# **TOXICS RELEASE INVENTORY (TRI)**

# BASIC PLUS DATA FILES DOCUMENTATION

# FILE TYPE 5

# ADDITIONAL INFORMATION ON DISPOSAL AND OTHER RELEASES, SOURCE REDUCTION, AND RECYCLING ACTIVITIES

Updated for RY 2021

September 2022



#### **OVERVIEW OF TRI BASIC PLUS DATA FILES**

The TRI "Basic Plus" data files include 10 file types that collectively contain all the data fields from the TRI Reporting Form R and Form A (except Form R Schedule 1). The 10 file types are tab-delimited text (.txt) files packaged into a .zip file.

<u>File</u>	<u>Example</u>	<u>Description of Contents</u>	Form R/Form A Reference
Type 1A	CA_1A_2017.txt	Facility data, chemical identification, chemical uses, onsite releases and management, offsite transfers, summary information	Part I (all), Part II (section 1, 3, 4, 5, 6.1.A, 6.2ABC, 7B, 7C, 8.2.B, 8.4.B, 8.6.

The Basic Plus Data Files are identified (named) by state, file type, and reporting year:

File Name = State + File Type + Reporting Year

For example, the file "CA\_1A\_2017.txt" contains facility, chemical identification, chemical use, on-site release and waste management, off-site transfer, and summary information (File Type 1A) for all facilities located in California (CA) for reporting year 2017.

In addition to the set of data files for each state, there are two other Basic Plus file sets: Federal and National. The Federal files (FED\_1A\_2017.txt, FED\_2A\_2017.txt, etc.) contain TRI data for all government-owned-and-operated federal sites. The National files (US\_1A\_2017.txt, US\_2A\_2017.txt, etc.) contain TRI data for all U.S. states and territories for a specific year.

#### **DESCRIPTION OF FILE TYPE 5 CONTENTS**

The "Type 5" file contains information from Section 8.11 of the TRI Reporting Form R. Section 8.11 is an optional text section in which facilities may choose to provide more detail about activities taken to reduce releases of the TRI chemical being reported. Collection of Section 8.11 comment data began in reporting year 2005. Only Form R submissions that have this optional text are included in File Type 5.

All Type 5 files contain data from the following parts and sections of the Form R:

Part	Section	Description
I	1	Reporting Year
I	1	Revision Codes
I	4	Facility Identification Information
1	5	Parent Company Information
II	1	Chemical Identification Data
II	8.11	Additional Information on Source Reduction, Recycling and Pollution Control

*Note:* In 2005, the TRI Program stopped collecting underground injection control (UIC) identification numbers from facilities on the TRI reporting forms. UIC IDs identify facilities that received permits from state governments to dispose of or release chemical waste into Class I through Class V underground injection wells.

The TRI Program does have some historical UIC IDs that were collected prior to 2005. Many of these, however, are outdated and inaccurate. The TRI Program is also missing UIC IDs for facilities that began reporting to TRI in or after 2005. EPA does not store nor have access to current UIC IDs. Because of this lack of current, accurate and complete data, the TRI Program removed the UIC ID data fields from the TRI Basic Data Files in 2019.

To learn more about UIC permits and underground injection wells see the "Protecting Underground Source of Drinking Water from Underground Injection (UIC)" website at <a href="https://www.epa.gov/uic">https://www.epa.gov/uic</a>

#### WHAT'S IN THIS DOCUMENT

The rest of this document is organized as a four-column data table. It describes what information you will find when you download and open any of the TRI Basic Plus Data: File Type 5 files.

Column	Description
Number (No.)	The sequential number of the data element in the record
Field Name	The name of the data element (Note: these names correspond to the various column headings in the data files themselves.)
Data Type	'C' for character data (alphanumeric) 'N' for numeric data 'D' for date
Description	A brief statement of what the data element represents, plus its TRI System Source (in <b>Table Name</b> . Field Name format) and where on the TRI Reporting Form R the data element is reported (i.e., reference). TRI System Source refers to the data element's physical location within EPA's Envirofacts online data warehouse.

When you open any of the Basic Plus data files, you'll see that the contents are delimited by tabs, meaning a tab is placed between each data element. The first row of each file contains column headers, which correspond to the "field names" in this document.

1	A	В	C	D	
1	REPORTING YEAR	TRADE SECRET INDICATOR	TRIFID	FACILITY NAME	1
2	2016	NO	37087TSHBM1420T	NOVAMET SPECIALTY PRODUCTS	
3	2016	NO	2740WNVRNM837TR	<b>ENVIRONMENTAL AIR SYSTEMS INC-TRIAD</b>	٤
4	2016	NO	7585WSNDRS485HI	SANDERSON FARMS OAKWOOD FEED MILL	4

Example of the first four rows of a Basic Plus data file

*REMINDER:* Quantities of dioxin and dioxin-like compounds are in grams. Quantities of all other TRI chemicals are reported in pounds. Facilities cannot use range codes to report quantities for dioxin and dioxin-like compounds and other Persistent Bioaccumulative Toxics (PBTs).

### HELPFUL RESOURCES FOR USERS OF DOWNLOADABLE DATA FILES

When using any of the downloadable TRI data files, it will be helpful for users to refer to the TRI Reporting Form R, the TRI Reporting Forms & Instructions document, and the Envirofacts TRI data model. The Reporting Forms & Instructions document and sample reporting forms are available online in the GuideME application at <a href="https://www.epa.gov/tri/guideme">www.epa.gov/tri/guideme</a>. The Envirofacts TRI data model is found at <a href="https://www.epa.gov/enviro/tri-model">https://www.epa.gov/enviro/tri-model</a>. These resources provide useful context and have additional details about certain data elements.

# **FILE TYPE 5 CONTENTS**

No.	Field Name	Туре	Description
1	FORM TYPE	С	Indicates whether the Reporting Form R or Form A Certification Statement was submitted. R = Form R A = Form A Certification Statement Source: TRI_REPORTING_FORM.FORM_TYPE_IND Reference: Type of Form Used
2	REPORTING YEAR	С	The calendar year in which the reported activities occurred.  Source: TRI_REPORTING_FORM.REPORTING_YEAR  Reference: Part I, Section 1
3	TRIFD	С	The unique TRI facility identification (TRIFID) number assigned to each facility for TRI reporting purposes  Note: The content of this field is not changed to match facility ownership, or zip code changes. Rather, the TRIFD identifies a specific geographical location (also identified by the latitude and longitude of that location).  Source: TRI_FACILITY.TRI_FACILITY_ID  Reference: Part I, Section 4.1
4	FACILITY NAME	С	Name of the reporting facility.  Source: TRI_FACILITY_FACILITY_NAME  Reference: Part I, Section 4.1
5	FACILITY STREET	С	Street address of the reporting facility.  Source: TRI_FACILITY.STREET_ADDRESS  Reference: Part I, Section 4.1
6	FACILITY CITY	С	City in which the reporting facility is located.  Source: TRI_FACILITY.CITY_NAME  Reference: Part I, Section 4.1
7	FACILITY COUNTY	С	County in which the reporting facility is located.  Source: TRI_FACILITY.COUNTY_NAME  Reference: Part I, Section 4.1
8	FACILITY STATE	С	Two-letter abbreviation of the state in which the reporting facility is located.  Source: TRI_FACILITY.STATE_ABBR  Reference: Part I, Section 4.1
9	FACILITY ZIP CODE	С	ZIP code of the reporting facility.  Source: TRI_FACILITY.ZIP_CODE  Reference: Part I, Section 4.1
10	BIA CODE	С	Three-letter Bureau of Indian Affairs (BIA) code indicating the tribal land on which the facility is located.  Source: TRI_FACILITY.BIA_TRIBAL_CODE
11	TRIBE NAME	С	Name of the tribe on whose land the reporting facility is located.  Source: TRI_TRIBE_DESC.TRIBE
12	MAILING NAME	С	The mailing name for the facility.  Source: TRI_FACILITY. MAIL_NAME
13	MAILING STREET	С	Street address of the reporting facility's mailing address.  Source: TRI_FACILITY.MAIL_STREET_ADDRESS  Reference: Part I, Section 4.1

14	MAILING CITY	С	City name of the facility's mailing address.  Source: TRI_FACILITY.MAIL_CITY  Reference: Part I, Section 4.1
15	MAILING STATE	С	State of the reporting facility's mailing address.  Source: TRI_FACILITY.MAIL_STATE_ABBR  Reference: Part I, Section 4.1
16	MAILING PROVINCE	С	Province of the reporting facility's mailing address.  Source: TRI_FACILITY.MAIL_PROVINCE  Reference: Part I, Section 4.1
17	MAILING ZIP CODE	С	ZIP code of the reporting facility's mailing address.  Source: TRI_FACILITY.MAIL_ZIP_CODE  Reference: Part I, Section 4.1
18	ENTIRE FACILITY IND	С	Flag indicating whether the information covers an entire facility or part of a facility.  Yes = entire No = partial  Source: TRI_REPORTING_FORM.ENTIRE_FAC Reference: Part I, Section 4.2a
19	PARTIAL FACILITY IND	С	Flag indicating whether the information covers part of a facility or an entire facility.  Yes = partial  No = entire  Source: TRI_REPORTING_FORM.PARTIAL_FAC  Reference: Part I, Section 4.2b
20	FEDERAL FACILITY IND	С	Flag indicating whether the facility is federally owned and operated.  Yes = federal  No = non-federal  Source: TRI_REPORTING_FORM.FEDERAL_ FAC_IND  Reference: Part I Section 4.2c
21	GOCO FACILITY IND	С	Flag indicating whether a facility is Government-Owned, Contractor-Operated. Yes = GOCO No = non-GOCO Source: TRI_REPORTING_FORM.GOCO_FLAG Reference: Part I Section 4.2d
22	ASSIGNED FED. FACILITY FLAG	С	Flag indicating whether the facility is federally owned. Yes = Federal No = non-Federal Source: TRI_FACILITY. ASGN_FEDERAL Reference: Assigned by the TRI Program.
23	ASSIGNED PARTIAL FACILITY FLAG	С	Flag indicating if the facility is a multi-establishment facility that reports by part. Multi-establishment facilities may have more than one submission for the same chemical in one reporting year.  Yes = partial No = entire Source: TRI_FACILITY. ASGN_PARTIAL_IND Reference: Assigned by the TRI Program.

24	PUBLIC CONTACT NAME	С	Name of the designated individual whom the public may contact if clarification of the facility's reported data is needed. Source: TRI_REPORTING_FORM.PUBLIC_CONTACT_PERSON Reference: Part I, Section 4.4
25	PUBLIC CONTACT PHONE	С	Area code and telephone number of the public contact.  Source: TRI_REPORTING_FORM.PUBLIC_ CONTACT_PHONE  Reference: Part I, Section 4.4
26	PUBLIC CONTACT PHONE EXT	С	Phone extension of the public contact  Source: TRI_REPORTING_FORM.PUBLIC_PHONE_EXT  Reference: Part I, Section 4.4
27	PUBLIC CONTACT EMAIL	С	Email address of the designated individual whom the public may contact if clarification of the facility's reported data is needed.  Source: TRI_REPORTING_FORM.PUBLIC_CONTACT_PERSON_EMAIL Reference: Part I, Section 4.4
28	PRIMARY SIC CODE	С	Primary 4-digit Standard Industrial Classification (SIC) code.  Note: SIC codes were reported by facilities from RY 1987 through 2005.  Source: TRI_SUBMISSION_SIC.SIC_CODE Where: primary_ind = >1' Reference: Part I, Section 4.5a
29	SIC CODE 2	С	Second 4-digit Standard Industrial Classification (SIC) code entered by facility.  Note: SIC codes were reported by facilities from RY 1987 through 2005.  Source: TRI_SUBMISSION_SIC.SIC_CODE  Where: sic_sequence_num = >2'  Reference: Part I, Section 4.5b
30	SIC CODE 3	С	Third 4-digit Standard Industrial Classification (SIC) code entered by facility.  Note: SIC codes were reported by facilities from RY 1987 through 2005.  Source: TRI_SUBMISSION_SIC.SIC_CODE  Where: sic_sequence_num = >3'  Reference: Part I, Section 4.5c
31	SIC CODE 4	С	Fourth 4-digit Standard Industrial Classification (SIC) code entered by facility.  Note: SIC codes were reported by facilities from RY 1987 through 2005.  Source: TRI_SUBMISSION_SIC.SIC_CODE  Where: sic_sequence_num = >4'  Reference: Part I, Section 4.5d
32	SIC CODE 5	С	Fifth 4-digit Standard Industrial Classification (SIC) code entered by facility.  Note: SIC codes were reported by facilities from RY 1987 through 2005.  Source: TRI_SUBMISSION_SIC. SIC_CODE  Where: sic_sequence_num = >5'  Reference: Part I, Section 4.5e

33	SIC CODE 6	С	Sixth 4-digit Standard Industrial Classification (SIC) code
			entered by facility.  Note: SIC codes were reported by facilities from RY 1987 through 2005.  Source: TRI_SUBMISSION_SIC. SIC_CODE Where: sic_sequence_num = >6' Reference: Part I, Section 4.5f
34	NAICS ORIGIN	С	Indicates whether North American Standard Industry Classification System (NAICS) codes were reported or assigned. R = Reported A = Assigned Source: TRI_SUBMISSION_NAICS.SOURCE Reference: TRI system-generated
35	PRIMARY NAICS CODE	С	Primary 6-digit North American Standard Industry Classification System (NAICS) code. This represents the main business activity at the facility. See Appendix A for details. Note: From RY 2006 to the present, NAICS codes reported by facilities from RY 2006 to present. Prior to RY 2006, NAICS codes were assigned by EPA. Source: TRI_SUBMISSION_NAICS.NAICS_CODE Where: primary_ind => 1 Reference: Part I, Section 4.5a
36	NAICS CODE 2	С	Second 6-digit North American Standard Industry Classification System (NAICS) code entered by facility.  Note: NAICS codes reported by facilities from RY 2006 to present. Prior to RY 2006, NAICS codes were assigned by EPA.  Source: TRI_SUBMISSION_NAICS.NAICS_CODE  Where: naics_sequence_num = 2  Reference: Part I, Section 4.5b
37	NAICS CODE 3	С	Third 6-digit North American Standard Industry Classification System (NAICS) code entered by facility.  Note: NAICS codes reported by facilities from RY 2006 to present. Prior to RY 2006, NAICS codes were assigned by EPA.  Source: TRI_SUBMISSION_NAICS.NAICS_CODE  Where: naics_sequence_num = '3'  Reference: Part I, Section 4.5c
38	NAICS CODE 4	С	Fourth 6-digit North American Standard Industry Classification System (NAICS) code entered by facility.  Note: NAICS codes reported by facilities from RY 2006 to present. Prior to RY 2006, NAICS codes were assigned by EPA.  Source: TRI_SUBMISSION_NAICS.NAICS_CODE  Where: naics_sequence_num = '4'  Reference: Part I, Section 4.5d
39	NAICS CODE 5	С	Fifth 6-digit North American Standard Industry Classification System (NAICS) code entered by facility.  Note: NAICS codes reported by facilities from RY 2006 to present. Prior to RY 2006, NAICS codes were assigned by EPA.  Source: TRI_SUBMISSION_NAICS.NAICS_CODE  Where: naics_sequence_num = '5'  Reference: Part I, Section 4.5e

40	NAICS CODE 6	С	Sixth 6-digit North American Standard Industry Classification System (NAICS) code entered by facility.  Note: NAICS codes reported by facilities from RY 2006 to present. Prior to RY 2006, NAICS codes were assigned by EPA.  Source: TRI_SUBMISSION_NAICS.NAICS_CODE  Where: naics_sequence_num = '6'  Reference: Part I, Section 4.5f
41	LATITUDE	N	The latitude value that best represents the facility according to EPA's Facility Registry System (FRS). Format: signed 2-digit whole number, 6 decimal positions (+nn.nnnnnn).  Note: In RY 2005, EPA stopped collecting the latitude value and began obtaining it from FRS.  Source: EPA's Facility Registry System
42	LONGITUDE	N	The longitude value that best represents the facility according to EPA's Facility Registry System (FRS). Format: signed 3-digit whole number, 6 decimal positions (+nnn.nnnnnn).  Note: In RY 2005, EPA stopped collecting the longitude value and began obtaining it from FRS.  Source: EPA's Facility Registry System
43	D&B NR A	С	Unique identification number assigned by Dun and Bradstreet to the reporting facility. Dun & Bradstreet is a private financial tracking and accounting firm.  Source: TRI_FACILITY_DB.DB_NUM Reference: Part I, Section 4.7a
44	D&B NR B	С	Unique identification number assigned by Dun and Bradstreet to the reporting facility. Dun & Bradstreet is a private financial tracking and accounting firm.  Source: TRI_FACILITY_DB.DB_NUM Reference: Part I, Section 4.7b
45	RCRA NR A	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Reporting Form R.  Source: EPA's Facility Registry System
46	RCRA NR B	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
47	RCRA NR C	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
48	RCRA NR D	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System

49	RCRA NR E		Turches distributed and the second se
49	RCKA INK E	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).
			Source: EPA's Facility Registry System
50	RCRA NR F	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
51	RCRA NR G	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
52	RCRA NR H	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
53	RCRA NR I	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
54	RCRA NR J	С	Twelve-digit alphanumeric identifier assigned by EPA per the Resource Conservation and Recovery Act (RCRA).  Note: In RY 2005, TRI stopped collecting RCRA IDs and began obtaining them from EPA's Facility Registry System (FRS).  Source: EPA's Facility Registry System
55	NPDES NR A	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.  Source: EPA's Facility Registry System
56	NPDES NR B	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.  Source: EPA's Facility Registry System
57	NPDES NR C	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.  Source: EPA's Facility Registry System
58	NPDES NR D	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.  Source: EPA's Facility Registry System

59	NPDES NR E	С	Nine-digit alphanumeric identifier assigned to a facility by
			EPA's National Pollutant Discharge Elimination System
			(NPDES) permit program.
			Note: In RY 2005, TRI stopped collecting RCRA IDs on the
			Reporting Form R.
			Source: EPA's Facility Registry System
60	NPDES NR F	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.
			Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.
			Source: EPA's Facility Registry System
61	NPDES NR G	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.
			Source: EPA's Facility Registry System
62	NPDES NR H	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the
			Reporting Form R.
			Source: EPA's Facility Registry System
63	NPDES NR I	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.  Source: EPA's Facility Registry System
64	NPDES NR J	С	Nine-digit alphanumeric identifier assigned to a facility by EPA's National Pollutant Discharge Elimination System (NPDES) permit program.  Note: In RY 2005, TRI stopped collecting RCRA IDs on the Reporting Form R.  Source: EPA's Facility Registry System
65	PARENT COMPANY NAME	С	Name of the corporation or other business entity that controls the reporting facility.  Source: TRI_FACILITY.PARENT_CO_NAME  Reference: Part I, Section 5.1
66	PARENT COMPANY D&B NR	С	Unique identification number assigned by Dun and Bradstreet to the parent company of the reporting facility.  Source: TRI_FACILITY.PARENT_CO_DB_NUM  Reference: Part I, Section 5.2
67	STANDARDIZED PARENT COMPANY NAME	С	A data field developed by EPA that is intended to best reflect the current ultimate U.S. parent company for the facility.  Source: TRI_FACILITY.STANDARDIZED_PARENT_COMPANY Reference: Assigned by EPA

68	FRS FACILITY ID	С	Indicates the Facility Registry Service (FRS) ID for the TRI facility. The FRS is a centrally managed EPA database that identifies facilities, sites, or places subject to environmental regulations or of environmental interest. Using the FRS ID, data users can link data from different EPA programs together.  Source: TRI_FACILITY.EPA_REGISTRY_ID
69	DOCUMENT CONTROL NUMBER	С	Unique identification number assigned to each TRI form submission. Format: TTYYNNNNNNNN, where: TT = document type YY = reporting year NNNNNNNNN = assigned number Source: TRI_REPORTING_FORM.DOC_CTRL_NUM Reference: Assigned by EPA
70	CAS NUMBER	С	Unique numerical identifier assigned by the Chemical Abstracts Service to every chemical substance.  Note: CAS number 9999999999 is for sanitized trade secret submissions.  Source: TRI_CHEM_INFO.CAS_REGISTRY_NUMBER Reference: Part II, Section 1.1
71	TRI_CHEM_ID	С	TRI Chemical ID is an internal program number that uniquely identifies chemical or category codes (for compounds). The number is the same as the CAS number but with a different format (no dashes and left padded with zeroes for noncompounds). Format: 999999999999999999999999999999999999
72	CHEMICAL NAME	С	Name of the chemical as listed on the TRI chemical list, or generic name, if the chemical is claimed as a trade secret.  Source: TRI_REPORTING_FORM.CAS_CHEM_NAME Reference: Part II, Section 1.2 or Part II, Section 1.3
73	MIXTURE NAME	С	The generic term used in place of the chemical name when the supplier of the chemical is withholding the name of the TRI chemical or claiming that the chemical is a trade secret. This is generally used when the supplier of a chemical formulation wishes to keep the identity of a particular ingredient in the formulation a secret. It is only used when the supplier, not the reporting facility, is claiming the trade secret. The reporting facility will enter the chemical name as "Mixture", then supply this generic name to describe it. Source: TRI_REPORTING_FORM.MIXTURE_NAME Reference: Part II, Section 2.1

74	ELEMENTAL METAL INCLUDED	С	Indicates whether the facility submitted a combined reporting form for a metal compound and the corresponding elemental metal. This data element collected beginning with RY 2018. VALUES: YES = combined reporting form submitted for both an elemental metal and a metal compound containing the same elemental metal; NO = only metal compound reported Source:  TRI_REPORTING_FORM.ELEMENTAL_METAL_INCLUDED Reference: Part II, Section 1.2
75	CLASSIFICATION	С	Indicates the classification of the chemical. Chemicals can be classified as either a dioxin or dioxin-like compound, a Persistent, Bioaccumulative and Toxic chemical, or a general EPCRA Section 313 chemical.  Values: {TRI, PBT, DIOXIN} where: TRI = General EPCRA Section 313 Chemical PBT = Persistent Bioaccumulative and Toxic DIOXIN = Dioxin or Dioxin-like compound Source: TRI_CHEM_INFO.CLASSIFICATION Reference: NONE
76	CAAC_IND	С	Flag indicating whether the chemical is listed as a hazardous air pollutant under the Clean Air Act (CAA).  Yes = CAAC No = non-CAAC See Appendix B for a list of TRI chemicals covered under the Clean Air Act.  Source: TRI_CHEM_INFO.CAAC_IND
77	CARC_IND	С	Flag indicating whether the chemical is classified as a carcinogen by the Occupational Safety and Health Administration (OSHA).  Yes = CARC  No = non-CARC  See Appendix B for a list of TRI chemicals classified as OSHA carcinogens.  Source: TRI_CHEM_INFO.CARC_IND
78	PFAS_IND	С	Flag indicating whether the chemical is a per- and polyfluoroalkyl substance (PFAS) or not.  Yes = PFAS  No = non-PFAS  See Appendix B for a list of TRI chemicals classified as PFAS.  Source: TRI_CHEM_INFO.PFAS_IND
79	METAL_IND	С	Flag indicating whether the chemical is a metal with TRI reporting restrictions.  Yes = Metal with restrictions  No = TRI chemical without reporting restrictions  See Appendix B for a list of the metals on the TRI chemical list with reporting restrictions.  Source: TRI_CHEM_INFO.METAL_IND

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80	REVISION CODE 1	С	If the facility revises its original TRI reporting form for a chemical, the facility indicates the reason using revision codes. This is an 'RR' followed by a single digit. This data element was collected beginning in RY 2007.  Values:  RR1 = New Monitoring Data RR2 = New Emission Factors RR3 = New Chemical Concentration Data RR4 = Recalculation(s) RR5 = Other Reason(s)  Source: TRI_REPORTING_FORM.REVISION_CODE
81	REVISION CODE 2	С	If the facility revises its original TRI reporting form for a chemical, the facility indicates the reason using revision codes. This is an 'RR' followed by a single digit. This data element was collected beginning in RY 2007.  Values:  RR1 = New Monitoring Data RR2 = New Emission Factors RR3 = New Chemical Concentration Data RR4 = Recalculation(s) RR5 = Other Reason(s)  Source: TRI_REPORTING_FORM.REVISION_CODE
82	COMMENT SEQUENCE	С	A unique identification number assigned to each optional comment reported that also indicates the sequence in which comments are reported on the Form R.  Source: TRI_TRIPS_COMMENT.COMMENT_SEQ  Reference: Assigned by the TRI Program.
83	SECTION	С	The section of the Form R in which the comment is displayed. This value will be "8.11" for all entries in this file because this file only contains comments from Section 8.11. Source: TRI_TRIPS_COMMENT.SECTION
84	COMMENT TYPE CODE	С	Code indicating what type of comment is displayed in Section 8.11. Certain information from previous sections of the reporting form (about source reduction, recycling, energy recovery, treatment, and other pollution prevention activities) are auto populated in Section 8.11, and facilities have the option to edit this information and provide additional details.  Source: TRI_TRIPS_COMMENT.COMMENT_TYPE
85	COMMENT TYPE DESCRIPTION	С	The description of the reporting code or comment category as it appears in the TRI Reporting Form and Instructions.  Source: TRI_CODE_DESC.DESCRIPT  Where: Table_Id = '24' and Comment_Type = Code
86	COMMENT TEXT	С	The complete text of the comment submitted by the facility and displayed in Section 8.11 of the Form R. Source: TRI_TRIPS_COMMENT.COMMENT_TEXT
87	P2 CLASSIFICATION	С	Indicates the topic category. For comments displayed in section 8.11, categories include source reduction, barriers to pollution prevention, other environmental practices, and miscellaneous. See Appendix C for a complete list of subcategories and descriptions.  Source: TRI_TRIPS_COMMENT.P2_CLASSIFICATION Reference: Assigned by EPA

## APPENDIX A – NAICS Code Assignments

Until RY 2006, the TRI Program used Standard Industrial Codes (SIC) to identify each reporting facility's industry sector. In RY 2006, the TRI Program began using North American Industry Classification System (NAICS) codes.

To allow for analysis of data across years, the TRI Program assigned NAICS codes to each TRI submission from 1987 through 2005. The six methods used to assign NAICS codes and the number and percentages of assignments per method are shown in the table below. The "Order of Precedence" column indicates the order in which the methods were used to make an assignment.

Method	Order of Precedence	Number of NAICS Codes Assigned via Method (in Thousands)	Percentage Per Method
Reported Data Used	1	821K	50%
SIC to NAICS Crosswalk	2	478K	29%
EPA Facility Registry System (FRS)	3	190K	11%
Commercial Sources	4	113K	7%
Statistics	5	51K	3%
Other Methods	6	2K	Less than 1 %

- Reported Data Used: This method was used to assign 50% of all NAICS codes. In this method, the primary NAICS code reported by each facility in RY 2006 was used to make an assignment to chemical submissions (Form Rs and Form As) for years 1987 to 2005. This method was only used under the following conditions:
  - 1. The RY 2006 chemical submitted had only one primary NAICS code reported
  - 2. The prior year submission(s) for the same chemical had only one primary SIC code consistently reported
  - 3. The SIC to NAICS Crosswalk (obtained for the U.S. Census Bureau) showed a one-to-one match between the reported SIC and NAICS codes
- This SIC to NAICS Crosswalk: In this method, the TRI Program used a crosswalk or lookup table that translated SIC codes into NAICS codes to assign a primary NAICS code to a pre-2006 TRI chemical submission. The primary SIC code reported on the TRI form was used to lookup the corresponding NAICS code. Not all SIC codes translated into only one NAICS code, so it was not possible to use this method to assign a NAICS code to each chemical submission. However, it was used to make 29% of all the assignments.
- EPA Facility Registry System (FRS): In this method, the TRI Program used NAICS codes found in EPA's Facility
  Registry System (FRS) to assign a primary NAICS code to each TRI chemical submission. This method was only
  used if FRS listed only one primary NAICS code for a facility. 11% of all assignments were made using this
  method.
- Commercial Sources: This method involved using various commercial services to verify NAICS code assignments. 7% of all assignments were made using this method.
- Statistics: For 3% of NAICS code assignments, the TRI Program used various statistical methods based on past and present data.

 Other Methods: Manual research (e.g., using Internet searches and other government agencies' data) and personally contacting facilities helped the TRI Program assign NAICS codes to approximately 2,000 TRI submissions.

## **APPENDIX B – Chemical Classifications**

#### TRI Chemicals Classified as Hazardous Air Pollutants Under the Clean Air Act:

• <a href="https://www.epa.gov/epcra/consolidated-list-lists-under-epcracerclacaa-ss112r-april-2022-version">https://www.epa.gov/epcra/consolidated-list-lists-under-epcracerclacaa-ss112r-april-2022-version</a>

### TRI Chemicals Classified as OSHA Carcinogens:

www.epa.gov/sites/default/files/2019-11/documents/osha carcinogen basis november 2019 update.pdf

#### TRI Chemicals Classified as Metals:

https://ordspub.epa.gov/ords/guideme ext/f?p=guideme:chemical-list-advanced-search:0

#### TRI Chemicals Classified as per- and polyfluoroalkyl substances (PFAS):

• www.epa.gov/toxics-release-inventory-tri-program/list-pfas-added-tri-ndaa

## TRI Chemicals Classified as Persistent Bioaccumulative Toxic Chemicals (PBTs):

• www.epa.gov/toxics-release-inventory-tri-program/persistent-bioaccumulative-toxic-pbt-chemicals-covered-tri

# **Appendix C: Pollution Prevention Classification Categories**

- Source Reduction: Optional comments categorized as "Source Reduction" provide additional detail about the
  newly implemented source reduction activities (w or s codes) and the methods used to identify the source
  reduction activities implemented reported under section 8.10 or are general comments that describe actions
  taken to prevent or reduce the amount of TRI chemicals entering any waste stream or otherwise released into
  the environment (including fugitive emissions) prior to recycling, energy recovery, treatment, or disposal. All
  optional comments are compiled in section 8.11.
- Barriers to P2: Optional comments categorized as Barriers to Pollution Prevention, "Barriers to P2" provide
  additional detail to the barrier codes (b codes) reported under section 8.10 or are general comments that
  describe barriers the facility faced in implementing additional source reduction during the reporting year. All
  optional comments are compiled in section 8.11.
- Other Environmental Practices: Optional comments categorized as "Other Environmental Practices" provide additional detail about on-site waste management activities implemented to prevent releases into the environment including recycling, energy recovery, and treatment reported under section 7. The category also covers general comments reported under section 8.11 related to recycling, energy recovery, treatment, methods used to identify waste management activities, barriers preventing the implementation of waste management activities and other actions including general environmental management or climate adaptation strategies. All optional comments are compiled in section 8.11.
- Miscellaneous: Optional comments categorized as "Miscellaneous" are not associated with the classifications
  described above, were reported in the category "Other optional pollution prevention information" and/or were
  reviewed and determined to not relate to source reduction, barriers to P2 or other environmental practices.