



Early Career Award: Framework for Quantifying Microbial Risk and Sustainability of Potable Reuse Systems in the United States

Funding Opportunity Number: EPA-G2014-STAR-F1

EPA Grant Number: R835823

Project Period: 8/2015 – 7/2018

Dr. Daniel Gerrity

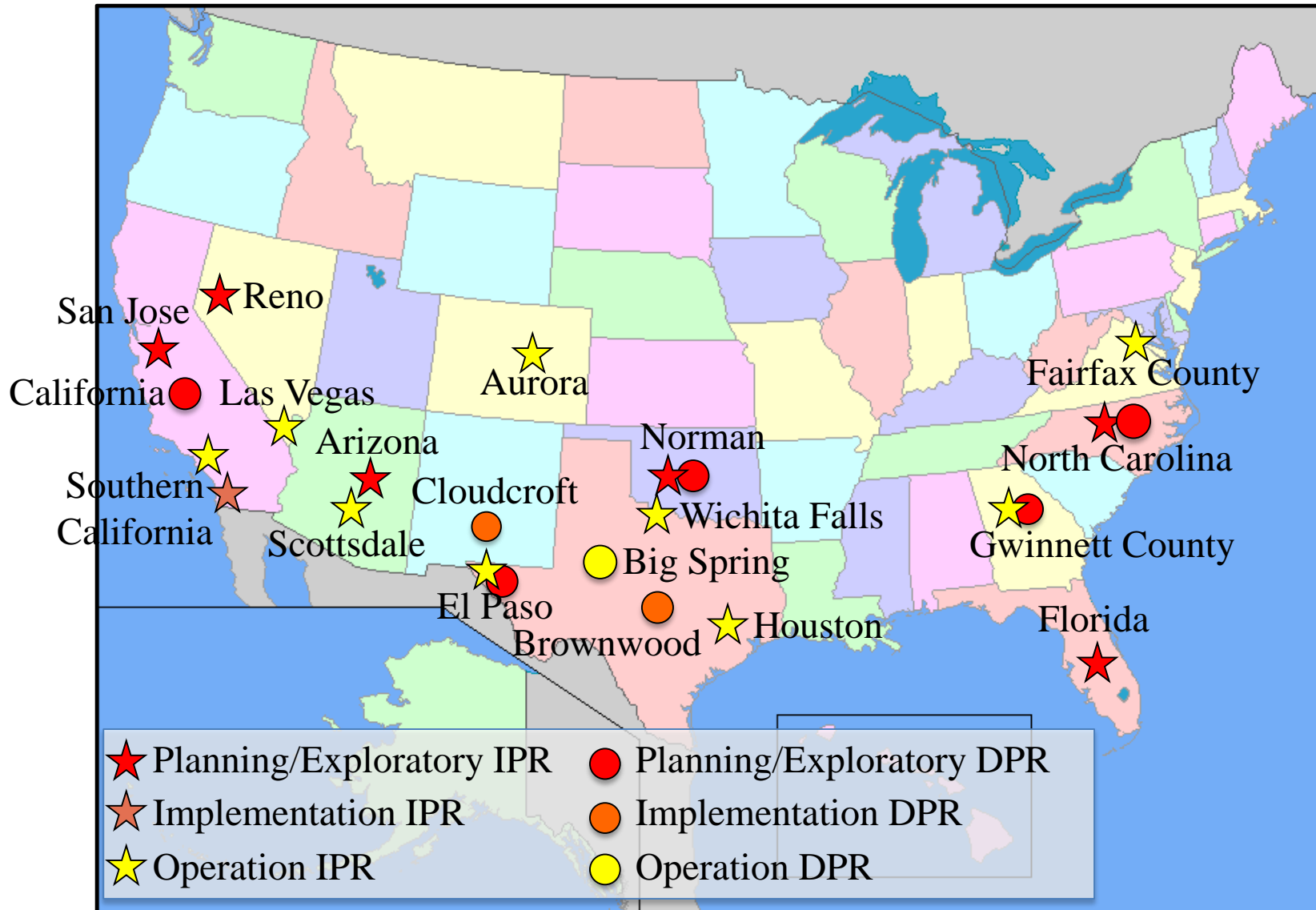
Assistant Professor

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Potable Reuse Systems in the U.S.



National Water Reuse Institute (NWRI), Water Reuse Association, and WE&RF critical for the success of these projects

Project Overview

- **Quantitative Microbial Risk Assessment (QMRA)**
 - Dr. Joseph Eisenberg (University of Michigan)
 - Dr. Brian Pecson (Trussell Technologies)
 - Erfaneh Amoueyan (Ph.D. Student at UNLV)

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- **Potable Reuse Treatment with Ozone Biofiltration**
 - Dr. Eric Dickenson (Southern Nevada Water Authority)
 - Mayara Aquino (M.S. Student at UNLV) → THMs/HAA5
 - Fernanda Bacaro (M.S. Student at UNLV) → NDMA

UNLV Film Department Collaboration

Brett Levner

- MTV, VH1, A&E, The History Channel, Animal Planet, Discovery, Atlantic Records, Coca-Cola, Greenpeace...
- Develop a short film to educate students/general public about potable reuse and the Las Vegas water system
- Collaboration begins in the Spring 2017 semester



Motivation for Collaboration

- *Last Call at the Oasis* trailer
- Research and experience indicates that public outreach and education are critical to the success of potable reuse
- Increase interest in environmental engineering in Southern Nevada



RESEARCH TOPIC 1: QMRA

Quantitative Microbial Risk Assessment

- **Evaluation of potable reuse treatment scenarios**
 - *de facto* Reuse
 - Indirect Potable Reuse
 - Direct Potable Reuse

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 - Protozoan Parasite → *Cryptosporidium* (current focus)
 - Viral Pathogen → future
 - Bacterial Pathogen → future

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 - Viral Pathogen → future
 - Bacterial Pathogen → future
- **Research Questions**
 - How do public health risks compare in different potable reuse systems under typical operational conditions?
 - How do failures in a treatment process impact the performance of the overall treatment train and ultimately public health risks?
 - What are the critical variables affecting public health risk in potable reuse systems?

Model Objectives

- **Provide a template for adaptation to real-world systems**
 - Water quality (e.g., total organic carbon, UV_{254} absorbance)
 - Dosing conditions (e.g., ozone dose, UV dose)
 - Baseline conditions (e.g., residence time, temperature)
 - Critical pathogens (e.g., dose response, survival)
 - Limits on log removal credits (e.g., 6-log max in California)

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- **Allow for updates to failure rate framework**
 - Current: fault tree analysis from Windhoek studies
 - Current: ozone failure rate from Melbourne, Australia
 - Current: arbitrary failure rate for UV system (1%)

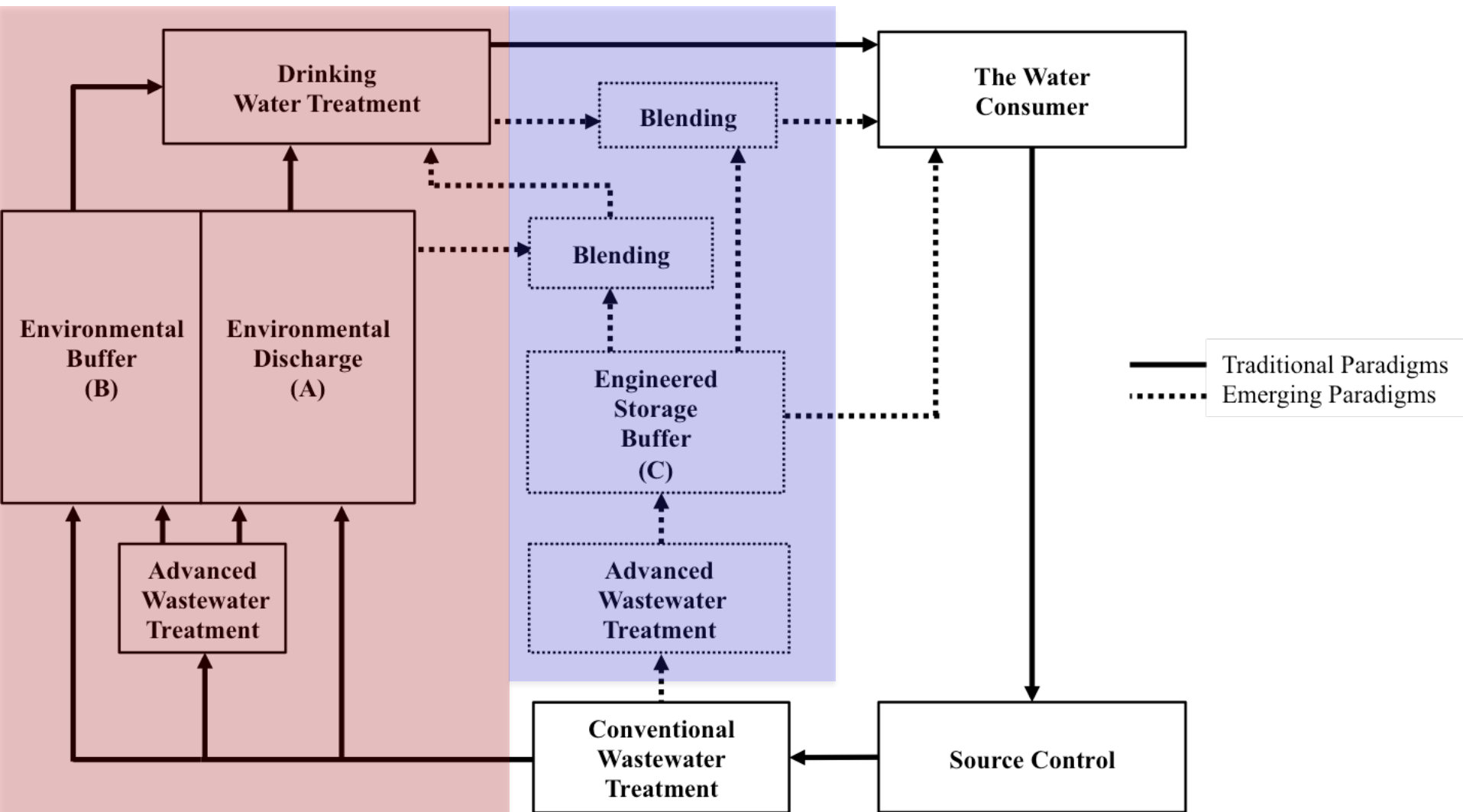
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 - Current: ozone failure rate from Melbourne, Australia
 - Current: arbitrary failure rate for UV system (1%)
- **Allow for sensitivity analyses on model parameters**
 - Critical residence times in environmental buffers
 - Significance of failures (i.e., failure rates and ‘domino effects’)

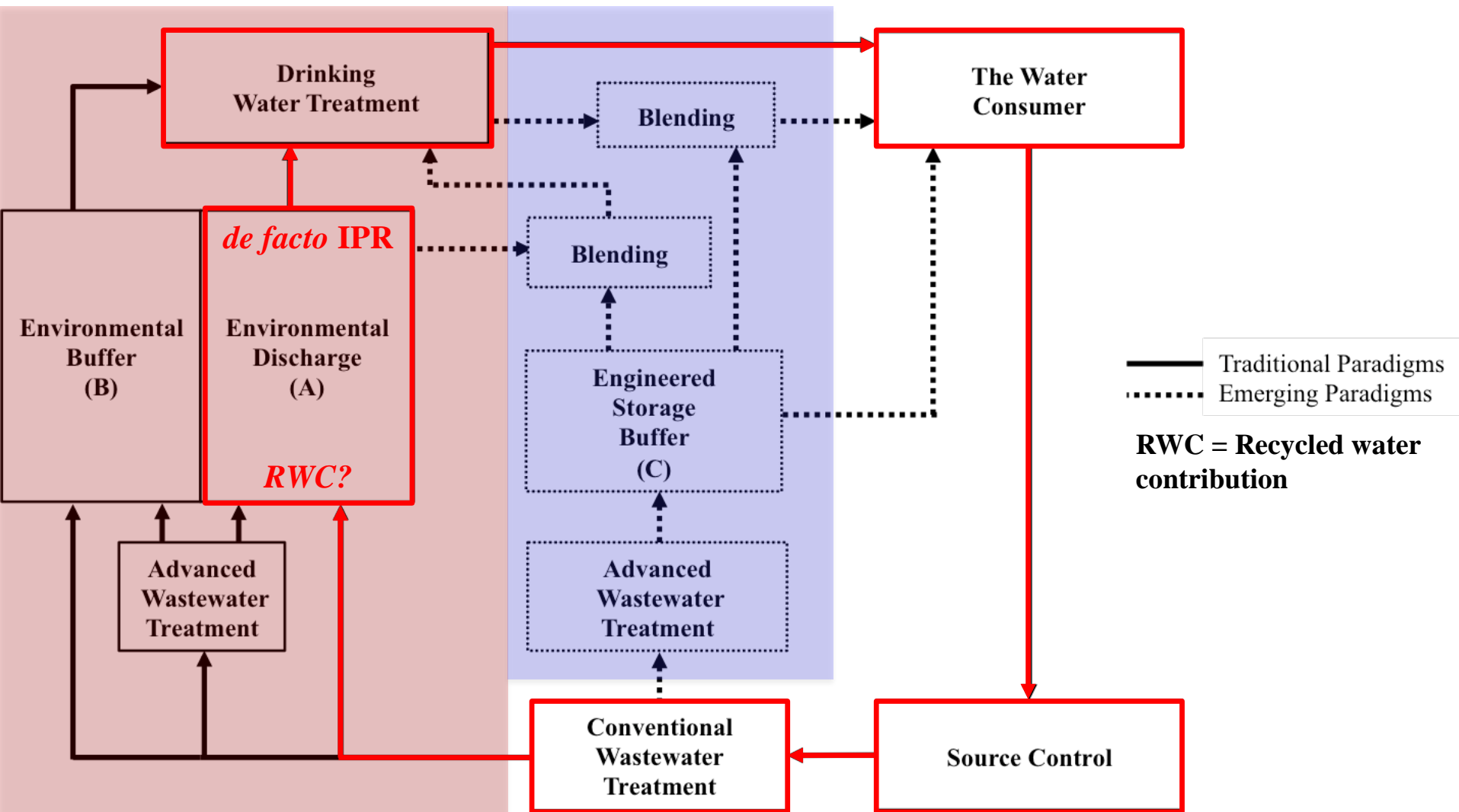
Potable Reuse Paradigms

Indirect Potable Reuse (IPR)

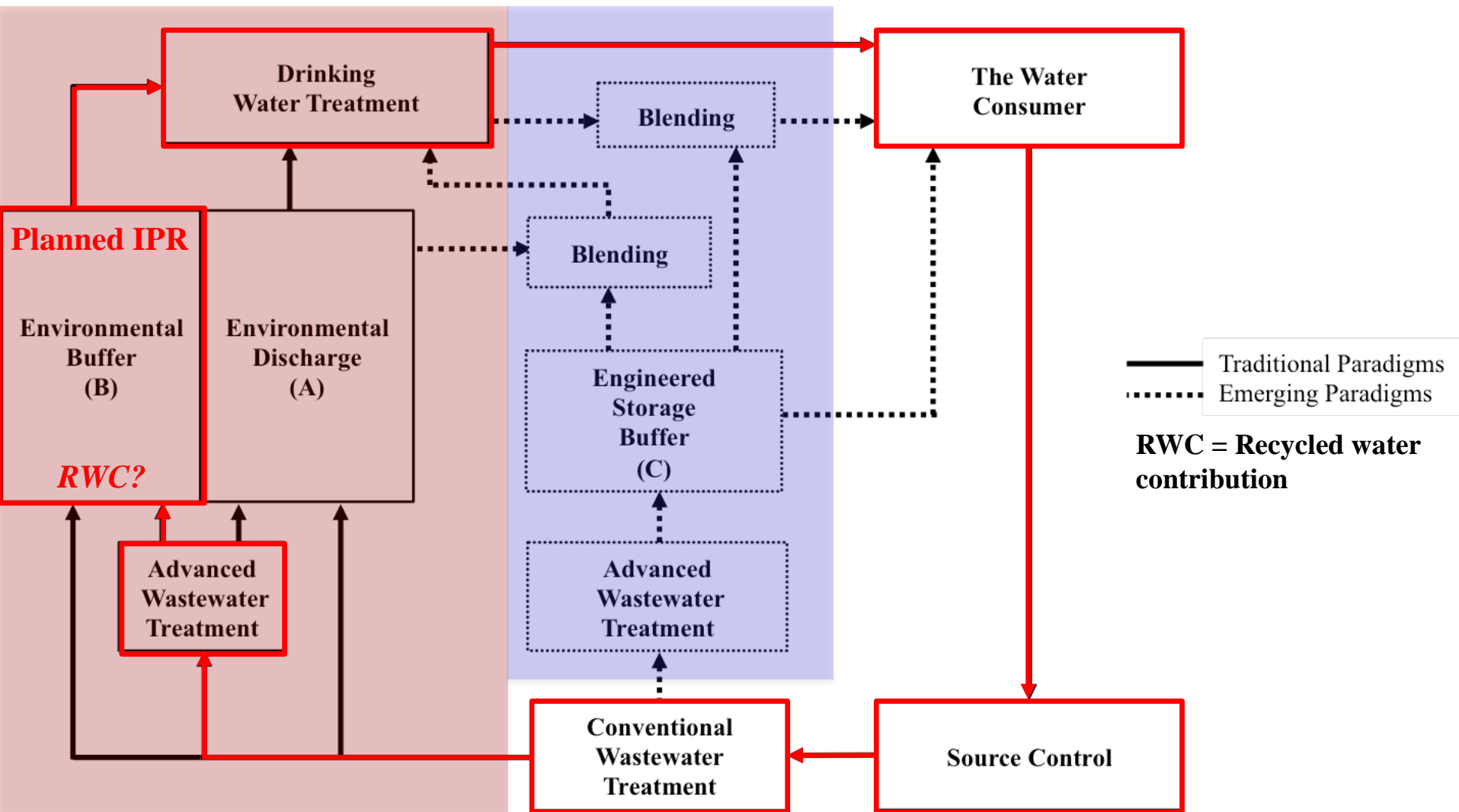
Direct Potable Reuse (DPR)



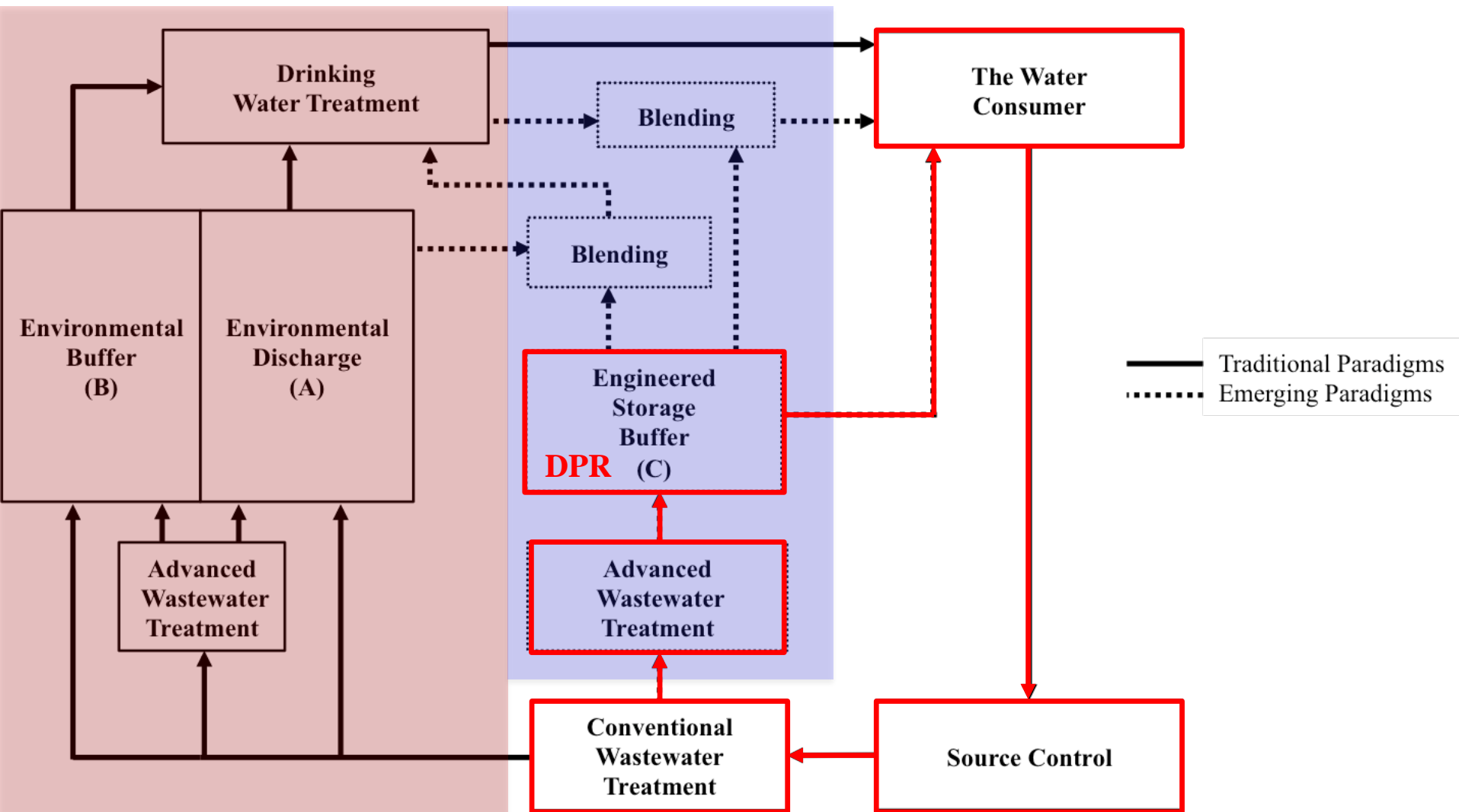
Potable Reuse Scenario 1: *de facto* IPR



Potable Reuse Scenario 2: Planned Indirect Potable Reuse

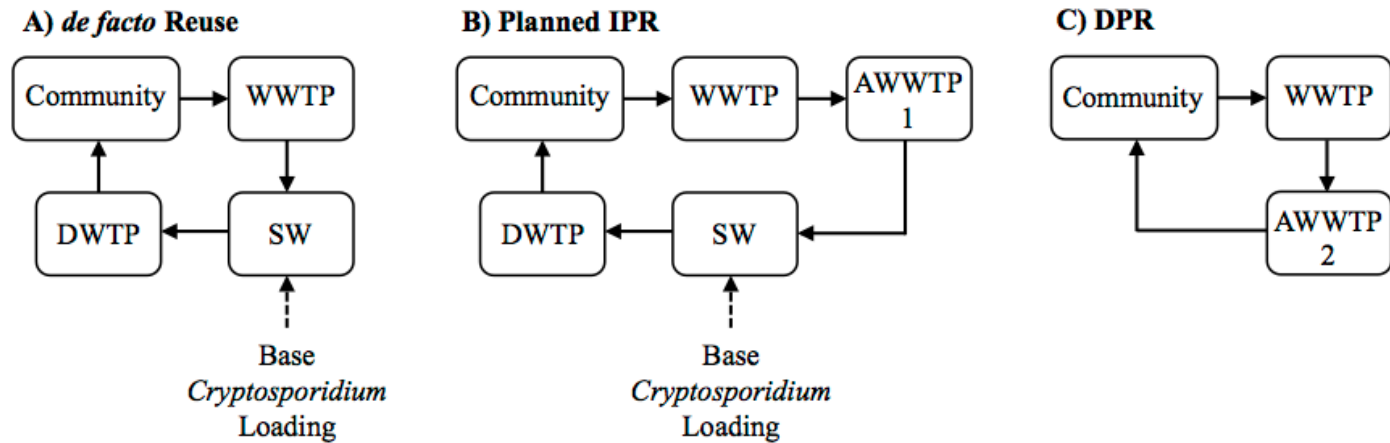


Potable Reuse Scenario 3: Direct Potable Reuse



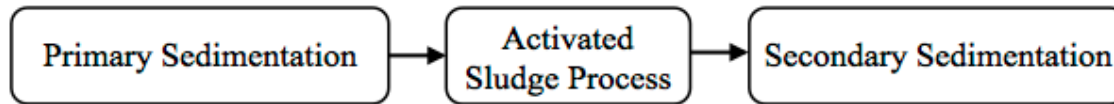
Treatment Scenarios

Scenarios:

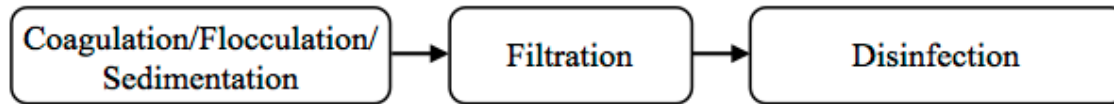


Treatment Trains:

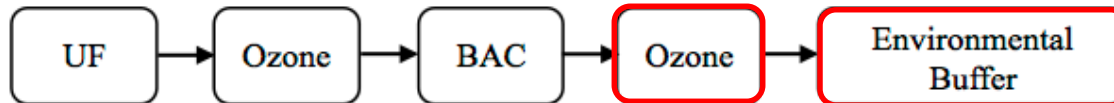
A) Conventional wastewater treatment plant (WWTP)



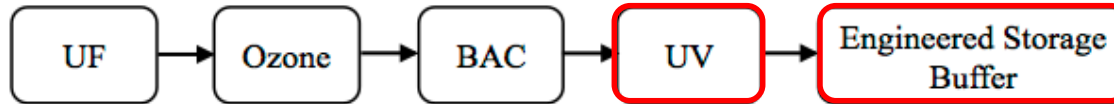
B) Conventional drinking water treatment plant (DWTP)



C) Advanced wastewater treatment plant for IPR (AWWTP 1)



D) Advanced wastewater treatment plant for DPR (AWWTP 2)



Methodology

- **Model Framework**

- STELLA 10.1 System Dynamics Software
- 3 different *Cryptosporidium* scenarios based on LT2ESWTR
 - Affects upstream *Cryptosporidium* concentration and DWTP log removals
 - Model: Bin 1, Bin 2, and Bin 4

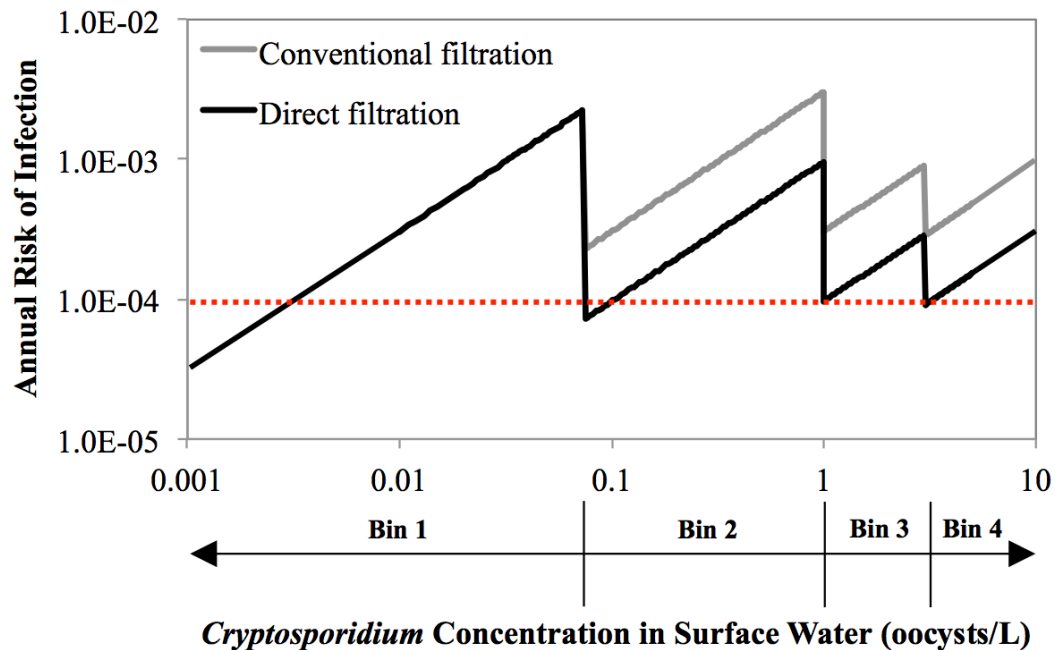
Bin Classification	<i>Cryptosporidium</i> Concentration (oocysts)	DWTP (Conventional Filtration)
Bin 1	$Cryptosporidium < 0.075/L$	No additional treatment
Bin 2	$0.075/L \leq Cryptosporidium < 1.0/L$	1 log treatment
Bin 3	$1.0/L \leq Cryptosporidium < 3.0/L$	2 log treatment
Bin 4	$Cryptosporidium \geq 3.0/L$	2.5 log treatment

Methodology

- **Public Health Benchmarks**

- World Health Organization: 10^{-6} DALYs/person-year
- California: 10-log removal/inactivation of *Cryptosporidium*
- General: 10^{-4} annual risk of infection

LT2ESWTR Framework and Infectivity Calculations from Literature



STELLA System Dynamics Software

- A mathematical simulation methodology that describes the complex effects of system elements and their interrelationships using stocks, flows, converters, and arrows.



Stock



Flow

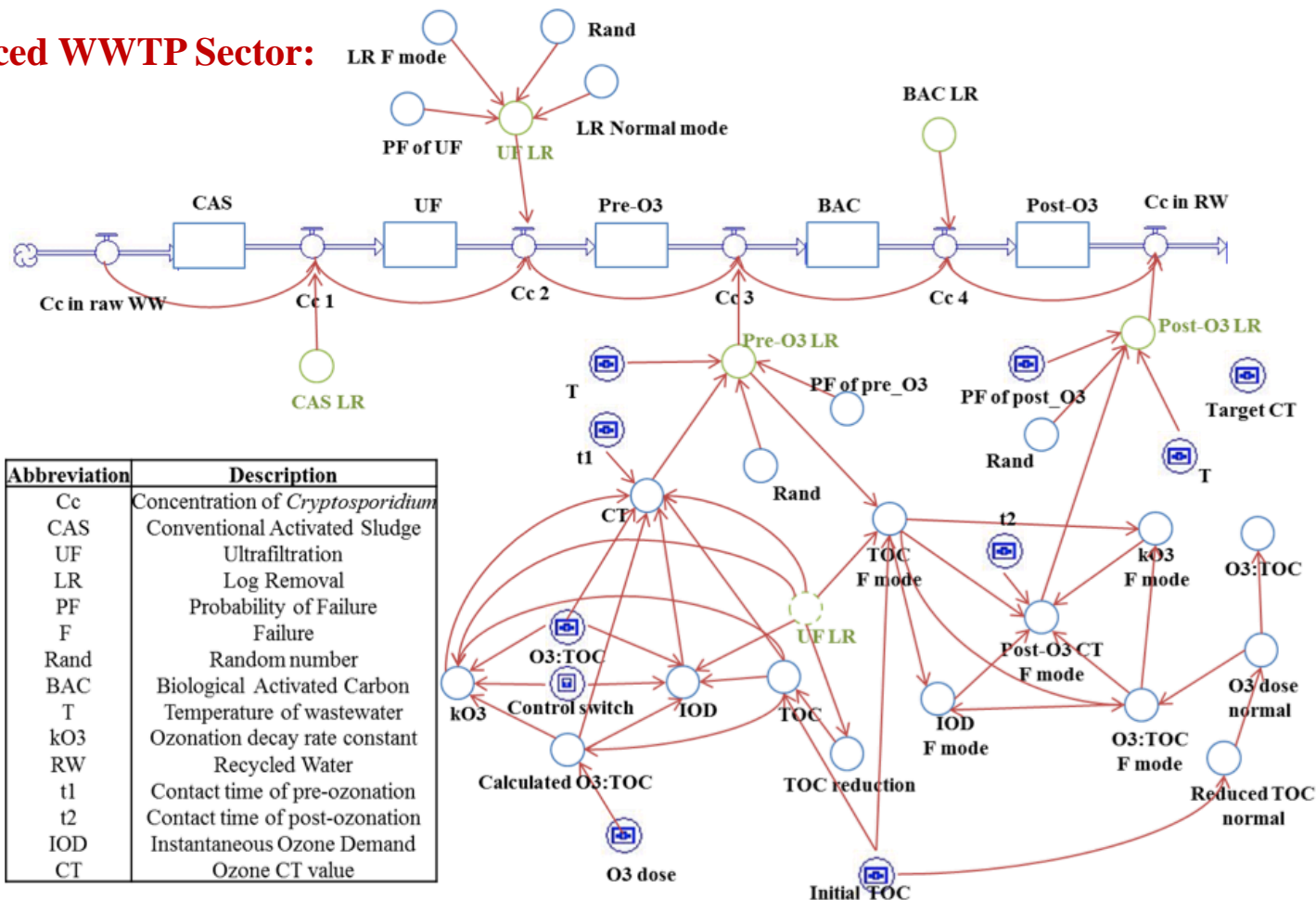


Converter



Arrow

IPR Advanced WWTP Sector:



Planned IPR Model – Critical Steps and Parameters

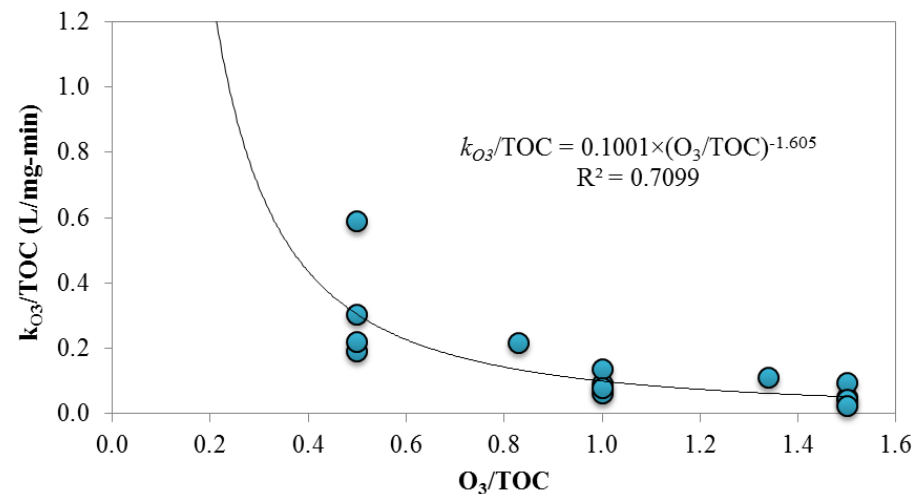
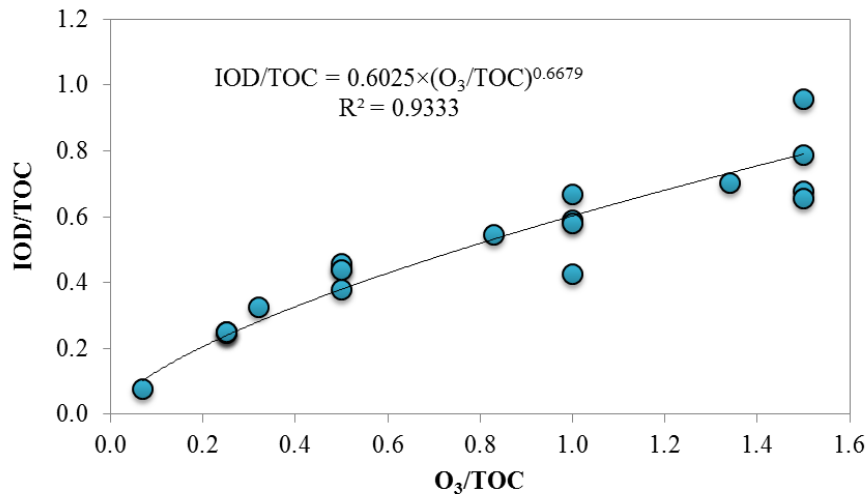
- **WWTP**
 - 1-log removal of *Cryptosporidium*

Planned IPR Model – Critical Steps and Parameters

- **WWTP**
 - 1-log removal of *Cryptosporidium*
- **Ultrafiltration (UF)**
 - 4-log removal of *Cryptosporidium*
 - 0.3% failure rate → 0-log *Cryptosporidium* removal
 - Achieves reduction in UV₂₅₄ absorbance and total organic carbon (TOC)

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- **Pre-Ozone**
 - Dosing based on O₃/TOC ratio of 1.1 and inactivation based on ozone CT
 - Models developed to predict ozone demand/decay, CT, and ΔUV₂₅₄ vs. O₃/TOC
 - 0.2% failure rate for ozone process → 0-log *Cryptosporidium* inactivation
 - Upstream failure of UF increases UV₂₅₄ and TOC → decreased O₃/TOC and CT



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 - Critical for post-ozone TOC removal → Assumes 40% TOC removal
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 - Similar to pre-ozone but maintains constant ozone dose for CT of 10 mg-min/L
 - Failure rate of 0.03% and upstream failures result in decreased O₃/TOC and CT

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 - Failure rate of 0.03% and upstream failures result in decreased O₃/TOC and CT
- **Environmental Buffer**
 - Considers upstream *Cryptosporidium* loading and recycled water contribution (i.e., dilution)
 - Considers *Cryptosporidium* die-off based on reservoir residence time and temperature

Baseline Conditions for Model

Parameter	Description	Value	Units	Reference	
Risk calculations	I	Proportion of symptomatic illness	0.7	Unitless	(Zhang et al., 2012)
	S	Susceptible proportion of population	100%	Unitless	(Zhang et al., 2012)
	ω	Severity weight of <i>Cryptosporidium</i>	0.0017	DALYs/case	(Zhang et al., 2012)
	r	Infectivity parameter	0.00419	oocysts ⁻¹	(Ryu et al., 2007)
	w	Daily water consumption rate	2	L/per-day	(WHO, 2011)
Wastewater	C _c	Influent oocyst concentration	Normal (74, 30)	Oocysts/L	(Kitajima et al., 2014)
Pre-ozone	O ₃ /TOC	O ₃ /TOC ratio	1.1	mgO ₃ /mgC	(Gerrity et al., 2014)
	TOC	Total organic carbon concentration	7.2	mgC/L	(Gamage et al., 2013)
	IOD	Instantaneous ozone demand	4.0	mg/L	See Text S2 – draft manuscript
	k _{O₃}	First order ozone decay rate constant	0.54	min ⁻¹	See Text S2 – draft manuscript
	t _{O₃}	Ozone contact time	5	min	(Au, 2004); See Text S2
	T	Temperature	25	°C	(USEPA, 2010)
Post-ozone	O ₃ CT	Target ozone CT	5.0	mg-min/L	(LeChevallier & Au, 2013)
	T	Temperature	25	°C	(USEPA, 2010)
Environmental buffer	k _{oocyst}	Oocyst decay rate constant at 4°C	0.0093	day ⁻¹	(Peng et al., 2008)
	λ	Dimensionless temperature modifier	0.095	Unitless	(Peng et al., 2008)
	T _{sw}	Temperature of surface water	20	°C	(Peng et al., 2008)
	RWC	Recycled water contribution	20%	Unitless	(Rice et al., 2013)
	t _{EB}	Storage time	270	days	(Wu, 2015)
UV	I _{max}	UV incident (maximum) intensity	25	mW/cm ²	Based on commercial UV system
	x	UV path length	10	cm	(Lee et al., 2016)
	k _A	UVA of nitrified effluent	0.250	cm ⁻¹	(Metcalf & Eddy, 2007)
	k _A	UVA of filtered nitrified effluent	0.175	cm ⁻¹	(Metcalf & Eddy, 2007)
	k _{UV}	Oocyst inactivation rate constant	0.243	(mJ/cm ²) ⁻¹	(Hijnen et al., 2006)

Risk Equations

General Risk Framework:

$$P_{inf,d} = 1 - e^{-rCw}$$

$P_{inf,d}$ = daily probability of infection
 r = infectivity parameter, oocysts⁻¹
 C = oocyst concentration, oocysts/L
 w = daily water consumption rate, L

$$P_{inf,a} = 1 - \prod_{i=1}^{365} (1 - P_{inf,d})_i$$

$P_{inf,a}$ = annual probability of infection

Disability Adjusted Life Year (DALY) Framework:

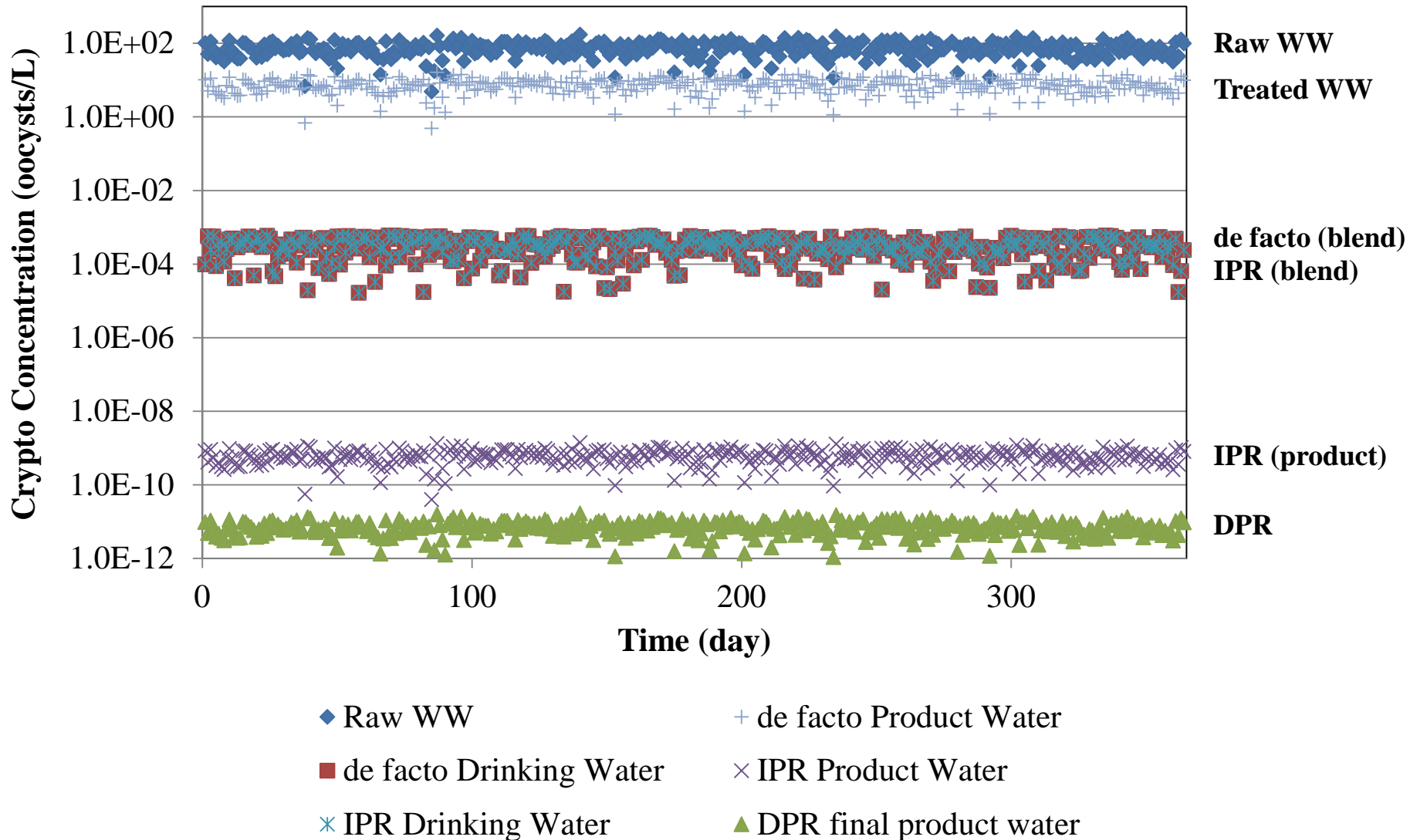
$$R = P_{inf,a} \times S \times I$$

R = annual risk of illness
 S = susceptible proportion of population
 I = symptomatic proportion of illnesses

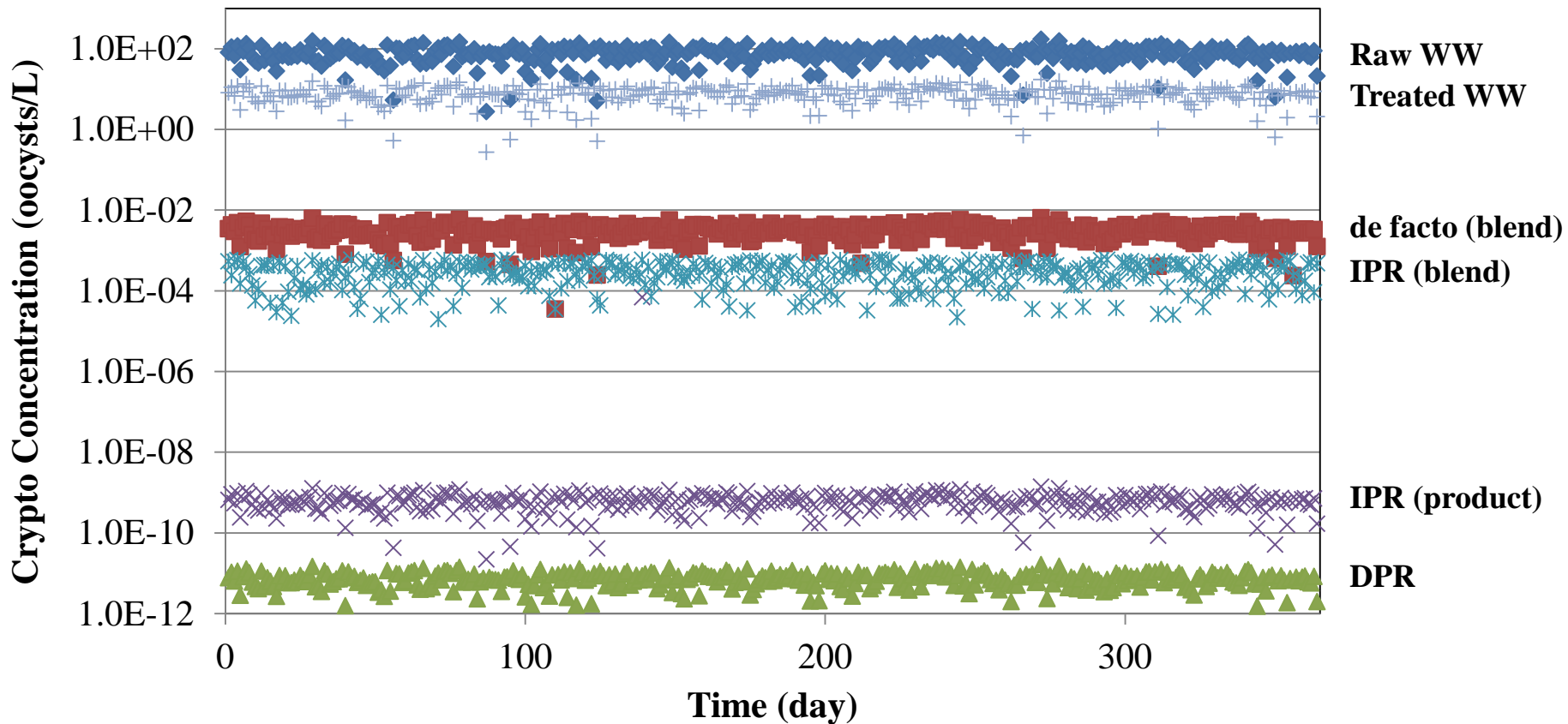
$$D = R \times \omega$$

D = disease burden, DALYs/person-year
 ω = severity weight, DALYs/case

Preliminary Data: Storage Time = 270 Days



Preliminary Data: Storage Time = 45 Days



- ◆ Raw WW
- de facto Drinking Water
- × IPR Drinking Water
- + de facto Product Water
- × IPR Product Water
- ▲ DPR Drinking Water

Preliminary Conclusions

- **de facto vs. IPR vs. DPR**
 - Risk of infection significantly lower for advanced treated wastewater
 - Risk is generally controlled by RWC and environmental buffer
 - Failures are not particularly significant for these treatment trains/operational conditions when targeting *Cryptosporidium* → **robust and redundant**

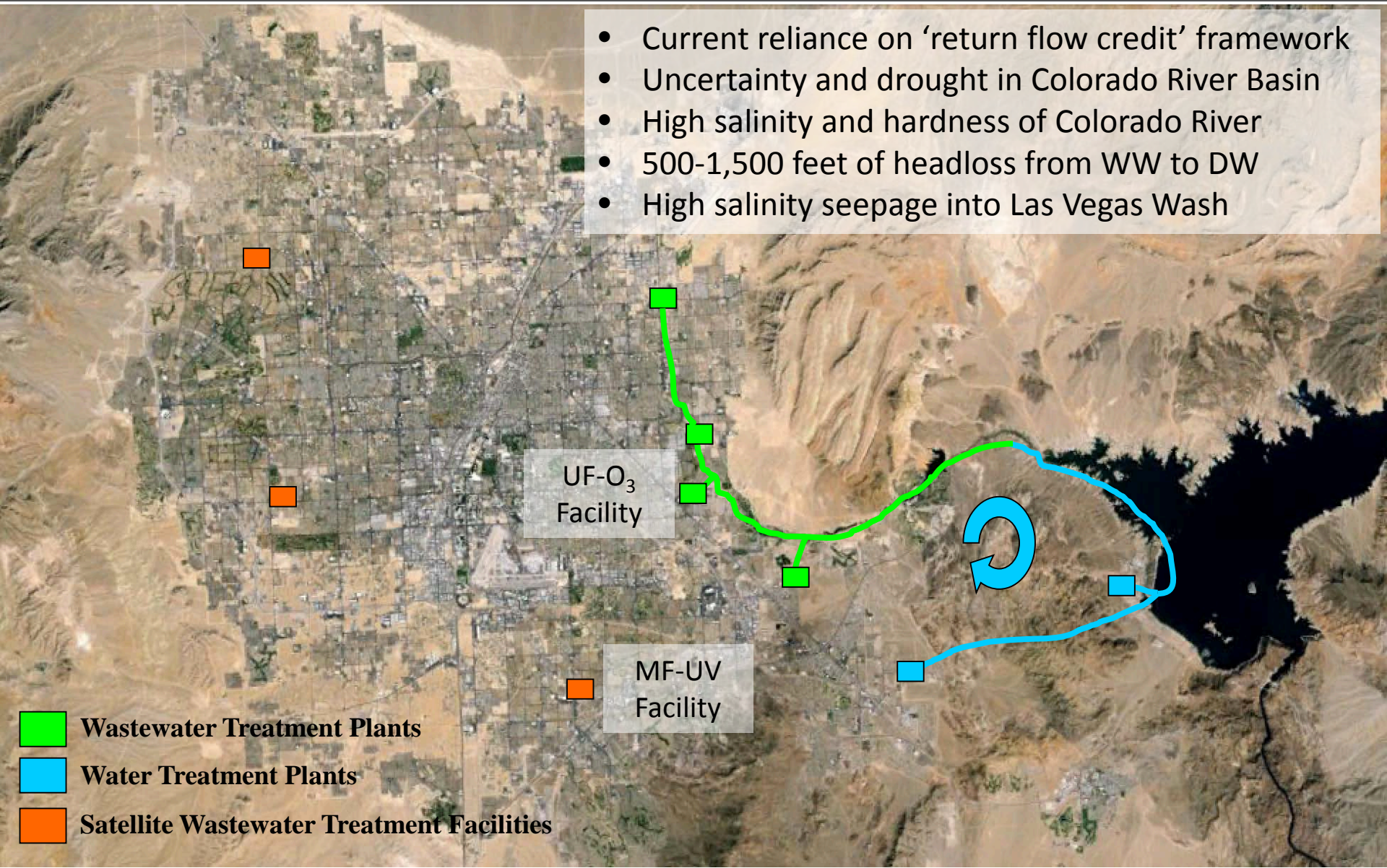
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 - Risk of infection significantly lower for advanced treated wastewater
 - Risk is generally controlled by RWC and environmental buffer
 - Failures are not particularly significant for these treatment trains/operational conditions when targeting *Cryptosporidium* → **robust and redundant**
- **Critical variables**
 - Retention time in the environmental buffer
 - No significant difference between de facto and IPR at 270 days
 - Critical threshold \approx 60 days
 - Temperature in the environmental buffer
 - Significant when combined with shorter reservoir residence times
 - Recycled water contribution (RWC)
 - Higher RWC leads to decreased probability of infection
 - Impact varies with DWTP scenario (i.e., loading and bin classification)

RESEARCH TOPIC 2: SUSTAINABILITY OF POTABLE REUSE

Justification for Direct Potable Reuse – Las Vegas

- Current reliance on 'return flow credit' framework
- Uncertainty and drought in Colorado River Basin
- High salinity and hardness of Colorado River
- 500-1,500 feet of headloss from WW to DW
- High salinity seepage into Las Vegas Wash



- **Develop System Dynamics Model**
 - Water Flows (also Las Vegas Wash Ecosystem)
 - Water Quality (Salinity Loads)
 - Energy Consumption and Greenhouse Gas Emissions
 - Capital and O&M Costs

Sustainability of Potable Reuse in Las Vegas

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- **Evaluate Scenarios**
 - Status Quo: Return Flow Credits / Indirect Potable Reuse
 - Direct Potable Reuse → Compare RO vs. Ozone-Biofiltration
 - Nevada Groundwater Pipeline

Sustainability of Potable Reuse in Las Vegas

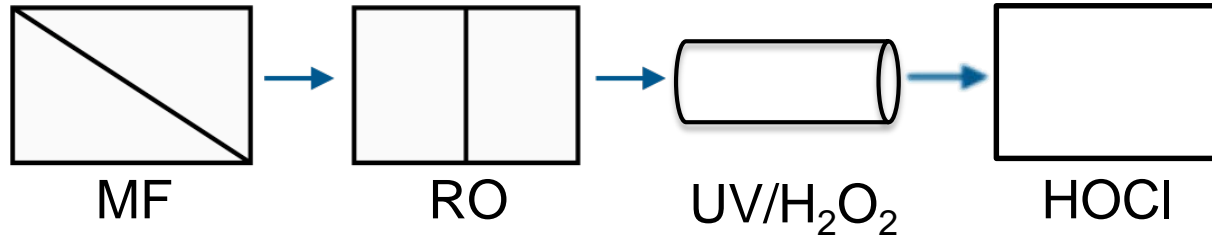
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 - Nevada Groundwater Pipeline
- **Research Questions**
 - At what point (if any) is DPR economically viable considering the additional treatment that would be required?
 - What are the water quality and risk implications?

RESEARCH TOPIC 3: OZONE BIOFILTRATION

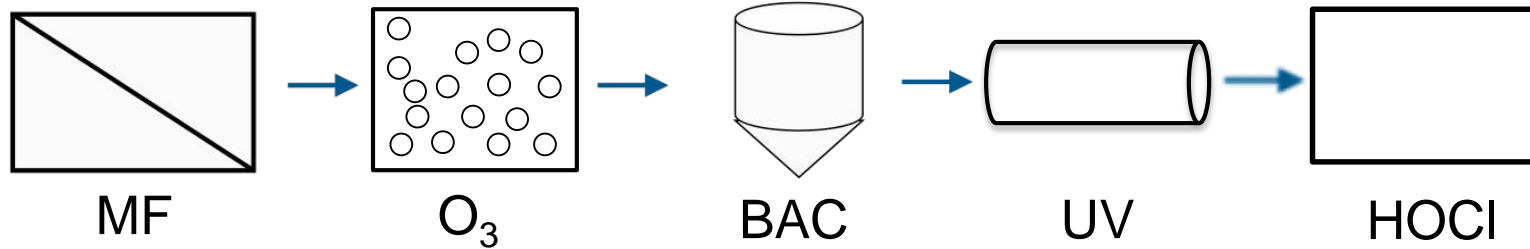


Potential DPR Treatment Trains

Full
Advanced
Treatment:



Alternative
based on
Ozone-BAC:



Advantages of O₃-BAC

- Nearly complete TOrC removal
- Eliminates concentrated brine stream
- Reduced capital and O&M costs
- Reduced energy consumption

Disadvantages of O₃-BAC

- No reduction in TDS and **higher TOC**
- **Disinfection byproduct uncertainty**
 - **Total trihalomethanes (TTHMs)**
 - **Haloacetic acids (HAA5s)**
 - **N-nitrosodimethylamine (NDMA)**

Total Organic Carbon (TOC) Removal Criteria

$$\text{TOC}_{\text{max}} = \frac{0.5 \text{ mg/L}}{\text{RWC}} \quad (\text{RWC} = \text{Recycled Water Contribution}) \quad \text{Source: CDPH (2014)}$$

Full advanced treatment with RO can achieve TOC < 0.5 mg/L:

Expected TOC < 0.5 mg/L → RWC = 1.0

Ozone-BAC can achieve 30-50% removal of TOC (multiple studies and full-scale plants):

Expected TOC = 2.5 – 5.0 mg/L → RWC = 0.10 – 0.20

Ozone-SAT can achieve more than 80% removal of TOC (Nishimura et al., 2013):

Expected TOC = 1.0 – 2.0 mg/L → RWC = 0.25 – 0.50

The question is whether this TOC requirement is appropriate considering that the median TOC concentration of treated drinking water is ~3 mg/L (Trussell et al., 2013)

Objectives of Research Topic 3

- **Chlorine Disinfection Byproduct Formation Potential (Ongoing)**
 - What is the relationship between O_3 dose, empty bed contact time, and TOC removal?
 - Can we use THM/HAA formation potential as a guide for TOC removal (similar to Stage 1 D/DBPR)?

Source Water TOC (mg/L)	Alkalinity: 0 - 60 mg/L as $CaCO_3$	Alkalinity: 60 - 120 mg/L as $CaCO_3$	Alkalinity: > 120 mg/L as $CaCO_3$
2.0 – 4.0	35.0%	25.0%	15.0%
4.0 – 8.0	45.0%	35.0%	25.0%
> 8.0	50.0%	40.0%	30.0%

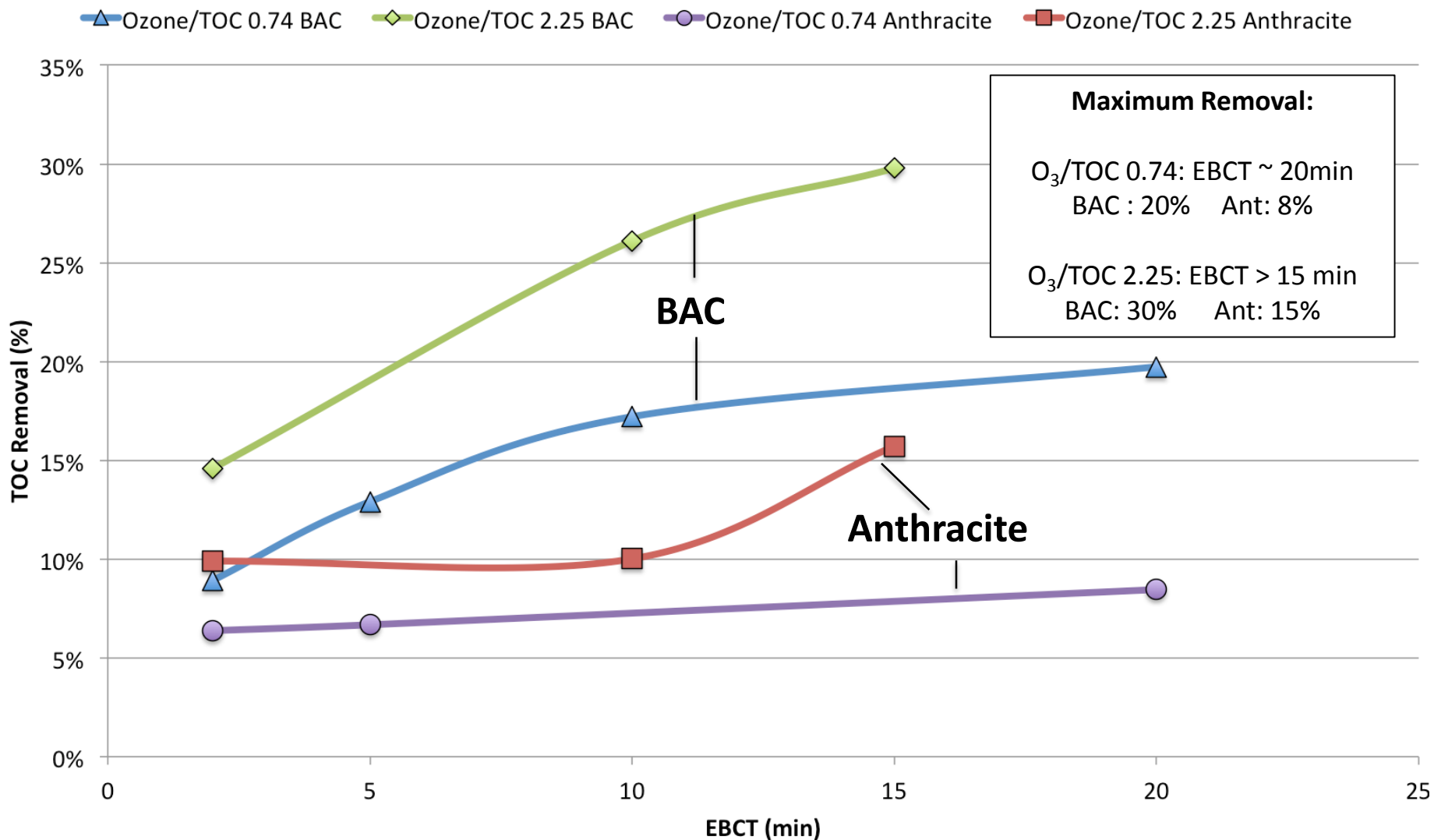
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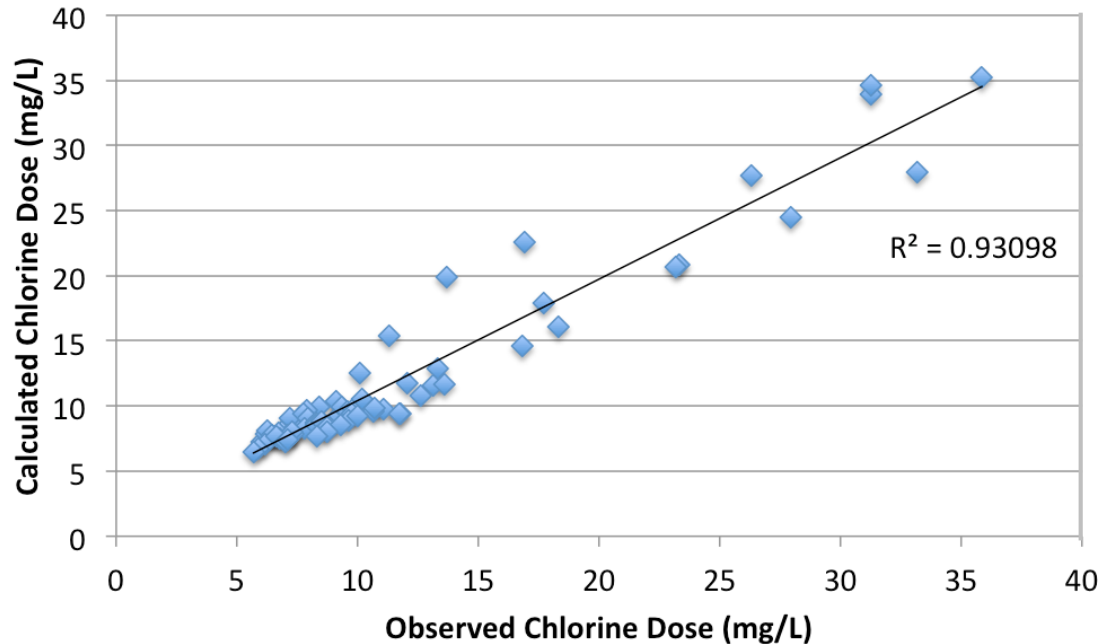
- ***N*-nitrosodimethylamine (NDMA) Formation and Mitigation (Future)**
 - What is the relationship between O_3 dose, empty bed contact time, and NDMA mitigation?
 - How can we optimize the biofiltration process to more reliably control NDMA mitigation?

Current Progress: TOC Removal



Current Progress: Chlorine Dose Correlation

- Residual ammonia was detected in some ozone-biofiltration samples (*Bradyrhizobium spp.*)
- Chlorine dose had to account for demand due to TOC and NH₃
 - Uniform Formation Conditions (UFC) = 1 mg/L free chlorine residual after 24 hours
- Empirical chlorine dosing requirements:



Multivariate Linear Regression:

$$\text{Chlorine Dose (mg/L)} = 8.2 \times \text{NH}_3\text{-N (mg/L)} + 1.2 \times \text{TOC (mg/L)}$$

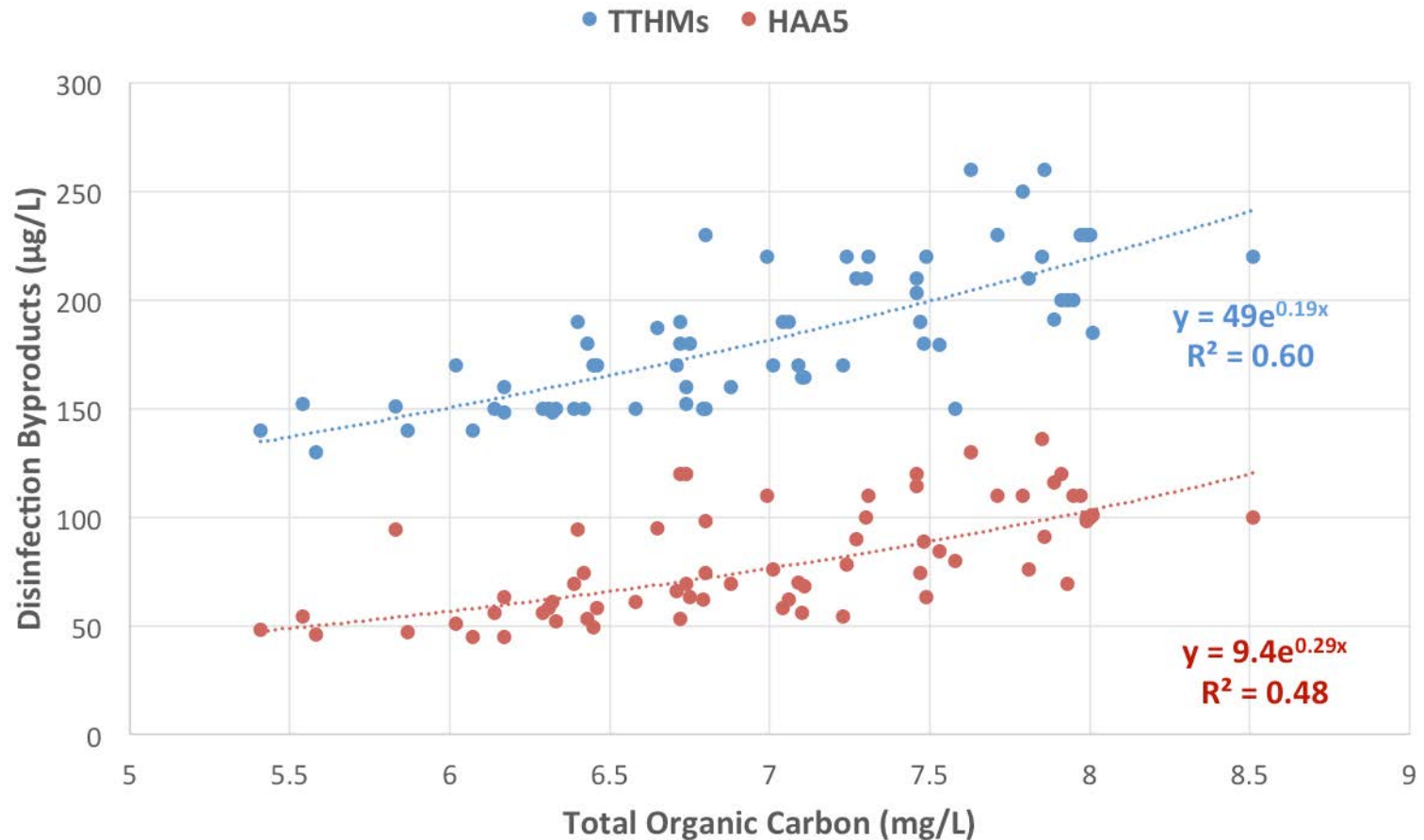
Current Progress: DBP Summary

Chlorinated

	TTHMs ($\mu\text{g/L}$)	% Reduction	HAA5s ($\mu\text{g/L}$)	% Reduction
w/o Chlorine	<5	<5	<5	<5
MBR Filtrate	226	--	139	--
BAC	206	9%	102	27%
Ozone	200	12%	92	34%
Ozone+Anthracite	168	26%	70	50%
Ozone+BAC	160	29%	63	55%
EPA MCL	80	--	60	--

On average, all treated effluents would require further polishing to reliably achieve U.S. EPA MCLs for TTHMs (80 $\mu\text{g/L}$) and HAA5s (60 $\mu\text{g/L}$)

Current Progress: DBP/TOC Correlation



Estimated TOC to achieve U.S. EPA MCLs:

TTHMs: TOC = 2.6 mg/L

HAA5s: TOC = 6.4 mg/L

Current Accomplishments

- **Research Topic 1: QMRA**
 - 1 Ph.D. student
 - Nearly complete system dynamics model for *Cryptosporidium*
 - Aiming for draft of first publication by end of Fall 2016
- **Research Topic 2: Sustainability of Potable Reuse**
 - 1 M.S. student
 - Significant progress on system dynamics model for Las Vegas
 - Aiming for complete model by end of Fall 2016
- **Research Topic 3: Ozone-Biofiltration**
 - 2 M.S. students
 - Significant progress on TOC removal and TTHMs/HAA5s
 - Microbial community characterization of biofiltration columns

Next Steps

- **Research Topic 1: QMRA**
 - Expand model to address viral and bacterial pathogens
 - Expand model to include reverse osmosis
 - Expand model to include disease transmission
 - Compare relative risks of trace organics vs. pathogens
- **Research Topic 2: Sustainability of Potable Reuse**
 - Complete model and evaluate policy/water resource scenarios
 - ‘Return Flow’ vs. DPR vs. Groundwater Pipeline
- **Research Topic 3: Ozone-Biofiltration**
 - Evaluation DBP formation with different blending ratios
 - Restart ozone-biofiltration system to evaluate NDMA mitigation
 - Evaluate trace organic compound (TOrc) mitigation (PFOS/PFOA)
- **UNLV Film Department Collaboration**
 - Create short film related to potable reuse