight rating (GVWR) and confidential sales data. Second, the emission standards each vehicle type was assumed to have met were re-examined using confidential sales data. Also, in previous Inventories, non-plug-in hybrid electric vehicles (HEVs) were considered alternative fueled vehicles and therefore not included in the engine technology breakouts. For this Inventory, HEVs are classified as gasoline vehicles across the entire time series.

<sup>a</sup> The current Inventory includes updated vehicle population data based on the MOVES2014b Model.

<sup>b</sup> Gasoline and diesel highway vehicle fuel consumption estimates used to develop CO<sub>2</sub> estimates in this Inventory are based on data from FHWA Highway Statistics Table MF-21, MF-27 and VM-1 (FHWA 1996 through 2019). Data from Table VM-1 is used to estimate the share of fuel consumption between each on-road vehicle class. For mobile CH<sub>4</sub> and N<sub>2</sub>O emissions estimates, gasoline and diesel highway vehicle mileage estimates are based on data from FHWA Highway Statistics Table VM-1 (FHWA 1996 through 2019). These fuel consumption and mileage estimates are combined with estimates of fuel shares by vehicle type from DOE's TEDB Annex Tables A.1 through A.6 (DOE 1993 through 2018).

<sup>c</sup> Pipelines reflect CO<sub>2</sub> emissions from natural gas powered pipelines transporting natural gas.

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# **3.3.** Methodology for Estimating Emissions from Commercial Aircraft Jet Fuel Consumption

**IPCC Tier 3B Method**: Commercial aircraft jet fuel burn and carbon dioxide (CO<sub>2</sub>) emissions estimates were developed by the U.S. Federal Aviation Administration (FAA) using radar-informed data from the FAA Enhanced Traffic Management System (ETMS) for 2000 through 2019 as modeled with the Aviation Environmental Design Tool (AEDT). This bottom-up approach is built from modeling dynamic aircraft performance for each flight occurring within an individual calendar year. The analysis incorporates data on the aircraft type, date, flight identifier, departure time, arrival time, departure airport, arrival airport, ground delay at each airport, and real-world flight trajectories. To generate results for a given flight within AEDT, the radar-informed aircraft data is correlated with engine and aircraft performance data to calculate fuel burn and exhaust emissions. Information on exhaust emissions for in-production aircraft engines comes from the International Civil Aviation Organization (ICAO) Aircraft Engine Emissions Databank (EDB). This bottom-up approach is in accordance with the Tier 3B method from the 2006 IPCC Guidelines for National Greenhouse Gas Inventories.

International Bunkers: The IPCC guidelines define international aviation (International Bunkers) as emissions from flights that depart from one country and arrive in a different country. Bunker fuel emissions estimates for commercial aircraft were developed for this report for 2000 through 2019 using the same radar-informed data modeled with AEDT. Since this process builds estimates from flight-specific information, the emissions estimates for commercial aircraft can include emissions associated with the U.S. territories (i.e., American Samoa, Guam, Puerto Rico, U.S. Virgin Islands, Wake Island, and other U.S. Pacific Islands). However, to allow for the alignment of emissions estimates for commercial aircraft with other data that is provided without the U.S. territories, this annex includes emissions estimates for commercial aircraft both with and without the U.S. territories included.

**Time Series and Analysis Update**: The FAA incrementally improves the consistency, robustness, and fidelity of the CO<sub>2</sub> emissions modeling for commercial aircraft, which is the basis of the Tier3B inventories presented in this report. While the FAA does not anticipate significant changes to the AEDT model in the future, recommended improvements are limited by budget and time constraints, as well as data availability. For instance, previous reports included reported annual CO<sub>2</sub> emission estimates for 2000 through 2005 that were modeled using the FAA's System for assessing Aviation's Global Emissions (SAGE). That tool and its capabilities were significantly improved after it was incorporated and evolved into AEDT. For this report, the AEDT model was used to generate annual CO<sub>2</sub> emission estimates for 2000, 2005, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019 only. The reported annual CO<sub>2</sub> emissions values for 2000 through 2005 through 2009 were estimated from the previously reported SAGE data. Likewise, CO<sub>2</sub> emissions values for 2006 through 2009 were estimated by interpolation to preserve trends from past reports.

Commercial aircraft radar data sets are not available for years prior to 2000. Instead, the FAA applied a Tier3B methodology by developing Official Airline Guide (OAG) schedule-informed estimates modeled with AEDT and great circle trajectories for 1990, 2000 and 2010. The ratios between the OAG schedule-informed and the radar-informed inventories for the years 2000 and 2010 were applied to the 1990 OAG scheduled-informed inventory to generate the best possible CO<sub>2</sub> inventory estimate for commercial aircraft in 1990. The resultant 1990 CO<sub>2</sub> inventory served as the reference for generating additional 1995-1999 emissions estimates, which were established using previously available trends. International consumption estimates for 1991-1999 and domestic consumption estimates for 1991-1994 are calculated using fuel consumption estimates from the Bureau of Transportation Statistics (DOT 1991 through 2013), adjusted based on the ratio of DOT to AEDT data.

**Notes on the 1990 CO<sub>2</sub> Emissions Inventory for Commercial Aircraft**: There are uncertainties associated with the modeled 1990 data that do not exist for the modeled 2000 to 2019 data. Radar-based data is not available for 1990. The OAG schedule information generally includes fewer carriers than radar information, and this will result in a different fleet mix, and in turn, different CO<sub>2</sub> emissions than would be quantified using a radar-based data set. For this reason, the FAA adjusted the OAG-informed schedule for 1990 with a ratio based on radar-informed information. In addition, radar trajectories are also generally longer than great circle trajectories. While the 1990 fuel burn data was adjusted to address these differences, it inherently adds greater uncertainty to the revised 1990 commercial aircraft CO<sub>2</sub> emissions as compared to data from 2000 forward. Also, the revised 1990 CO<sub>2</sub> emissions inventory now reflects only commercial aircraft jet fuel consumption, while previous reports may have aggregated jet fuel sales data from non-commercial aircraft into this category. Thus, it would be inappropriate to compare 1990 to future years for other than qualitative purposes.

The 1990 commercial aircraft  $CO_2$  emissions inventory is approximately [18] % lower than the 2019  $CO_2$  emissions inventory. It is important to note that the distance flown increased by more than [63] % over this 29 year period and that fuel burn and aviation activity trends over the past two decades indicate significant improvements in commercial aviation's ability to provide increased service levels while using less fuel.<sup>63</sup>

**Methane Emissions**: Contributions of methane (CH<sub>4</sub>) emissions from commercial aircraft are reported as zero. Years of scientific measurement campaigns conducted at the exhaust exit plane of commercial aircraft gas turbine engines have repeatedly indicated that CH<sub>4</sub> emissions are consumed over the full mission flight envelope (*Aircraft Emissions of Methane and Nitrous Oxide during the Alternative Aviation Fuel Experiment*, Santoni et al., Environ. Sci. Technol., 2011, 45, 7075-7082). As a result, the U.S. Environmental Protection Agency published that "*…methane is no longer considered to be an emission from aircraft gas turbine engines burning Jet A at higher power settings and is, in fact, consumed in net at these higher powers*" (Recommended Best Practice for Quantifying Speciated Organic Gas Emissions from Aircraft Equipped with Turbofan, Turbojet and Turboprop Engines, EPA-420-R-09-901, May 27, 2009, http://www.epa.gov/otaq/aviation.htm). In accordance with the following statements in the 2006 IPCC Guidelines (IPCC 2006), the FAA does not calculate CH<sub>4</sub> emissions for either the domestic or international bunker commercial aircraft jet fuel emissions inventories. *"Methane (CH<sub>4</sub>) may be emitted by gas turbines during idle and by older technology engines, but recent data suggest that little or no CH<sub>4</sub> is emitted by modern engines." "Current scientific understanding does not allow other gases (e.g., N<sub>2</sub>O and CH<sub>4</sub>) to be included in calculation of cruise emissions" (IPCC 1999).* 

**Results:** For each inventory calendar year the graph and table below include four jet fuel burn values. These values are comprised of domestic and international fuel burn totals for the US 50 States and the U.S. 50 States + Territories. Data are presented for domestic defined as jet fuel burn from any commercial aircraft flight departing and landing in the U.S. 50 States and for the U.S. 50 States + Territories. The data presented as international is respective of the two different domestic definitions, and represents flights departing from the specified domestic area and landing anywhere in the world outside of that area.

Note that the graph and table present more fuel burn for the international US 50 States + Territories than for the international U.S. 50 States. This is because the flights between the 50 states and U.S. Territories are "international" when only the 50 states are defined as domestic, but they are "domestic" for the U.S. 50 States + Territories definition.

Figure A-5 is a scatter plot showing commercial aviation fuel burn in kilograms for the United States and territories from 1990 to 2019. Commercial aviation fuel burn has been relatively stable with a slight positive trend throughout the time series, with the exception of small decreases following the economic recessions in 2001 and 2008.

<sup>&</sup>lt;sup>63</sup> Additional information on the AEDT modeling process is available at:

<sup>&</sup>lt;http://www.faa.gov/about/office\_org/headquarters\_offices/apl/research/models>

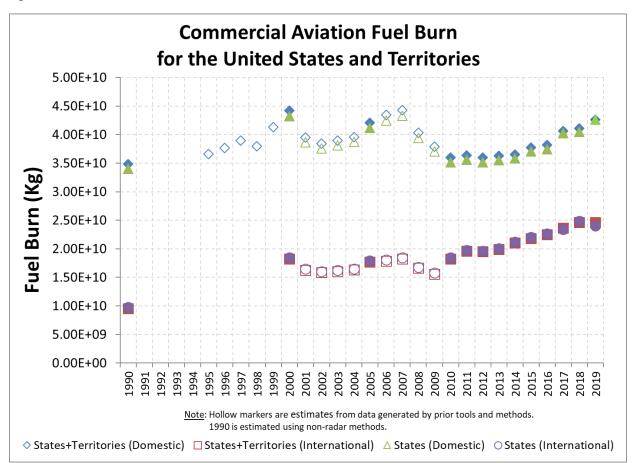


Figure A-5: Commercial Aviation Fuel Burn for the United States and Territories

			Fuel	Fuel		
		Distance	Burn (M	Burn		CO2
Year	Region	Flown (nmi)	Gallon)	(TBtu)	Fuel Burn (Kg)	(MMT)
1990	Domestic U.S. 50 States and U.S. Territories	4,057,195,988	11,568	1,562	34,820,800,463	109.9
	International U.S. 50 States and U.S. Territories	599,486,893	3,155	426	9,497,397,919	30
	Domestic U.S. 50 States	3,984,482,217	11,287	1,524	33,972,832,399	107.2
	International U.S. 50 States	617,671,849	3,228	436	9,714,974,766	30.7
1995ª	Domestic U.S. 50 States and U.S. Territories	NA	12,136	1,638	36,528,990,675	115.2
1996 <sup>a</sup>	Domestic U.S. 50 States and U.S. Territories	NA	12,492	1,686	37,600,624,534	118.6
1997ª	Domestic U.S. 50 States and U.S. Territories	NA	12,937	1,747	38,940,896,854	122.9
1998ª	Domestic U.S. 50 States and U.S. Territories	NA	12,601	1,701	37,930,582,643	119.7
1999 <sup>a</sup>	Domestic U.S. 50 States and U.S. Territories	NA	13,726	1,853	41,314,843,250	130.3
2000	Domestic U.S. 50 States and U.S. Territories	5,994,679,944	14,672	1,981	44,161,841,348	139.3
	International U.S. 50 States and U.S. Territories	1,309,565,963	6,040	815	18,181,535,058	57.4
	Domestic U.S. 50 States	5,891,481,028	14,349	1,937	43,191,000,202	136.3
	International U.S. 50 States	1,331,784,289	6,117	826	18,412,169,613	58.1
2001ª	Domestic U.S. 50 States and U.S. Territories	5,360,977,447	13,121	1,771	39,493,457,147	124.6
	International U.S. 50 States and U.S. Territories	1,171,130,679	5,402	729	16,259,550,186	51.3
	Domestic U.S. 50 States	5,268,687,772	12,832	1,732	38,625,244,409	121.9
	International U.S. 50 States	1,191,000,288	5,470	739	16,465,804,174	51.9
2002ª	Domestic U.S. 50 States and U.S. Territories	5,219,345,344	, 12,774	1,725	38,450,076,259	121.3
	International U.S. 50 States and U.S. Territories	1,140,190,481	, 5,259	710	15,829,987,794	49.9

Table A-108: Commercial Aviation Fuel Burn for the United States and Territories

	Domestic U.S. 50 States	5,129,493,877	12,493	1,687	37,604,800,905	118.6
20020	International U.S. 50 States	1,159,535,153	5,326	719	16,030,792,741	50.6
2003ª	Domestic U.S. 50 States and U.S. Territories	5,288,138,079	12,942	1,747	38,956,861,262	122.9
	International U.S. 50 States and U.S. Territories	1,155,218,577	5,328	719	16,038,632,384	50.6
	Domestic U.S. 50 States	5,197,102,340	12,658	1,709	38,100,444,893	120.2
	International U.S. 50 States	1,174,818,219	5,396	728	16,242,084,008	51.2
2004 <sup>a</sup>	Domestic U.S. 50 States and U.S. Territories	5,371,498,689	13,146	1,775	39,570,965,441	124.8
	International U.S. 50 States and U.S. Territories	1,173,429,093	5,412	731	16,291,460,535	51.4
	Domestic U.S. 50 States	5,279,027,890	12,857	1,736	38,701,048,784	122.1
	International U.S. 50 States	1,193,337,698	5,481	740	16,498,119,309	52.1
2005	Domestic U.S. 50 States and U.S. Territories	6,476,007,697	13,976	1,887	42,067,562,737	132.7
	International U.S. 50 States and U.S. Territories	1,373,543,928	5,858	791	17,633,508,081	55.6
	Domestic U.S. 50 States	6,370,544,998	13,654	1,843	41,098,359,387	129.7
	International U.S. 50 States	1,397,051,323	5,936	801	17,868,972,965	56.4
2006 <sup>a</sup>	Domestic U.S. 50 States and U.S. Territories	5,894,323,482	14,426	1,948	43,422,531,461	137
	International U.S. 50 States and U.S. Territories	1,287,642,623	5,939	802	17,877,159,421	56.4
	Domestic U.S. 50 States	5,792,852,211	14,109	1,905	42,467,943,091	134
	International U.S. 50 States	1,309,488,994	6,015	812	18,103,932,940	57.1
2007ª	Domestic U.S. 50 States and U.S. Territories	6,009,247,818	14,707	1,986	44,269,160,525	139.7
	International U.S. 50 States and U.S. Territories	1,312,748,383	6,055	817	18,225,718,619	57.5
	Domestic U.S. 50 States	5,905,798,114	14,384	1,942	43,295,960,105	136.6
	International U.S. 50 States	1,335,020,703	6,132	828	18,456,913,646	58.2
2008ª	Domestic U.S. 50 States and U.S. Territories	5,475,092,456	13,400	1,809	40,334,124,033	127.3
	International U.S. 50 States and U.S. Territories	1,196,059,638	5,517	745	16,605,654,741	52.4
	Domestic U.S. 50 States	5,380,838,282	13,105	1,769	39,447,430,318	124.5
	International U.S. 50 States	1,216,352,196	5,587	754	16,816,299,099	53.1
2009 <sup>a</sup>	Domestic U.S. 50 States and U.S. Territories	5,143,268,671	12,588	1,699	37,889,631,668	119.5
	International U.S. 50 States and U.S. Territories	1,123,571,175	5,182	700	15,599,251,424	49.2
	Domestic U.S. 50 States	5,054,726,871	12,311	1,662	37,056,676,966	116.9
	International U.S. 50 States	1,142,633,881	5,248	709	15,797,129,457	49.8
2010	Domestic U.S. 50 States and U.S. Territories	5,652,264,576	11,931	1,611	35,912,723,830	113.3
	International U.S. 50 States and U.S. Territories	1,474,839,733	6,044	816	18,192,953,916	57.4
	Domestic U.S. 50 States	5,554,043,585	11,667	1,575	35,116,863,245	110.8
	International U.S. 50 States	1,497,606,695	6,113	825	18,398,996,825	58
2011	Domestic U.S. 50 States and U.S. Territories	5,767,378,664	12,067	1,629	36,321,170,730	114.6
	International U.S. 50 States and U.S. Territories	1,576,982,962	6,496	877	19,551,631,939	61.7
	Domestic U.S. 50 States	5,673,689,481	11,823	1,596	35,588,754,827	112.3
	International U.S. 50 States	1,596,797,398	6,554	885	19,727,043,614	62.2
2012	Domestic U.S. 50 States and U.S. Territories	5,735,605,432	11,932	1,611	35,915,745,616	113.3
	International U.S. 50 States and U.S. Territories	1,619,012,587	6,464	873	19,457,378,739	61.4
	Domestic U.S. 50 States	5,636,910,529	11,672	1,576	35,132,961,140	110.8
	International U.S. 50 States	1,637,917,110	6,507	879	19,587,140,347	61.8
2013	Domestic U.S. 50 States and U.S. Territories	5,808,034,123	12,031	1,624	36,212,974,471	114.3
	International U.S. 50 States and U.S. Territories	1,641,151,400	6,611	892	19,898,871,458	62.8
	Domestic U.S. 50 States	5,708,807,315	11,780	1,590	35,458,690,595	111.9
	International U.S. 50 States	1,661,167,498	6,657	899	20,036,865,038	63.2
2014	Domestic U.S. 50 States and U.S. Territories	5,825,999,388	12,131	1,638	36,514,970,659	115.2
	International U.S. 50 States and U.S. Territories	1,724,559,209	6,980	942	21,008,818,741	66.3
	Domestic U.S. 50 States	5,725,819,482	11,882	1,604	35,764,791,774	112.8
	International U.S. 50 States	1,745,315,059	7,027	949	21,152,418,387	66.7
2015	Domestic U.S. 50 States and U.S. Territories	5,900,440,363	12,534	1,692	37,727,860,796	119
	International U.S. 50 States and U.S. Territories	1,757,724,661	7,227	976	21,752,301,359	68.6
	Domestic U.S. 50 States	5,801,594,806	12,291	1,659	36,997,658,406	116.7
	International U.S. 50 States	1,793,787,700	7,310	987	22,002,733,062	69.4
2016	Domestic U.S. 50 States and U.S. Territories	5,929,429,373	12,674	1,711	38,148,578,811	120.4

	International U.S. 50 States and U.S. Territories	1,817,739,570	7,453	1,006	22,434,619,940	70.8
	Domestic U.S. 50 States	5,827,141,640	12,422	1,677	37,391,339,601	118
	International U.S. 50 States	1,839,651,091	7,504	1,013	22,588,366,704	71.3
2017	Domestic U.S. 50 States and U.S. Territories	6,264,650,997	13,475	1,819	40,560,206,261	128
	International U.S. 50 States and U.S. Territories	1,944,104,275	7,841	1,059	23,602,935,694	74.5
	Domestic U.S. 50 States	6,214,083,068	13,358	1,803	40,207,759,885	126.9
	International U.S. 50 States	1,912,096,739	7,755	1,047	23,343,627,689	73.6
2018	Domestic U.S. 50 States and U.S. Territories	6,408,870,104	13,650	1,843	41,085,494,597	129.6
	International U.S. 50 States and U.S. Territories	2,037,055,865	8,178	1,104	24,616,382,063	77.7
	Domestic U.S. 50 States	6,318,774,158	13,425	1,812	40,410,478,534	127.5
	International U.S. 50 States	2,066,756,708	8,254	1,114	24,843,232,462	78.4
2019	Domestic U.S. 50 States and U.S. Territories	6,617,450,051	14,132	1,908	42,536,399,715	134.2
	International U.S. 50 States and U.S. Territories	2,084,393,888	8,173	1,103	24,601,971,697	77.6
	Domestic U.S. 50 States	6,617,074,577	14,131	1,908	42,535,165,758	134.2
	International U.S. 50 States	2,008,158,986	7,973	1,076	23,997,773,004	75.7

NA (Not Applicable) <sup>a</sup> Estimates for these years were derived from previously reported tools and methods.

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## **3.4.** Methodology for Estimating CH<sub>4</sub> Emissions from Coal Mining

EPA uses an IPCC Tier 3 method for estimating  $CH_4$  emissions from underground mining and an IPCC Tier 2 method for estimating  $CH_4$  emissions from surface mining and post-mining activities (for both coal production from underground mines and surface mines). The methodology for estimating  $CH_4$  emissions from coal mining consists of two steps:

- Estimate emissions from underground mines. These emissions have two sources: ventilation systems and degasification systems. They are estimated using mine-specific data, then summed to determine total CH<sub>4</sub> liberated. The CH<sub>4</sub> recovered and used is then subtracted from this total, resulting in an estimate of net emissions to the atmosphere.
- Estimate emissions from surface mines and post-mining activities. This step does not use mine-specific data; rather, it consists of multiplying coal-basin-specific coal production by coal-basin-specific gas content and an emission factor.

#### Step 1: Estimate CH<sub>4</sub> Liberated and CH<sub>4</sub> Emitted from Underground Mines

Underground mines generate  $CH_4$  from ventilation systems and degasification systems. Some mines recover and use the generated  $CH_4$ , thereby reducing emissions to the atmosphere. Total  $CH_4$  emitted from underground mines equals the  $CH_4$  liberated from ventilation systems, plus the  $CH_4$  liberated from degasification systems, minus  $CH_4$ recovered and used.

#### Step 1.1: Estimate CH<sub>4</sub> Liberated from Ventilation Systems

All coal mines with detectable CH<sub>4</sub> emissions use ventilation systems to ensure that CH<sub>4</sub> levels remain within safe concentrations. Many coal mines do not have detectable levels of CH<sub>4</sub>; others emit several million cubic feet per day (MMCFD) from their ventilation systems. On a quarterly basis, the U.S. Mine Safety and Health Administration (MSHA) measures CH<sub>4</sub> concentration levels at underground mines. MSHA maintains a database of measurement data from all underground mines with detectable levels of CH<sub>4</sub> in their ventilation air (MSHA 2020).<sup>64</sup> Based on the four quarterly measurements, MSHA estimates average daily CH<sub>4</sub> liberated at each of these underground mines.

For 1990 through 1999, average daily  $CH_4$  emissions from MSHA were multiplied by the number of days in the year (i.e., coal mine assumed in operation for all four quarters) to determine the annual emissions for each mine. For 2000 through 2019, the average daily  $CH_4$  emissions were multiplied by the number of days corresponding to the number of quarters the mine vent was operating. For example, if the mine vent was operational in one out of the four quarters, the average daily  $CH_4$  emissions were multiplied by 92 days.

Total ventilation emissions for a particular year are estimated by summing emissions from individual mines.

Since 2011, the nation's "gassiest" underground coal mines—those that liberate more than 36,500,000 cubic feet of  $CH_4$  per year (about 17,525 MT  $CO_2$  Eq.)—have been required to report to EPA's GHGRP (EPA 2020).<sup>65</sup> Mines that report to EPA's GHGRP must report quarterly measurements of  $CH_4$  emissions from ventilation systems; they have the option of recording their own measurements, or using the measurements taken by MSHA as part of that agency's quarterly safety inspections of all mines in the U.S. with detectable  $CH_4$  concentrations.

Since 2013, ventilation emission estimates have been calculated based on both EPA's GHGRP.<sup>66</sup> data submitted by underground mines, and on mine-specific CH<sub>4</sub> measurement data obtained directly from MSHA for the remaining mines. The MSHA measurement data are used to determine the average daily emission rate for all mines in the reporting year. The CH<sub>4</sub> liberated from ventilation systems is estimated by summing the emissions from the mines reporting to EPA's GHGRP and emissions based on MSHA measurements for the remaining mines not reporting to EPA's GHGRP.

<sup>&</sup>lt;sup>64</sup> MSHA records coal mine methane readings with concentrations of greater than 50 ppm (parts per million) methane. Readings below this threshold are considered non-detectable.

<sup>&</sup>lt;sup>65</sup> Underground coal mines report to EPA under subpart FF of EPA's GHGRP (40 CFR part 98). In 2019, 65 underground coal mines reported to the program.

<sup>&</sup>lt;sup>66</sup> In implementing improvements and integrating data from EPA's GHGRP, the EPA followed the latest guidance from the IPCC on the use of facility-level data in national inventories (IPCC 2011).

-	so the specific bad oscu to Estimate Ventilation Emissions
Year	Individual Mine Data Used
1990	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
1991	1990 Emission Factors Used Instead of Mine-Specific Data
1992	1990 Emission Factors Used Instead of Mine-Specific Data
1993	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
1994	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
1995	All Mines Emitting at Least 0.5 MMCFD (Assumed to Account for 94.1% of Total) <sup>a</sup>
1996	All Mines Emitting at Least 0.5 MMCFD (Assumed to Account for 94.1% of Total) <sup>a</sup>
1997	All Mines with Detectable Emissions (Assumed to Account for 100% of Total)
1998	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
1999	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2000	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2001	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2002	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2003	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2004	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2005	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2006	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 97.8% of Total) <sup>a</sup>
2007	All Mines with Detectable Emissions (Assumed to Account for 100% of Total)
2008	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total) $^{ m b}$
2009	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total) $^{ m b}$
2010	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total) $^{ m b}$
2011	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total) $^{ m b}$
2012	All Mines Emitting at Least 0.1 MMCFD (Assumed to Account for 98.96% of Total) $^{ m b}$
2013	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2014	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2015	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2016	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2017	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2018	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
2019	All Mines with Detectable Emissions and GHGRP reported data (Assumed to account for 100% of Total)
a Eactor dor	rived from a complete set of individual mine data collected for 1997

<sup>a</sup> Factor derived from a complete set of individual mine data collected for 1997.

<sup>b</sup> Factor derived from a complete set of individual mine data collected for 2007.

#### Step 1.2: Estimate CH<sub>4</sub> Liberated from Degasification Systems

Coal mines use several types of degasification systems to remove  $CH_4$ , including pre-mining vertical and horizontal wells (to recover  $CH_4$  before mining) and post-mining vertical wells and horizontal boreholes (to recover  $CH_4$ during mining of the coal seam). Post-mining gob wells and cross-measure boreholes recover  $CH_4$  from the overburden (i.e., gob area) after mining of the seam (primarily in longwall mines).

Nineteen mines employed degasification systems in 2019, and 17 of these mines reported the CH<sub>4</sub> liberated through these systems to the EPA's GHGRP (EPA 2020). Thirteen of the 19 mines with degasification systems had operational CH<sub>4</sub> recovery and use projects, and the other six reported emitting CH<sub>4</sub> from degasification systems to the atmosphere. Several of the mines venting CH<sub>4</sub> from degasification systems use a small portion of the gas to fuel gob well blowers or compressors in remote locations where electricity is not available. However, this CH<sub>4</sub> use is not considered to be a formal recovery and use project.

Degasification information reported to EPA's GHGRP by underground coal mines is the primary source of data used to develop estimates of CH<sub>4</sub> liberated from degasification systems. Data reported to EPA's GHGRP were used exclusively to estimate CH<sub>4</sub> liberated from degasification systems at 14 of the 19 mines that used degasification systems in 2019.

Degasification volumes for the life of mined-through, pre-mining wells are attributed to the mine as emissions in the year in which the well is mined through.<sup>67</sup> EPA's GHGRP does not require gas production from virgin coal seams (coalbed methane) to be reported by coal mines under subpart FF. Most pre-mining wells drilled from the surface are considered coalbed methane wells and are reported under another subpart of the program (subpart W, "Petroleum and Natural Gas Systems"). As a result, for the five mines with degasification systems that include pre-mining wells that were mined through in 2019, EPA's GHGRP information was supplemented with historical data from state gas well production databases and mine-specific information regarding the dates on which pre-mining wells were mined through (GSA 2020; DMME 2020; WVGES 2020; JWR 2010; El Paso 2009; ERG 2020). For pre-mining wells, the cumulative CH<sub>4</sub> production from the well is totaled using gas sales data and is considered liberated from the mine's degasification system the year in which the well is mined through.

Reports to EPA's GHGRP with CH<sub>4</sub> liberated from degasification systems are reviewed for errors in reporting. For some mines, GHGRP data are corrected for the Inventory based on expert judgment. Common errors include reporting CH<sub>4</sub> liberated as CH<sub>4</sub> destroyed and vice versa. Other errors include reporting CH<sub>4</sub> destroyed without reporting any CH<sub>4</sub> liberated by degasification systems. In the rare cases where GHGRP data are inaccurate and gas sales data are unavailable, estimates of CH<sub>4</sub> liberated are based on historical CH<sub>4</sub> liberation rates.

# Step 1.3: Estimate CH₄ Recovered from Ventilation and Degasification Systems, and Utilized or Destroyed (Emissions Avoided)

There were 13 active coal mines with operational  $CH_4$  recovery and use projects in 2019, including one mine that had two recovery and use projects. Thirteen of these projects involved degasification systems and one involved ventilation air methane (VAM). Eleven of these mines sold the recovered  $CH_4$  to a pipeline, including one mine that used  $CH_4$  to fuel a thermal coal dryer. One mine used  $CH_4$  to heat mine ventilation air (data was unavailable for estimating  $CH_4$ recovery at this mine). One mine destroyed the recovered  $CH_4$  (VAM) using Regenerative Thermal Oxidation (RTO) without energy recovery.

The CH<sub>4</sub> recovered and used (or destroyed) at the thirteen coal mines described above for which data were available were estimated using the following methods:

- EPA's GHGRP data was exclusively used to estimate the CH<sub>4</sub> recovered and used from seven mines that deployed degasification systems in 2019. Based on weekly measurements of gas flow and CH<sub>4</sub> concentrations, the GHGRP summary data for degasification destruction at each mine were added together to estimate the CH<sub>4</sub> recovered and used from degasification systems. Reports to EPA's GHGRP are reviewed for errors in reporting. For some mines, GHGRP data are corrected for the Inventory based on expert judgment (see further discussion in Step 1.2).
- State sales data were used to estimate CH<sub>4</sub> recovered and used from the remaining five mines that deployed degasification systems in 2019 (DMME 2020; GSA 2020). These five mines intersected pre-mining wells in 2019. Supplemental information was used for these mines because estimating CH<sub>4</sub> recovery and use from pre-mining wells requires additional data (data not reported under subpart FF of EPA's GHGRP; see discussion in step 1.2 above) to account for the emissions avoided prior to the well being mined through. The 2019 data came from state gas production databases (DMME 2020; GSA 2020; WVGES 2020), as well as mine-specific information on the timing of mined-through, pre-mining wells (JWR 2010; El Paso 2009, ERG 2019-2020). For pre-mining wells, the cumulative CH<sub>4</sub> production from the wells was totaled using gas sales data, and was considered to be CH<sub>4</sub> recovered and used from the mine's degasification system in the year in which the well was mined through.
- For the single mine that employed VAM for CH<sub>4</sub> recovery and use, the estimates of CH<sub>4</sub> recovered and used were obtained from the mine's offset verification statement (OVS) submitted to the California Air Resources Board (CARB) (McElroy OVS 2020).

#### Step 2: Estimate CH<sub>4</sub> Emitted from Surface Mines and Post-Mining Activities

Mine-specific data are not available for estimating CH<sub>4</sub> emissions from surface coal mines or for post-mining activities. For surface mines, basin-specific coal production data obtained from the Energy Information Administration's

<sup>&</sup>lt;sup>67</sup> A well is "mined through" when coal mining development or the working face intersects the borehole or well.

Annual Coal Report are multiplied by basin-specific gas contents and a 150 percent emission factor (to account for CH<sub>4</sub> from over- and under-burden) to estimate CH<sub>4</sub> emissions (King 1994; Saghafi 2013). For post-mining activities, basin-specific coal production data are multiplied by basin-specific gas contents and a mid-range 32.5 percent emission factor accounting for CH<sub>4</sub> desorption during coal transportation and storage (Creedy 1993). Basin-specific *in situ* gas content data were compiled from AAPG (1984) and USBM (1986). Beginning in 2006, revised data on *in situ* CH<sub>4</sub> content and emission factors have been used (EPA 1996, 2005).

#### Step 2.1: Define the Geographic Resolution of the Analysis and Collect Coal Production Data

The first step in estimating CH<sub>4</sub> emissions from surface mining and post-mining activities is to define the geographic resolution of the analysis and to collect coal production data at that level of resolution. The analysis is conducted by coal basin as defined in Table A-110, which presents coal basin definitions by basin and by state.

The Energy Information Administration's Annual Coal Report (EIA 2020) includes state- and county-specific underground and surface coal production by year. To calculate production by basin, the state-level data are grouped into coal basins using the basin definitions listed in Table A-110. For two states—West Virginia and Kentucky—county-level production data are used for the basin assignments because coal production occurred in geologically distinct coal basins within these states. Table A-111 presents the coal production data aggregated by basin.

#### Step 2.2: Estimate Emission Factors for Each Emissions Type

Emission factors for surface-mined coal were developed from the *in situ* CH<sub>4</sub> content of the surface coal in each basin. Based on analyses conducted in Canada and Australia on coals similar to those present in the United States (King 1994; Saghafi 2013), the surface mining emission factor used was conservatively estimated to be 150 percent of the *in situ* CH<sub>4</sub> content of the basin. Furthermore, the post-mining emission factors used were estimated to be 25 to 40 percent of the average *in situ* CH<sub>4</sub> content in the basin. For this analysis, the post-mining emission factor was determined to be 32.5 percent of the *in situ* CH<sub>4</sub> content in the basin. Table A-112 presents the average *in situ* content for each basin, along with the resulting emission factor estimates.

#### Step 2.3: Estimate CH<sub>4</sub> Emitted

The total amount of CH<sub>4</sub> emitted from surface mines and post-mining activities is calculated by multiplying the coal production in each basin by the appropriate emission factors.

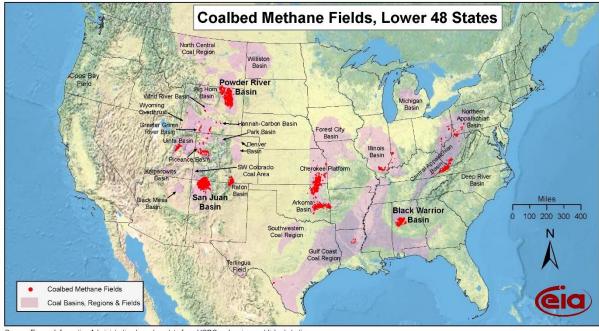
Table A-110 lists each of the major coal mine basins in the United States and the states in which they are located. As shown in Figure A-6, several coal basins span several states. Table A-111 shows annual underground, surface, and total coal production (in short tons) for each coal basin. Table A-112 shows the surface, post-surface, and post-underground emission factors used for estimating CH<sub>4</sub> emissions for each of the categories. For underground mines, Table A-113 presents annual estimates of CH<sub>4</sub> emissions for ventilation and degasification systems, and CH<sub>4</sub> recovered and used. Table A-114 presents annual estimates of total CH<sub>4</sub> emissions from underground, post-underground, surface, and post-surface activities.

Table A-110: Coal Basin Definitions b	w Basin and by State

Basin	States				
Northern Appalachian Basin	Maryland, Ohio, Pennsylvania, West Virginia North				
Central Appalachian Basin	Kentucky East, Tennessee, Virginia, West Virginia South				
Warrior Basin	Alabama, Mississippi				
Illinois Basin	Illinois, Indiana, Kentucky West				
South West and Rockies Basin	Arizona, California, Colorado, New Mexico, Utah				
North Great Plains Basin	Montana, North Dakota, Wyoming				
West Interior Basin	Arkansas, Iowa, Kansas, Louisiana, Missouri, Oklahoma, Texas				
Northwest Basin	Alaska, Washington				
State	Basin				
Alabama	Warrior Basin				
Alaska	Northwest Basin				
Arizona	South West and Rockies Basin				
Arkansas	West Interior Basin				
California	South West and Rockies Basin				
Colorado	South West and Rockies Basin				

Illinois	Illinois Basin
Indiana	Illinois Basin
lowa	West Interior Basin
Kansas	West Interior Basin
Kentucky (east)	Central Appalachian Basin
Kentucky (west)	Illinois Basin
Louisiana	West Interior Basin
Maryland	Northern Appalachian Basin
Mississippi	Warrior Basin
Missouri	West Interior Basin
Montana	North Great Plains Basin
New Mexico	South West and Rockies Basin
North Dakota	North Great Plains Basin
Ohio	Northern Appalachian Basin
Oklahoma	West Interior Basin
Pennsylvania	Northern Appalachian Basin
Tennessee	Central Appalachian Basin
Texas	West Interior Basin
Utah	South West and Rockies Basin
Virginia	Central Appalachian Basin
Washington	Northwest Basin
West Virginia South	Central Appalachian Basin
West Virginia North	Northern Appalachian Basin
Wyoming	North Great Plains Basin

Figure A-6: Locations of U.S. Coal Basins



Source: Energy Information Administration based on data from USGS and various published studies Updated: April 8, 2009

Basin	1990	2005	2015	2016	2017	2018	2019
Underground Coal Production	423,556	368,612	306,821	252,106	273,129	275,361	267,373
N. Appalachia	103,865	111,151	103,579	94,685	97,741	97,070	97,905
Cent. Appalachia	198,412	123,082	53 <i>,</i> 230	39,800	46,053	45,306	39,957
Warrior	17,531	13,295	9,897	7,434	10,491	12,199	11,980
Illinois	69,167	59,180	96,361	76,578	80,855	85,416	81,061
S. West/Rockies	32,754	60,866	33,762	26,413	30,047	25,387	27,257
N. Great Plains	1,722	572	9,510	6,776	7,600	9,777	9,213
West Interior	105	465	482	420	343	206	0
Northwest	0	0	0	0	0	0	0
Surface Coal Production	602,753	762,190	588,736	475,407	500,782	480,080	438,445
N. Appalachia	60,761	28,873	13,200	8,739	9,396	9,219	8,476
Cent. Appalachia	94,343	112,222	37,530	26,759	31,796	33,799	32,742
Warrior	11,413	11,599	6,437	5,079	4,974	5,523	4,841
Illinois	72,000	33,703	27,359	21,707	22,427	21,405	18,591
S. West/Rockies	43,863	42,756	26,019	18,951	19,390	19,599	18,394
N. Great Plains	249,356	474,056	436,929	350,898	372,874	362,664	329,164
West Interior	64,310	52,262	40,084	42,342	38,966	26,969	25,261
Northwest	6,707	6,720	1,177	932	959	902	975
Total Coal Production	1,026,309	1,130,802	895,557	727,514	773,911	755,442	705,818
N. Appalachia	164,626	140,023	116,779	103,424	107,137	106,289	106,381
Cent. Appalachia	292,755	235,305	90,760	66,558	77,848	79,105	72,700
Warrior	28,944	24,894	16,334	12,513	15,464	17,723	16,822
Illinois	141,167	92,883	123,720	98,285	103,282	106,821	99 <i>,</i> 652
S. West/Rockies	76,617	103,622	59,781	45,364	49,437	44,987	45,652
N. Great Plains	251,078	474,629	446,438	357,675	380,474	372,441	338,376
West Interior	64,415	52,727	40,566	42,763	39,309	27,175	25,261
Northwest	6,707	6,720	1,177	932	959	902	975

Table A-111: Annual Coal Production (Thousand Short Tons)

Notes: Totals may not sum due to independent rounding.

#### Table A-112: Coal Underground, Surface, and Post-Mining CH<sub>4</sub> Emission Factors (ft<sup>3</sup> per Short Ton)

	Surface Average	Underground Average	Surface Mine	Post-Mining Surface	Post-Mining Underground
Basin	In Situ Content	In Situ Content	Factors	Factors	Factors
Northern Appalachia	59.5	138.4	89.3	19.3	45.0
Central Appalachia (WV)	24.9	136.8	37.4	8.1	44.5
Central Appalachia (VA)	24.9	399.1	37.4	8.1	129.7
Central Appalachia (E KY)	24.9	61.4	37.4	8.1	20.0
Warrior	30.7	266.7	46.1	10.0	86.7
Illinois	34.3	64.3	51.5	11.1	20.9
Rockies (Piceance Basin)	33.1	196.4	49.7	10.8	63.8
Rockies (Uinta Basin)	16.0	99.4	24.0	5.2	32.3
Rockies (San Juan Basin)	7.3	104.8	11.0	2.4	34.1
Rockies (Green River Basin)	33.1	247.2	49.7	10.8	80.3
Rockies (Raton Basin)	33.1	127.9	49.7	10.8	41.6
N. Great Plains (WY, MT)	20.0	15.8	30.0	6.5	5.1
N. Great Plains (ND)	5.6	15.8	8.4	1.8	5.1
West Interior (Forest City, Cherokee Basins)	34.3	64.3	51.5	11.1	20.9
West Interior (Arkoma Basin)	74.5	331.2	111.8	24.2	107.6
West Interior (Gulf Coast Basin)	11.0	127.9	16.5	3.6	41.6
Northwest (AK)	16.0	160.0	24.0	5.2	52.0
Northwest (WA)	16.0	47.3	24.0	5.2	15.4

Sources: 1986 USBM Circular 9067, Results of the Direct Method Determination of the Gas Contents of U.S. Coal Basins; U.S. DOE Report DOE/METC/83-76, Methane Recovery from Coalbeds: A Potential Energy Source; 1986–1988 Gas Research Institute Topical Report, A Geologic Assessment of Natural Gas from Coal Seams; 2005 U.S. EPA Draft Report, Surface Mines Emissions Assessment.

able A 115. Onderground coar winning en 4 Emissions (bimon cable reet)											
Activity	1990		2005		2015	2016	2017	2018	2019		
Ventilation Output	112		75		84	76	78	73	62		
Adjustment Factor for Mine Data	98%		98%		100%	100%	100%	100%	100%		
Adjusted Ventilation Output	114		77		84	76	78	73	62		
Degasification System Liberated	54		47		43	42	42	47	42		
Total Underground Liberated	168		124		127	119	121	120	104		
Recovered & Used	(14)		(37)		(34)	(34)	(36)	(39)	(33)		
Total	154		87		93	85	84	81	72		

#### Table A-114: Total Coal Mining CH<sub>4</sub> Emissions (Billion Cubic Feet)

Activity	1990	2005		2015	2016	2017	2018	2019		
Underground Mining	154	87		93	85	84	81	72		
Surface Mining	22	25		18	14	15	15	13		
Post-Mining (Underground)	19	16		12	10	11	11	11		
Post-Mining (Surface)	5	5		4	3	3	3	3		
Total	200	133		127	112	114	110	98		

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values.

#### Table A-115: Total Coal Mining CH<sub>4</sub> Emissions by State (Million Cubic Feet)

State	1990	2005	2015	2016	2017	2018	2019
Alabama	32,097	15,789	12,675	10,752	11,044	12,119	9,494
Alaska	50	42	34	27	28	26	28
Arizona	151	161	91	72	83	87	51
Arkansas	5	+	559	247	770	71	0
California	1	0	0	0	0	0	0
Colorado	10,187	13,441	3,248	2,272	1,940	1,616	1,730
Illinois	10,180	6,488	10,547	11,034	8,513	6,530	5,661
Indiana	2,232	3,303	6,891	6,713	6,036	6,729	6,807
lowa	24	0	0	0	0	0	0
Kansas	45	11	12	2	0	0	0
Kentucky	10,018	6,898	6,378	4,880	4,636	4,636	2,264
Louisiana	64	84	69	56	42	129	36
Maryland	474	361	171	131	152	113	119
Mississippi	0	199	176	161	146	165	151
Missouri	166	37	9	15	15	16	12
Montana	1,373	1,468	1,353	1,004	1,102	1,172	1,038
New Mexico	363	2,926	2,648	1,954	1,728	1,360	1,446
North Dakota	299	306	294	287	294	303	276
Ohio	4,406	3,120	2,718	1,998	1,473	1,342	1,283
Oklahoma	226	825	736	867	2,407	2,317	116
Pennsylvania	21,864	18,605	19,554	17,932	19,662	20,695	23,528
Tennessee	276	115	40	27	14	23	17
Texas	1,119	922	721	783	730	498	468
Utah	3,587	4,787	1,737	788	678	629	811
Virginia	46,041	8,649	6,396	6,692	7,663	7,051	6,959
Washington	146	154	0	0	0	0	0
West Virginia	48,335	29,745	36,460	32,309	33,122	28,686	25,711
Wyoming	6,671	14,745	13,624	10,812	11,497	13,201	10,409
Total	200,399	133,182	127,139	111,815	113,777	109,515	98,416

Note: The emission estimates provided above are inclusive of emissions from underground mines, surface mines and postmining activities. The following states have neither underground nor surface mining and thus report no emissions as a result of coal mining: Connecticut, Delaware, Florida, Georgia, Hawaii, Idaho, Maine, Massachusetts, Michigan, Minnesota, Nebraska, Nevada, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, and Wisconsin.

+ Does not exceed 0.5 million cubic feet.

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# 3.5. Methodology for Estimating CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O Emissions from Petroleum Systems

For details on the emissions, emission factors, activity data, data sources, and methodologies for each year from 1990 to 2019 please see the spreadsheet file annexes for the current (i.e., 1990 to 2019) Inventory, available at <a href="https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems">https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems</a>.

As described in the main body text on Petroleum Systems, the Inventory methodology involves the calculation of CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions for approximately 100 emissions sources, and then the summation of emissions for each petroleum systems segment. The approach for calculating emissions for petroleum systems generally involves the application of emission factors to activity data.

#### **Emission Factors**

Table 3.5-2, Table 3.5-7, and Table 3.5-10 show  $CH_4$ ,  $CO_2$ , and  $N_2O$  emissions, respectively, for all sources in Petroleum Systems, for all time series years. Table 3.5-3, Table 3.5-8, and Table 3.5-11 show the  $CH_4$ ,  $CO_2$ , and  $N_2O$ average emission factors, respectively, for all sources in Petroleum Systems, for all time series years. These emission factors are calculated by dividing net emissions by activity. Therefore, in a given year, these emission factors reflect the estimated contribution from controlled and uncontrolled fractions of the source population.

Additional detail on the basis for emission factors used across the time series is provided in Table 3.5-4, Table 3.5-9, Table 3.5-12, and below.

In addition to the Greenhouse Gas Reporting Program (GHGRP), key references for emission factors for  $CH_4$  and non-combustion-related  $CO_2$  emissions from the U.S. petroleum industry include a 1999 EPA/Radian report *Methane Emissions from the U.S. Petroleum Industry* (EPA/Radian 1999), which contained the most recent and comprehensive determination of  $CH_4$  emission factors for  $CH_4$ -emitting activities in the oil industry at that time, a 1999 EPA/ICF draft report *Estimates of Methane Emissions from the U.S. Oil Industry* (EPA/ICF 1999) which is largely based on the 1999 EPA/Radian report, and a detailed study by the Gas Research Institute and EPA *Methane Emissions from the Natural Gas Industry* (EPA/GRI 1996). These studies still represent best available data in many cases—in particular, for the early years of the time series.

Data from studies and EPA's GHGRP (EPA 2020b) allows for emission factors to be calculated that account for adoption of control technologies and emission reduction practices. For several sources, EPA has developed control category-specific emission factors from recent data that are used over the time series (paired with control categoryspecific activity data that fluctuates to reflect control adoption over time). For oil well completions with hydraulic fracturing, controlled and uncontrolled emission factors were developed using GHGRP data. For associated gas, separate emission estimates are developed from GHGRP data for venting and flaring. For oil tanks, emissions estimates were developed for large and small tanks with flaring or VRU control, without control devices, and with upstream malfunctioning separator dump valves. For pneumatic controllers, separate estimates are developed for low bleed, high bleed, and intermittent controllers. For chemical injection pumps, the estimate is calculated with an emission factor developed with GHGRP data, which is based on the previous GRI/EPA factor but takes into account operating hours. Some sources in Petroleum Systems that use methodologies based on GHGRP data use a basin-level aggregation approach, wherein EPA calculates basin-specific emissions and/or activity factors for basins that contribute at least 10 percent of total annual emissions (on a CO<sub>2</sub> Eq. basis) from the source in any year—and combines all other basins into one grouping. This methodology is applied for associated gas venting and flaring and miscellaneous production flaring. Produced Water CH₄ estimates were incorporated into the 1990-2019 Inventory. Emissions are calculated using annual produced water quantities (Enverus 2021, Kansas Department of Health and Environment 2020, Ohio Environmental Protection Agency 2020, Oklahoma Department of Environmental Quality 2020, Pennsylvania Department of Environmental Protection 2020, Illinois Office of Oil and Gas Resource Management 2020, Indiana Division of Oil and Gas 2020, West Virginia Department of Environmental Protection 2020, and EIA 2020) and an emission factor from EPA's Nonpoint Oil and Gas Emission Estimation Tool (EPA 2017).

For the refining segment, EPA has directly used the GHGRP data for all emission sources for recent years (2010 forward) (EPA 2020b) and developed source level throughput-based emission factors from GHGRP data to estimate emissions in earlier time series years (1990-2009). For some sources within refineries, EPA continues to apply the historical emission factors for all time series years. All refineries have been required to report CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions to GHGRP for all major activities since 2010. The national totals of these emissions for each activity were used

for the 2010 to 2019 emissions. The national emission totals for each activity were divided by refinery feed rates for those four Inventory years to develop average activity-specific emission factors, which were used to estimate national emissions for each refinery activity from 1990 to 2009 based on national refinery feed rates for each year (EPA 2015b).

Offshore emissions are taken from analysis of the *Gulfwide Emission Inventory Studies* and GHGRP data (BOEM 2020a-d; EPA 2020a; EPA 2020b). Emission factors are calculated for offshore facilities located in the Gulf of Mexico, Pacific, and Alaska regions.

When a  $CO_2$ -specific emission factor is not available for a source, the  $CO_2$  emission factors were derived from the corresponding source  $CH_4$  emission factors. The amount of  $CO_2$  in the crude oil stream changes as it passes through various equipment in petroleum production operations. As a result, four distinct stages/streams with varying  $CO_2$ contents exist. The four streams that are used to estimate the emissions factors are the associated gas stream separated from crude oil, hydrocarbons flashed out from crude oil (such as in storage tanks), whole crude oil itself when it leaks downstream, and gas emissions from offshore oil platforms. For this approach,  $CO_2$  emission factors are estimated by multiplying the existing  $CH_4$  emissions factors by a conversion factor, which is the ratio of  $CO_2$  content to methane content for the particular stream. Ratios of  $CO_2$  to  $CH_4$  volume in emissions are presented in Table 3.5-1.

N<sub>2</sub>O emission factors were calculated using GHGRP data. For each flaring emission source calculation methodology that uses GHGRP data, the existing source-specific methodology was applied to calculate N<sub>2</sub>O emission factors.

#### 1990-2019 Inventory updates to emission factors

Summary information for emission factors for sources with revisions in this year's Inventory is below. The details are presented in a memorandum, <sup>68</sup> Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Update for Produced Water Emissions (Produced Water memo) (EPA 2021), as well as the "Recalculations Discussion" section of the main body text.

In the production segment, EPA developed a new calculation methodology to estimate for produced water emissions from oil wells. Previous Inventories did not include emissions from produced water from oil wells. EPA's considerations for this source are documented in the *Produced Water* memo.

#### **Activity Data**

Table 3.5-5 shows the activity data for all sources in Petroleum Systems, for all time series years. Additional detail on the basis for activity data used across the time series is provided in Table 3.5-6, and below.

For many sources, complete activity data were not available for all years of the time series. In such cases, one of three approaches was employed. Where appropriate, the activity data were calculated from related statistics using ratios developed based on EPA 1996, and/or GHGRP data. For major equipment (equipment leak categories), pneumatic controllers, and chemical injection pumps, GHGRP subpart W data were used to develop activity factors (i.e., count per well) that are applied to calculated activity in recent years; to populate earlier years of the time series, linear interpolation is used to connect GHGRP-based estimates with existing estimates in years 1990 to 1995. In other cases, the activity data were held constant from 1990 through 2014 based on EPA (1999). Lastly, the previous year's data were used when data for the current year were unavailable. For offshore production in the GOM, the number of active major and minor complexes are used as activity data. For offshore production in the Pacific and Alaska region, the activity data are region-specific production. The activity data for the total crude transported in the transportation segment are not available, therefore the activity data for the refining sector (i.e., refinery feed in 1000 bbl/year) was also used for the transportation sector, applying an assumption that all crude transported is received at refineries. In the few cases where no data were located, oil industry data based on expert judgment were used. In the case of non-combustion CO<sub>2</sub> and N<sub>2</sub>O emission sources, the activity factors are the same as for CH<sub>4</sub> emission sources. In some instances, where recent time series data (e.g., year 2018) are not yet available, year 2017 or prior data were used as proxy.

#### Methodology for well counts and events

EPA used DrillingInfo and Prism, production databases maintained by Enverus Inc. (Enverus 2021), covering U.S. oil and natural gas wells to populate time series activity data for active oil wells, oil wells drilled, and oil well completions

<sup>&</sup>lt;sup>68</sup> Stakeholder materials including EPA memoranda for the current (i.e., 1990 to 2019) Inventory are available at <a href="https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems">https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems</a>.

and workovers with hydraulic fracturing. For more information on Enverus data processing, please see Annex 3.6 Methodology for Estimating  $CH_4$ ,  $CO_2$ , and  $N_2O$  from Natural Gas Systems.

# 1990-2019 Inventory updates to activity data

Summary information for activity data for sources with revisions in this year's Inventory is below. The details are presented in a memorandum, <sup>69</sup> Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Update for Produced Water Emissions (Produced Water memo) (EPA 2021), as well as the "Recalculations Discussion" section of the main body text.

In the production segment, EPA developed a new calculation methodology to estimate for produced water emissions from oil wells. Annual produced water quantities were used as activity data. Previous Inventories did not include emissions from produced water from oil wells. EPA's considerations for this source are documented in the *Produced Water* memo.

# Methane, Carbon Dioxide, and Nitrous Oxide Emissions by Emission Source for Each Year

Annual  $CH_4$ ,  $CO_2$ , and  $N_2O$  emissions for each source were calculated by multiplying the activity data for each year by the corresponding emission factor. These annual emissions for each activity were then summed to estimate the total annual  $CH_4$ ,  $CO_2$ , and  $N_2O$  emissions, respectively. Emissions at a segment level are shown in Table 3.5-2, Table 3.5-7, and Table 3.5-10.

Refer to the 1990-2019 Inventory section at https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems for the following data tables, in spreadsheet format:

- Table 3.5-1: Ratios of CO<sub>2</sub> to CH<sub>4</sub> Volume in Emissions from Petroleum Production Field Operations
- Table 3.5-2: CH<sub>4</sub> Emissions (kt) for Petroleum Systems, by Segment and Source, for All Years
- Table 3.5-3: Average CH<sub>4</sub> Emission Factors (kg/unit activity) for Petroleum Systems Sources, for All Years
- Table 3.5-4: CH<sub>4</sub> Emission Factors for Petroleum Systems, Data Sources/Methodology
- Table 3.5-5: Activity Data for Petroleum Systems Sources, for All Years
- Table 3.5-6: Activity Data for Petroleum Systems, Data Sources/Methodology
- Table 3.5-7: CO<sub>2</sub> Emissions (kt) for Petroleum Systems, by Segment and Source, for All Years
- Table 3.5-8: Average CO<sub>2</sub> Emission Factors (kg/unit activity) for Petroleum Systems Sources, for All Years
- Table 3.5-9: CO<sub>2</sub> Emission Factors for Petroleum Systems, Data Sources/Methodology
- Table 3.5-10: N<sub>2</sub>O Emissions (kt) for Natural Gas Systems, by Segment and Source, for All Years
- Table 3.5-11: Average N<sub>2</sub>O Emission Factors (kg/unit activity) for Natural Gas Systems Sources, for All Years
- Table 3.5-12: N<sub>2</sub>O Emission Factors for Natural Gas Systems, Data Sources/Methodology
- Table 3.5-13: Annex 3.5 Electronic Tables References

<sup>&</sup>lt;sup>69</sup> Stakeholder materials including EPA memoranda for the current (i.e., 1990 to 2019) Inventory are available at <a href="https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems">https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems</a>.

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# 3.6. Methodology for Estimating CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O Emissions from Natural Gas Systems

For details on the emissions, emission factors, activity data, data sources, and methodologies for each year from 1990 to 2019 please see the spreadsheet file annexes for the current (i.e., 1990 to 2019) Inventory, available at https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems.

As described in the main body text on Natural Gas Systems, the Inventory methodology involves the calculation of CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions for over 100 emissions sources, and the summation of emissions for each natural gas sector stage. The approach for calculating emissions for natural gas systems generally involves the application of emission factors to activity data. For many sources, the approach uses technology-specific emission factors or emission factors that vary over time and take into account changes to technologies and practices, which are used to calculate net emissions directly. For others, the approach uses what are considered "potential methane factors" and reduction data to calculate net emissions.

# **Emission Factors**

Table 3.6-1, Table 3.6-10, and Table 3.6-14 show CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions, respectively, for all sources in Natural Gas Systems, for all time series years. Table 3.6-2, Table 3.6-12, and Table 3.6-15 show the CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O average emission factors, respectively, for all sources in Natural Gas Systems, for all time series years. These emission factors are calculated by dividing net emissions by activity. Therefore, in a given year, these emission factors reflect the estimated contribution from controlled and uncontrolled fractions of the source population and any source-specific reductions (see below section "Reductions Data"); additionally, for sources based on the GRI/EPA study, the values take into account methane compositions from GTI 2001 adjusted year to year using gross production for National Energy Modeling System (NEMS) oil and gas supply module regions from the EIA. These adjusted region-specific annual CH<sub>4</sub> compositions are presented in Table 3.6-3 (for general sources), Table 3.6-4 (for gas wells without hydraulic fracturing), and Table 3.6-5 (for gas wells with hydraulic fracturing).

Additional detail on the basis for the  $CH_4$ ,  $CO_2$ , and  $N_2O$  emission factors used across the time series is provided in Table 3.6-6, Table 3.6-13, Table 3.6-16, and below.

Key references for emission factors for CH<sub>4</sub> and non-combustion-related CO<sub>2</sub> emissions from the U.S. natural gas industry include the 1996 Gas Research Institute (GRI) and EPA study (EPA/GRI 1996), the Greenhouse Gas Reporting Program (GHGRP) (EPA 2020c), and others.

The EPA/GRI study developed over 80 CH<sub>4</sub> emission factors to characterize emissions from the various components within the operating stages of the U.S. natural gas system for base year 1992. Since the time of this study, practices and technologies have changed. This study still represents best available data in many cases—in particular, for early years of the time series.

Data from studies and EPA's GHGRP (EPA 2020c) allows for emission factors to be calculated that account for adoption of control technologies and emission reduction practices. For some sources, EPA has developed control category-specific emission factors from recent data that are used over the time series (paired with control category-specific activity data that fluctuates to reflect control adoption over time). In other cases, EPA retains emission factors from the EPA/GRI study for early time series years (1990 to 1992), applies updated emission factors in recent years (e.g., 2011 forward), and uses interpolation to calculate emission factors for intermediate years. For some sources, EPA continues to apply the EPA/GRI emission factors for all time series years, and accounts for emission reductions through data reported to Gas STAR or estimated based on regulations (see below section "Reductions Data"). For many sources in the exploration and production segments, EPA has used GHGRP data to calculate net emission factors and establish source type and/or control type subcategories:

• For gas well completions and workovers with hydraulic fracturing, separate emissions estimates were developed for hydraulically fractured completions and workovers that vent, flared hydraulic fracturing completions and workovers, hydraulic fracturing completions and workovers with reduced emissions completions (RECs), and hydraulic fracturing completions and workovers with RECs that flare.

- For gas well completions without hydraulic fracturing, separate emissions estimates were developed for completions that event and completions that flare.
- For liquids unloading, separate emissions estimates were developed for wells with plunger lifts and wells without plunger lifts.
- For condensate tanks, emissions estimates were developed for large and small tanks with flaring or VRU control, without control devices, and with upstream malfunctioning separator dump valves.
- For pneumatic controllers, separate estimates are developed for low bleed, high bleed, and intermittent controllers.
- Chemical injection pumps estimates are calculated with an emission factor developed with GHGRP data, which is based on the previous GRI/EPA factor but takes into account operating hours.

For most sources in the processing, transmission and storage, and distribution segments, net emission factors have been developed for application in recent years of the time series, while the existing emission factors are applied in early time series years. When a CO<sub>2</sub>-specific emission factor is not available for a source, the CO<sub>2</sub> emission factors were derived from the corresponding source CH<sub>4</sub> emission factors using default gas composition data. CO<sub>2</sub> emission factors are estimated by multiplying the CH<sub>4</sub> emission factors by the ratio of the CO<sub>2</sub>-to-CH<sub>4</sub> gas content. This approach is applied for certain sources in the natural gas production, gas processing (only for early time series years), transmission and storage, and distribution segments. The default gas composition data are specific to segment and are provided in Table 3.6-11. The default values were derived from EPA/GRI (1996), EIA (1994), and GTI (2001).

 $N_2O$  emission factors were calculated using GHGRP data. For each flaring emission source calculation methodology that uses GHGRP data, the source-specific methodology use to estimate  $CO_2$  was applied to calculate  $N_2O$  emission factors.

# 1990-2019 Inventory updates to emission factors

Summary information for emission factors for sources with revisions in this year's Inventory is below. The details are presented in memoranda,<sup>70</sup> Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Update for Natural Gas Customer Meter Emissions (EPA 2021a) and Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Update for Produced Water Emissions (EPA 2021b), as well as the "Recalculations Discussion" section of the main body text.

In the production segment, EPA updated the produced water emission factors based upon the Production Module of the 2017 Oil and Gas Tool (EPA 2017d). EPA also updated the commercial and industrial meters methodologies to develop leak emission factors based upon data from two GTI studies (GTI 2009 and GTI 2019).

#### Activity Data

Table 3.6-7 shows the activity data for all sources in Natural Gas Systems, for all time series years. Additional detail on the basis for activity data used across the time series is provided in Table 3.6-8, and below.

For a few sources, recent direct activity data were not available. For these sources, either 2018 data were used as proxy for 2019 data or a set of industry activity data drivers was developed and was used to update activity data. Key drivers include statistics on gas production, number of wells, system throughput, miles of various kinds of pipe, and other statistics that characterize the changes in the U.S. natural gas system infrastructure and operations.

# Methodology for well counts and events

EPA used DrillingInfo and Prism datasets from Enverus (Enverus 2021), covering U.S. oil and natural gas wells to populate time series activity data for active gas wells, gas wells drilled, and gas well completions and workovers with hydraulic fracturing (for 1990 to 2010). EPA queried the Enverus datasets for relevant data on an individual well basis—including location, natural gas and liquids (i.e., oil and condensate) production by year, drill type (e.g., horizontal or vertical), and date of completion or first production. Non-associated gas wells were classified as any well that had non-

<sup>&</sup>lt;sup>70</sup> Stakeholder materials including EPA memoranda for the Inventory are available at <a href="https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems">https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems</a>.

zero gas production in a given year, and with a gas-to-oil ratio (GOR) of greater than 100 mcf/bbl in that year. Oil wells were classified as any well that had non-zero liquids production in a given year, and with a GOR of less than or equal to 100 mcf/bbl in that year. Gas wells with hydraulic fracturing were assumed to be the subset of the non-associated gas wells that had fracking fluid data within Enverus or were horizontally drilled and/or located in an unconventional formation (i.e., shale, tight sands, or coalbed). Unconventional formations were identified based on well basin, reservoir, and field data reported in the Enverus datasets referenced against a formation type crosswalk developed by EIA (EIA 2012a).

For 1990 through 2010, gas well completions with hydraulic fracturing were identified as a subset of the gas wells with hydraulic fracturing that had a date of completion or first production in the specified year. To calculate workovers for all time series years, EPA applied a refracture rate of 1 percent (i.e., 1 percent of all wells with hydraulic fracturing are assumed to be refractured in a given year) to the total counts of wells with hydraulic fracturing from the Enverus datasets. For 2011 forward, EPA used GHGRP data for the total number of well completions. The GHGRP data represents a subset of the national completions, due to the reporting threshold, and therefore using this data without scaling it up to national level results in an underestimate. However, because EPA's GHGRP counts of completions were higher than national counts of completions (estimated using the Enverus datasets), EPA directly used the GHGRP data to estimate national activity for years 2011 forward.

EPA calculated the percentage of gas well completions and workovers with hydraulic fracturing in each of the four control categories using year-specific GHGRP data (applying year 2011 factors to earlier years). EPA assumed no REC use from 1990 through 2000, used a REC use percentage calculated from GHGRP data for 2011 forward, and then used linear interpolation between the 2000 and 2011 percentages. For flaring, EPA used an assumption of 10 percent (the average of the percent of completions and workovers that were flared in 2011 through 2013 GHGRP data) flaring from 1990 through 2010 to recognize that some flaring has occurred over that time period. For 2011 forward, EPA used a flaring percentage calculated from GHGRP data.

#### 1990-2019 Inventory updates to activity data

Summary information for activity data for sources with revisions in this year's Inventory is below. The details are presented in memoranda,<sup>71</sup> Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Update for Natural Gas Customer Meter Emissions (EPA 2021a) and Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Update for Produced Water Emissions (EPA 2021b), as well as the "Recalculations Discussion" section of the main body text.

In the production segment, EPA updated the approach for produced water emissions to use produced water volumes from all gas wells. The customer meters update in the distribution segment did not warrant a new activity data approach; commercial and industrial meter counts are already available in the Inventory.

# **Reductions Data**

As described under "Emission Factors" above, some sources in Natural Gas Systems rely on CH<sub>4</sub> emission factors developed from the 1996 EPA/GRI study. Application of these emission factors across the time series represents potential emissions and does not take into account any use of technologies or practices that reduce emissions. To take into account use of such technologies for emission sources that use potential factors, data were collected on relevant voluntary and regulatory reductions.

Voluntary and regulatory emission reductions by segment, for all time series years, are included in Table 3.6-1. Reductions by emission source, for all time series years, are shown in Table 3.6-9.

# Voluntary reductions

Voluntary reductions included in the Inventory were those reported to Gas STAR for activities such as replacing gas engines with electric compressor drivers and installing automated air-to-fuel ratio controls for engines.

Most Gas STAR reductions in the production segment are not classified as applicable to specific emission sources. As many sources in production are now calculated with net factor approaches, to address potential double-

<sup>&</sup>lt;sup>71</sup> Stakeholder materials including EPA memoranda for the current (i.e., 1990 to 2017) Inventory are available at <a href="https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems">https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems</a>.

counting of reductions, a scaling factor was applied to the "other voluntary reductions" to reduce this reported amount based on an estimate of the fraction of those reductions that occur in the sources that are now calculated using net emissions approaches. This fraction was developed by dividing the net emissions from sources with net approaches, by the total production segment emissions (without deducting the Gas STAR reductions). The result for 2019, is an adjusted reductions estimate of  $6.1 \text{ MMT CO}_2$  Eq.

#### Federal regulations

Regulatory actions reducing emissions in the current Inventory include the New Source Performance Standards (NSPS) and National Emission Standards for Hazardous Air Pollutants (NESHAP) regulations for dehydrator vents in the production segment.

The Inventory reflects the New Source Performance Standards (NSPS) for oil and gas through the use of a net factor approach that captures shifts to lower emitting technologies required by the regulation. Examples include separating gas well completions and workovers with hydraulic fracturing into four categories and developing control technology-specific methane emission factors and year-specific activity data for each category; establishing control category-specific emission factors and associated year-specific activity data for condensate tanks; calculating year-specific activity data for pneumatic controller bleed categories; and estimating year-specific activity data for wet versus dry seal centrifugal compressors.

In regards to the oil and natural gas industry, the NESHAP regulation addresses HAPs from the oil and natural gas production sectors and the natural gas transmission and storage sectors of the industry. Though the regulation deals specifically with HAPs reductions, methane emissions are also incidentally reduced.

The NESHAP regulation requires that glycol dehydration unit vents that have HAP emissions and exceed a gas throughput threshold be connected to a closed loop emission control system that reduces emissions by 95 percent. The emissions reductions achieved as a result of NESHAP regulations for glycol dehydrators in the production segment were calculated using data provided in the Federal Register Background Information Document (BID) for this regulation. The BID provides the levels of control measures in place before the enactment of regulation. The emissions reductions were estimated by analyzing the portion of the industry without control measures already in place that would be impacted by the regulation.

NESHAP driven reductions from storage tanks and from dehydrators in the processing segment are estimated with net emission methodologies that take into account controls implemented due to regulations.

#### Methane, Carbon Dioxide, and Nitrous Oxide Emissions by Emission Source for Each Year

Annual CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions for each source were estimated by multiplying the activity data for each year by the corresponding emission factor. These annual emissions for each activity were then summed to estimate the total annual CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions, respectively. As a final step for CH<sub>4</sub> emissions, any relevant reductions data from each segment is summed for each year and deducted from the total calculated emissions in that segment to estimate net CH<sub>4</sub> emissions for the Inventory. CH<sub>4</sub> potential emissions, reductions, and net emissions at a segment level are shown in Table 3.6-1. CO<sub>2</sub> emissions by segment and source are summarized in Table 3.6-10. N<sub>2</sub>O emissions by segment and source are summarized in Table 3.6-14.

Refer to the 1990-2019 Inventory section at https://www.epa.gov/ghgemissions/natural-gas-and-petroleum-systems for the following data tables, in spreadsheet format:

- Table 3.6-1: CH<sub>4</sub> Emissions (kt) for Natural Gas Systems, by Segment and Source, for All Years
- Table 3.6-2: Average CH<sub>4</sub> Emission Factors (kg/unit activity) for Natural Gas Systems Sources, for All Years
- Table 3.6-3: U.S. Production Sector CH<sub>4</sub> Content in Natural Gas by NEMS Region (General Sources)
- Table 3.6-4: U.S. Production Sector CH<sub>4</sub> Content in Natural Gas by NEMS Region (Gas Wells Without Hydraulic Fracturing)
- Table 3.6-5: U.S. Production Sector CH<sub>4</sub> Content in Natural Gas by NEMS Region (Gas Wells With Hydraulic Fracturing)
- Table 3.6-6: CH<sub>4</sub> Emission Factors for Natural Gas Systems, Data Sources/Methodology
- Table 3.6-7: Activity Data for Natural Gas Systems Sources, for All Years
- Table 3.6-8: Activity Data for Natural Gas Systems, Data Sources/Methodology

- Table 3.6-9: Voluntary and Regulatory CH<sub>4</sub> Reductions for Natural Gas Systems (kt)
- Table 3.6-10: CO<sub>2</sub> Emissions (kt) for Natural Gas Systems, by Segment and Source, for All Years
- Table 3.6-11: Default Gas Content by Segment, for All Years
- Table 3.6-12: Average CO<sub>2</sub> Emission Factors (kg/unit activity) for Natural Gas Systems Sources, for All Years
- Table 3.6-13: CO<sub>2</sub> Emission Factors for Natural Gas Systems, Data Sources/Methodology
- Table 3.6-14: N<sub>2</sub>O Emissions (kt) for Natural Gas Systems, by Segment and Source, for All Years
- Table 3.6-15: Average N<sub>2</sub>O Emission Factors (kg/unit activity) for Natural Gas Systems Sources, for All Years
- Table 3.6-16: N<sub>2</sub>O Emission Factors for Natural Gas Systems, Data Sources/Methodology
- Annex 3.6-17: Electronic Tables References

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# 3.7. Methodology for Estimating CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O Emissions from the Incineration of Waste

Emissions of  $CO_2$  from the incineration of waste include  $CO_2$  generated by the incineration of plastics, synthetic rubber and synthetic fibers in municipal solid waste (MSW), and the incineration of tires (which are composed in part of synthetic rubber and C black) in a variety of other combustion facilities (e.g., cement kilns). Incineration of waste also results in emissions of  $CH_4$  and  $N_2O$ . The emission estimates are calculated for all four sources on a mass-basis based on the data available. The methodology for calculating emissions from each of these waste incineration sources is described in this Annex.

# CO<sub>2</sub> from Plastics Incineration

In the Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures reports (EPA 1999 through 2003, 2005 through 2014), Advancing Sustainable Materials Management: Facts and Figures – Assessing Trends in Material Generation, Recycling and Disposal in the United States (EPA 2015; EPA 2016; EPA 2018; EPA 2019; EPA 2020) the flows of plastics in the U.S. waste stream are reported for seven resin categories. For 2019, the quantity generated, recovered, and discarded for each resin is shown in Table A-116. The data set for 1990 through 2019 is incomplete, and several assumptions were employed to bridge the data gaps. The EPA reports do not provide estimates for individual materials landfilled and incinerated, although they do provide such an estimate for the waste stream as a whole. To estimate the quantity of plastics landfilled and incinerated, total discards were apportioned based on the proportions of landfilling and incineration for the entire U.S. waste stream for each year in the time series according to *Biocycle's State of Garbage in America* (van Haaren et al. 2010), and Shin (2014). For the years when distribution by resin category was not reported (1990 through 1994), total values were apportioned according to 1995 (the closest year) distribution ratios. Generation and recovery figures for 2002 and 2004 were linearly interpolated between surrounding years' data.

				LDPE/				
Waste Pathway	PET	HDPE	PVC	LLDPE	PP	PS	Other	Total
Generation	4,799	5,715	762	7,793	7,394	2,050	3,856	32,369
Recovery	826	508	0	336	45	18	1,007	2,740
Discard	3,974	5,207	762	7,457	7,348	2,032	2,849	29,629
Landfill	3,672	4,812	704	6,890	6,790	1,878	2,632	27,377
Combustion	302	396	58	567	558	154	216	2,252
Recovery <sup>a</sup>	17%	9%	0%	4%	1%	1%	26%	8%
Discard <sup>a</sup>	83%	91%	100%	96%	99%	99%	74%	92%
Landfill <sup>a</sup>	77%	84%	92%	88%	92%	92%	68%	85%
Combustion <sup>a</sup>	6%	7%	8%	7%	8%	8%	6%	7%

#### Table A-116: 2019 Plastics in the Municipal Solid Waste Stream by Resin (kt)

Note: Totals may not sum due to independent rounding. Abbreviations: PET (polyethylene terephthalate), HDPE (high density polyethylene), PVC (polyvinyl chloride), LDPE/LLDPE (linear low density polyethylene), PP (polypropylene), PS (polystyrene). <sup>a</sup> As a percent of waste generation.

Fossil fuel-based CO<sub>2</sub> emissions were calculated as the product of plastic combusted, C content, and fraction oxidized (see Table A-117). The C content of each of the six types of plastics is listed, with the value for "other plastics" assumed equal to the weighted average of the six categories. The fraction oxidized was assumed to be 98 percent.

Table A-117: 2019 Plastics Incinerated (kt), Carbon Content (%), Fraction Oxidized (%) and Carbon Incinerated	ł
(kt)	

				LDPE/				
Factor	PET	HDPE	PVC	LLDPE	PP	PS	Other	Total
Quantity Combusted	302	396	58	567	558	154	216	2,252
Carbon Content of Resin	63%	86%	38%	86%	86%	92%	81%	NA
Fraction Oxidized	98%	98%	98%	98%	98%	98%	98%	NA
Carbon in Resin Combusted	185	332	22	476	469	140	173	1,797
Emissions (MMT CO <sub>2</sub> Eq.)	0.7	1.2	0.1	1.7	1.7	0.5	0.6	6.6

Note: Totals may not sum due to independent rounding. NA (Not Applicable)

# CO<sub>2</sub> from Incineration of Synthetic Rubber and Carbon Black in Tires

Calculating emissions from tire incineration require two pieces of information: the amount of tires incinerated and the C content of the tires. "2017 U.S. Scrap Tire Management Summary" (RMA 2018) reports that 1,566.5 thousand of the 3,303 thousand tons of scrap tires generated in 2017 (approximately 53 percent of generation) were used for fuel purposes. Using RMA's estimates of average tire composition and weight, the mass of synthetic rubber and C black in scrap tires was determined:

- Synthetic rubber in tires was estimated to be 90 percent C by weight, based on the weighted average C contents of the major elastomers used in new tire consumption.<sup>72</sup> Table A-118 shows consumption and C content of elastomers used for tires and other products in 2002, the most recent year for which data are available.
- C black is 100 percent C (Aslett Rubber Inc. n.d.).

Multiplying the mass of scrap tires incinerated by the total C content of the synthetic rubber, C black portions of scrap tires, and then by a 98 percent oxidation factor, yields CO<sub>2</sub> emissions, as shown in Table A-119. The disposal rate of rubber in tires (0.3 MMT C/year) is smaller than the consumption rate for tires based on summing the elastomers listed in Table A-118 (1.3 MMT/year); this is due to the fact that much of the rubber is lost through tire wear during the product's lifetime and may also reflect the lag time between consumption and disposal of tires. Tire production and fuel use for 1990 through 2019 were taken from RMA 2006; RMA 2009; RMA 2011; RMA 2014a; RMA 2016; RMA 2018; RMA 2020. For years where data were not reported, data were linearly interpolated or, for the ends of time series, set equal to the closest year with reported data.

In 2009, RMA changed the reporting of scrap tire data from millions of tires to thousands of short tons of scrap tire. As a result, the average weight and percent of the market of light duty and commercial scrap tires was used to convert the previous years from millions of tires to thousands of short tons (STMC 1990 through 1997; RMA 2002 through RMA 2006; RMA 2014b; RMA 2016; RMA 2018; RMA 2020).

Elastomer	Consumed	Carbon Content	Carbon Equivalent
Styrene butadiene rubber solid	768	91%	700
For Tires	660	91%	602
For Other Products <sup>a</sup>	108	91%	98
Polybutadiene	583	89%	518
For Tires	408	89%	363
For Other Products	175	89%	155
Ethylene Propylene	301	86%	258
For Tires	6	86%	5
For Other Products	295	86%	253
Polychloroprene	54	59%	32
For Tires	0	59%	0
For Other Products	54	59%	32
Nitrile butadiene rubber solid	84	77%	65
For Tires	1	77%	1
For Other Products	83	77%	64
Polyisoprene	58	88%	51
For Tires	48	88%	42
For Other Products	10	88%	9
Others	367	88%	323
For Tires	184	88%	161
For Other Products	184	88%	161
Total	2,215	NA	1,950
For Tires	1,307	NA	1,174

#### Table A-118: Elastomers Consumed in 2002 (kt)

Note: Totals may not sum due to independent rounding.

<sup>&</sup>lt;sup>72</sup> The carbon content of tires (1,174 kt C) divided by the mass of rubber in tires (1,307 kt) equals 90 percent.

NA (Not Applicable)

<sup>a</sup> Used to calculate C content of non-tire rubber products in municipal solid waste.

	Weight of Material			Emissions (MMT
Material	(MMT)	Fraction Oxidized	<b>Carbon Content</b>	CO₂ Eq.)
Synthetic Rubber	0.3	98%	90%	1.2
Carbon Black	0.4	98%	100%	1.5
Total	0.7	NA	NA	2.6

Table A-119: Scrap Tire Constituents and CO <sub>2</sub> Emissions from Scrap Tire Incineration in 20
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NA (Not Applicable)

# CO<sub>2</sub> from Incineration of Synthetic Rubber in Municipal Solid Waste

Similar to the methodology for scrap tires, CO<sub>2</sub> emissions from synthetic rubber in MSW were estimated by multiplying the amount of rubber incinerated by an average rubber C content. The amount of rubber discarded in the MSW stream was estimated from generation and recycling data.<sup>73</sup> provided in the *Municipal Solid Waste Generation*, Recycling, and Disposal in the United States: Facts and Figures reports (EPA 1999 through 2003, 2005 through 2014), Advancing Sustainable Materials Management: Facts and Figures: Assessing Trends in Material Generation, Recycling and Disposal in the United States (EPA 2015; EPA 2016; EPA 2018; EPA 2019; EPA 2020), and unpublished backup data (Schneider 2007). The reports divide rubber found in MSW into three product categories: other durables (not including tires), non-durables (which includes clothing and footwear and other non-durables), and containers and packaging. EPA (2020) did not report rubber found in the product category "containers and packaging;" however, containers and packaging from miscellaneous material types were reported for 2009 through 2019. As a result, EPA assumes that rubber containers and packaging are reported under the "miscellaneous" category; and therefore, the quantity reported for 2009 through 2019 were set equal to the quantity reported for 2008. Since there was negligible recovery for these product types, all the waste generated is considered to be discarded. Similar to the plastics method, discards were apportioned into landfilling and incineration based on their relative proportions, for each year, for the entire U.S. waste stream. The report aggregates rubber and leather in the MSW stream; an assumed synthetic rubber content of 70 percent was assigned to each product type, as shown in Table A-120.<sup>74</sup> A C content of 85 percent was assigned to synthetic rubber for all product types (based on the weighted average C content of rubber consumed for non-tire uses), and a 98 percent fraction oxidized was assumed.

	Incinerated	Synthetic	Carbon Content	Fraction	Emissions
Product Type	(kt)	Rubber (%)	(%)	Oxidized (%)	(MMT CO₂ Eq.)
Durables (not Tires)	262	70%	85%	98%	0.8
Non-Durables	81	NA	NA	NA	0.3
Clothing and Footwear	62	70%	85%	98%	0.2
Other Non-Durables	19	70%	85%	98%	0.1
Containers and Packaging	2	70%	85%	98%	0.0
Total	345	NA	NA	NA	1.1

#### Table A-120: Rubber and Leather in Municipal Solid Waste in 2019

NA (Not Applicable)

# CO<sub>2</sub> from Incineration of Synthetic Fibers

Carbon dioxide emissions from synthetic fibers were estimated as the product of the amount of synthetic fiber discarded annually and the average C content of synthetic fiber. Fiber in the MSW stream was estimated from data provided in the *Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures* reports (EPA 1999 through 2003, 2005 through 2014) and *Advancing Sustainable Materials Management: Facts and Figures* – *Assessing Trends in Material Generation, Recycling and Disposal in the United States* (EPA 2015; EPA 2016; EPA 2018; EPA 2019; EPA 2020) for textiles. Production data for the synthetic fibers was based on data from the American Chemical Society (FEB 2009). The amount of synthetic fiber in MSW was estimated by subtracting (a) the amount recovered from (b) the waste generated (see Table A-121). As with the other materials in the MSW stream, discards were apportioned based on the annually variable proportions of landfilling and incineration for the entire U.S. waste stream, as found in

<sup>&</sup>lt;sup>73</sup> Discards = Generation minus recycling.

 $<sup>^{74}</sup>$  As a sustainably harvested biogenic material, the incineration of leather is assumed to have no net CO<sub>2</sub> emissions.

van Haaren et al. (2010), and Shin (2014). It was assumed that approximately 55 percent of the fiber was synthetic in origin, based on information received from the Fiber Economics Bureau (DeZan 2000). The average C content of 72 percent was assigned to synthetic fiber using the production-weighted average of the C contents of the four major fiber types (polyester, nylon, olefin, and acrylic) based on 2019 fiber production (see Table A-122). The equation relating CO<sub>2</sub> emissions to the amount of textiles combusted is shown below.

# $CO_2$ Emissions from the Incineration of Synthetic Fibers = Annual Textile Incineration (kt) × (Percent of Total Fiber that is Synthetic) × (Average C Content of Synthetic Fiber) × (44 g CO<sub>2</sub>/12 g C)

Table A-1	21: Synthetic Te	extiles in ivisiv	(KL)	
Year	Generation	Recovery	Discards	Incineration
1990	2,884	328	2,557	332
1995	3,674	447	3,227	442
1996	3,832	472	3,361	467
1997	4,090	526	3,564	458
1998	4,269	556	3,713	407
1999	4,498	611	3,887	406
2000	4,706	655	4,051	417
2001	4,870	715	4,155	432
2002	5,123	750	4,373	459
2003	5,297	774	4,522	472
2004	5,451	884	4,567	473
2005	5,714	908	4,805	481
2006	5,893	933	4,959	479
2007	6,041	953	5,088	470
2008	6,305	968	5,337	470
2009	6,424	978	5,446	458
2010	6,563	1,018	5,545	444
2011	6,513	1,003	5,510	419
2012	7,198	1,137	6,061	461
2013	7,605	1,181	6,424	488
2014	7,565	1,122	6,444	490
2015	7,973	1,221	6,751	513
2016	8,380	1,246	7,134	542
2017	8,385	1,276	7,109	540
2018	8,454	1,246	7,208	548
2019	8,454	1,246	7,208	548

#### Table A-121: Synthetic Textiles in MSW (kt)

# Table A-122: Synthetic Fiber Production in 2019

Fiber	Production (MMT)	<b>Carbon Content</b>
Polyester	1.3	63%
Nylon	0.5	64%
Olefin	1.1	86%
Acrylic	+	68%
Total	3.0	72%

+ Does not exceed 0.05 MMT.

#### CH<sub>4</sub> and N<sub>2</sub>O from Incineration of Waste

Estimates of N<sub>2</sub>O emissions from the incineration of waste in the United States are based on the methodology outlined in the EPA's Compilation of Air Pollutant Emission Factors (EPA 1995) and presented in the *Municipal Solid Waste Generation, Recycling, and Disposal in the United States: Facts and Figures* reports (EPA 1999 through 2003, 2005 through 2014), *Advancing Sustainable Materials Management: Facts and Figures: Assessing Trends in Material Generation, Recycling and Disposal in the United States* (EPA 2015; EPA 2016; EPA 2018; EPA 2019; EPA 2020) and unpublished backup data (Schneider 2007). According to this methodology, emissions of N<sub>2</sub>O from waste incineration are the product of the mass of waste incinerated, an emission factor of N<sub>2</sub>O emitted per unit mass of waste incinerated, and an N<sub>2</sub>O emissions control removal efficiency. The mass of waste incinerated was derived from the results of the biannual national survey of MSW Generation and Disposition in the United States, published in BioCycle (van Haaren et al. 2010), and Shin (2014). No information was available on the mass of waste incinerated for 2012 through 2019, so these values were assumed to be equal to the 2011 value. An emission factor of 50 g N<sub>2</sub>O/metric ton MSW based on the 2006 IPCC Guidelines and an estimated emissions control removal efficiency of zero percent were used (IPCC 2006). It was assumed that all MSW incinerators in the United States use continuously-fed stoker technology (Bahor 2009; ERC 2009).

Estimates of CH<sub>4</sub> emissions from the incineration of waste in the United States are based on the methodology outlined in IPCC's 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC 2006). According to this methodology, emissions of CH<sub>4</sub> from waste incineration are the product of the mass of waste incinerated and an emission factor of CH<sub>4</sub> emitted per unit mass of waste incinerated. Similar to the N<sub>2</sub>O emissions methodology, the mass of waste incinerated was derived from the information published in BioCycle (van Haaren et al. 2010) and Shin (2014). No information was available on the mass of waste incinerated for 2012 through 2019, so these values were assumed to be equal to the 2011 value. An emission factor of 0.20 kg CH<sub>4</sub>/kt MSW was used based on the 2006 IPCC Guidelines and assuming that all MSW incinerators in the United States use continuously-fed stoker technology (Bahor 2009; ERC 2009).

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# **3.8.** Methodology for Estimating Emissions from International Bunker Fuels used by the U.S. Military

Bunker fuel emissions estimates for the Department of Defense (DoD) were developed using data generated by the Defense Logistics Agency Energy (DLA Energy) for aviation and naval fuels. DLA Energy prepared a special report based on data in the Fuels Automated System (FAS) for calendar year 2019fuel sales in the Continental United States (CONUS).<sup>75</sup> The following steps outline the methodology used for estimating emissions from international bunker fuels used by the U.S. Military.

# Step 1: Omit Extra-Territorial Fuel Deliveries

Beginning with the complete FAS data set for each year, the first step in quantifying DoD-related emissions from international bunker fuels was to identify data that would be representative of international bunker fuel consumption as defined by decisions of the UNFCCC (i.e., fuel sold to a vessel, aircraft, or installation within the United States or its territories and used in international maritime or aviation transport). Therefore, fuel data were categorized by the location of fuel delivery in order to identify and omit all international fuel transactions/deliveries (i.e., sales abroad).

# Step 2: Allocate Jet Fuel between Aviation and Land-based Vehicles

As a result of DoD<sup>76</sup> and NATO<sup>77</sup> policies on implementing the Single Fuel For the Battlefield concept, DoD activities have been increasingly replacing diesel fuel with jet fuel in compression ignition and turbine engines of land-based equipment. Based on this concept and examination of all data describing jet fuel used in land-based vehicles, it was determined that a portion of jet fuel consumption should be attributed to ground vehicle use. Based on available Military Service data and expert judgment, a small fraction of jet fuel use (i.e., between 1.78 and 2.7 times the quantity of diesel fuel used, depending on the Service) was reallocated from the aviation subtotal to a new land-based jet fuel category for 1997 and subsequent years. As a result of this reallocation, the jet fuel use reported for aviation was reduced and the fuel use for land-based equipment increased. DoD's total fuel use did not change. DoD has been undergoing a transition from JP-8 jet fuel to commercial specification Jet A fuel with additives (JAA) for non-naval aviation and ground assets. To account for this transition jet fuel used for ground-based vehicles was reallocated from JP8 prior to 2014 and from JAA in 2014 and subsequent years. The transition was completed in 2016.

Table A-123 displays DoD's consumption of transportation fuels, summarized by fuel type, that remain at the completion of Step 1, and reflects the adjustments for jet fuel used in land-based equipment, as described above.

# Step 3: Omit Land-Based Fuels

Navy and Air Force land-based fuels (i.e., fuel not used by ships or aircraft) were omitted for the purpose of calculating international bunker fuels. The remaining fuels, listed below, were considered potential DoD international bunker fuels.

- Aviation: jet fuels (JP8, JP5, JP4, JAA, JA1, and JAB).
- Marine: naval distillate fuel (F76), marine gas oil (MGO), and intermediate fuel oil (IFO).

<sup>&</sup>lt;sup>75</sup> FAS contains data for 1995 through 2019, but the dataset was not complete for years prior to 1995. Using DLA aviation and marine fuel procurement data, fuel quantities from 1990 to 1994 were estimated based on a back-calculation of the 1995 data in the legacy database, the Defense Fuels Automated Management System (DFAMS). The back-calculation was refined in 1999 to better account for the jet fuel conversion from JP4 to JP8 that occurred within DoD between 1992 and 1995.

<sup>&</sup>lt;sup>76</sup> DoD Directive 4140.25-M-V1, Fuel Standardization and Cataloging, 2013; DoD Instruction 4140.25, DoD Management Policy for Energy Commodities and Related Services, 2015.

<sup>&</sup>lt;sup>77</sup> NATO Standard Agreement NATO STANAG 4362, Fuels for Future Ground Equipment Using Compression Ignition or Turbine Engines, 2012.

# Step 4: Omit Fuel Transactions Received by Military Services that are not considered to be International Bunker Fuels

Only Navy and Air Force were deemed to be users of military international bunker fuels after sorting the data by Military Service and applying the following assumptions regarding fuel use by Service.

- Only fuel delivered to a ship, aircraft, or installation in the United States was considered a potential
  international bunker fuel. Fuel consumed in international aviation or marine transport was included
  in the bunker fuel estimate of the country where the ship or aircraft was fueled. Fuel consumed
  entirely within a country's borders was not considered a bunker fuel.
- Based on previous discussions with the Army staff, only an extremely small percentage of Army aviation emissions, and none of Army watercraft emissions, qualified as bunker fuel emissions. The magnitude of these emissions was judged to be insignificant when compared to Air Force and Navy emissions. Based on this research, Army bunker fuel emissions were assumed to be zero.
- Marine Corps aircraft operating while embarked consumed fuel that was reported as delivered to the Navy. Bunker fuel emissions from embarked Marine Corps aircraft were reported in the Navy bunker fuel estimates. Bunker fuel emissions from other Marine Corps operations and training were assumed to be zero.
- Bunker fuel emissions from other DoD and non-DoD activities (i.e., other federal agencies) that purchased fuel from DLA Energy were assumed to be zero.

# Step 5: Determine Bunker Fuel Percentages

It was necessary to determine what percent of the aviation and marine fuels were used as international bunker fuels. Military aviation bunkers include international operations (i.e., sorties that originate in the United States and end in a foreign country), operations conducted from naval vessels at sea, and operations conducted from U.S. installations principally over international water in direct support of military operations at sea (e.g., anti-submarine warfare flights). Methods for quantifying aviation and marine bunker fuel percentages are described below.

Aviation: The Air Force Aviation bunker fuel percentage was determined to be 13.2 percent. A bunker fuel weighted average was calculated based on flying hours by major command. International flights were weighted by an adjustment factor to reflect the fact that they typically last longer than domestic flights. In addition, a fuel use correction factor was used to account for the fact that transport aircraft burn more fuel per hour of flight than most tactical aircraft. This percentage was multiplied by total annual Air Force aviation fuel delivered for U.S. activities, producing an estimate for international bunker fuel consumed by the Air Force.

The Naval Aviation bunker fuel percentage was calculated to be 40.4 percent by using flying hour data from Chief of Naval Operations Flying Hour Projection System Budget for fiscal year 1998 and estimates of bunker fuel percent of flights provided by the fleet. This Naval Aviation bunker fuel percentage was then multiplied by total annual Navy aviation fuel delivered for U.S. activities, yielding total Navy aviation bunker fuel consumed.

 Marine: For marine bunkers, fuels consumed while ships were underway were assumed to be bunker fuels. The Navy maritime bunker fuel percentage was determined to be 79 percent because the Navy reported that 79 percent of vessel operations were underway, while the remaining 21 percent of operations occurred in port (i.e., pierside) in the year 2000.<sup>78</sup>

Table A-124 and Table A-125 display DoD bunker fuel use totals for the Navy and Air Force.

<sup>&</sup>lt;sup>78</sup> Note that 79 percent is used because it is based on Navy data, but the percentage of time underway may vary from year-toyear depending on vessel operations. For example, for years prior to 2000, the bunker fuel percentage was 87 percent.

# Step 6: Calculate Emissions from International Bunker Fuels

Bunker fuel totals were multiplied by appropriate emission factors to determine greenhouse gas (GHG) emissions. CO<sub>2</sub> emissions from Aviation Bunkers and distillate Marine Bunkers are the total of military aviation and marine bunker fuels, respectively.

The rows labeled "U.S. Military" and "U.S. Military Naval Fuels" in the tables in the International Bunker Fuels section of the Energy chapter were based on the totals provided in Table A-124 and Table A-125, below.  $CO_2$  emissions from aviation bunkers and distillate marine bunkers are presented in Table A-129, and are based on emissions from fuels tallied in Table A-124 and Table A-125.

Vehicle Type/Fuel	1990	1995	200	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Aviation	4,598.4	3,099.9	2,664.4	2,338.1	1,663.9	1,405.0	1,449.7	1,336.4	1,679.5	1,663.7	1,558.0	1,537.7	1,482.2	1,487.6
Total Jet Fuels	4,598.4	3,099.9	2,664.4	2,338.0	1,663.7	1,404.8	1,449.5	1,336.2	1,679.2	1,663.5	1,557.7	1,537.5	1,481.9	1,487.4
JP8	285.7	2,182.8	2,122.	7 1,838.8	1,100.1	882.8	865.2	718.0	546.6	126.6	(9.5)	(11.4)	1.9	4.7
JP5	1,025.4	691.2	472.	L 421.6	399.3	372.3	362.5	316.4	311.0	316.4	320.4	316.3	304.1	314.4
Other Jet Fuels	3,287.3	225.9	69.0	5 77.6	164.3	149.7	221.8	301.7	821.6	1,220.5	1,246.9	1,232.7	1,175.9	1,168.2
Aviation Gasoline	+			• 0.1	0.2	0.2	0.3	0.2	0.3	0.3	0.3	0.2	0.3	0.2
Marine	686.8	438.9	454.4	604.9	578.8	489.9	490.4	390.4	427.9	421.7	412.4	395.2	370.9	365.4
Middle Distillate														
(MGO)	0.0	0.0	48.3	3 54.0	48.4	37.3	52.9	40.9	62.0	56.0	23.1	24.4	19.9	23.2
Naval Distillate (F76)	686.8	438.9	398.0	525.9	513.7	440.0	428.4	345.7	362.7	363.3	389.1	370.8	351.0	342.2
Intermediate Fuel Oil														
(IFO) <sup>b</sup>	0.0	0.0	8.:	L 25.0	16.7	12.5	9.1	3.8	3.2	2.4	0.1	0.0	0.0	0.0
Other <sup>c</sup>	717.1	310.9	248.2	2 205.6	224.0	208.6	193.8	180.6	190.7	181.1	178.3	165.8	170.4	161.4
Diesel	93.0	119.9	126.0	5 56.8	64.1	60.9	57.9	54.9	57.5	54.8	54.7	50.4	51.8	48.7
Gasoline	624.1	191.1	. 74.8	3 24.3	25.5	22.0	19.6	16.9	16.5	16.2	15.9	15.6	14.7	14.9
Jet Fuel <sup>d</sup>	0.0	0.0	46.	7 124.4	134.4	125.6	116.2	108.8	116.7	110.1	107.6	99.9	104.0	97.7
Total (Including														
Bunkers)	6,002.4	3,849.8	3,367.	3,148.6	2,466.7	2,103.5	2,133.9	1,907.5	2,298.2	2,266.5	2,148.7	2,098.7	2,023.4	2,014.3

Table A-123: Transportation Fuels from Domestic Fuel Deliveries<sup>a</sup> (Million Gallons)

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values. The negative values in this table represent returned products.

+ Indicates value does not exceed 0.05 million gallons.

<sup>a</sup> Includes fuel distributed in the United States and U.S. Territories.

<sup>b</sup> Intermediate fuel oil (IFO 180 and IFO 380) is a blend of distillate and residual fuels. IFO is used by the Military Sealift Command.

<sup>c</sup> Prior to 2001, gasoline and diesel fuel totals were estimated using data provided by the Military Services for 1990 and 1996. The 1991 through 1995 data points were interpolated from the Service inventory data. The 1997 through 1999 gasoline and diesel fuel data were initially extrapolated from the 1996 inventory data. Growth factors used for other diesel and gasoline were 5.2 and -21.1 percent, respectively. However, prior diesel fuel estimates from 1997 through 2000 were reduced according to the estimated consumption of jet fuel that is assumed to have replaced the diesel fuel consumption in land-based vehicles. Datasets for other diesel and gasoline consumption for 2000. Since 2001, other gasoline and diesel fuel totals were generated by DLA Energy.

<sup>d</sup> The fraction of jet fuel consumed in land-based vehicles was estimated based on DLA Energy data as well as Military Service and expert judgment.

Fuel Type/Service	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Jet Fuels														
JP8	56.7	300.4	307.6	285.6	182.5	143.4	141.2	122.0	88.0	17.2	2.4	2.5	2.9	1.2
Navy	56.7	38.3	53.4	70.9	60.8	47.1	50.4	48.9	31.2	0.8	5.5	6.4	4.8	2.5
Air Force	+	262.2	254.2	214.7	121.7	96.2	90.8	73.0	56.7	16.4	(3.1)	(3.9)	(1.9)	(1.3)
JP5	370.5	249.8	160.3	160.6	152.5	144.9	141.2	124.9	121.9	124.1	126.1	124.7	120.1	123.9
Navy	365.3	246.3	155.6	156.9	149.7	143.0	139.5	123.6	120.2	122.6	124.7	123.4	118.9	122.5
Air Force	5.3	3.5	4.7	3.7	2.8	1.8	1.7	1.3	1.6	1.5	1.4	1.3	1.2	1.4
JP4	420.8	21.5	+	+	0.1	0.0	0.0	+	0.0	0.0	0.0	0.0	0.0	0.0
Navy	+	+	0.0	+	+	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Air Force	420.8	21.5	+	+	0.1	0.0	0.0	+	0.0	0.0	0.0	0.0	0.0	0.0
JAA	13.7	9.2	12.5	15.5	31.4	31.1	38.6	46.5	128.0	199.8	203.7	198.9	191.8	192.5
Navy	8.5	5.7	7.9	11.6	13.7	14.6	14.8	13.4	36.1	71.7	72.9	67.8	68.1	71.2
Air Force	5.3	3.5	4.5	3.9	17.7	16.5	23.8	33.1	91.9	128.1	130.8	131.1	123.7	121.4
JA1	+	+	+	0.5	0.3	(0.5)	(0.3)	0.6	1.1	0.3	0.5	0.2	0.5	0.3
Navy	+	+	+	+	0.1	(0.5)	(0.3)	0.6	0.7	+	0.1	(+)	(+)	(+)
Air Force	+	+	+	0.5	0.1	(0.1)	(+)	+	0.5	0.3	0.5	0.2	0.5	0.3
JAB	NO													
Navy	NO													
Air Force	NO													
Navy Subtotal	430.5	290.2	216.9	239.4	224.4	204.3	204.5	186.5	188.2	195.0	203.2	197.5	191.8	196.1
Air Force Subtotal	431.3	290.7	263.5	222.9	142.4	114.5	116.3	107.4	150.7	146.4	129.5	128.8	123.5	121.8
Total	861.8	580.9	480.4	462.3	366.7	318.8	320.8	293.9	339.0	341.4	332.8	326.3	315.3	317.9

Table A-124: Total U.S. Military Aviation Bunker Fuel (Million Gallons)

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values. The negative values in this table represent returned products.

+ Does not exceed 0.05 million gallons.

NO (Not Occurring)

Marine														
Distillates	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Navy – MGO	0.0	0.0	23.8	38.0	32.9	25.5	36.5	32.3	43.3	37.8	5.7	13.2	8.5	10.6
Navy – F76	522.4	333.8	298.6	413.1	402.2	346.6	337.9	273.1	286.2	286.7	307.8	293.3	276.9	270.0
Navy – IFO	0.0	0.0	6.4	19.7	12.9	9.5	6.1	3.0	1.5	1.9	+	0.0	0.0	0.0
Total	522.4	333.8	328.8	470.7	448.0	381.5	380.6	308.5	331.0	326.3	313.6	306.5	285.4	280.6

# Table A-125: Total U.S. DoD Maritime Bunker Fuel (Million Gallons)

Note: Totals may not sum due to independent rounding.

+ Does not exceed 0.05 million gallons.

# Table A-126: Aviation and Marine Carbon Contents (MMT Carbon/QBtu) and Fraction Oxidized

	Carbon Content	Fraction
Mode (Fuel)	Coefficient	Oxidized
Aviation (Jet Fuel)	Variable	1.00
Marine (Distillate)	Variable	1.00
Marine (Residual)	20.48	1.00

Source: EPA (2010) and IPCC (2006).

#### Table A-127: Annual Variable Carbon Content Coefficient for Jet Fuel (MMT Carbon/QBtu)

Fuel	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Jet Fuel	19.40	19.34	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70	19.70
	- 1													

Source: EPA (2010).

# Table A-128: Annual Variable Carbon Content Coefficient for Distillate Fuel Oil (MMT Carbon/QBtu)

Fuel	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Distillate Fuel Oil	20.17	20.17	20.39	20.37	20.24	20.22	20.22	20.23	20.23	20.22	20.21	20.20	20.22	20.22
Source: FPA (2020)														

Source: EPA (2020).

#### Table A-129: Total U.S. DoD CO<sub>2</sub> Emissions from Bunker Fuels (MMT CO<sub>2</sub> Eq.)

Mode	1990	1995	2000	2005	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Aviation	8.1	5.5	4.7	4.5	3.6	3.1	3.1	2.9	3.3	3.3	3.3	3.2	3.1	3.1
Marine	5.4	3.4	3.4	4.9	4.6	3.9	3.9	3.2	3.4	3.4	3.2	3.1	2.9	2.9
Total	13.4	9.0	8.1	9.4	8.2	7.0	7.0	6.0	6.7	6.7	6.5	6.3	6.0	6.0

Note: Totals may not sum due to independent rounding.

# References

- DLA Energy (2020) Unpublished data from the Defense Fuels Automated Management System (DFAMS). Defense Energy Support Center, Defense Logistics Agency, U.S. Department of Defense. Washington, D.C.
- EPA (2010) *Carbon Content Coefficients Developed for EPA's Inventory of Greenhouse Gases and Sinks*. Office of Air and Radiation, Office of Atmospheric Programs, U.S. Environmental Protection Agency, Washington, D.C.
- EPA (2020) EPA Inventory of U.S. Greenhouse Gas Emissions and Sinks 1990-2019: Updated Gasoline and Diesel Fuel CO<sub>2</sub> Emission Factors – Memo.
- IPCC (2006) 2006 IPCC Guidelines for National Greenhouse Gas Inventories. The National Greenhouse Gas Inventories Programme, The Intergovernmental Panel on Climate Change. H.S. Eggleston, L. Buendia, K. Miwa, T. Ngara, and K. Tanabe (eds.). Hayama, Kanagawa, Japan.

# **3.9. Methodology for Estimating HFC and PFC Emissions from Substitution of Ozone Depleting Substances**

Emissions of HFCs and PFCs from the substitution of ozone depleting substances (ODS) are developed using a country-specific modeling approach. The Vintaging Model<sup>79</sup> was developed as a tool for estimating the annual chemical emissions from industrial sectors that have historically used ODS in their products. Under the terms of the Montreal Protocol and the United States Clean Air Act Amendments of 1990, the domestic U.S. consumption of ODS— chlorofluorocarbons (CFCs), halons, carbon tetrachloride, methyl chloroform, and hydrochlorofluorocarbons (HCFCs)— has been drastically reduced, forcing these industrial sectors to transition to more ozone friendly chemicals. As these industries have moved toward ODS alternatives such as hydrofluorocarbons (HFCs) and perfluorocarbons (PFCs), the Vintaging Model has evolved into a tool for estimating the rise in consumption and emissions of these alternatives, and the decline of ODS consumption and emissions.

The Vintaging Model estimates emissions from five ODS substitute (i.e., HFC-emitting) end-use sectors: refrigeration and air-conditioning, foams, aerosols, solvents, and fire-extinguishing. Within these sectors, there are 78 independently modeled end-uses. The model requires information on the market growth for each of the end-uses, a history of the market transition from ODS to alternatives, and the characteristics of each end-use such as market size or charge sizes and loss rates. As ODS are phased out, a percentage of the market share originally filled by the ODS is allocated to each of its substitutes.

The model, named for its method of tracking the emissions of annual "vintages" of new equipment that enter into service, is a "bottom-up" model. It models the consumption of chemicals based on estimates of the quantity of equipment or products sold, serviced, and retired each year, and the amount of the chemical required to manufacture and/or maintain the equipment. The Vintaging Model makes use of this market information to build an inventory of the in-use stocks of the equipment and ODS and ODS substitute in each of the end-uses. The simulation is considered to be a "business-as-usual" baseline case and does not incorporate measures to reduce or eliminate the emissions of these gases other than those regulated by U.S. law or otherwise common in the industry. Emissions are estimated by applying annual leak rates, service emission rates, and disposal emission rates to each population of equipment. By aggregating the emission and consumption output from the different end-uses, the model produces estimates of total annual use and emissions of each chemical.

The Vintaging Model synthesizes data from a variety of sources, including data from the ODS Tracking System maintained by the Stratospheric Protection Division, the Greenhouse Gas Reporting Program maintained by the Climate Change Division, and information from submissions to EPA under the Significant New Alternatives Policy (SNAP) program. Published sources include documents prepared by the United Nations Environment Programme (UNEP) Technical Options Committees, reports from the Alternative Fluorocarbons Environmental Acceptability Study (AFEAS), and conference proceedings from the International Conferences on Ozone Protection Technologies and Earth Technologies Forums. EPA also coordinates extensively with numerous trade associations and individual companies. For example, the Alliance for Responsible Atmospheric Policy; the Air-Conditioning, Heating and Refrigeration Institute; the Association of Home Appliance Manufacturers; the American Automobile Manufacturers Association; and many of their member companies have provided valuable information over the years.

In some instances, the unpublished information that the EPA uses in the model is classified as Confidential Business Information (CBI). The annual emissions inventories of chemicals are aggregated in such a way that CBI cannot be inferred. Full public disclosure of the inputs to the Vintaging Model would jeopardize the security of the CBI that has been entrusted to the EPA. In addition, emissions of certain gases (including HFC-152a, HFC-227ea, HFC-245fa, HFC 365mfc, HFC-43-10mee, HCFO-1233zd(E), HFO-1234yf, HFO-1234ze(E), HFO-1336mzz(Z), C<sub>4</sub>F<sub>10</sub>, and PFC/PFPEs, the latter being a proxy for a diverse collection of PFCs and perfluoropolyethers (PFPEs) employed for solvent applications) are marked as confidential because they are produced or imported by a small number of chemical providers and in such small quantities or for such discrete applications that reporting national data would effectively be reporting the chemical provider's output, which is considered confidential business information. These gases are modeled individually in the Vintaging Model, but are aggregated and reported as an unspecified mix of HFCs and PFCs.

<sup>&</sup>lt;sup>79</sup> Vintaging Model version VM IO file\_v5.1\_3.17.21 was used for all Inventory estimates.

The Vintaging Model is regularly updated to incorporate up-to-date market information, including equipment stock estimates, leak rates, and sector transitions. In addition, comparisons against published emission and consumption sources are performed when available. Independent peer reviews of the Vintaging Model are periodically performed, including one conducted in 2017 (EPA, 2018), to confirm Vintaging Model estimates and identify updates.

The following sections discuss the emission equations used in the Vintaging Model for each broad end-use category. These equations are applied separately for each chemical used within each of the different end-uses. In the majority of these end-uses, more than one ODS substitute chemical is used.

In general, the modeled emissions are a function of the amount of chemical consumed in each end-use market. Estimates of the consumption of ODS alternatives can be inferred by determining the transition path of each regulated ODS used in the early 1990s. Using data gleaned from a variety of sources, assessments are made regarding which alternatives have been used, and what fraction of the ODS market in each end-use has been captured by a given alternative. By combining this with estimates of the total end-use market growth, a consumption value can be estimated for each chemical used within each end-use.

# Methodology

The Vintaging Model estimates the use and emissions of ODS alternatives by taking the following steps:

1. *Gather historical data*. The Vintaging Model is populated with information on each end-use, taken from published sources and industry experts.

2. Simulate the implementation of new, non-ODS technologies. The Vintaging Model uses detailed characterizations of the existing uses of the ODS, as well as data on how the substitutes are replacing the ODS, to simulate the implementation of new technologies that enter the market in compliance with ODS phase-out policies. As part of this simulation, the ODS substitutes are introduced in each of the end-uses over time as seen historically and as needed to comply with the ODS phase-out and other regulations.

3. Estimate emissions of the ODS substitutes. The chemical use is estimated from the amount of substitutes that are required each year for the manufacture, installation, use, or servicing of products. The emissions are estimated from the emission profile for each vintage of equipment or product in each end-use. By aggregating the emissions from each vintage, a time profile of emissions from each end-use is developed.

Each set of end-uses is discussed in more detail in the following sections.

# **Refrigeration and Air-Conditioning**

For refrigeration and air conditioning products, emission calculations are split into three categories: emissions at first-fill, which arise during manufacture or installation, emissions during equipment lifetime, which arise from annual leakage and service losses, and disposal emissions, which occur at the time of discard. This methodology is consistent to the 2006 Intergovernmental Panel on Climate Change (IPCC) Guidelines for National Greenhouse Gas Inventories, where the total refrigerant emissions from Ref/AC equipment is the sum of first-fill emissions, annual operational and servicing emissions, and disposal emissions under the Tier 2a emission factor approach (IPCC 2006). Three separate steps are required to calculate the lifetime emissions from installation, leakage and service, and the emissions resulting from disposal of the equipment. The model assumes that equipment is serviced annually so that the amount equivalent to average annual emissions for each product (and hence for the total of what was added to the bank in a previous year in equipment that has not yet reached end-of-life) is replaced/applied to the starting charge size (or chemical bank). For any given year, these first-fill emissions (for new equipment), lifetime emissions from refrigeration and air-conditioning. As new technologies replace older ones, it is generally assumed that there are improvements in their leak, service, and disposal emission rates.

At disposal, refrigerant that is recovered from discarded equipment is assumed to be reused to the extent necessary in the following calendar year. The Vintaging Model does not make any explicit assumption whether recovered refrigerant is reused as-is (allowed under U.S. regulations if the refrigerant is reused in the same owner's equipment), recycled (commonly practiced even when re-used directly), or reclaimed (brought to new refrigerant purity standards and available to be sold on the open market).

#### Step 1: Calculate first-fill emissions

The first-fill emission equation assumes that a certain percentage of the chemical charge will be emitted to the atmosphere when the equipment is charged with refrigerant during manufacture or installation. First-fill emissions are considered for all Ref/AC equipment that are charged with refrigerant within the United States, including those which are produced for export, and excluding those that are imported pre-charged. First-fill emissions are thus a function of the quantity of chemical contained in new equipment and the proportion of equipment that are filled with refrigerant in the United States:

$$Ef_j = Qc_j \times I_f \times A_j$$

where:

Ef	=	Emissions from Equipment First-fill. Emissions in year <i>j</i> from filling new equipment.
Qc	=	Quantity of Chemical in New Equipment. Total amount of a specific chemical used to charge new equipment in year <i>j</i> , by weight.
l <sub>f</sub>	=	First-fill Leak Rate. Average leak rate during installation or manufacture of new equipment (expressed as a percentage of total chemical charge).
A	=	Applicability of First-fill Leak Rate. Percentage of new equipment that are filled with refrigerant in the United States in year <i>j</i> .
j	=	Year of emission.

#### Step 2: Calculate lifetime emissions

Emissions from any piece of equipment include both the amount of chemical leaked during equipment operation and the amount emitted during service. Emissions from leakage and servicing can be expressed as follows:

$$Es_j = (I_a + I_s) \times \sum Qc_{j-i+1} \quad \text{for } i = 1 \rightarrow k$$

where:

Es	=	Emissions from Equipment Serviced. Emissions in year <i>j</i> from normal leakage and servicing (including recharging) of equipment.
I <sub>a</sub>	=	Annual Leak Rate. Average annual leak rate during normal equipment operation (expressed as a percentage of total chemical charge).
l <sub>s</sub>	=	Service Leak Rate. Average leakage during equipment servicing (expressed as a percentage of total chemical charge).
Qc	=	Quantity of Chemical in New Equipment. Total amount of a specific chemical used to charge new equipment in a given year by weight.
i	=	Counter, runs from 1 to lifetime (k).
j	=	Year of emission.
k	=	Lifetime. The average lifetime of the equipment.

#### Step 3: Calculate disposal emissions

The disposal emission equations assume that a certain percentage of the chemical charge will be emitted to the atmosphere when that vintage is discarded, while remaining refrigerant is assumed to be recovered and reused. Disposal emissions are thus a function of the quantity of chemical contained in the retiring equipment fleet and the proportion of chemical released at disposal:

$$Ed_j = Qc_{j-k+1} \times [1 - (rm \times rc)]$$

where:

Ed	=	Emissions from Equipment Disposed. Emissions in year <i>j</i> from the disposal of equipment.
Qc	=	Quantity of Chemical in New Equipment. Total amount of a specific chemical used to charge new equipment in year <i>j-k+1</i> , by weight.
rm	=	Chemical Remaining. Amount of chemical remaining in equipment at the time of disposal (expressed as a percentage of total chemical charge).
rc	=	Chemical Recovery Rate. Amount of chemical that is recovered just prior to disposal (expressed as a percentage of chemical remaining at disposal ( <i>rm</i> ).
j	=	Year of emission.
k	=	Lifetime. The average lifetime of the equipment.

# Step 4: Calculate total emissions

Finally, first-fill, lifetime, and disposal emissions are summed to provide an estimate of total emissions.

$$E_j = Ef_j + Es_j + Ed_j$$

where:

Ε	=	Total Emissions. Emissions from refrigeration and air conditioning equipment in year <i>j</i> .
Ef	=	Emissions from first Equipment Fill. Emissions in year <i>j</i> from filling new equipment.
Es	=	Emissions from Equipment Serviced. Emissions in year <i>j</i> from leakage and servicing (including recharging) of equipment.
Ed	=	Emissions from Equipment Disposed. Emissions in year <i>j</i> from the disposal of equipment.
j	=	Year of emission.

# Assumptions

The assumptions used by the Vintaging Model to trace the transition of each type of equipment away from ODS are presented in Table A-130, below. As new technologies replace older ones, it is generally assumed that there are improvements in their leak, service, and disposal emission rates. Additionally, the market for each equipment type is assumed to grow independently, according to annual growth rates.

			ary Substitute	,			dary Substitute			Tertia	ry Substitute		
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
Centrifugal Chille	rs							•	•				
					HCFO-								
CFC-11	HCFC-123	1993	1993	45%	1233zd(E)	2016	2016	1%	None				1.6%
					R-514A	2017	2017	1%	None				
					HCFO-								
					1233zd(E)	2017	2020	49%	None				
					R-514A	2018	2020	49%	None				
	HCFC-22	1991	1993	16%	HFC-134a	2000	2010	100%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
	HFC-134a	1992	1993	39%	R-450A	2017	2017	1%	None				
					R-513A	2017	2017	1%	None				
					R-450A	2018	2024	49%	None				
					R-513A	2018	2024	49%	None				
CFC-12	HFC-134a	1992	1994	53%	R-450A	2017	2017		None				1.5%
					R-513A	2017	2017		None				
					R-450A	2018	2024		None				
					R-513A	2018	2024		None				
	HCFC-22	1991	1994	16%	HFC-134a	2000	2010	100%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
					HCFO-								
	HCFC-123	1993	1994	31%	1233zd(E)	2016	2016		None				
					R-514A	2017	2017	1%	None				
					HCFO-								
					1233zd(E)	2017	2020		None				
D 500	1150 424-	1000	1001	520/	R-514A	2018	2020		None				4 50/
R-500	HFC-134a	1992	1994	53%	R-450A	2017	2017		None				1.5%
					R-513A	2017	2017		None				
					R-450A	2018	2024		None				
	HCFC-22	1991	1994	160/	R-513A	2018 2000	2024 2010		None	2017	2017	10/	
		1991	1994	10%	HFC-134a	2000	2010	100%	R-450A	2017 2017	2017 2017	1%	
									R-513A R-450A	2017		1% 49%	
	I	I	l	l	I	I I	l	I	n-430A	2018	2024	49%	1

Table A-130:	: Refrigeration and Air-Conditioning N	<b>Narket Transition Assumptions</b>
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		Prim	ary Substitute			Second	ary Substitute		l	Tertia	ry Substitute		
Initial Market Segment	Name of Substitute	Start Date	Date of Full Penetration in New Equipment <sup>a</sup>	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment <sup>a</sup>	Maximum Market Penetration	Name of Substitute	Start Date	Date of Full Penetration in New Equipment <sup>a</sup>	Maximum Market Penetration	Growth Rate <sup>b</sup>
									R-513A	2018	2024	49%	
		1000	1001	24.04	HCFO-	2016	2016	4.04					
	HCFC-123	1993	1994	31%	1233zd(E) R-514A	2016 2017	2016 2017		None None				
					HCFO-	2017	2017	1%	None				
					1233zd(E)	2017	2020	19%	None				
					R-514A	2017	2020		None				
CFC-114	HFC-236fa	1993	1996	100%	HFC-134a	1998	2009		None				1.4%
		1555	1990	100/0	111 0 15 10	1550	2005	100/0	Tone				1.170
Cold Storage													
CFC-12	HCFC-22	1990	1993	65%	R-404A	1996	2010	75%	R-407F	2017	2023	100%	3.1%
	1101 0 22	1550	1000	0070	R-507	1996	2010		R-407F	2017	2023	100%	
	R-404A	1994	1996	26%	R-407F	2017	2023		None				
	R-507	1994	1996		R-407F	2017	2023		None				
HCFC-22	HCFC-22	1992	1993		R-404A	1996	2009		R-407F	2017	2023	100%	3.0%
					R-507	1996	2009		R-407F	2017	2023	100%	
					R-404A	2009	2010	68%	R-407F	2017	2023	100%	
					R-507	2009	2010	23%	R-407F	2017	2023	100%	
R-502	HCFC-22	1990	1993	40%	R-404A	1996	2010	38%	R-407F	2017	2023	100%	2.6%
					R-507	1996	2010		R-407F	2017	2023	100%	
					Non-								
					ODP/GWP	1996	2010	50%	None				
	R-404A	1993	1996		R-407F	2017	2023		None				
	R-507	1994	1996	15%	R-407F	2017	2023	100%	None				
Commercial Uni			arge)										
HCFC-22	HCFC-22	1992	1993	100%	R-410A	2001	2005		None				1.6%
					R-407C	2006	2009		None				
					R-410A	2006	2009		None				
					R-407C	2009	2010		None				
					R-410A	2009	2010	81%	None				
Commercial Uni					n .			1	n	1	<b></b>	[	<b>.</b>
HCFC-22	HCFC-22	1992	1993	100%	R-410A	1996	2000		None				1.9%
					R-410A	2001	2005		None				
					R-410A	2006	2009		None				
					R-410A	2009	2010	/1%	None				

		Prim	ary Substitute			Second	ary Substitute		Tertiary Substitute				
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rateb
Dehumidifiers	-										-	<u>.</u>	
HCFC-22	HFC-134a	1997	1997	89%	None								1.3%
	R-410A	2007	2010	11%	None								
Ice Makers													
CFC-12	HFC-134a	1993	1995		None								2.1%
	R-404A	1993	1995	73%	R-410A	2013	2019	32%	None				
Industrial Proce	ess Refrigeration	1						-					
					HCFO-								
CFC-11	HCFC-123	1992	1994	70%	1233zd(E)	2016	2016	2%	None				3.2%
					HCFO-								
					1233zd(E)	2017	2020	98%	None				
	HFC-134a	1992	1994		None								
	HCFC-22	1991	1994		HFC-134a	1995	2010		None				
CFC-12	HCFC-22	1991	1994	10%	HFC-134a	1995	2010	15%					3.1%
					R-404A	1995	2010	50%					
					R-410A	1999	2010	20%					
					R-507	1995	2010	15%	None				
					HCFO-								
	HCFC-123	1992	1994	35%	1233zd(E)	2016	2016	2%	None				
					HCFO-								
					1233zd(E)	2017	2020	98%	None				
	HFC-134a	1992	1994		None								
	R-401A	1995	1996	5%		1997	2000	100%	None				
HCFC-22	HFC-134a	1995	2009	2%	None								3.0%
	R-404A	1995	2009	5%									
	R-410A	1999	2009	2%									
	R-507	1995	2009	2%	None								
	HFC-134a	2009	2010	14%	None								
	R-404A	2009	2010	45%									
	R-410A	2009	2010	18%	None								
	R-507	2009	2010	14%	None				l				I
	ditioners (Passe			40000		2042	2017		<b>I</b>	1	T	r	0.001
CFC-12	HFC-134a	1992	1994	100%		2012	2015		None				0.3%
			-1 <b>\</b>		HFO-1234yf	2016	2021	99%	None				
	ditioners (Light			40001		2010			N	1			4 401
CFC-12	HFC-134a	1993	1994	100%	HFO-1234yf	2012	2015	1%	None	I	1	I	1.4%

		Prim	ary Substitute			Second	ary Substitute			Tertia	ry Substitute		
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate
					HFO-1234yf	2016	2021	99%	None				
Mobile Air Condit	tioners (Heavy	/ Duty Ve	hicles)									•	
CFC-12	HFC-134a	1993	1994	100%	None								0.8%
Mobile Air Condi	tioners (Schoo	l and Tou	ur Buses)	•				•				•	
CFC-12	HCFC-22	1994	1995	0.5%	HFC-134a	2006	2007	100%	None				0.3%
	HFC-134a	1994	1997	99.5%	None								
Mobile Air Condi	tioners (Transi	it Buses)										•	
HCFC-22	HFC-134a	1995	2009	100%	None								0.3%
Mobile Air Condi	tioners (Trains	;)											
HCFC-22	HFC-134a	2002	2009	50%	None								0.3%
	R-407C	2002	2009	50%	None								
Packaged Termin	al Air Conditio	ners and	Heat Pumps										
HCFC-22	R-410A	2006	2009	10%	None								3.0%
	R-410A	2009	2010	90%	None								
Positive Displace	ment Chillers (	Reciproc	ating and Screw)	•				•				•	•
CFC-12 HCFC-22°	HFC-134a	2000	2009	9%	R-407C	2010	2020	60%	R-450A	2017	2017	1%	2.5%
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
					R-410A	2010	2020	40%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
	R-407C	2000	2009	1%	R-450A	2017	2017	1%	None				
					R-513A	2017	2017	1%	None				
					R-450A	2018	2024	49%	None				
					R-513A	2018	2024		None				
	HFC-134a	2009	2010	81%	R-407C	2010	2020	60%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
					R-410A	2010	2020	40%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
	R-407C	2009	2010	9%	R-450A	2017	2017	1%	None				

		Prim	ary Substitute			Second	dary Substitute			Tertia	ry Substitute		
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate
					R-513A	2017	2017	1%	None				
					R-450A	2018	2024	49%	None				
					R-513A	2018	2024	49%	None				
HCFC-22	HFC-134a	2000	2009	9%	R-407C	2010	2020	60%	R-450A	2017	2017	1%	2.5%
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
					R-410A	2010	2020	40%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
	R-407C	2000	2009	1%	R-450A	2017	2017	1%	None				
					R-513A	2017	2017	1%	None				
					R-450A	2018	2024	49%	None				
					R-513A	2018	2024	49%					
	HFC-134a	2009	2010	81%	R-407C	2010	2020	60%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
					R-410A	2010	2020	40%	R-450A	2017	2017	1%	
									R-513A	2017	2017	1%	
									R-450A	2018	2024	49%	
									R-513A	2018	2024	49%	
	R-407C	2009	2010	9%	R-450A	2017	2017	1%	None				
					R-513A	2017	2017	1%					
					R-450A	2018	2024	49%	None				
					R-513A	2018	2024	49%	None				
Positive Displac	ement Chillers (	(Scroll)						•	•			•	•
HCFC-22	HFC-134a	2000	2009	9%	R-407C	2010	2020	60%	R-452B	2024	2024	100%	2.5%
					R-410A	2010	2020	40%	R-452B	2024	2024	100%	
	R-407C	2000	2009	1%	R-452B	2024	2024		None				
	HFC-134a	2009	2010		R-407C	2010	2020		R-452B	2024	2024	100%	
					R-410A	2010	2020		R-452B	2024	2024	100%	
	R-407C	2009	2010	9%	R-452B	2024	2024		None				
Refrigerated Ap	pliances	•		-		•		•		•			
					Non-								
CFC-12	HFC-134a	1994	1995	100%	ODP/GWP	2019	2021	86%	None				1.7%

		Prim	ary Substitute			Second	dary Substitute		Tertiary Substitute				
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growt
Segment	Substitute	Date	Equipmenta	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate
					R-450A	2021	2021	7%	None				
					R-513A	2021	2021	7%					
Refrigerated Foo	d Processing a	nd Dispe	nsing Equipment					I.	П	•	•		
CFC-12	HCFC-22	1990	1994	100%	HFC-134a	1995	1998	70%	None				2.19
					R-404A	1995	1998	30%	R-448A	2021	2021	50%	
									R-449A	2021	2021	50%	
<b>Residential Unit</b>	ary Air Conditio	oners							n		•		и
HCFC-22	HCFC-22	2006	2006	70%	R-410A	2007	2010	29%	None				2.69
					R-410A	2010	2010	71%	None				
	R-410A	2000	2005	5%	R-410A	2006	2006	100%	None				
	R-410A	2000	2006	5%	None								
	R-410A	2006	2006	20%	None								
Retail Food (Larg	ge; Technology	Transitio	n)										
DX <sup>d</sup>	DX	2001	2006	67.5%	DX	2006	2015	62%	None				1.7
					DR <sup>e</sup>	2000	2015	23%	None				
					SLS <sup>f</sup>	2000	2015	15%	None				
	DR	2000	2006	22.5%	None								
	SLS	2000	2006	10%	None								
Retail Food (Larg	ge; Refrigerant		n)									-	
CFC-12	R-404A	1995	2000	17.5%		2000	2000	3.3%		2017	2017	100%	1.79
R-502 <sup>g</sup>					R-407A	2011	2015	63.3%	None				
					R-407A	2017	2017	33.3%	None				
	R-507	1995	2000	7.5%	R-404A	2006	2010	71%		2017	2017	100%	
					R-407A	2006	2010	30%					
	HCFC-22	1995	2000	75%	R-404A	2006	2010	13.3%	R-407A	2011	2015	100%	
					R-407A	2001	2005	1.3%	None				
					R-404A	2001	2005	12%		2017	2017	100%	
					R-507	2001	2005	6.7%	R-407A	2011	2015	100%	
					R-404A	2006	2010		R-407A	2011	2015	100%	
					R-404A	2006	2010	7.3%	R-407A	2017	2017	100%	
					R-407A	2006	2010	25.3%	None				
Retail Food (Larg												•	
HCFC-22	R-402A	1995	2005	5%		2006	2006	100%		2018	2018	100%	1.5
	R-404A	1995	2005		R-407A	2018	2018	100%					
	R-507	1995	2005		R-407A	2018	2018	100%					
	R-404A	2008	2010	45%	R-407A	2018	2018	100%	None				

		Prim	ary Substitute			Second	ary Substitute		Tertiary Substitute				
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
	R-507	2008	2010	15%	R-407A	2018	2018	100%	None				
Retail Food (Sma	II Condensing	Units)			n				u			•	0
HCFC-22	R-401A	1995	2005	6%	HFC-134a	2006	2006	100%	None				1.6%
	R-402A	1995	2005	4%	HFC-134a	2006	2006	100%	None				
	HFC-134a	1993	2005	30%	None								
	R-404A	1995	2005	30%	R-407A	2018	2018	100%					
	R-404A	2008	2010	30%	R-407A	2018	2018	100%					
Retail Food (Sma	ll)												
CFC-12	HCFC-22	1990	1993	91%	HFC-134a	1993	1995	91%	CO <sub>2</sub>	2012	2015	1%	2.2%
									Non-				
									ODP/GWP	2012	2015	3.7%	
									Non-				
									ODP/GWP	2014	2019	31%	
									Non-				
									ODP/GWP	2016	2016	17.3%	
									R-450A	2016	2020	23%	
									R-513A	2016	2020	23%	
									Non-				
					HFC-134a	2000	2009	9%	· ·	2014	2019	30%	
									R-450A	2016	2020	35%	
									R-513A	2016	2020	35%	
					Non-								
	R-404A	1990	1993	9%	ODP/GWP	2016	2016	30%	None				
					R-448A	2019	2020		None				
					R-449A	2019	2020	35%	None				
Transport Refrig	eration (Road	-	t)		n				n	1			π
CFC-12	HFC-134a	1993	1995	10%									5.5%
	R-404A	1993	1995	60%	R-452A	2017	2021	5%					
					R-452A	2021	2030	95%					
	HCFC-22	1993	1995	30%	R-410A	2000	2003	5%					
					R-404A	2006	2010	95%	R-452A	2017	2021	5%	
									R-452A	2021	2030	95%	
Transport Refrig					n				n	I			n
CFC-12	HFC-134a	1993	1993		CO <sub>2</sub>	2017	2021		None				7.3%
	R-404A	1993	1993	5%	-	2017	2021		None				
	HCFC-22	1993	1993	35%	HFC-134a	2000	2010	100%	CO <sub>2</sub>	2017	2021	5%	

Transport Refrigeration (Merchant Fishing Transport)

		Prim	ary Substitute			Second	dary Substitute		Tertiary Substitute				
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate
HCFC-22	HFC-134a	1993	1995	10%	None								5.7%
	R-507	1994	1995	10%	None								
	R-404A	1993	1995	10%	None								
	HCFC-22	1993	1995	70%	R-407C	2000	2005	3%	R-410A	2005	2007	100%	
					R-507	2006	2010	49%					
					R-404A	2006	2010	49%	None				
Transport Refrig	eration (Reefe	· Ships)											
HCFC-22	HFC-134a	1993	1995		None								4.2%
	R-507	1994	1995	3.3%	None								
	R-404A	1993	1995	3.3%	None								
	HCFC-22	1993	1995	90%	HFC-134a	2006	2010	25%	None				
					R-507	2006	2010	25%					
					R-404A	2006	2010	25%	None				
					R-407C	2006	2010	25%	None				
Transport Refrig													
CFC-12	HCFC-22	1993	1995	100%	HFC-134a	1996	2000	100%	None				-100%
Transport Refrig	eration (Mode					-				-		-	
HFC-134a	R-404A	1999	1999		None								0.3%
	HFC-134a	2005	2005	50%	None								
Vending Machir	es												
CFC-12	HFC-134a	1995	1998	90%		2012	2012	1%	Propane	100%	2019	2019	-0.03%
					Propane	2013	2017	39%	None				
					Propane	2014	2014	1%	None				
					Propane	2019	2019	49%	None				
					R-450A	2019	2019	5%	None				
					R-513A	2019	2019	5%	None				
	R-404A	1995	1998	10%	R-450A	2019	2019	50%	None				
					R-513A	2019	2019	50%	None				
Water-Source a								-					
HCFC-22	R-407C	2000	2006		None								1.3%
	R-410A	2000	2006		None								
	HFC-134a	2000	2009		None								
	R-407C	2006	2009		None								
	R-410A	2006	2009		None								
	HFC-134a	2009	2010		None								
	R-407C	2009	2010	22.5%	None								

		Prim	ary Substitute			Secon	dary Substitute		Tertiary Substitute				
			Date of Full				Date of Full				Date of Full		
			Penetration in	Maximum			Penetration	Maximum			Penetration	Maximum	
Initial Market	Name of	Start	New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
	R-410A	2009	2010	40.5%	None								
Window Units													
HCFC-22	R-410A	2008	2009	10%	None								4.0%
	R-410A	2009	2010	90%	None								

<sup>a</sup> Transitions between the start year and date of full penetration in new equipment are assumed to be linear so that in total 100 percent of the market is assigned to the original ODS or the various ODS substitutes.

<sup>b</sup> Growth Rate is the average annual growth rate for individual market sectors from the base year to 2030.

<sup>c</sup> The CFC-12 reciprocating chillers market for new systems transitioned to HCFC-22 overnight in 1993. This transition is not shown in the table in order to provide the HFC transitions in greater detail.

<sup>d</sup> DX refers to direct expansion systems where the compressors are mounted together in a rack and share suction and discharge refrigeration lines that run throughout the store, feeding refrigerant to the display cases in the sales area.

<sup>e</sup> DR refers to distributed refrigeration systems that consist of multiple smaller units that are located close to the display cases that they serve such as on the roof above the cases, behind a nearby wall, or on top of or next to the case in the sales area.

<sup>f</sup> SLS refers to secondary loop systems wherein a secondary fluid such as glycol or carbon dioxide is cooled by the primary refrigerant in the machine room and then pumped throughout the store to remove heat from the display equipment.

<sup>g</sup> The CFC-12 large retail food market for new systems transitioned to R-502 from 1988 to 1990, and subsequently transitioned to HCFC-22 from 1990 to 1993. These transitions are not shown in the table in order to provide the HFC transitions in greater detail.

Table A-131 presents the average equipment lifetimes and annual HFC emission rates (for first-fill, servicing, leaks, and disposal) for each end-use assumed by the Vintaging Model.

		HFC Emission Rates	HFC Emission Rates	<b>HFC Emission Rates</b>
End-Use	Lifetime	(First-fill) <sup>a</sup>	(Servicing and Leaks)	(Disposal) <sup>b</sup>
	(Years)	(%)	(%)	(%)
Centrifugal Chillers	20 – 27	0.2 – 0.5	2.0 - 10.9	10
Cold Storage	20 – 25	1	15.0	10
Commercial Unitary A/C	15	0.5 – 1	7.9 – 8.6	18-40
Condensing Units (Medium Retail Food)	10 - 20	0.5 – 3	8 – 15	10 - 20
Dehumidifiers	11	0.5 – 1	0.5	50
Ice Makers	8	0.5 – 2	3.0	49
Industrial Process Refrigeration	25	1	3.6 - 12.3	10
Large Retail Food	18	2	17 – 33	10
Mobile Air Conditioners	5 –16	0.2 – 0.5	2.3 - 18.0	43 – 50
Positive Displacement Chillers	20	0.2 – 0.5	0.5 – 1.5	10
PTAC/PTHP	12	1	3.9	40
Refrigerated Appliances	14	0.6	0.6	42
Refrigerated Food Processing and	10	1	1	68
Dispensing Equipment	10	1	1	00
Residential Unitary A/C	15	0.2 - 1	5.3 - 10.6	20 - 40
Small Retail Food	10	1	1	19 — 65
Transport Refrigeration	9 - 40	0.2 - 1	19.4 - 36.4	10 – 65
Vending Machines	10	0.5	1	68–79
Water & Ground Source Heat Pumps	20	1	3.9	43
Window Units	12	0.5 – 1	0.6	50

Table A-131: Refrigeration and Air-Conditioning Lifetime Assumption
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<sup>a</sup> For some equipment, first-fill emissions are adjusted to account for equipment that are produced in the United States, including those which are produced for export, and excluding those that are imported pre-charged estimate.

<sup>b</sup> Disposal emissions rates are developed based on consideration of the original charge size, the percentage of refrigerant likely to remain in equipment at the time of disposal, and recovery practices assumed to vary by gas type. Because equipment lifetime emissions are annualized, equipment is assumed to reach the end of its lifetime with a full charge. Therefore, recovery rate is equal to 100 percent - Disposal Loss Rate (%).

### Aerosols

ODSs, HFCs, and many other chemicals are used as propellant aerosols. Pressurized within a container, a nozzle releases the chemical, which allows the product within the can to also be released. Three types of aerosol products are modeled: metered dose inhalers (MDI), consumer aerosols, and technical aerosols. In the United States, the use of CFCs in consumer aerosols was banned in 1978, and many products transitioned to hydrocarbons or "not-in-kind" technologies, such as solid deodorants and finger-pump hair sprays. However, MDIs and certain technical aerosols continued to use CFCs and HCFCs as propellants because their use was deemed essential. Essential use exemptions granted to the United States under the Montreal Protocol for CFC use in MDIs were limited to the treatment of asthma and chronic obstructive pulmonary disease. Under the Clean Air Act, the use of CFCs and HCFCs was also exempted in technical aerosols for several applications, including industrial cleaners, pesticides, mold release agents, certain dusters, and lubricants.

All HFCs used in aerosols are assumed to be emitted in the year of manufacture. Since there is currently no aerosol recycling, it is assumed that all of the annual production of aerosol propellants is released to the atmosphere. The following equation describes the emissions from the aerosols sector.

 $E_j = Qc_j$ 

where:

Ε	=	Emissions. Total emissions of a specific chemical in year <i>j</i> from use in aerosol
		products, by weight.

*Qc* = Quantity of Chemical. Total quantity of a specific chemical contained in aerosol products sold in year *j*, by weight.

*j* = Year of emission.

## **Transition Assumptions**

Transition assumptions and growth rates for those items that use ODSs or HFCs as propellants, including vital medical devices and specialty consumer products, are presented in Table A-149.

		Prim	ary Substitute			Seco	ndary Substitute			Tert	iary Substitute		
			Date of Full				Date of Full				Date of Full		
Initial			Penetration in	Maximum			Penetration in	Maximum			Penetration in	Maximum	
Market	Name of	Start	New	Market	Name of	Start	New	Market	Name of	Start	New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rateb
MDIs				•				•					
CFC Mix <sup>c</sup>	HFC-134a	1997	1997	6%	None								2.1%
	Non-												
	ODP/GWP	1998	2007	7%	None								
	CFC Mix <sup>a</sup>	2000	2000	87%	HFC-134a	2001	2011	28%	Non-	2012	2018	64%	
									ODP/GWP				
					Non-	2001	2014	67%					
					ODP/GWP				None				
					HFC-227ea	2007	2013	5%	Non-	2015	2018	44%	
									ODP/GWP				
Consume	r Aerosols (Non	-MDIs)		•				•					
NA <sup>d</sup>	HFC-152a	1990	1991	50%	None								4.2%
	HFC-134a	1995	1995	50%	HFC-152a	1997	1998	44%	None				
					HFC-152a	2001	2005	38%	None				
					HFO-								
					1234ze(E)	2016	2018	16%	None				
Technical	Aerosols (Non-	MDIs)		•				•					
CFC-12	HCFC-142b	1994	1994	10%	HFC-152a	2001	2010	90%	None				4.2%
					HFC-134a	2001	2010	10%	None				
	Non-												
	ODP/GWP	1994	1994	5%	None								
									HFO-				
	HCFC-22	1994	1994	50%	HFC-134a	2001	2010	100%	1234ze(E)	2012	2016	10%	
	HFC-152a	1994	1994	10%	None								
	HFC-134a	1994	1994	25%	None								

Table A-132: Aerosol Product Transition Assumptions

<sup>a</sup> Transitions between the start year and date of full penetration in new products are assumed to be linear so that in total 100% of the market is assigned to the original ODS or the various ODS substitutes.

<sup>b</sup> Growth Rate is the average annual growth rate for individual market sectors from the base year to 2030.

<sup>c</sup> CFC Mix consists of CFC-11, CFC-12 and CFC-114 and represents the weighted average of several CFCs consumed for essential use in MDIs from 1993 to 2008. It is assumed that CFC mix was stockpiled in the United States and used in new products through 2013.

<sup>d</sup> Consumer Aerosols transitioned away from ODS prior to 1985, the year in which the Vintaging Model begins. The portion of the market that is now using HFC propellants is modeled.

#### Solvents

ODSs, HFCs, PFCs and other chemicals are used as solvents to clean items. For example, electronics may need to be cleaned after production to remove any manufacturing process oils or residues left. Solvents are applied by moving the item to be cleaned within a bath or stream of the solvent. Generally, most solvents are assumed to remain in the liquid phase and are not emitted as gas. Thus, emissions are considered "incomplete," and are a fixed percentage of the amount of solvent consumed in a year. The solvent is assumed to be recycled or continuously reused through a distilling and cleaning process until it is eventually almost entirely emitted. The remainder of the consumed solvent is assumed to be entrained in sludge or wastes and disposed of by incineration or other destruction technologies without being released to the atmosphere (U.S. EPA 2004). The following equation calculates emissions from solvent applications.

$$E_i = I \times Qc_i$$

where:

Ε	=	Emissions. Total emissions of a specific chemical in year <i>j</i> from use in solvent applications, by weight.
Ι	=	Percent Leakage. The percentage of the total chemical that is leaked to the atmosphere, assumed to be 90 percent.
Qc	=	Quantity of Chemical. Total quantity of a specific chemical sold for use in solvent applications in the year j, by weight.
j	=	Year of emission.

#### **Transition Assumptions**

The transition assumptions and growth rates used within the Vintaging Model for electronics cleaning, metals cleaning, precision cleaning, and adhesives, coatings and inks, are presented in Table A-133.

		Primary	Substitute			Seconda	ary Substitute		
			Date of Full				Date of Full		
Initial			Penetration	Maximum			Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
Adhesives									
CH <sub>3</sub> CCl <sub>3</sub>	Non-ODP/GWP	1994	1995	100%	None				2.0%
Electronics									
CFC-113	Semi-Aqueous	1994	1995	52%	None				2.0%
	HCFC-225ca/cb	1994	1995	0.2%	Unknown				
	HFC-43-10mee	1995	1996	0.7%	None				
	HFE-7100	1994	1995	0.7%	None				
	nPB	1992	1996	5%	None				
	Methyl Siloxanes	1992	1996	0.8%	None				
	No-Clean	1992	2013 <sup>2</sup>	40%	None				
CH <sub>3</sub> CCl <sub>3</sub>	Non-ODP/GWP	1996	1997	99.8%	None				2.0%
					Non-				
	PFC/PFPE	1996	1997	0.2%	ODP/GWP	2000	2003	90%	
					Non-				
					ODP/GWP	2005	2009	10%	
Metals									
CH₃CCl₃	Non-ODP/GWP	1992	1996	100%	None				2.0%
CFC-113	Non-ODP/GWP	1992	2013 <sup>2</sup>	100%	None				2.0%
CCl <sub>4</sub>	Non-ODP/GWP	1992	1996	100%	None				2.0%
Precision									
CH₃CCl₃	Non-ODP/GWP	1995	1996	99.3%	None				2.0%
	HFC-43-10mee	1995	1996	0.6%	None				

### Table A-133: Solvent Market Transition Assumptions

		Primary	Substitute			Secondary Substitute					
Initial Market Segment	Name of Substitute	Start Date	Date of Full Penetration in New Equipment <sup>a</sup>	Maximum Market Penetration	Name of Star Substitute Date		Date of Full Penetration in New Equipment <sup>a</sup>	Maximum Market Penetration	Growth Rate <sup>b</sup>		
		1005	1000	0.4%	Non-	2000		000/			
	PFC/PFPE	1995	1996	0.1%	ODP/GWP Non-	2000	2003	90%			
CFC-113	Non-ODP/GWP	1995	2013 <sup>c</sup>	90%	ODP/GWP None	2005	2009	10%	2.0%		
	Methyl Siloxanes	1995	1996	6%							
	HCFC-225ca/cb	1995	1996	1%	Unknown						
	HFE-7100	1995	1996	3%	None						

Note: Non-ODP/GWP includes chemicals with zero ODP and low GWP, such as hydrocarbons and ammonia, as well as not-inkind alternatives such as "no clean" technologies.

<sup>a</sup> Transitions between the start year and date of full penetration in new equipment or chemical supply are assumed to be linear so that in total 100 percent of the market is assigned to the original ODS or the various ODS substitutes.

<sup>b</sup> Growth Rate is the average annual growth rate for individual market sectors from the base year to 2030.

<sup>c</sup>Transition assumed to be completed in 2013 to mimic CFC-113 stockpile use.

#### **Fire Extinguishing**

ODSs, HFCs, PFCs and other chemicals are used as fire-extinguishing agents, in both hand-held "streaming" applications as well as in built-up "flooding" equipment similar to water sprinkler systems. Although these systems are generally built to be leak-tight, some leaks do occur and emissions occur when the agent is released. Total emissions from fire extinguishing are assumed, in aggregate, to equal a percentage of the total quantity of chemical in operation at a given time. For modeling purposes, it is assumed that fire extinguishing equipment leaks at a constant rate for an average equipment lifetime, as shown in the equation below. In streaming systems, non-halon emissions are assumed to be 3.5 percent of all chemical in use in each year, while in flooding systems 2.5 percent of the installed base of chemical is assumed to leak annually. Halon systems are assumed to leak at higher rates. The equation is applied for a single year, accounting for all fire protection equipment in operation in that year. The model assumes that equipment is serviced annually so that the amount equivalent to average annual emissions for each product (and hence for the total of what was added to the bank in a previous year in equipment that has not yet reached end-of-life) is replaced/applied to the starting charge size (or chemical bank). Each fire protection agent is modeled separately. In the Vintaging Model, streaming applications have a 24-year lifetime and flooding applications have a 33-year lifetime. At end-of-life, remaining agent is recovered from equipment being disposed and is reused.

$$E_j = r \times \sum Qc_{j - i + 1}$$
 for  $i = 1 \rightarrow k$ 

where:

Ε	=	Emissions. Total emissions of a specific chemical in year <i>j</i> for fire extinguishing equipment, by weight.
r	=	Percent Released. The percentage of the total chemical in operation that is released to the atmosphere.
Qc	=	Quantity of Chemical. Total amount of a specific chemical used in new fire extinguishing equipment in a given year, <i>j-i</i> +1, by weight.
i	=	Counter, runs from 1 to lifetime (k).
j	=	Year of emission.
k	=	Lifetime. The average lifetime of the equipment.
_		

### **Transition Assumptions**

Transition assumptions and growth rates for these two fire extinguishing types are presented in Table A-134.

		Primary	/ Substitute			Second	ary Substitute		
			Date of Full				Date of Full		
Initial			Penetration	Maximum			Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
Flooding Ag	gents								
Halon-									
1301	Halon-1301 <sup>c</sup>	1994	1994	4%	Unknown				2.2%
	HFC-23	1994	1999	0.2%	None				
	HFC-227ea	1994	1999	50.2%	FK-5-1-12	2003	2020	35%	
					HFC-125	2001	2012	10%	
					Non-				
					ODP/GWP	2005	2020	13%	
	Non-ODP/GWP	1994	1994	22%	FK-5-1-12	2003	2020	7%	
	Non-ODP/GWP	1995	2003	7%	None				
	CO <sub>2</sub>	1998	2006	7%	None				
	$C_4F_{10}$	1994	1999	0.5%	FK-5-1-12	2003	2003	100%	
	HFC-125	1997	2006	9.1%	FK-5-1-12	2003	2020	35%	
					Non-				
					ODP/GWP	2005	2020	10%	
					Non-				
					ODP/GWP	2005	2019	3%	
Streaming	Agents								
Halon-									
1211	Halon-1211 <sup>c</sup>	1992	1992	5%	Unknown				3.0%
	HFC-236fa	1997	1999		None				
	Halotron	1994	1995	0.1%	Unknown				
					Non-				
	Halotron	1996	2000		ODP/GWP	2020	2020	56%	
	Non-ODP/GWP	1993	1994		None				
	Non-ODP/GWP	1995	2024		None				
	Non-ODP/GWP	1999	2018	10%	None				

Table A-134: Fire Extinguishing Market Transition Assumptions

<sup>a</sup> Transitions between the start year and date of full penetration in new equipment are assumed to be linear so that in total 100 percent of the market is assigned to the original ODS or the various ODS substitutes.

<sup>b</sup> Growth Rate is the average annual growth rate for individual market sectors from the base year to 2030.

<sup>c</sup> Despite the 1994 consumption ban, a small percentage of new halon systems are assumed to continue to be built and filled with stockpiled or recovered supplies.

### **Foam Blowing**

ODSs, HFCs, and other chemicals are used to produce foams, including such items as the foam insulation panels around refrigerators, insulation sprayed on buildings, etc. The chemical is used to create pockets of gas within a substrate, increasing the insulating properties of the item. Foams are given emission profiles depending on the foam type (open cell or closed cell). Open cell foams are assumed to be 100 percent emissive in the year of manufacture. Closed cell foams are assumed to emit a portion of their total HFC content upon manufacture, a portion at a constant rate over the lifetime of the foam, a portion at disposal, and a portion after disposal; these portions vary by end-use.

#### Step 1: Calculate manufacturing emissions (open-cell and closed-cell foams)

Manufacturing emissions occur in the year of foam manufacture, and are calculated as presented in the following equation. Manufacturing emissions are considered for all foam equipment that are filled with foam within the United States, including those which are produced for export, and excluding those that are imported pre-filled.

 $Em_j = Im \times Qc_j$ 

where:

Em <sub>j</sub> =		Emissions from manufacturing. Total emissions of a specific chemical in year <i>j</i> due to manufacturing losses, by weight.
lm	=	Loss Rate. Percent of original blowing agent emitted during foam manufacture. For open-cell foams, <i>Im</i> is 100%.
Qc	=	Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.
j	=	Year of emission.

### Step 2: Calculate lifetime emissions (closed-cell foams)

Lifetime emissions occur annually from closed-cell foams throughout the lifetime of the foam, as calculated as presented in the following equation.

$$Eu_j = lu \times \sum Qc_{j - i + 1}$$
 for  $i = 1 \rightarrow k$ 

where:

Euj	=	Emissions from Lifetime Losses. Total emissions of a specific chemical in year j due to lifetime losses during use, by weight.
lu	=	Leak Rate. Percent of original blowing agent emitted each year during lifetime use.
Qc	=	Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.
i	=	Counter, runs from 1 to lifetime (k).
j	=	Year of emission.
k	=	Lifetime. The average lifetime of foam product.

#### Step 3: Calculate disposal emissions (closed-cell foams)

Disposal emissions occur in the year the foam is disposed, and are calculated as presented in the following equation.

$$Ed_j = Id \times Qc_{j-k}$$

where:

Ed <sub>j</sub>	=	Emissions from disposal. Total emissions of a specific chemical in year j at disposal, by weight.
ld	=	Loss Rate. Percent of original blowing agent emitted at disposal.
Qc	=	Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.
j	=	Year of emission.
k	=	Lifetime. The average lifetime of foam product.

### Step 4: Calculate post-disposal emissions (closed-cell foams)

Post-disposal emissions occur in the years after the foam is disposed; for example, emissions might occur while the disposed foam is in a landfill. Currently, the only foam type assumed to have post-disposal emissions is polyurethane foam used as domestic refrigerator and freezer insulation, which is expected to continue to emit for 26 years post-disposal, calculated as presented in the following equation.

$$Ep_j = lp \times \sum Qc_{j-m}$$
 for  $m=k \rightarrow k+26$ 

where:

Ep <sub>j</sub>	=	Emissions from post disposal. Total post-disposal emissions of a specific chemical in year j, by weight.
lp	=	Leak Rate. Percent of original blowing agent emitted post disposal.
Qc	=	Quantity of Chemical. Total amount of a specific chemical used to manufacture closed-cell foams in a given year.
k	=	Lifetime. The average lifetime of foam product.
т	=	Counter. Runs from lifetime (k) to (k+26).
j	=	Year of emission.

## Step 5: Calculate total emissions (open-cell and closed-cell foams)

To calculate total emissions from foams in any given year, emissions from all foam stages must be summed, as presented in the following equation.

$$E_j = Em_j + Eu_j + Ed_j + Ep_j$$

where:

$E_j$	=	Total Emissions. Total emissions of a specific chemical in year <i>j</i> , by weight.
Em <sub>j</sub>	=	Emissions from manufacturing. Total emissions of a specific chemical in year <i>j</i> due to manufacturing losses, by weight.
Euj	=	Emissions from Lifetime Losses. Total emissions of a specific chemical in year j due to lifetime losses during use, by weight.
Ed <sub>j</sub>	=	Emissions from disposal. Total emissions of a specific chemical in year j at disposal, by weight.
Ep <sub>j</sub>	=	Emissions from post disposal. Total post-disposal emissions of a specific chemical in year j, by weight.

### Assumptions

The Vintaging Model contains thirteen foam types, whose transition assumptions away from ODS and growth rates are presented in Table A-135. The emission profiles of these thirteen foam types are shown in Table A-136.

		Prima	ry Substitute			Seconda	ry Substitute			Tertiary	Substitute		
			Date of Full				Date of Full	Maximum			Date of Full		
Initial			Penetration	Maximum			Penetration	Market			Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Penetrati	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	on	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
Vending M	achine Foam		•	•									
CFC-11	HCFC-141b	1993	1995	100%	HFC-245fa	2001	2004	100%	Non-ODP/GWP	2004	2006	45%	-0.03%
									Non-ODP/GWP	2007	2009	5%	
									Non-ODP/GWP	2007	2009	25%	
									Non-ODP/GWP	2010	2010	10%	
									Non-ODP/GWP	2017	2017	2%	
									Non-ODP/GWP	2017	2017	8%	
Stand-alon	e Equipment Fo	oam											
CFC-11	HCFC-141b	1990	1995	40%	HFC-245fa	2003	2005	80%	HCFO-1233zd(E)	2019	2020	25%	2.2%
					HFC-134a	2003	2005	40%	None				
					Non-	2003	2005	40%	None				
					ODP/GWP								
	HCFC-22	1990	1995	56%	HFC-134a	2004	2008	46%	Non-ODP/GWP	2010	2018	32%	
									HCFO-1233zd(E)	2019	2020	36%	
					Non-	2004	2008	54%	None				
					ODP/GWP								
Ice Machin	e Foam										-		
CFC-11	HCFC-141b	1989	1996	40%	CO <sub>2</sub>	2002	2003	69%	None				2.1%
					HFC-134a	2002	2003	31%	CO <sub>2</sub>	2017	2020	47%	
									HCFO-1233zd(E)	2017	2020	20%	
	HCFC-142b	1989	1996	8%	CO <sub>2</sub>	2002	2003		None				
					HFC-134a	2002	2003	31%	CO <sub>2</sub>	2017	2020	47%	
									HCFO-1233zd(E)	2017	2020	20%	
	HCFC-22	1989	1996	52%	CO <sub>2</sub>	2002	2003		None				
					HFC-134a	2002	2003	31%	CO <sub>2</sub>	2017	2020	47%	
									HCFO-1233zd(E)	2017	2020	20%	
	ed Food Proces	-			u	1	[	1	u		1		
CFC-11	HCFC-22	1989	1997	100%	HFC-134a	2004	2008		Non-ODP/GWP	2015	2021	30%	2.1%
						2009	2010	20%	HCFO-1233zd(E)	2020	2021	3%	
									HFO-1234ze	2020	2021	3%	
					Non-	2004	2008	25%					
					ODP/GWP				None				
	-in Cooler Foar	1	I	I	U				II		T		
CFC-11	HCFC-141b	1990	1995		HFC-245fa	2001	2003		None				1.6%
	HCFC-22	1990	1995	50%	HFC-134a	2000	2001	10%	None				

# Table A-135: Foam Blowing Market Transition Assumptions

		Prima	ry Substitute			Secondar	y Substitute			Tertiary S	Substitute		
			Date of Full				Date of Full	Maximum			Date of Full		
Initial			Penetration	Maximum			Penetration	Market			Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Penetrati	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	on	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
					HFC-245fa	2009	2010	50%	HCFO-1233zd(E)	2020	2020	20%	
					HFC-134a	2009	2010	40%	None				
Large Walk-	in Cooler Foar	n											
CFC-11	HCFC-141b	1990	1995	50%	HFC-245fa	2001	2003	100%	None				1.5%
	HCFC-22	1990	1995	50%	HFC-134a	2000	2001	10%	None				
					HFC-245fa	2009	2010	50%	HCFO-1233zd(E)	2020	2020	20%	
					HFC-134a	2009	2010	40%	None				
Display Case	e Foam												
CFC-11	HCFC-141b	1991	1992	50%	HFC-245fa	2003	2003	100%	None				1.7%
	HCFC-142b	1991	1992	50%	HFC-245fa	2004	2004	100%	None				
CFC-12	HCFC-22	1991	1993	100%	HFC-134a	2003	2007	100%	HCFO-1233zd(E)	2015	2020	60%	
Road Transp	ort Foam			•							•		
CFC-11	HCFC-141b	1989	1996	19%	HCFC-22	1999	2001	37%	HFC-245fa	2005	2007	100%	5.5%
					CO <sub>2</sub>	1999	2001	11%	None				
					Non-	1999	2001	53%	None				
					ODP/GWP								
	HCFC-22	1989	1996	81%	HFC-134a	2005	2007	37%	None				
					HFC-245fa	2005	2007	63%	HCFO-1233zd(E)	2020	2020	76%	
Intermodal	Container Foa	m		•							•		
CFC-11	HCFC-141b	1989	1996	19%	HCFC-22	1999	2001	37%	HFC-245fa	2005	2007	100%	7.3%
					CO <sub>2</sub>	1999	2001	11%	None				
					Non-	1999	2001	53%	None				
					ODP/GWP								
	HCFC-22	1989	1996	81%	HFC-134a	2005	2007	37%	None				
					HFC-245fa	2005	2007	63%	HCFO-1233zd(E)	2020	2020	76%	
Flexible PU I	Foam: Integra	Skin Foa	m	•				•					
HCFC-141b <sup>c</sup>	HFC-134a	1996	2000	50%	HFC-245fa	2003	2010	96%	HCFO-1233zd(E)	2017	2017	83%	2.0%
									Non-ODP/GWP	2017	2017	6%	
									HFO-1336mzz(Z)	2017	2017	10%	
					Non-								
					ODP/GWP	2003	2010	4%	None				
	CO <sub>2</sub>	1996	2000	50%	None								
Flexible PU I	Foam: Slabsto	ck Foam,	Moulded Foam	1				•			-		
	Non-												
CFC-11	ODP/GWP	1992	1992	100%	None								2.0%

		Prima	ry Substitute			Seconda	ry Substitute			Tertiary	Substitute		
			Date of Full				Date of Full	Maximum			Date of Full		
Initial			Penetration	Maximum			Penetration	Market			Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Penetrati	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	on	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
			· · ·										
Phenolic Fo	am				n				1				
					Non-								
CFC-11	HCFC-141b	1989	1990	100%	ODP/GWP	1992	1992	100%	None				2.0%
Polyolefin F	Foam												
					Non-								
CFC-114	HFC-152a	1989	1993	10%	ODP/GWP	2005	2010	100%	None				2.0%
					Non-								
	HCFC-142b	1989	1993	90%	ODP/GWP	1994	1996	100%	None				
PU and PIR	<b>Rigid: Boardst</b>	ock											
					Non-								
CFC-11	HCFC-141b	1993	1996	100%	ODP/GWP	2000	2003	95%	None				4.8%
					HC/HFC-								
					245fa Blend	2000	2003	5%	Non-ODP/GWP	2017	2017	100%	
PU Rigid: D	omestic Refrig		d Freezer Insula										
CFC-11	HCFC-141b	1993	1995	100%	HFC-134a	1996	2001		Non-ODP/GWP	2002	2003	100%	0.8%
					HFC-245fa	2001	2003	50%	Non-ODP/GWP	2015	2020	50%	
									HCFO-1233zd(E)	2015	2020	50%	
					HFC-245fa	2006	2009	10%	Non-ODP/GWP	2015	2020	50%	
									HCFO-1233zd(E)	2015	2020	50%	
					Non-								
					ODP/GWP	2002	2005	10%	None				
					Non-								
					ODP/GWP	2006	2009	3%	None				
					Non-								
					ODP/GWP	2009	2014	20%	None				
PU Rigid: O	ne Component	Foam		r	n	1			n		r		
	HCFC-												
	142b/22				Non-								
CFC-12	Blend	1989	1996	70%	ODP/GWP	2009	2010		None				4.0%
					HFC-134a	2009	2010		HFO-1234ze(E)	2018	2020	100%	
					HFC-152a	2009	2010	10%	None				
					Non-								
	HCFC-22	1989	1996	30%	ODP/GWP	2009	2010		None				
					HFC-134a	2009	2010		HFO-1234ze(E)	2018	2020	100%	
					HFC-152a	2009	2010	10%	None				

	Primary Substitute				Seconda	ry Substitute		Tertiary Substitute					
			Date of Full				Date of Full	Maximum			Date of Full		
Initial			Penetration	Maximum			Penetration	Market			Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Penetrati	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	on	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
	Other: Slabstock	k Foam		•									
CFC-11	HCFC-141b	1989	1996	100%	CO <sub>2</sub>	1999	2003	45%	None				2.0%
					Non-								
					ODP/GWP	2001	2003	45%	None				
					HCFC-22	2003	2003	10%	Non-ODP/GWP	2009	2010	100%	
PU Rigid: S	andwich Panel	s: Continu	ous and Disco	ntinuous							•		
	HCFC-				HFC-								
	22/Water				245fa/CO <sub>2</sub>								
HCFC-141b	<sup>d</sup> Blend	2001	2003	20%	Blend	2009	2010	50%	HCFO-1233zd(E)	2015	2020	100%	6.0%
					Non-								
					ODP/GWP	2009	2010	50%	None				
	HFC-												
	245fa/CO <sub>2</sub>				HCFO-								
	Blend	2002	2004	20%	1233zd(E)	2015	2020	100%	None				
	Non-												
	ODP/GWP	2001	2004	40%	None								
					Non-								
	HFC-134a	2002	2004	20%	ODP/GWP	2015	2020	100%	None				
	HFC-				-								
	245fa/CO₂				HCFO-								
HCFC-22	Blend	2009	2010	40%	1233zd(E)	2015	2020	100%	None				
	Non-												
	ODP/GWP	2009	2010	20%	None								
	CO <sub>2</sub>	2009	2010	20%	None								
					Non-								
	HFC-134a	2009	2010	20%	ODP/GWP	2015	2020	100%	None				
PU Rigid: H	ligh Pressure T	wo-Comp	onent Spray Fo	am		•							
CFC-11	HCFC-141b	1989	1996	100%	HFC-245fa	2002	2003	C	HFO-1336mzz(Z)	2016	2020	100%	0.8%
					HFC-				HFO-				
					245fa/CO <sub>2</sub>				1336mzz(Z)/CO <sub>2</sub>				
					Blend	2002	2003	С	Blend	2016	2020	100%	
					HFC-								
					227ea/HFC-								
					365mfc								
					Blend	2002	2003	C	HCFO-1233zd(E)	2016	2020	100%	
PU Rigid: L	ow Pressure Tv	vo-Compo	nent Spray Fo	am	<b>.</b>	•	-	•			•		
CFC-12	HCFC-22	1989			HFC-245fa	2002	2003	15%	HCFO-1233zd(E)	2017	2021	100%	0.8%
	• • •												/0

		Prima	ry Substitute		Secondary Substitute				Tertiary Substitute				
Initial			Date of Full Penetration	Maximum			Date of Full Penetration	Maximum Market			Date of Full Penetration	Maximum	
Market	Name of	Start	in New	Market	Name of	Start	in New	Penetrati	Name of	Start	in New	Market	Growth
Segment	Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment <sup>a</sup>	on	Substitute	Date	Equipment <sup>a</sup>	Penetration	Rate <sup>b</sup>
					HFC-134a	2002	2003	85%	HFO-1234ze	2017	2021	100%	
XPS: Board	dstock Foam												
	HCFC- 142b/22												
CFC-12	Blend	1989	1994	10%	HFC-134a	2009	2010	70%	Non-ODP/GWP	2021	2021	100%	2.5%
					HFC-152a	2009	2010	10%	None				1
					CO <sub>2</sub>	2009	2010	10%	None				
					Non-								
					ODP/GWP	2009	2010	10%	None				
	HCFC-142b	1989	1994	90%	HFC-134a	2009	2010	70%	Non-ODP/GWP	2021	2021	100%	
					HFC-152a	2009	2010	10%	None				
					CO <sub>2</sub>	2009	2010	10%	None				
					Non-								
					ODP/GWP	2009	2010	10%	None				L
XPS: Shee	t Foam			-									
CFC-12	CO <sub>2</sub> Non-	1989	1994	1%	None								2.0%
	ODP/GWP	1989	1994	99%	CO <sub>2</sub>	1995	1999	9%	None				l
					HFC-152a	1995	1999	10%	None				l

C (Confidential)

<sup>a</sup> Transitions between the start year and date of full penetration in new equipment are assumed to be linear so that in total 100 percent of the market is assigned to the original ODS or the various ODS substitutes.

<sup>b</sup> Growth Rate is the average annual growth rate for individual market sectors from the base year to 2030.

<sup>c</sup> CFC-11 was the initial blowing agent used for through 1989. This transition is not shown in the table in order to provide the HFC transitions in greater detail.

<sup>d</sup> The CFC-11 PU Rigid: Sandwich Panels: Continuous and Discontinuous market for new systems transitioned to 82 percent HCFC-141b and 18 percent HCFC-22 from 1989 to 1996. These transitions are not shown in the table in order to provide the HFC transitions in greater detail.

Table A-136: Emission Profile for the Foam End	l-Uses
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	Loss at	Annual	Leakage		
	Manufacturing	Leakage Rate	Lifetime	Loss at	Total
oam End-Use	(%)	(%)	(years)	Disposal (%)	(%
Flexible PU Foam: Slabstock Foam, Moulded					
Foam	100	0	1	0	100
Vending Machine Foam	4	0.25	10	93.5	100
Stand-alone Equipment Foam	4	0.25	10	93.5	10
Ice Machine Foam	4	0.25	8	94.0	10
Refrigerated Food Processing and Dispensing					10
Equipment Foam	4	0.25	10	93.5	
Small Walk-in Cooler Foam	4	0.25	20	91.0	10
Large Walk-in Cooler Foam	4	0.25	20	91.0	10
CFC-11 Display Case Foam	4	0.25	18	91.5	10
CFC-12 Display Case Foam	4	0.25	18	91.5	10
Road Transport Foam	4	0.25	12	93.0	10
Intermodal Container Foam	4	0.25	15	92.3	10
Rigid PU: High Pressure Two-Component Spray					
Foam	15	1.5	50	10.0	10
Rigid PU: Low Pressure Two-Component Spray					
Foam	15	1.5	50	10.0	10
Rigid PU: Slabstock and Other	32.5	0.875	15	54.375	10
Phenolic Foam	28	0.875	32	44.0	10
Polyolefin Foam	40	3	20	0	10
Rigid PU: One Component Foam	95	2.5	2	0	10
XPS: Sheet Foam	50	25	2	0	10
XPS: Boardstock Foam	25	0.75	25	56.25	10
Flexible PU Foam: Integral Skin Foam	95	2.5	2	0	10
Rigid PU: Domestic Refrigerator and Freezer					
Insulation (HFC-134a) <sup>a</sup>	6.5	0.5	14	37.2	50.
Rigid PU: Domestic Refrigerator and Freezer					
Insulation (all others) <sup>a</sup>	3.75	0.25	14	39.9	47.1
PU and PIR Rigid: Boardstock	10	1	25	22.5	57.
PU Sandwich Panels: Continuous and					
Discontinuous	8.5-11.25	0.5	50	63.75-66.5	10

PIR (Polyisocyanurate)

PU (Polyurethane)

XPS (Extruded Polystyrene)

<sup>a</sup> Total emissions from foam end-uses are assumed to be 100 percent. In the Rigid PU Domestic Refrigerator and Freezer Insulation and PU and PIR Boardstock end-uses, the source of emission rates and lifetimes did not yield 100 percent emissions; the remainder is assumed to be emitted at a rate of 2.0 and 1.5 percent/year, respectively, post-disposal.

#### Sterilization

Sterilants kill microorganisms on medical equipment and devices. The principal ODS used in this sector was a blend of 12 percent ethylene oxide (EtO) and 88 percent CFC-12, known as "12/88." In that blend, ethylene oxide sterilizes the equipment and CFC-12 is a dilutent solvent to form a non-flammable blend. The sterilization sector is modeled as a single end-use. For sterilization applications, all chemicals that are used in the equipment in any given year are assumed to be emitted in that year, as shown in the following equation.

 $E_j = Qc_j$ 

where:

Ε	=	Emissions. Total emissions of a specific chemical in year <i>j</i> from use in sterilization equipment, by weight.
Qc	=	Quantity of Chemical. Total quantity of a specific chemical used in sterilization equipment in year <i>j</i> , by weight.
j	=	Year of emission.

## Assumptions

The Vintaging Model contains one sterilization end-use, whose transition assumptions away from ODS and growth rates are presented in Table A-137.

## Table A-137: Sterilization Market Transition Assumptions

	Primary Substitute				Secondary Substitute				Tertiary Substitute				
			Date of Full				Date of Full				Date of Full		
Initial			Penetration	Maximum			Penetration	Maximum			Penetration	Maximum	
Market		Start	in New	Market	Name of	Start	in New	Market	Name of	Start	in New	Market	Growth
Segment	Name of Substitute	Date	Equipment <sup>a</sup>	Penetration	Substitute	Date	Equipment	Penetration	Substitute	Date	Equipment	Penetration	Rate
12/88	EtO	1994	1995	95%	None								2.0%
	Non-ODP/GWP	1994	1995	0.8%	None								
	HCFC-124/EtO Blend	1993	1994	1.4%	Non-ODP/GWP	2015	2015	100%	None				
	HCFC-22/HCFC-124/EtO Blend	1993	1994	3.1%	Non-ODP/GWP	2010	2010	100%	None				

<sup>a</sup> Transitions between the start year and date of full penetration in new equipment are assumed to be linear so that in total 100 percent of the market is assigned to the original ODS or the various ODS substitutes.

## **Model Output**

By repeating these calculations for each year, the Vintaging Model creates annual profiles of use and emissions for ODS and ODS substitutes. The results can be shown for each year in two ways: 1) on a chemical-by-chemical basis, summed across the end-uses, or 2) on an end-use or sector basis. Values for use and emissions are calculated both in metric tons and in million metric tons of  $CO_2$  equivalent (MMT  $CO_2$  Eq.). The conversion of metric tons of chemical to MMT  $CO_2$  Eq. is accomplished through a linear scaling of tonnage by the global warming potential (GWP) of each chemical.

Throughout its development, the Vintaging Model has undergone annual modifications. As new or more accurate information becomes available, the model is adjusted in such a way that both past and future emission estimates are often altered.

### Bank of ODS and ODS Substitutes

The bank of an ODS or an ODS substitute is "the cumulative difference between the chemical that has been consumed in an application or sub-application and that which has already been released" (IPCC 2006). For any given year, the bank is equal to the previous year's bank, less the chemical in equipment disposed of during the year, plus chemical in new equipment entering the market during that year, less the amount emitted but not replaced, plus the amount added to replace chemical emitted prior to the given year, as shown in the following equation:

$$Bc_j = Bc_{j-1} - Qd_j + Qp_j - E_e + Q_r$$

where:

Bc <sub>j</sub>	=	Bank of Chemical. Total bank of a specific chemical in year <i>j</i> , by weight.
Qd <sub>j</sub>	=	Quantity of Chemical in Equipment Disposed. Total quantity of a specific chemical in equipment disposed of in year <i>j</i> , by weight.
Qp <sub>j</sub>	=	Quantity of Chemical Penetrating the Market. Total quantity of a specific chemical that is entering the market in year <i>j</i> , by weight.
Ee	=	Emissions of Chemical Not Replaced. Total quantity of a specific chemical that is emitted during year j but is not replaced in that year. The Vintaging Model assumes all chemical emitted from refrigeration, air conditioning and fire extinguishing equipment is replaced in the year it is emitted, hence this term is zero for all sectors except foam blowing.
Qr	=	Chemical Replacing Previous Year's Emissions. Total quantity of a specific chemical that is used to replace emissions that occurred prior to year j. The Vintaging Model assumes all chemical emitted from refrigeration, air conditioning and fire extinguishing equipment is replaced in the year it is emitted, hence this term is zero for all sectors.

*j* = Year of emission.

Table A-138 provides the bank for ODS and ODS substitutes by chemical grouping in metric tons (MT) for 1990 to 2019.

Year	CFC	HCFC	HFC
1990	706,317	183,974	872
1995	752,774	428,014	50,353
2000	609,993	878,120	189,534
2001	579,845	954,126	218,902
2002	553,906	1,013,434	251,613
2003	528,383	1,057,175	293,825
2004	502,424	1,101,483	337,838
2005	471,487	1,147,856	384,560
2006	439,576	1,190,007	436,931
2007	410,229	1,219,977	490,965
2008	386,025	1,235,871	541,647
2009	371,224	1,227,368	597,134
2010	341,955	1,194,954	668,796
2011	313,158	1,154,641	742,885
2012	284,514	1,111,521	819,235
2013	256,700	1,062,669	898,644
2014	228,748	1,013,579	980,182
2015	200,560	965,727	1,057,421
2016	171,901	916,604	1,132,200
2017	141,755	868,095	1,198,386
2018	119,362	810,765	1,261,835
2019	104,468	745,836	1,317,589

Table A-138: Banks of ODS and ODS Substitutes, 1990-2019 (MT)

# References

- IPCC (2006) 2006 IPCC Guidelines for National Greenhouse Gas Inventories. The National Greenhouse Gas Inventories Programme, The Intergovernmental Panel on Climate Change, H.S. Eggleston, L. Buendia, K. Miwa, T Ngara, and K. Tanabe (eds.). Hayama, Kanagawa, Japan.
- U.S. EPA (2018) EPA's Vintaging Model of ODS Substitutes: A Summary of the 2017 Peer Review. Office of Air and Radiation. Document Number EPA-400-F-18-001. Available online at: <https://www.epa.gov/sites/production/files/2018-09/documents/epas-vintaging-model-of-ods-substitutes-peerreview-factsheet.pdf>.
- U.S. EPA (2004) The U.S. Solvent Cleaning Industry and the Transition to Non Ozone Depleting Substances. September 2004. Available online at: <a href="https://www.epa.gov/sites/production/files/2014-11/documents/epasolventmarketreport.pdf">https://www.epa.gov/sites/production/files/2014-11/documents/epasolventmarketreport.pdf</a>>.
- Data are also taken from various government sources, including rulemaking analyses from the U.S. Department of Energy and from the Motor Vehicle Emission Simulator (MOVES) model from EPA's Office of Transportation and Air Quality.