

## 5. UNREASONABLE RISK DETERMINATION

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TSCA section 6(b)(4) requires EPA to conduct a risk evaluation to determine whether a chemical substance presents an unreasonable risk of injury to health or the environment, without consideration of costs or other non-risk factors, including an unreasonable risk to a potentially exposed or susceptible subpopulation identified by EPA as relevant to this Risk Evaluation, under the conditions of use.

EPA has determined that n-methylpyrrolidone (NMP) presents an unreasonable risk of injury to health under the conditions of use. This determination is based on the information in previous sections of the Risk Evaluation, the appendices and supporting documents of NMP, in accordance with TSCA section 6(b), as well as TSCA's best available science (TSCA section 26(h)) and weight of scientific evidence standards (TSCA section 26(i)), and relevant implementing regulations in 40 CFR part 702.

The full list of conditions of use evaluated for NMP are listed in Table 1-6 of the risk evaluation (Ref. 1). EPA's unreasonable risk determination for NMP is driven by risks associated with the following conditions of use, considered singularly or in combination with other exposures:

- Domestic manufacture
- Manufacture: import
- Processing: as a reactant or intermediate in plastic material and resin manufacturing and other non-incorporative processing
- Processing: incorporation into a formulation, mixture or reaction product in multiple industrial sectors
- Processing: incorporation into articles in lubricants and lubricant additives in machinery manufacturing
- Processing: incorporation into articles in paint additives and coating additives not described by other codes in transportation equipment manufacturing
- Processing: incorporation into articles as a solvent (which becomes part of product formulation or mixture), including in textiles, apparel and leather manufacturing
- Processing: incorporation into articles in other sectors, including in plastic product manufacturing
- Processing: repackaging in wholesale and retail trade
- Processing: recycling
- Industrial and commercial use in paints, coatings, and, adhesive removers
- Industrial and commercial use in paints and coatings in lacquers, stains, varnishes, primers and floor finishes, and powder coatings, surface preparation
- Industrial and commercial use in paint additives and coating additives not described by other codes in computer and electronic product manufacturing in electronic parts manufacturing
- Industrial and commercial use in paint additives and coating additives not described by other codes in computer and electronic product manufacturing for use in semiconductor manufacturing

## N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

- 43 • Industrial and commercial use in in paint additives and coating additives not described by  
44 other codes in several manufacturing sectors
- 45 • Industrial and commercial use as a solvent (for cleaning or degreasing) use in electrical  
46 equipment, appliance and component manufacturing
- 47 • Industrial and commercial use as a solvent (for cleaning or degreasing) in electrical  
48 equipment, appliance and component manufacturing for use in semiconductor  
49 manufacturing
- 50 • Industrial and commercial use in ink, toner, and colorant products in printer ink and inks  
51 in writing equipment
- 52 • Industrial and commercial use in processing aids, specific to petroleum production in  
53 petrochemical manufacturing, in other uses in oil and gas drilling, extraction and support  
54 activities, and in functional fluids (closed systems)
- 55 • Industrial and commercial use in adhesives and sealants including binding agents, single  
56 component glues and adhesives, including lubricant adhesives, and two-component glues  
57 and adhesives including some resins
- 58 • Industrial and commercial use in other uses in soldering materials
- 59 • Industrial and commercial use in other uses in anti-freeze and de-icing products,  
60 automotive care products, and lubricants and greases
- 61 • Industrial and commercial use in other uses in metal products not covered elsewhere, and  
62 lubricant and lubricant additives including hydrophilic coatings
- 63 • Industrial and commercial use in other uses in laboratory chemicals
- 64 • Industrial and commercial uses in other uses in lithium ion battery manufacturing
- 65 • Industrial and commercial use in other uses in cleaning and furniture care products,  
66 including wood cleaners and gasket removers
- 67 • Industrial and commercial use in other uses in fertilizer and other agricultural chemical  
68 manufacturing, processing aids and solvents
- 69 • Consumer use in adhesives and sealants in glues and adhesives, including lubricant  
70 adhesives and sealants
- 71 • Disposal

72 EPA will initiate risk management for NMP by applying one or more of the requirements under  
73 TSCA section 6(a) to the extent necessary so that NMP no longer presents an unreasonable risk.  
74 Under TSCA section 6(a), EPA is not limited to regulating the specific activities found to drive  
75 unreasonable risk and may select from among a suite of risk management options related to  
76 manufacture, processing, distribution in commerce, commercial use, and disposal in order to  
77 address the unreasonable risk. For instance, EPA may regulate upstream activities (e.g.,  
78 processing, distribution in commerce) in order to address downstream activities driving  
79 unreasonable risk (e.g., consumer use) even if the upstream activities are not unreasonable risk  
80 drivers.

## 81 **5.1 Background**

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### 82 **5.1.1 Background on Policy Changes Relating to the Whole Chemical Risk** 83 **Determination and Assumption of PPE Use by Workers**

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84 From June 2020 to January 2021, EPA published risk evaluations on the first ten chemical  
85 substances, including for NMP in December 2020. The risk evaluations included individual  
86 unreasonable risk determinations for each condition of use evaluated. The determinations that  
87 particular conditions of use did not present an unreasonable risk were issued by order under  
88 TSCA section 6(i)(1).

89  
90 In accordance with Executive Order 13990 (“Protecting Public Health and the Environment and  
91 Restoring Science to Tackle the Climate Crisis”) and other Administration priorities (Refs. 2, 3,  
92 4, and 5), EPA reviewed the risk evaluations for the first ten chemical substances to ensure that  
93 they meet the requirements of TSCA, including conducting decision-making in a manner that is  
94 consistent with the best available science and weight of the scientific evidence.

95  
96 As a result of this review, EPA announced plans to revise specific aspects of certain of the first  
97 ten risk evaluations in order to ensure that the risk evaluations appropriately identify  
98 unreasonable risks and thereby can help ensure the protection of health and the environment  
99 (Ref. 6). To that end, EPA is reconsidering two key aspects of the risk determination for NMP  
100 published in December 2020. First, EPA proposes that the appropriate approach to these  
101 determinations is to make an unreasonable risk determination for NMP as a whole chemical  
102 substance, rather than making unreasonable risk determinations separately on each individual  
103 condition of use evaluated in the risk evaluation. Second, EPA proposes that the risk  
104 determination shall explicitly state that it does not rely on assumptions regarding the use of  
105 personal protective equipment (PPE) in making the unreasonable risk determination under TSCA  
106 section 6; rather, the use of PPE will be considered during risk management. Making  
107 unreasonable risk determinations based on the baseline scenario without assuming PPE should  
108 not be viewed as an indication that EPA believes there are no occupational safety protections in  
109 place at any location or that there is widespread noncompliance with applicable OSHA  
110 standards. EPA understands that there could be occupational safety protections in place at  
111 workplace locations; however, not assuming use of PPE reflects EPA’s recognition that  
112 unreasonable risk may exist for subpopulations of workers that may be highly exposed because  
113 they are not covered by OSHA standards, or because OSHA has not issued a permissible  
114 exposure limit (PEL) (as is the case for NMP).

115  
116 Separately, EPA is conducting a screening approach to assess potential risks from the air and  
117 water pathways for several of the first 10 chemicals, including this chemical. For NMP the  
118 exposure pathways that were or could be regulated under another EPA-administered statute were  
119 not fully assessed as part of the final risk evaluation (see section 1.4.2 of the December 2020  
120 NMP Risk Evaluation). During problem formulation, EPA conducted a first-tier screening  
121 analysis for the ambient air pathway to near field populations downwind from industrial and

122 commercial facilities releasing NMP which indicated low risk. In the final risk evaluation EPA  
123 conducted a first-tier analysis to estimate NMP surface water concentrations and did not identify  
124 risks from incidental ingestion or dermal contact during swimming. This resulted in the ambient  
125 air and drinking water pathways for NMP not being fully assessed in the risk evaluation  
126 published in December 2020. The goal of the recently-developed screening approach is to  
127 provide for a more robust assessment of these pathways and to identify if there are risks that  
128 were unaccounted for in the NMP risk evaluation. While this analysis is underway, EPA is not  
129 incorporating the screening-level approach into this draft revised unreasonable risk  
130 determination. If the results suggest there is additional risk, EPA will determine if the risk  
131 management approaches being contemplated for NMP will protect against these risks or if the  
132 risk evaluation will need to be formally supplemented or revised.

133  
134 Further discussion of the rationale for the whole chemical approach is found in the Federal  
135 Register notice in the docket accompanying this revised NMP unreasonable risk determination  
136 and further discussion of the proposed decision to not rely on assumptions regarding the use of  
137 PPE is provided in the Federal Register Notice and in Section 5.2.4 below. With respect to the  
138 NMP risk evaluation, EPA did not amend, nor does a whole chemical approach or change in  
139 assumptions regarding PPE require amending, the underlying scientific analysis of the risk  
140 evaluation in the risk characterization section of the risk evaluation.

141  
142 With regard to the specific circumstances of NMP, as further explained below, EPA proposes  
143 that a whole chemical approach is appropriate for NMP in order to protect health and the  
144 environment. The whole chemical approach is appropriate for NMP, because there are  
145 benchmark exceedances for multiple conditions of use (spanning across most aspects of the  
146 chemical lifecycle—from manufacturing (including import), processing, commercial and  
147 consumer use, and disposal) for human health and the health effects associated with NMP  
148 exposures are irreversible. Because these chemical-specific properties cut across the conditions  
149 of use within the scope of the risk evaluation, and a substantial amount of the conditions of use  
150 drive the unreasonable risk, it is therefore appropriate for the Agency to make a determination  
151 that the whole chemical presents an unreasonable risk. As explained in the Federal Register  
152 Notice, the revisions to the unreasonable risk determination would be based on the existing risk  
153 characterization section of the risk evaluation (section 4 of this risk evaluation) and do not  
154 involve additional technical or scientific analysis. The discussion of the issues in this draft  
155 revision to the risk determination supersedes any conflicting statements in the prior NMP risk  
156 evaluation (December 2020) and the response to comments document (*Summary of External  
157 Peer Review and Public Comments and Disposition for n-Methylpyrrolidone (NMP), December  
158 2020*). In addition, as discussed below in Section 5.2.4., in making this risk determination, EPA  
159 believes it is appropriate to evaluate the levels of risk present in baseline scenarios where PPE is  
160 not assumed to be used by workers. EPA is revising the assumption for NMP that workers  
161 always or properly use PPE, although the Agency does not question the information received  
162 regarding the occupational safety practices often followed by many industry respondents.

163 EPA also views the peer reviewed hazard and exposure assessments and associated risk  
164 characterization as robust and upholding the standards of best available science and weight of the  
165 scientific evidence, per TSCA sections 26(h) and (i).

### 166 **5.1.2 Background on Unreasonable Risk Determination**

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167 In each risk evaluation under TSCA section 6(b), EPA determines whether a chemical substance  
168 presents an unreasonable risk of injury to health or the environment, under the conditions of use.  
169 The unreasonable risk determination does not consider costs or other non-risk factors. In making  
170 the unreasonable risk determination, EPA considers relevant risk-related factors, including, but  
171 not limited to: the effects of the chemical substance on health and human exposure to such  
172 substance under the conditions of use (including cancer and non-cancer risks); the effects of the  
173 chemical substance on the environment and environmental exposure under the conditions of use;  
174 the population exposed (including any potentially exposed or susceptible subpopulations  
175 (PESS)); the severity of hazard (including the nature of the hazard, the irreversibility of the  
176 hazard); and uncertainties. EPA also takes into consideration the Agency's confidence in the data  
177 used in the risk estimate. This includes an evaluation of the strengths, limitations, and  
178 uncertainties associated with the information used to inform the risk estimate and the risk  
179 characterization. This approach is in keeping with the Agency's final rule, *Procedures for*  
180 *Chemical Risk Evaluation Under the Amended Toxic Substances Control Act* (82 FR 33726, July  
181 20, 2017).<sup>1</sup>

182  
183 This section describes the draft revised unreasonable risk determination for NMP, under the  
184 conditions of use in the scope of the Risk Evaluation for NMP. This draft revised unreasonable  
185 risk determination is based on the risk estimates in the final Risk Evaluation, which may differ  
186 from the risk estimates in the draft Risk Evaluation due to peer review and public comments.

## 187 **5.2 Unreasonable Risk to Human Health**

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### 188 **5.2.1 Human Health**

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189 EPA's NMP risk evaluation identified non-cancer adverse effects from acute (developmental)  
190 and chronic (reproductive) inhalation and dermal exposures to NMP. The health risk estimates  
191 for all conditions of use are in Tables 4-55 and 4-56 of Section 4.6 of this Risk Evaluation.

192  
193 In developing the exposure assessment for NMP, EPA identified the following groups as  
194 Potentially Exposed or Susceptible Subpopulations (PESS): workers and ONUs, consumers and  
195 bystanders, males and females of reproductive age, pregnant women and the developing fetus,  
196 infants, children and adolescents, people with pre-existing conditions and people with lower

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<sup>1</sup> This risk determination is being issued under TSCA section 6(b) and the terms used, such as unreasonable risk, and the considerations discussed are specific to TSCA. Other EPA programs have different statutory authorities and mandates and may involve risk considerations other than those discussed here.

197 metabolic capacity due to life stage, genetic variation, or impaired liver function (Section 4.4 and  
198 Tables 4-4 and 4-5 of this Risk Evaluation).

199  
200 EPA evaluated exposures to workers, occupational non-users (ONUs),<sup>2</sup> consumer users, and  
201 bystanders using reasonably available monitoring and modeling data for inhalation and dermal  
202 exposures, as applicable. For example, EPA assumed that ONUs and bystanders do not have  
203 direct contact with NMP; therefore, non-cancer effects from dermal exposures to NMP are not  
204 expected and were not evaluated. Additionally, EPA did not evaluate chronic exposures for  
205 consumer users and bystanders because daily use intervals are not reasonably expected to occur  
206 for all consumer uses. The description of the data used for human health exposure is in Section  
207 4.2 of the Risk Evaluation. Uncertainties in the analysis are discussed in Section 4.3. of this Risk  
208 Evaluation and are considered in the unreasonable risk determination.

209  
210 EPA currently is examining whether there are risks not accounted for in the risk evaluation by  
211 analyzing exposures to fenceline communities. As described earlier (in Section 5.1.1) while this  
212 analysis is underway, EPA is not incorporating the screening-level approach into this draft  
213 revised unreasonable risk determination. In the risk evaluation, EPA considered potential  
214 exposure pathways for the general population via ambient water, ambient air and land-applied  
215 biosolids. EPA evaluated environmental fate properties, reasonably available information and  
216 first-tier screening level analyses to characterize general population exposure from these  
217 pathways. EPA determined there is no general population risk for these pathways. This Risk  
218 Evaluation calculated risk estimates for NMP exposure from incidental ingestion and dermal  
219 contact with surface water and did not find unreasonable risk. (Table 4-50 and Table 4-51)  
220 Additional details regarding the general population are in Section 4.2.5 of this Risk Evaluation.

### 221 **5.2.2 Non-Cancer Risk Estimates**

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222 The risk estimates for non-cancer effects (expressed as margins of exposure or MOEs) refer to  
223 adverse health effects associated with health endpoints other than cancer, including to the body's  
224 organ systems, such as reproductive and developmental effects. The MOE is the point of  
225 departure (POD) (an approximation of the no-observed adverse effect level (NOAEL) or  
226 benchmark dose level (BMDL)) for a specific health endpoint divided by the exposure  
227 concentration for the specific scenario of concern. Section 3.2.5 of this Risk Evaluation presents  
228 the PODs for acute and chronic non-cancer effects for NMP and Section 4.2 of this Risk  
229 Evaluation presents the MOEs for acute and chronic non-cancer effects.

230  
231 The MOEs are compared to a benchmark MOE. The benchmark MOE accounts for the total  
232 uncertainty in a POD, including, as appropriate: (1) the variation in sensitivity among the  
233 members of the human population (i.e., intrahuman/intraspecies variability); (2) the uncertainty  
234 in extrapolating animal data to humans (i.e., interspecies variability); (3) the uncertainty in  
235 extrapolating from data obtained in a study with less-than-lifetime exposure to lifetime exposure  
236 (i.e., extrapolating from subchronic to chronic exposure); and (4) the uncertainty in extrapolating

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<sup>2</sup> ONUs are workers who do not directly handle NMP but perform work in an area where NMP is present.  
(Executive Summary of this Risk Evaluation).

237 from a lowest observed adverse effect level (LOAEL) rather than from a NOAEL. A lower  
238 benchmark MOE (e.g., 30) indicates greater certainty in the data (because fewer of the default  
239 uncertainty factors (UFs) relevant to a given POD as described above were applied). A higher  
240 benchmark MOE (e.g., 1000) would indicate more uncertainty for specific endpoints and  
241 scenarios. However, these are often not the only uncertainties in a risk evaluation. The  
242 benchmark MOE for acute and chronic non-cancer risks for NMP is 30 (accounting for  
243 intraspecies and interspecies variability). Additional information regarding the non-cancer hazard  
244 identification is in Section 3.2.3.1 and the benchmark MOE is in Section 4.2.1. of this Risk  
245 Evaluation.

### 246 **5.2.3 Cancer Risk Estimates**

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247 Usually, EPA determines cancer risk estimates to represent the incremental increase in  
248 probability of an individual in an exposed population developing cancer over a lifetime (excess  
249 lifetime cancer risk (ELCR)) following exposure to the chemical. EPA did not evaluate cancer  
250 risk from exposure to NMP because NMP is not mutagenic and is not considered carcinogenic so  
251 EPA did not conduct analysis of genotoxicity and cancer hazards during risk evaluation. (Section  
252 3.2.3.2 of this Risk Evaluation)

### 253 **5.2.4 Determining Unreasonable Risk of Injury to Health**

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254 Calculated risk estimates (MOEs or cancer risk estimates) can provide a risk profile of NMP by  
255 presenting a range of estimates for different health effects for different conditions of use. A  
256 calculated MOE that is less than the benchmark MOE supports a determination of unreasonable  
257 risk of injury to health, based on noncancer effects. Similarly, a calculated cancer risk estimate  
258 that is greater than the cancer benchmark supports a determination of unreasonable risk of injury  
259 to health from cancer. These calculated risk estimates alone are not bright-line indicators of  
260 unreasonable risk. Whether EPA makes a determination of unreasonable risk for the chemical  
261 substance depends upon other risk-related factors, such as the endpoint under consideration, the  
262 reversibility of effect, exposure-related considerations (e.g., duration, magnitude, or frequency of  
263 exposure, or population exposed), and the confidence in the information used to inform the  
264 hazard and exposure values.

265  
266 In the NMP risk characterization, the best representative endpoints for non-cancer effects were  
267 from acute (developmental toxicity) and chronic (reproductive toxicity) inhalation and dermal  
268 exposures for all conditions of use. Additional risks associated with other adverse effects (e.g.,  
269 liver toxicity, kidney toxicity, immunotoxicity, neurotoxicity, irritation and sensitization) were  
270 identified for acute and chronic inhalation and dermal exposures. The NMP unreasonable risk  
271 determination uses reproductive and developmental toxicity as driving endpoints. Addressing  
272 unreasonable risk by using the developmental and reproductive endpoints will also address the  
273 risk from other endpoints resulting from acute or chronic inhalation and dermal exposures.

274  
275 When making a determination of unreasonable risk for the chemical substance, the Agency has a  
276 higher degree of confidence where uncertainty is low. For example, EPA has high confidence in  
277 the hazard and exposure characterizations when the basis for characterizations is measured data



278 or representative monitoring data or a robust model and the hazards identified for risk estimation  
279 are relevant for conditions of use. This Risk Evaluation discusses major assumptions and key  
280 uncertainties, including around the representativeness of exposure monitoring data, activity  
281 pattern information, PPE use and efficacy, and incomplete information on some hazard endpoints  
282 and factors that may contribute to increased exposure and susceptibility to NMP. Important  
283 assumptions and key sources of uncertainty in the risk characterization are described in more  
284 detail in Section 4.3 of this Risk Evaluation.

285  
286 When determining the unreasonable risk for a chemical substance, EPA considers the central  
287 tendency and high-end exposure levels in occupational settings and in environmental media and  
288 low, moderate and high intensity of use for consumer uses. Risk estimates based on high-end  
289 exposure levels or high intensity use scenarios (e.g., 95th percentile) are generally intended to  
290 cover individuals or sub-populations with greater exposure (PESS) as well as to capture  
291 individuals with sentinel exposure, and risk estimates at the central tendency exposure are  
292 generally estimates of average or typical exposure (Section 4.4 of this Risk Evaluation).

293  
294 As shown in Section 4 of this Risk Evaluation, when characterizing the risk to human health  
295 from occupational exposures during risk evaluation under TSCA, EPA believes it is appropriate  
296 to evaluate the levels of risk present in baseline scenarios where PPE is not assumed to be used  
297 by workers. It should be noted that, in some cases, baseline conditions may reflect certain  
298 mitigation measures, such as engineering controls, in instances where exposure estimates are  
299 based on monitoring data at facilities that have engineering controls in place. This approach of  
300 not assuming PPE use by workers considers the risk to potentially exposed or susceptible  
301 subpopulations (workers and ONUs) who may not be covered by Occupational Safety and  
302 Health Administration (OSHA) standards, such as self-employed individuals and public sector  
303 workers who are not covered by a State Plan. In addition, EPA risk evaluations may characterize  
304 the levels of risk present in scenarios considering applicable OSHA requirements (e.g., chemical-  
305 specific PELs and/or chemical-specific health standards with PELs and additional ancillary  
306 provisions), as well as scenarios considering industry or sector best practices for industrial  
307 hygiene that are clearly articulated to the Agency. EPA's evaluation of risk under scenarios that,  
308 for example, incorporate use of engineering or administrative controls, or personal protective  
309 equipment, serves to inform its risk management efforts. By characterizing risks using scenarios  
310 that reflect different levels of mitigation, EPA risk evaluations can help inform potential risk  
311 management actions by providing information that could be used to tailor risk mitigation  
312 appropriately to address worker exposures where the Agency has found unreasonable risk. In  
313 particular, EPA can use the information developed during its risk evaluation to determine  
314 whether alignment of EPA's risk management requirements with existing OSHA requirements or  
315 industry best practices will adequately address unreasonable risk as required by TSCA.

316  
317 When undertaking unreasonable risk determinations as part of TSCA risk evaluations, EPA  
318 cannot assume as a general matter that an applicable OSHA requirement or industry practice is  
319 consistently and always properly applied. Mitigation scenarios included in the NMP risk  
320 evaluation (e.g., scenarios considering use of various personal protective equipment (PPE))  
321 likely represent what is happening already in some facilities. However, the Agency cannot  
322 assume that all facilities will have adopted these practices for the purposes of making the TSCA  
323 risk determination.



324  
325 Therefore, EPA conducts baseline assessments of risk and makes its determination of  
326 unreasonable risk from a baseline scenario that is not based on an assumption of compliance with  
327 OSHA standards, including any applicable exposure limits or requirements for use of respiratory  
328 protection or other PPE. Making unreasonable risk determinations based on the baseline scenario  
329 should not be viewed as an indication that EPA believes there are no occupational safety  
330 protections in place at any location or that there is widespread noncompliance with applicable  
331 OSHA standards. Rather, it reflects EPA's recognition that unreasonable risk may exist for  
332 subpopulations of workers that may be highly exposed because they are not covered by OSHA  
333 standards, such as self-employed individuals and public sector workers who are not covered by a  
334 State Plan, or because their employer is out of compliance with OSHA standards, or because  
335 EPA finds unreasonable risk for purposes of TSCA notwithstanding existing OSHA  
336 requirements.

337  
338 The draft revised unreasonable risk determination for NMP is based on the peer reviewed risk  
339 characterization (Section 4 of this Risk Evaluation), which was developed according to TSCA  
340 section 26(h) requirements to make science-driven decisions, consistent with best available  
341 science. Changing the risk determination to a whole chemical approach does not impact the  
342 underlying data and analysis presented in the risk characterization of the risk evaluation. Section  
343 4.6.2 and Table 4-55 of this Risk Evaluation summarize the risk estimates with and without PPE,  
344 and informed the revised unreasonable risk determination.

345

## 346 **5.3 Unreasonable Risk to the Environment**

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### 347 **5.3.1 Environment**

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348 EPA calculated a risk quotient (RQ) to compare environmental concentrations against an effect  
349 level. The environmental concentration is determined based on the levels of the chemical  
350 released to the environment (e.g., surface water, sediment, soil, biota) under the conditions of  
351 use, based on the fate properties, release potential, and reasonably available environmental  
352 monitoring data. The effect level is calculated using concentrations of concern that represent  
353 hazard data for aquatic, sediment-dwelling, and terrestrial organisms. Section 4.1 of this Risk  
354 Evaluation provides more detail regarding the environmental risk characterization for NMP.

355

356

### **5.3.2 Determining Unreasonable Risk of Injury to the Environment**

357 Calculated risk quotients (RQs) can provide a risk profile by presenting a range of estimates for  
358 different environmental hazard effects for different conditions of use. An RQ equal to 1 indicates  
359 that the exposures are the same as the concentration that causes effects. An RQ less than 1, when  
360 the exposure is less than the effect concentration, generally indicates that there is not risk of  
361 injury to the environment that would support a determination of unreasonable risk for the  
362 chemical substance. An RQ greater than 1, when the exposure is greater than the effect  
363 concentration, generally indicates that there is risk of injury to the environment that would  
364 support a determination of unreasonable risk for the chemical substance. Consistent with EPA's  
365 human health evaluations, the RQ is not treated as a bright line and other risk-based factors may  
366 be considered (*e.g.*, confidence in the hazard and exposure characterization, duration, magnitude,  
367 uncertainty) for purposes of making an unreasonable risk determination.

368

369 EPA considered the effects on aquatic, sediment-dwelling, and terrestrial organisms. NMP is not  
370 likely to accumulate in sediment based on its physical and chemical properties and is not  
371 expected to adsorb to sediment due to its water solubility and low partitioning to organic matter.  
372 For all conditions of use in ambient water, the RQ values in section 4.1.1 of this Risk Evaluation  
373 do not support an unreasonable risk determination for acute and chronic exposures to NMP for  
374 amphibians, fish, and aquatic invertebrates. To characterize the exposure to NMP by aquatic  
375 organisms, modeled data were used to represent surface water concentrations near facilities  
376 actively releasing NMP to surface water, and modeled concentrations were used to represent  
377 ambient water concentrations of NMP. EPA considered the biological relevance of the species to  
378 determine the concentrations of concern (COCs) for the location of surface water concentration  
379 data to produce RQs, as well as frequency and duration of the exposure. NMP is not expected to  
380 partition to or accumulate in soil; rather, based on its physical and chemical properties, it is  
381 expected to volatilize to air or migrate through soil into groundwater. Therefore, risk to terrestrial  
382 organisms is not expected.

383

384 When making a determination of unreasonable risk, EPA has a higher degree of confidence  
385 where uncertainty is low. For example, EPA has high confidence in the hazard and exposure  
386 characterizations when the basis for the characterizations is measured or representative  
387 monitoring data or a robust model and the hazards identified for risk estimation are relevant for  
388 conditions of use. Where EPA has made assumptions in the scientific evaluation, the degree to  
389 which these assumptions are conservative (*i.e.*, more protective) is also a consideration.  
390 Additionally, EPA considers the central tendency and high-end scenarios when determining the  
391 unreasonable risk. High-end risk estimates (*e.g.*, 90th percentile) are generally intended to cover  
392 organisms or populations with greater exposure (those inhabiting ecosystems near industries) and  
393 central tendency risk estimates are generally estimates of average or typical exposure.

394

395 EPA considered several uncertainties in its evaluation of risk of injury to the environment for  
396 NMP. First, more acute duration toxicity data were reasonably available in the literature  
397 compared to chronic duration data. Therefore, EPA is less certain of chronic hazard values than  
398 the acute hazard values. Second, EPA used assessment factors to calculate the acute and chronic  
399 COCs for NMP. Assessment factors account for differences in inter- and intra-species variability,

400 as well as laboratory-to-field variability and are routinely used within TSCA for assessing the  
401 hazard of new industrial chemicals (with very limited environmental test data). There is some  
402 uncertainty associated with the use of standardized assessment factors for hazard assessment.  
403 Additionally, in the NMP Problem Formulation (*Problem formulation of the risk evaluation for*  
404 *n-methylpyrrolidone*), EPA did not conduct any further analyses on pathways of exposure for  
405 terrestrial receptors, as described in Section 2.5.3.1 of the NMP Problem Formulation and further  
406 described in Section 2.2 and 2.3 of this Risk Evaluation. Assumptions and key sources of  
407 uncertainty in the risk characterization are detailed in Section 4.1.2. of this Risk Evaluation.

408  
409 Therefore, based on this Risk Evaluation, EPA did not identify risk of injury to the environment  
410 that would drive the unreasonable risk determination for NMP.

#### 411 **5.4 Additional Information regarding the Basis for the Unreasonable** 412 **Risk Determination**

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413 Table 5-1 and Table 5-2 summarize the basis for the draft revised determination of unreasonable  
414 risk of injury to health presented by NMP. In these tables, a checkmark indicates the type of  
415 effect and the exposure route to the population evaluated for each condition of use that drive the  
416 unreasonable risk determination. As explained in Section 5.2, for the draft revised unreasonable  
417 risk determination, EPA considered the effects on the environment of exposure to NMP, and to  
418 human health at the central tendency and high-end (or low, moderate, and high intensity use), the  
419 exposures from the condition of use, the risk estimates, and the uncertainties in the analysis. See  
420 Sections 4.6.1 and 4.6.2 of this Risk Evaluation for a summary of risk estimates.

**Table 5-1. Supporting Basis for the Unreasonable Risk Determination for Human Health (Occupational Conditions of Use)<sup>3</sup>**

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
Manufacture	Domestic Manufacture	Domestic Manufacture	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Manufacture	Import	Import	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Processing	Processing as a reactant or intermediate	Intermediate in Plastic Material and Resin Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
		Other Non-Incorporative Processing						
		ONU	Inhalation	--				
Processing	Incorporation into formulation, mixture or reaction products	Adhesives and sealant chemicals in Adhesive Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
		Anti-adhesive agents in Printing and Related Support Activities						
		Paint additives and coating additives not described by other codes in Paint and Coating Manufacturing; and Print Ink Manufacturing						

<sup>3</sup> The checkmarks indicate the type of effect and the exposure route to the population evaluated for each condition of use that supports the draft revised unreasonable risk determination for NMP. This table is based on Table 4-55 of this Risk Evaluation.

N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
		Processing aids not otherwise listed in Plastic Material and Resin Manufacturing						
		Solvents (for cleaning or degreasing) in Non-Metallic Mineral Product Manufacturing; Machinery Manufacturing; Plastic Material and Resin Manufacturing; Primary Metal Manufacturing; Soap, Cleaning Compound and Toilet Preparation Manufacturing; Transportation Equipment Manufacturing; All Other Chemical Product and Preparation Manufacturing; Printing and Related Support Activities; Services; Wholesale and Retail Trade						
		Surface active agents in Soap, Cleaning Compound and Toilet Preparation Manufacturing						
		Plating agents and surface treating agents in Fabricated Metal Product Manufacturing						

N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
		Solvents (which become part of product formulation or mixture) in Electrical Equipment, Appliance and Component Manufacturing; Other Manufacturing; Paint and Coating Manufacturing; Print Ink Manufacturing; Soap, Cleaning Compound and Toilet Preparation Manufacturing; Transportation Equipment Manufacturing; All Other Chemical Product and Preparation Manufacturing; Printing and Related Support Activities; Wholesale and Retail Trade						
		Other uses in Oil and Gas Drilling, Extraction and Support Activities; Plastic Material and Resin Manufacturing; Services	ONU	Inhalation		--		
Processing	Incorporation into articles	Lubricants and lubricant additives in Machinery Manufacturing	Worker	Inhalation & Dermal	✓		✓	✓
			ONU	Inhalation		--		
Processing	Incorporation into articles	Paint additives and coating additives not described by other codes in Transportation Equipment Manufacturing	Worker	Inhalation & Dermal	✓		✓	
			ONU	Inhalation		--		
Processing	Incorporation into articles	Solvents (which become part of product formulation or mixture), including in Textiles, Apparel and Leather Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation		--		

N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
Processing	Incorporation into articles	Other, including in Plastic Product Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Processing	Recycling	Recycling	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Processing	Repackaging	Wholesale and Retail Trade	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Industrial and Commercial use	Paints and coatings	Paint and coating removers	Worker	Inhalation & Dermal	✓		✓	✓
		Adhesive removers	ONU	Inhalation	--			
Industrial and Commercial use	Paints and coatings	Lacquers, stains, varnishes, primers and floor finishes	Worker	Inhalation & Dermal	✓		✓	
		Powder coatings (surface preparation)	ONU	Inhalation	--			
Industrial and Commercial use	Paint additives and coating additives not described by other codes	Use in Computer and Electronic Product Manufacturing in Electronic Parts Manufacturing	Worker	Inhalation & Dermal	✓		✓	✓
			ONU	Inhalation	--			
Industrial and Commercial use	Paint additives and coating additives not described by other codes	Use in Computer and Electronic Product Manufacturing in Semiconductor Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			



N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
Industrial and Commercial use	Paint additives and coating additives not described by other codes	Use in Construction, Fabricated Metal Product Manufacturing, Machinery Manufacturing, Other Manufacturing, Paint and Coating Manufacturing, Primary Metal Manufacturing, Transportation Equipment Manufacturing, Wholesale and Retail Trade	Worker	Inhalation & Dermal	✓		✓	
			ONU	Inhalation		--		
Industrial and Commercial use	Solvent (for cleaning or degreasing)	Use in Electrical Equipment, Appliance and Component Manufacturing	Worker	Inhalation & Dermal	✓		✓	✓
			ONU	Inhalation		--		
Industrial and Commercial use	Solvent (for cleaning or degreasing)	Use in Electrical Equipment Appliance and Component Manufacturing in Semiconductor Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation		--		
Industrial and Commercial use	Ink, toner, and colorant products	Printer Ink	Worker	Inhalation & Dermal			✓	
		Inks in writing equipment						
			ONU	Inhalation		--		
Industrial and Commercial use	Processing aids, specific to petroleum production	Petrochemical Manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
	Other uses	Other uses in Oil and Gas Drilling, Extraction and Support Activities						
		Functional fluids (closed systems)						
			ONU	Inhalation		--		

N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
Industrial and Commercial use	Adhesives and sealants	Adhesives and sealant chemicals including binding agents	Worker	Inhalation & Dermal	✓		✓	
		Single component glues and adhesives, including lubricant adhesives						
		Two-component glues and adhesives, including some resins						
			ONU	Inhalation	--			
Industrial and Commercial use	Other uses	Soldering materials	Worker	Inhalation & Dermal			✓	
			ONU	Inhalation	--			
Industrial and Commercial use	Other uses	Anti-freeze and de-icing	Worker	Inhalation & Dermal			✓	
		Automotive care products						
		Lubricants and greases						
			ONU	Inhalation	--			
Industrial and Commercial use	Other uses	Metal products not covered elsewhere	Worker	Inhalation & Dermal	✓		✓	✓
		Lubricant and lubricant additives, including hydrophilic coatings						
			ONU	Inhalation	--			
Industrial and Commercial use	Other uses	Laboratory chemicals	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Industrial and Commercial use	Other uses	Lithium Ion battery manufacturing	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			
Industrial and Commercial use	Other uses	Cleaning and furniture care products, including wood cleaners, gasket removers	Worker	Inhalation & Dermal	✓	✓	✓	✓
			ONU	Inhalation	--			

N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c, d</sup>	Exposure Route	Human Health Effects			
					Acute Non-cancer		Chronic Non-cancer	
					High End	Central Tendency	High End	Central Tendency
Industrial and Commercial use	Other uses	Fertilizer and other agricultural chemical manufacturing - processing aids and solvents	Worker	Inhalation & Dermal			✓	
			ONU	Inhalation	--			
Disposal	Disposal	Industrial pre-treatment	Worker	Inhalation & Dermal	✓	✓	✓	✓
		Industrial wastewater treatment						
		Publicly owned treatment works (POTW)						
		Underground injection						
		Landfill (municipal, hazardous or other land disposal)						
		Incinerators (municipal and hazardous waste)						
		Emissions to air						
	ONU	Inhalation	--					

<sup>a</sup> These categories of conditions of use appear in the Life Cycle Diagram, reflect CDR codes, and broadly represent additional information regarding all conditions of use of NMP.

<sup>b</sup> These subcategories reflect more specific information regarding the conditions of use of NMP.

<sup>c</sup> ONU risk from acute exposure are not expected to be below the benchmark MOE.

<sup>d</sup> Based on EPA’s analysis, the data for worker and ONU inhalation exposures could not be distinguished; however, ONU inhalation exposures are assumed to be lower than inhalation exposures for workers directly handling the chemical substance. To account for this uncertainty, EPA considered the workers’ central tendency risk estimates from inhalation and-vapor-through-skin exposures when determining ONUs’ unreasonable risk. See further explanation in Section 2.4.3 of this Risk Evaluation.

“-” = ONU risk from acute exposures are not expected to be below the MOE; see further explanation in Section 4.2.3

**Table 5-2. Supporting Basis for the Draft Revised Unreasonable Risk Determination for Human Health (Consumer Conditions of Use) <sup>4</sup>**

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c</sup>	Exposure Route	Human Health	
					Acute Non-cancer	
					High Intensity Use	Medium Intensity Use
Consumer use	Paints and coatings	Paint and coating removers	Consumer user	Inhalation & Dermal		
			Bystander user	Inhahaltion		N/A
Consumer use	Paints and coatings	Adhesive removers	Consumer user	Inhalation & Dermal		
			Bystander user	Inhahaltion	N/A	N/A
Consumer use	Paints and coatings	Lacquers, stains, varnishes, primers and floor finishes	Consumer user	Inhalation & Dermal		
			Bystander user	Inhahaltion	N/A	N/A
Consumer use	Paint additives and coating additives not described by other codes	Paints and Arts and Crafts Paints	Consumer user	Inhalation & Dermal		
			Bystander user	Inhahaltion	N/A	N/A
Consumer use	Adhesives and sealants	Glues and adhesives, including lubricant adhesives	Consumer user	Inhalation & Dermal	✓	
			Bystander user	Inhahaltion		N/A
Consumer use	Other uses	Automotive care products	Consumer user	Inhalation & Dermal		
			Bystander user	Inhahaltion	N/A	N/A
Consumer use	Other uses	Cleaning and furniture care products, including wood cleaners, gasket removers	Consumer use	Inhalation & Dermal		
			Bystander user	Inhahaltion		N/A

<sup>4</sup> The checkmarks indicate the type of effect and the exposure route to the population evaluated for each condition of use that support the draft revised unreasonable risk determination for NMP. This table is based on Table 4-56 of this Risk Evaluation.

N-Methylpyrrolidone (NMP) DRAFT FOR PUBLIC COMMENT

Life Cycle Stage	Category <sup>a</sup>	Subcategory <sup>b</sup>	Population <sup>c</sup>	Exposure Route	Human Health	
					Acute Non-cancer	
					High Intensity Use	Medium Intensity Use
Consumer use	Other uses	Lubricant and lubricant additives, including hydrophilic coatings	Consumer user	Inhalation		
			Bystander user	Inhalation	N/A	N/A
<sup>a</sup> These categories of conditions of use appear in the Life Cycle Diagram, reflect CDR codes, and broadly represent additional information regarding all conditions of use of NMP. <sup>b</sup> These subcategories reflect more specific information regarding the conditions of use of NMP. <sup>c</sup> N/A = not assessed. Bystander exposure was evaluated for three high-end scenarios that indicated potential risk.						

## 5.5 References

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