

# A Next Generation Risk Assessment – Coumarin case study

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# EPA NAM workplan

## 1. Primary focus for talk



The objective of a consumer product risk assessment is...

Can we safely use  $x\%$  of ingredient  $y$  in product  $z$ ?

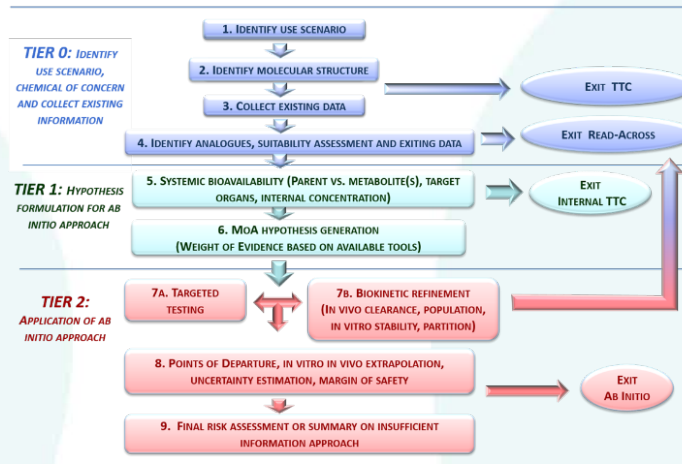


# Framework Approach: The overall goal is a human safety risk assessment



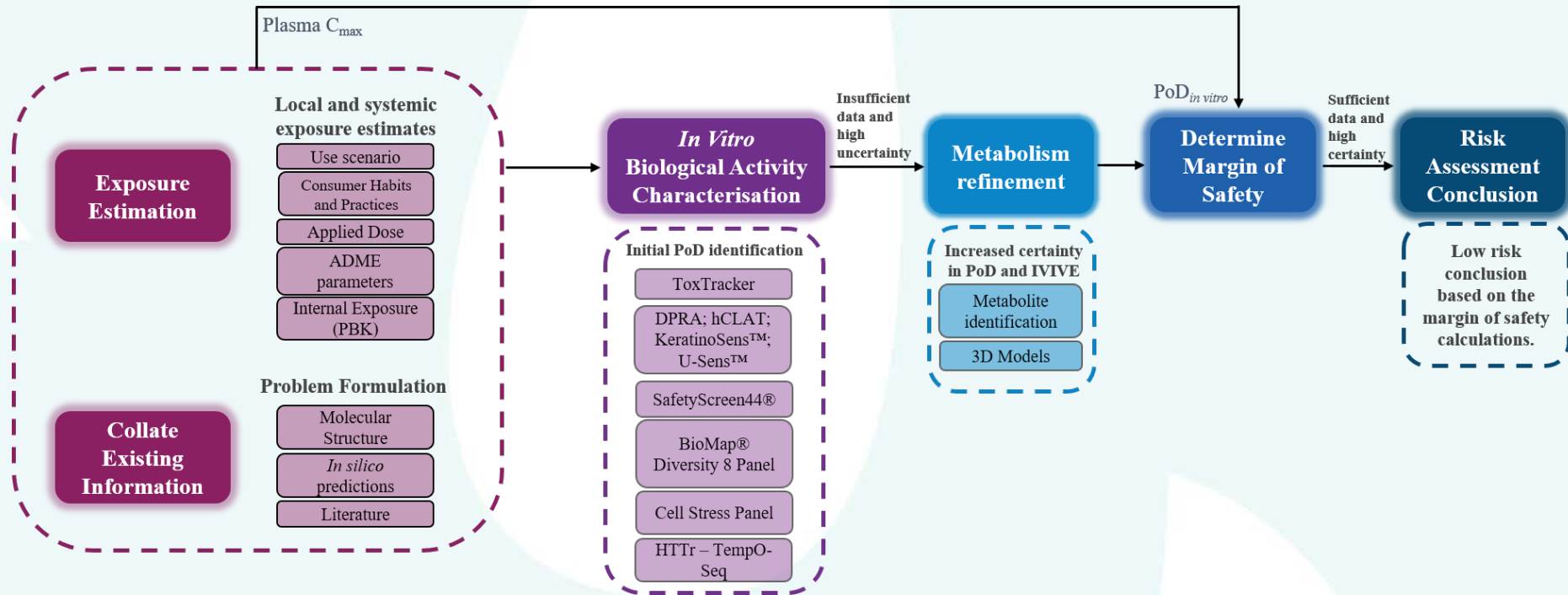
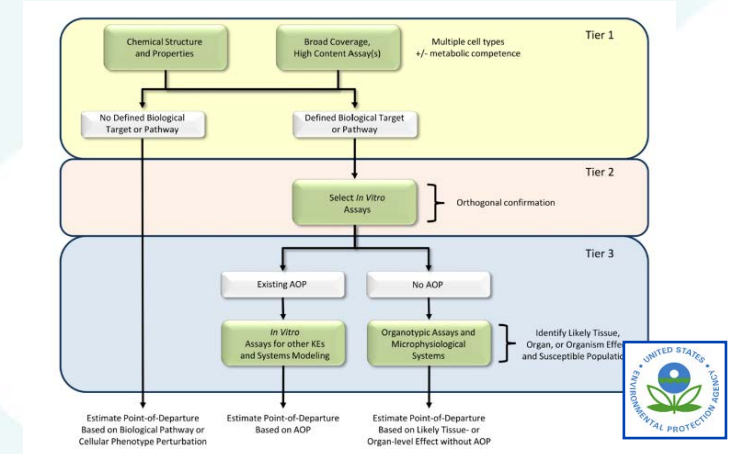
## ICCR 9 principles of NGRA

- 4 **Main overriding principles:**
  - The overall goal is a human safety risk assessment
  - The assessment is exposure led
  - The assessment is hypothesis driven
  - The assessment is designed to prevent harm
- 3 **Principles describe how a NGRA should be conducted:**
  - Following an appropriate appraisal of existing information
  - Using a tiered and iterative approach
  - Using robust and relevant methods and strategies
- 2 **Principles for documenting NGRA:**
  - Sources of uncertainty should be characterized and documented
  - The logic of the approach should be transparent and documented



Berggren et al., (2017) *Computational Toxicology* 4: 31-44.

Dent et al. 2018 *Computational Toxicology*, 7, 20-26.



# Problem Formulation

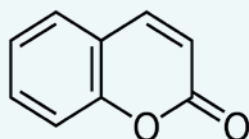
## Case Study approach – Human Health Safety Assessment required for ...

### 0.1% COUMARIN IN FACE CREAM



Assumed that:

- Coumarin was 100% pure
- no *in vivo* data was available such as animal data, History of Safe Use (HoSU) info. or Clinical data
- no use of animal data in Read Across
- *In silico* alerts known to be based on animal or *in vivo* data or on the structure of Coumarin itself were excluded



Exposure Led

All safety assessments of cosmetic ingredients are exposure-driven:

Baltazar *et al.*, (2020) *Tox Sci* (vol 176: 236–252)  
<https://doi.org/10.1093/toxsci/kfaa048>

# Application Scenario for determining PoD - still in development



Consumer Exposure

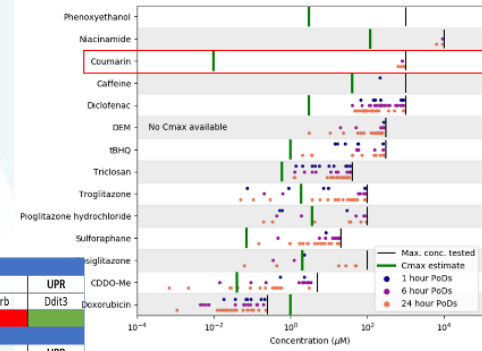
Potential hazards of the ingredients

Risk Assessment

Standard ToxTracker assay +59				
DNA damage	p53	Ox. stress	UPR	
Bcl2	Rtkn	Btg2	Srxn1	Ddit3

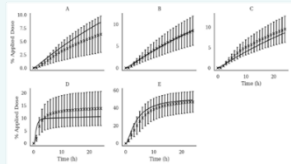
  

Standard ToxTracker assay -59				
DNA damage	p53	Ox. stress	UPR	
Bcl2	Rtkn	Btg2	Srxn1	Bclrl1

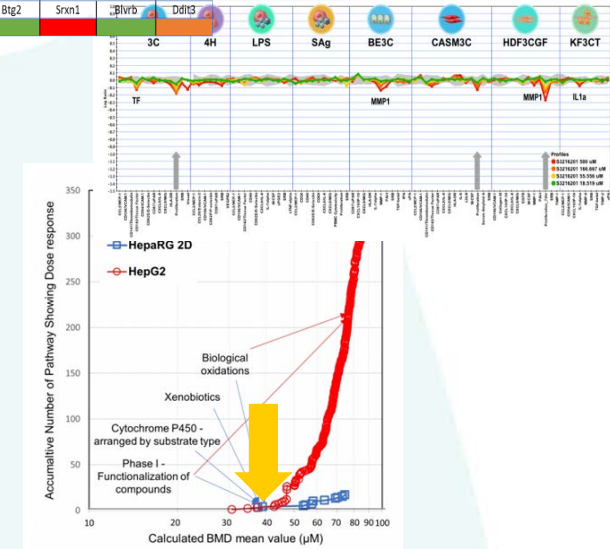
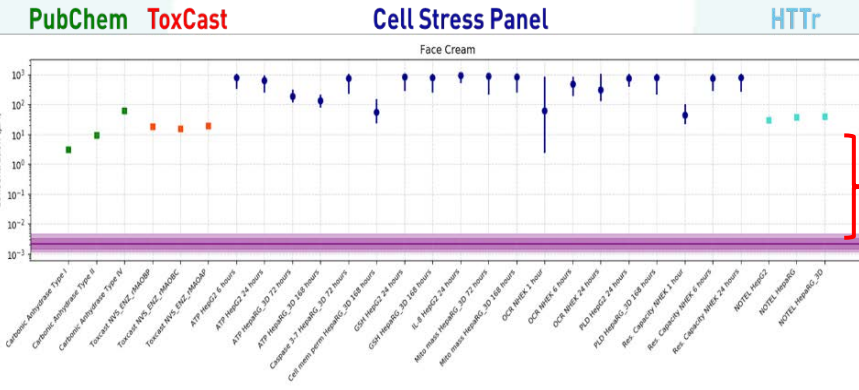
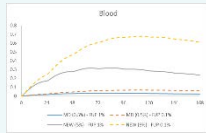


Exposure due to consumer use  
mg/kg/day

Skin pen



Free plasma concentration (uM) corresponding to consumer use, from PBPK modelling



MoS POD comparison

*In vitro* threshold concentration (uM) measured as free media concentration

Exposure Estimation

Local and systemic exposure estimates

- Use scenario
- Consumer Habits and Practices
- Applied Dose
- ADME parameters
- Internal Exposure (PBK)

# NGRA for 0.1% coumarin in face cream: exposure estimation



Table 2: Estimated daily exposure levels for different cosmetic product types according to Consumer Europe data (SCCP/PCS/1/02; Hall et al., 2007, 2011).

Product type	Estimated daily amount applied (mg/day)	Relative absorption (%)	Relative dermal exposure (%)	Calculated daily exposure (mg/kg/day)
<b>Bathing, showering</b>				
Shower gel	18.67 g	279.23	0.01	0.19
Hand water soap	70.00 g	0.01	0.20	1.33
<b>Hair care</b>				
Shampoo	10.11		0.11	1.51
Hair conditioner				

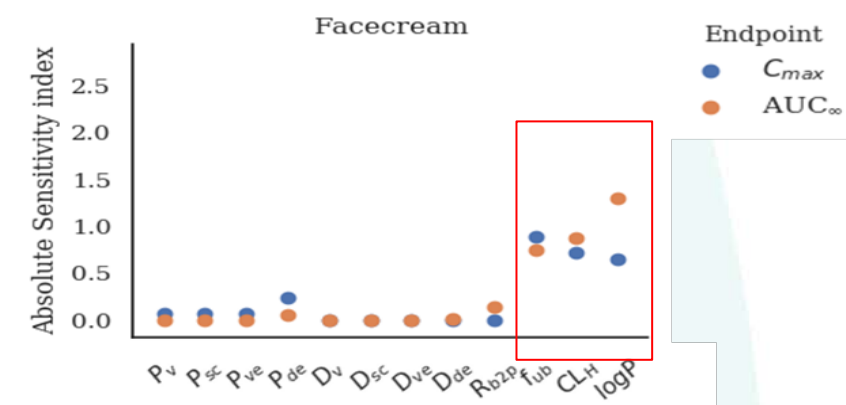
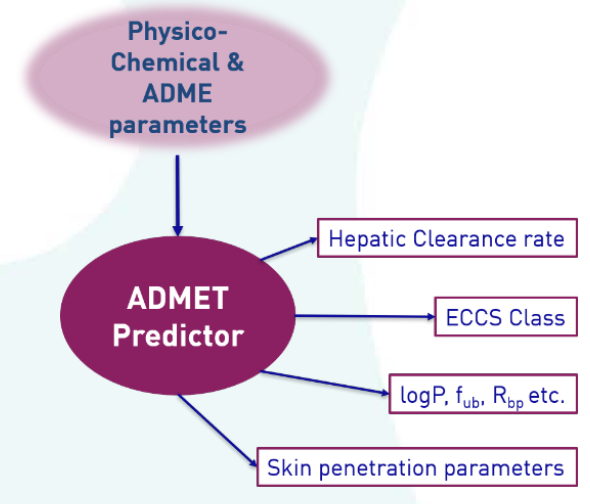
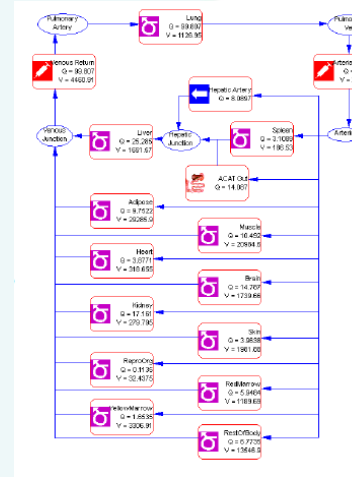


B. Hall et al./Food and Chemical Toxicology 49 (2011) 408–422

Assessment is exposure-led and uses available habits and practices data

Parameter	Face cream
Amount of product used per day (g/day) using 90th percentile	1.54
Frequency of use	2 times/day
Amount of product in contact with skin per occasion (mg)	770
Ingredient inclusion level	0.1%
Skin surface area (cm <sup>2</sup> )	565
Exposure duration per occasion	12 hours
Amount of ingredient in contact with skin per occasion (mg)	0.77
Local dermal exposure per occasion (µg/cm <sup>2</sup> )	1.36
Systemic exposure per day (mg/kg)	0.02

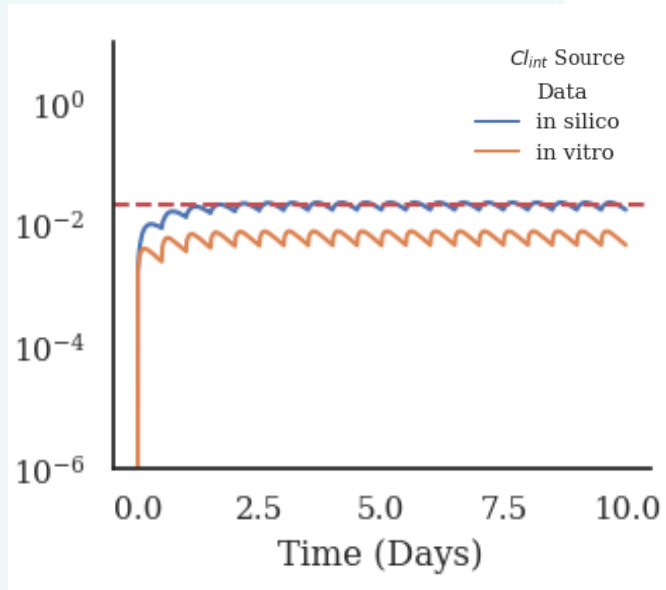
## GastroPlus® (Simulations Plus)



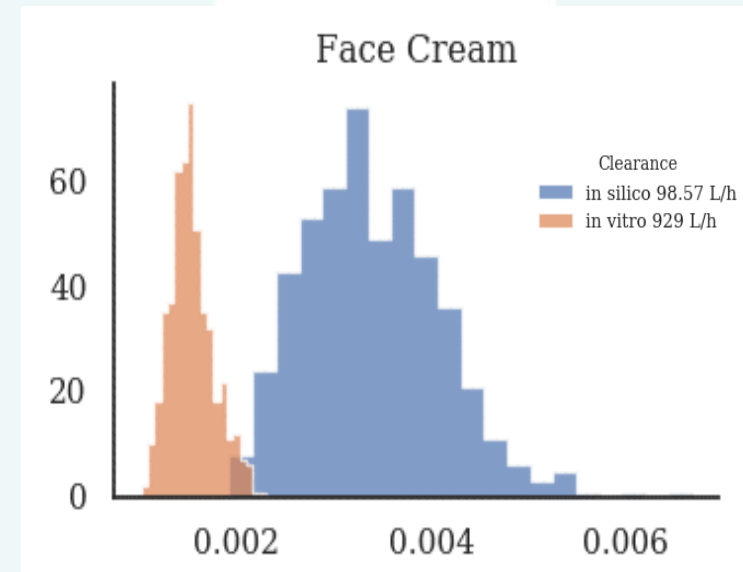
- Use scenario
- Consumer Habits and Practices
- Applied Dose
- ADME parameters
- Internal Exposure (PBK)

# Exposure estimation- Internal concentration using PBK modelling- Model Outputs

Level 2- Simulated plasma concentration of coumarin after dermal exposure.



Level 2. Uncertainty and population variability  
Distribution of C<sub>max</sub> values after performing Monte Carlo simulation.



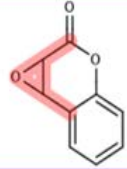
Total Plasma C <sub>max</sub> (μM)	Mean	Median	90th percentile	95th percentile	97.5th percentile	99th percentile
<b>Face Cream</b>	0.0022	0.0021	0.004	0.0043	0.0046	0.005



# Summary of Existing Data

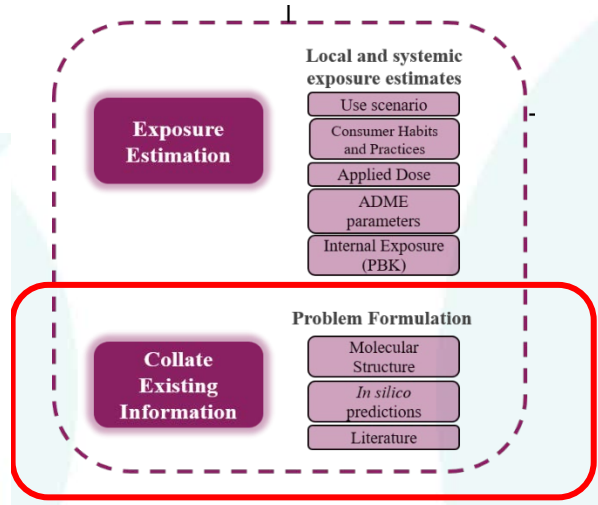


Generation of hypothesis for potential Molecular Initiating events –  
ToxTree, MIE ATLAS\*, OECD toolbox



Initial Hypothesis

- Coumarin might bind to proteins- MIE for induction of skin sensitisation
- DNA binding alert + epoxide formation MIE for genotoxicity
- Reactive metabolites might be formed with alerts for both genotoxicity and skin sensitisation
- No binding alerts for the 39 targets in MIE atlas



Standard ToxTracker assay +S9					
DNA damage		p53	Ox. stress		UPR
Bsc12	Rtkn	Btg2	Srxn1	Blvrb	Ddit3
Green	Orange	Orange	Red	Red	Green

Standard ToxTracker assay -S9					
DNA damage		p53	Ox. stress		UPR
Bsc12	Rtkn	Btg2	Srxn1	Blvrb	Ddit3
Green	Green	Green	Red	Green	Orange

Positive (>2-fold induction)  
Weak activation (1.5 to 2-fold induction)  
Negative (<1.5-fold induction)

ive assays among multiple assays (~ 5000)  
in inhibited both Monoamine oxidases and  
Carbonic anhydrases at concentrations between 3  
µM- 40 µM

## Results

- ToxTracker negative
- Reactive coumarin metabolite(s) could induce DNA lesions secondary to oxidative stress

The AC50 from dose-response curves was used a PoD for MoS calculation

\*Allen THE et al., 2018. Using 2D Structural Alerts to Define Chemical Categories for Molecular Initiating Events. Toxicol Sci. 2018 Sep 1;165(1):213-223

**In Vitro Biological Activity Characterization**

- Initial PoD Identification
  - ToxTracker®
  - DPRA, hCLAT, KeratinoSens™, U-Sens™
  - SafetyScreen44®**
  - BioMap® Diversity 8 Panel
  - Cell Stress Panel
  - HTTr – Tempo-Seq

**NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: In vitro binding and enzymatic assays: Eurofins SafetyScreen44**

**To investigate possible interactions between coumarin and the 44 key targets involved in drug attrition**

**PERSPECTIVES**

**A GUIDE TO DRUG DISCOVERY — OPINION**

**Reducing safety-related drug attrition: the use of *in vitro* pharmacological profiling**

*Joanne Bowes, Andrew J. Brown, Jacques Hamon, Wolfgang Jarolimek, Arun Sridhar, Gareth Waldron and Steven Whitebread*

Abstract | *In vitro* pharmacological profiling is increasingly being used earlier in the drug discovery process to identify undesirable off-target activity profiles that could hinder or halt the development of candidate drugs or even lead to market withdrawal if discovered after a drug is approved. Here, for the first time, the rationale, strategies and methodologies for *in vitro* pharmacological profiling at four major pharmaceutical companies (AstraZeneca, GlaxoSmithKline, Novartis and Pfizer) are presented and illustrated with examples of their impact on the drug discovery process. We hope that this will enable other companies and academic institutions to benefit from this knowledge and consider joining us in our collaborative knowledge sharing.

Decreasing the high attrition rate in the drug discovery and development process is a primary goal of the pharmaceutical industry. One of the main challenges in achieving this goal is striking an appropriate balance between drug efficacy and potential adverse effects<sup>1</sup> as early as possible in order to reduce safety-related attrition, particularly in the more expensive late stages of clinical development. Gaining a better understanding of the safety profile of drug candidates early in the process is also crucial for reducing the likelihood of safety issues limiting the use of approved drugs, or even leading to their market withdrawal, bearing in mind the growing societal and regulatory emphasis

target (or targets), whereas secondary effects are due to interactions with targets other than the primary target (or targets) (that is, off-target interactions). Off-target interactions are often the cause of ADRs in animal models or clinical studies, and so careful characterization and identification of secondary pharmacology profiles of drug candidates early in the drug discovery process might help to reduce the incidence of type A ADRs.

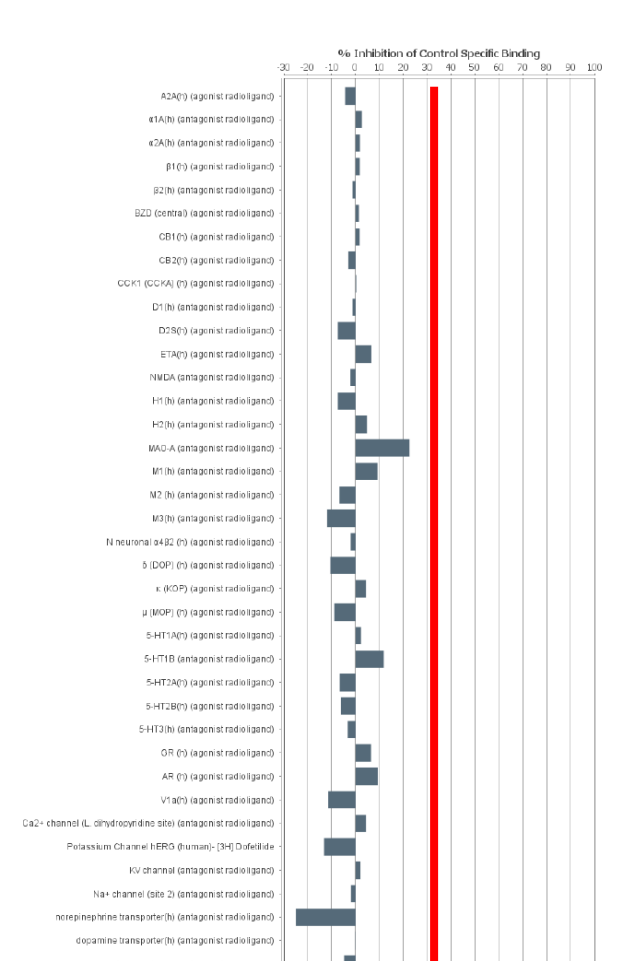
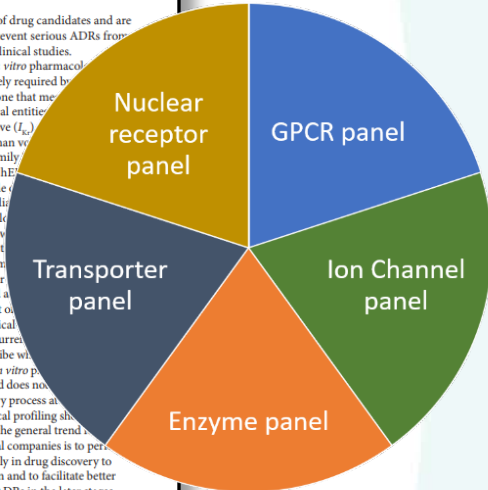
*In vitro* pharmacological profiling involves the screening of compounds against a broad range of targets (receptors, ion channels, enzymes and transporters) that are distinct from the intended

safety testing of drug candidates and are designed to prevent serious ADRs from occurring in clinical studies.

The only *in vitro* pharmacological assay that is absolutely required by regulatory authorities is one that measures the current of native ( $I_{Na}$ ) expressed human voltage-gated sodium channel subfamily 1 (hNav1.7), also known as hERG, which blockade of which blockades ventricularly fatal cardiac arrhythmias (long QT syndrome) following drug treatment. The QT interval is a measure of the seriousness of this arrhythmia, and this assay is a recommended part of the assessment of novel chemical entities.

However, current regulatory requirements do not describe when or how to use this assay to constitute an *in vitro* pharmacological profiling panel and does not describe the use of the discovery process at pharmaceutical companies. Nevertheless, the general trend in pharmaceutical companies is to perform this testing early in drug discovery to reduce attrition and to facilitate better prediction of ADRs in the later stages of drug discovery and development.

Here, for the first time, four major pharmaceutical companies (AstraZeneca, GlaxoSmithKline, Novartis and Pfizer) share their knowledge and experiences of the innovative application of existing screening technologies to detect off-target interactions of compounds. The objective of this article is to describe the rationale and main advantages for the use of *in vitro* pharmacological profiling, to discuss best practices and to



**Results:**

**All binding and enzymatic assay results were negative at 10 μM**



**In Vitro Biological Activity Characterization**

Initial PoD Identification

ToxTracker®

DPRA, hCLAT, KeratinoSens™, U-Sens™

SafetyScreen44®

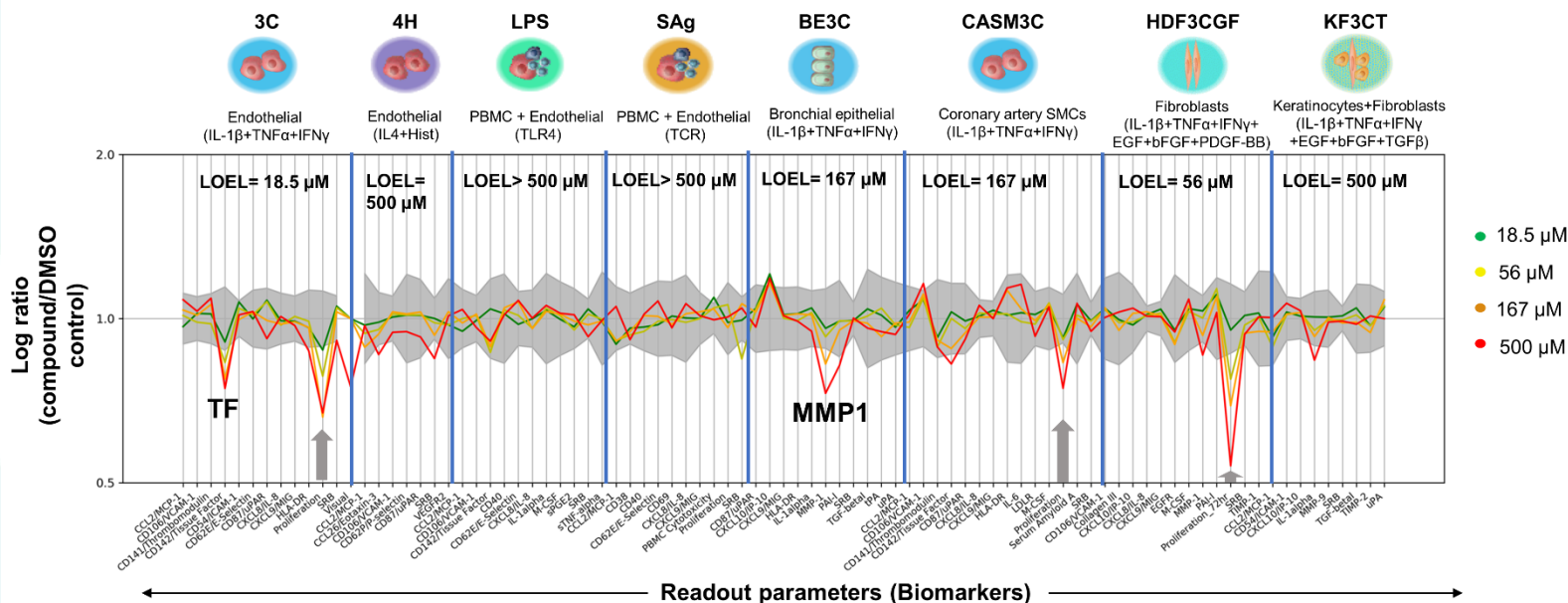
**BioMap® Diversity 8 Panel**

Cell Stress Panel

HTTr – TempO-Seq

# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: Immunomodulatory screening assay: BioMap Diversity 8 Panel

To investigate possible effects on vascular inflammation, immune activation and tissue remodelling

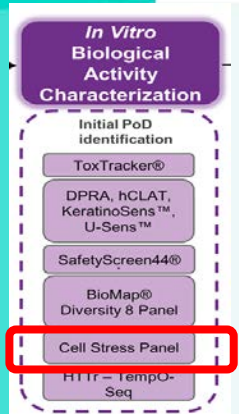


Data suggested that coumarin has no immunomodulatory effects at relevant concentrations and is not an anti-inflammatory compound

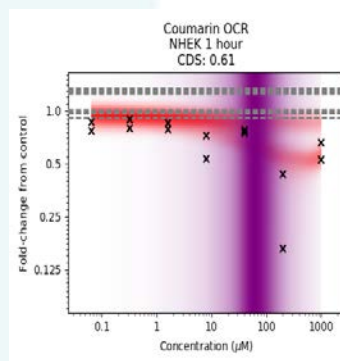
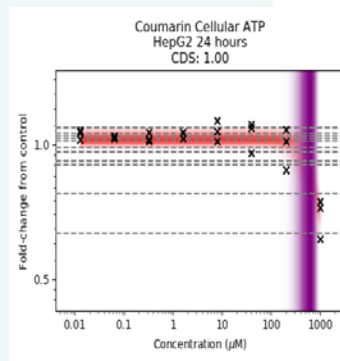


# In vitro biological activity characterisation: In vitro cell stress panel

- Cellular stress response assays are useful to **characterize non-specific biological activity** which is not mediated via a specific protein/receptor interaction
- Measures a range of biomarkers covering **~10 cell stress pathways**
- Single exposure; 8 concentrations; 1h, 6h & 24hr timepoints; HepG2 & NHEK cells

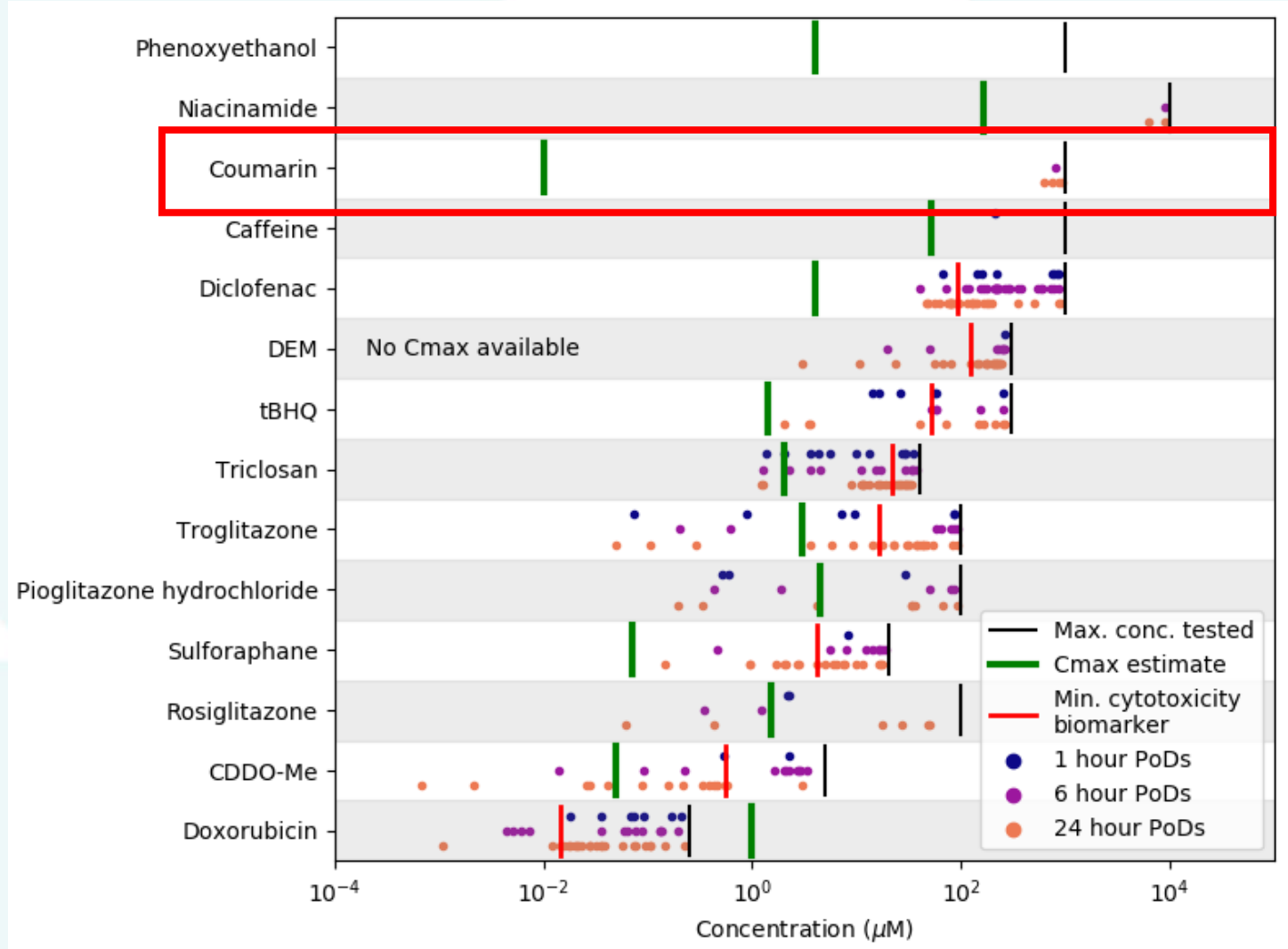
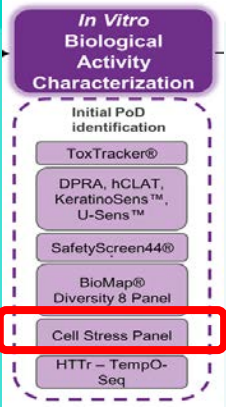


- **Mitochondrial Toxicity:** MitoSOX, PGC1 $\alpha$ , MMP, ATP, Glu/Gal
- **Oxidative Stress:** GSH, ROS, SRXN1, NRF2
- **DNA damage:** pH2AX, p53
- **Inflammation:** TNFAIP3, ICAM1, NF $\kappa$ B p65, IL-1 $\beta$ , IL-8, HMGB1
- **ER Stress:** PERK, ATF4, CHOP, XBP1, BiP, ER Tracker
- **Metal Stress:** MTF-1, Metallothionein
- **Osmotic Stress (NFAT5); Heat Shock (HSP70); Hypoxia (HIF1 $\alpha$ )**
- **Cell Health:** LDH, Phospholipidosis, Steatosis, pHrodo indicator, apoptosis (caspase-3/7) & necrosis (ToPro-3)



Biomarkers	Cell type	Stress pathway	PoD ( $\mu$ M)	Effect	Concentration dependency score (CDS)
ATP (6h)	HepG2	cell health	794 (363-977)	down	0.98
ATP (24h)	HepG2		617 (282-891)	down	1
Phospholipidosis (24h)	HepG2	cell health	759 (437-977)	down	0.93
GSH (24h)	HepG2	oxidative stress	851 (301-1000)	up	0.92
IL-8 (24h)	HepG2	inflammation	912 (575-1000)	down	0.61
OCR (1h)	NHEK	mitochondrial toxicity	62 (2.6-776)		0.6
OCR (6h)			468 (214-794)	down	1
OCR (24h)			309 (138-1000)		0.52
Reserve capacity (1h)	NHEK	mitochondrial toxicity	44 (23-96)		1
Reserve capacity (6h)			759 (302-1000)	down	0.9
Reserve capacity (24h)			794 (295-1000)		0.55

# In vitro biological activity characterisation: In vitro cell stress panel

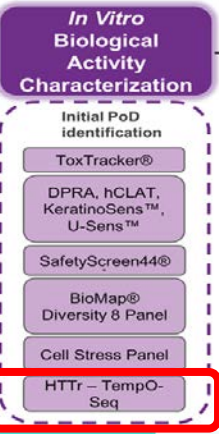


## Results:

Coumarin not very active in comparison to known “high risk compounds” like doxorubicin

- PoDs shown for HepG2 only





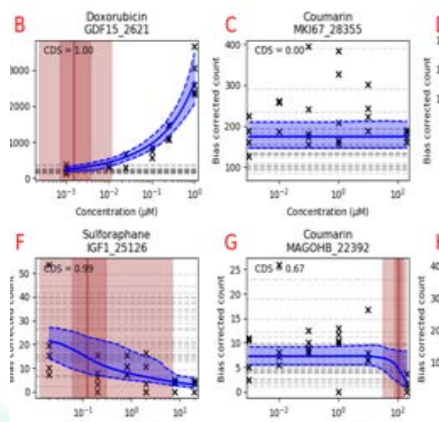
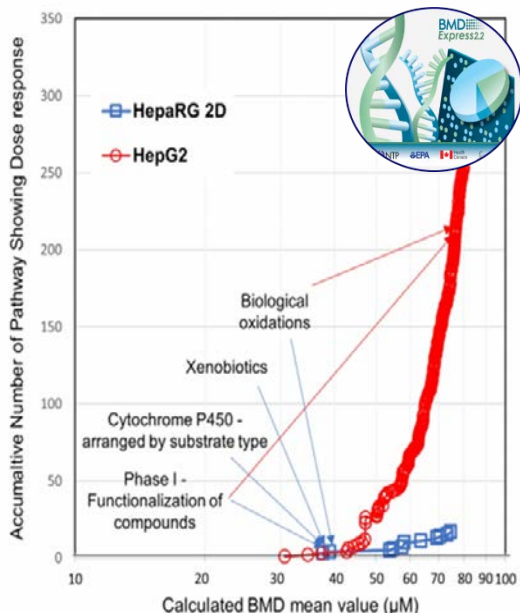
# In vitro biological activity: High-Throughput Transcriptomics (HTTr)

Provide screen for biological activity across a broad biological coverage

- *Tempo-Seq*
- *Human gene panel ver1 ~ 21k*
- *3 cell lines*

### Results:

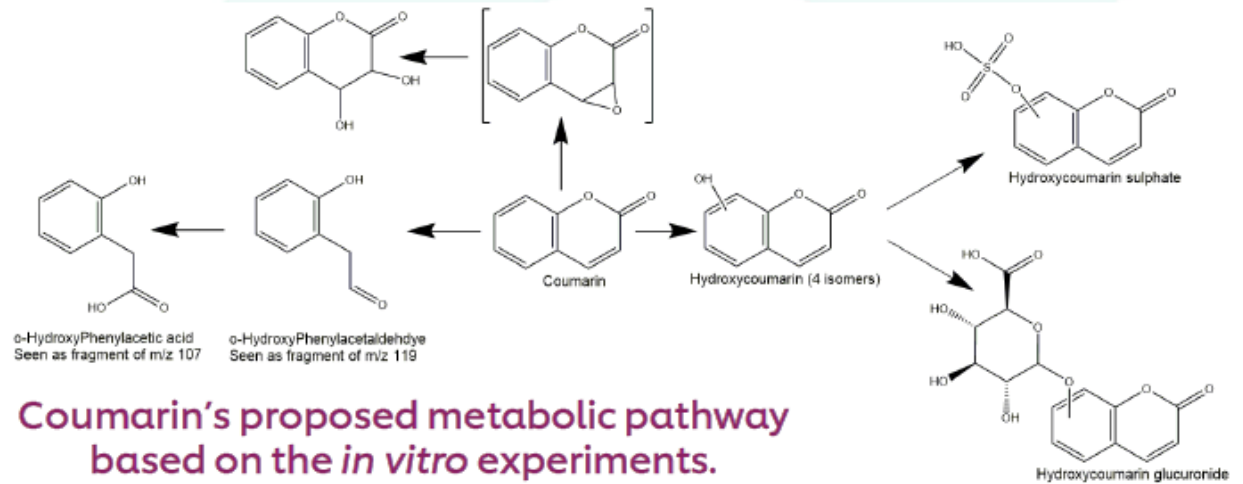
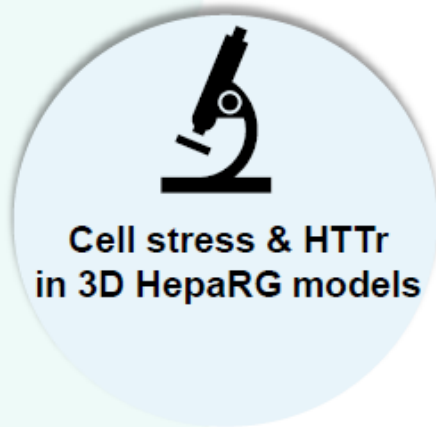
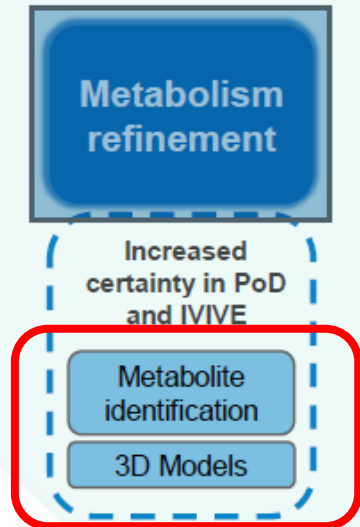
- The MCF7 PoD<sub>T</sub> were not considered to be sufficiently robust to derive a MoS
- The lowest PoDT for each cell model was selected for the MoS calculation



Cell model	HepG2	MCF7	HepaRG 2D
<b>Pathway level tests PoD<sub>T</sub> (µM)</b>	(308 pathways)	(0 pathways)	(17 pathways)
<b>20 pathways with the lowest p value Reactome</b>	70	NA	58*
<b>20 pathways with the lowest BMD Reactome</b>	44	NA	58*
<b>BMD of Reactome pathway with lowest BMD that meets significance threshold criteria</b>	31	NA	38
<b>Gene level tests PoD<sub>T</sub> (µM)</b>	(1570 genes)	(47 genes)	(87 genes)
<b>Mean BMD of 20 genes with largest fold change</b>	6	3	54
<b>Mean BMD of genes between 25<sup>th</sup> and 75<sup>th</sup> percentile</b>	17	1	59



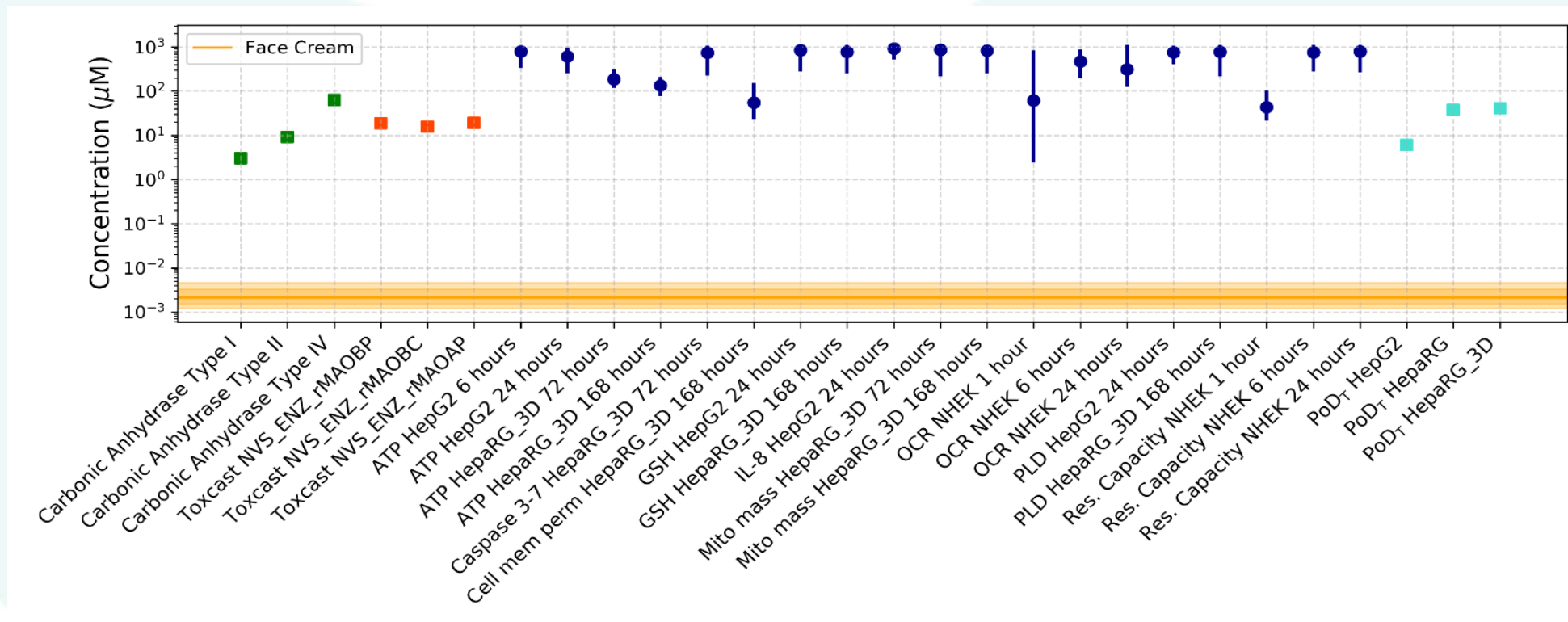
# Tier 2 refinement: Metabolism prediction and activity



- Low bioactivity also found in a metabolic competent cell model (HepaRG 3D)
- PoDs range: 41-871  $\mu\text{M}$  – not very different from 2D cells

# NGRA for 0.1% coumarin in face cream: Risk assessment conclusion

Determine  
Margin of  
Safety



- The predicted  $C_{\text{max}}$  values for face cream were lower than all PoDs with a MoS (the 5<sup>th</sup> percentile) higher than 100
- Coumarin is not genotoxic, does not bind to any of the 44 targets and does not show any immunomodulatory effects at consumer relevant exposures
- **Weight of evidence suggests that the inclusion of 0.1% coumarin in face cream is safe for the consumer use scenario**



## Summary

- Focus on weight of evidence to show tools can be integrated to make a safety decision - requires diverse expertise
- Exposure led approach to determine protection through a MoS
- Strength derived from a combination of targeted and broad unbiased tools – hypothesis led
- NAMs not standard - need to ensure robustness/quality of tools and include estimations of uncertainty to aid acceptance
- Utilise NAMs for further targeted follow where required to refine uncertainty e.g. metabolism
- Further evaluation, additional case studies internal/ in collaboration – ongoing EPA and EU-ToxRisk and assessment required to build out confidence for broader stakeholder community
- Additional research to progress on gaps

# Acknowledgements



## Core Team:

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