Marine Compression-Ignition Engines: Instructions for EV-CIS Certification Module



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Implementation, Analysis and Compliance Division Office of Transportation and Air Quality U.S. Environmental Protection Agency



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Marine Compression-Ignition Engines: Instructions for EV-CIS Certification Module

The purpose of this document is to assist manufacturers of Marine Compression-Ignition engines with the submission of certification applications using the Engines and Vehicles – Compliance Information System (EV-CIS, formerly "Verify").¹ This document does not supersede or replace any regulatory requirements of the Clean Air Act or MARPOL Annex VI.

The below instructions are intended for manufacturers who have already <u>received a manufacturer code</u> <u>from the EPA</u>, and who have followed the <u>steps to assign employees as CAO</u>, <u>CROMERR Signer(s)</u>, and <u>Submitter(s)</u>.² Please follow the linked instructions if you have not yet completed these steps. For further assistance with account setup, please contact <u>ev-cisdpc@epacdx.net</u>.

Before submitting a request for certificate, please make sure to review and update the manufacturer information in EV-CIS, including:

- Relevant industry sectors (e.g., Marine Compression-Ignition Engines)
- Company addresses
- Engine manufacturing plant information
- Name of at least one agent for service in the U.S. & their contact information³
- Emissions test labs

If manufacturer information updates are not completed before an application for certification is started, you will be unable to enter the correct information in your application.

¹ Where we refer to a "manufacturer" in this document, we mean any entity who EPA has allowed to obtain a certificate for a Marine Compression Ignition engine family. See <u>40 CFR 1042.2</u>.

² It is permissible for a CAO to assign multiple roles to an individual account user. For example, a submitter can also be a CROMERR signer.

³ The named agent must be a person with a street address in the U.S. (not a P.O. box) who can be reached during normal business hours and agrees to be responsible for receiving legal notices from EPA on behalf of the manufacturer.

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1. Submitting a new engine family for certification

Once you are staffed with CAO, CROMERR Signer, and Submitter roles at your company and have entered up-to-date manufacturer information you are ready to begin the engine certification process.

- A. How to begin a new application in EV-CIS
 - i. As an approved submitter, sign into <u>EV-CIS</u> through CDX.

€EF	A United	States Environmental Protection Agenc	Ŷ			
Home	About	Recent Announcements	Terms and Conditions	FAQ	Help	
CDX	Centra	al Data Exchange				Contact Us
						Log in to CDX
200	-1	C. C	C. P. C. BARRIER			User ID
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	1					Forgot your User ID? Warning Notice and Privacy Policy
Welco	me					

Welcome to the Environmental Protection Agency (EPA) Central Data Exchange (CDX) - the Agency's electronic reporting site. The Central Data Exchange concept has been defined as a central point which supplements EPA reporting systems by performing new

ii. Select the submitter role.

CDV	Control Da	ta Exchange					Contact Us	
MyCDX	Inbox My Pr	ofile Submission His	story Payn	nent Histor	Ŷ			<u>(Log out)</u>
	_	Services		¢	Manage		CDX Service Availability	
Statu	<u>is</u> [‡] <u>Prograr</u>	n Service Name	:	◆ <u>Role</u>	\$	So	the status for all program services	
8	EV-CIS: I Informat	Engines and Vehicles - Co ion System	mpliance	<u>CROMER</u> Signer	<u>R</u>			
8	EV-CIS: I	Engines and Vehicles - Co	mpliance	Submitte	er		News and Updates	
	Informat	on bystem				No	news/updates.	

iii. Select "Submit Certification Information"



iv. Select the appropriate industry, searching for "marine" if needed. This instructions document will focus on marine compression-ignition engines.

	What would you Submit Certificati	u like to do? on Information		×
	Select an industry to continue.	marine		
M ufacti	Marine Compression-Ignition Engines		»	rtificate date
	Marine Spark-Ignition Engines		»	
#				

v. Select "Start New Dataset." (If you are working from an existing dataset, please see section 1C on page 13.)



vi. This will bring you to a data entry area with multiple tabs, as shown below. All required fields in each tab (those with a *) must be completed. The next steps include tips for filling in many required fields.

eneral Information	Emission Control System	Engine Config	uration(s)	Test Information	Adjustment Factors	
urability Information						
General Information						
EPA Manufacturer Code	EPA					
Process Code* :	Select	×	Model Year* :		Select V	
EPA Engine Family Name* :			Manufacturer E	ngine Family Name :		
A	Alternate Trade Names (on Label)		+ Add			
			🗌 🍵 Delete	2		

T.

vii. It is advised to save the file regularly as you work and save again before you submit, when you are finished entering your information needed for certification.

The save button is located at the bottom of the page opposite the "review and submit" button.

59:49	Save Form	You are in an encrypted secure session.	Review & Submit
Click here to reset timer		Help Desk: (888) 890-1995 Email: evcishelpdesk@epacdx.net EPA Home Privacy and Security Notice Contact Us URL: http://www.epa.gov/epahome/usenotice.htm	ļ

B. Tips on required information for submission

A more detailed, and regularly updated, guide to each field may be found at <u>the EPA's</u> <u>Marine CI system documentation page</u> – select "Data Requirements for Marine CI." The accompanying link "Business Rules for Marine CI" explains the internal logic within the EV-CIS system for accepting and rejecting field entries, and may also be of great use to submitters. We strongly suggest all submitters refer to this guide with any questions they encounter.

Manufacturers may also wish to refer to "<u>Marine CI Module Revisions Explained</u>," which helps clarify which certificates manufacturers should apply for.

To get the data element number, which can be used with the above "Data Requirements for Marine CI" reference, please mouse over the field descriptor for the relevant field. The data element number will appear as mouseover text.

EV-CIS: Engines	and Vehicles - Con	npliance Informatio	on System		
EV-CIS Home > Marine Compress	ion Ignition > Certification Information S	ubmission			
Certification Informati	on Submission				
 or = required field = CTRL-click to select and deselect 	t multiple values				
General Information	Emission Control System	Engine Configuration(s)	Test Information	Adjustment Factors	
Durability Information					
General Information					
EPA Manufacturer Code	EPA				
Process Code* :	Select	Model Year*	Se	le t 🗸	
EPA Engine Family Name* :		Manufacturer	Data Element Number 3		
	Alternate Trade Names (on Label)	+ Add			
		💼 Delet	e		
Branding Arrangements D	Description (4000 characters available	.)			
					A
					-
•					▶
Manufacturer Type* :	Select	✓ Engine Typ	e* :	Select	~
Do your engine production the small volume threshold	volumes meet Select 🗸	Locomotive Family Nan	e Remanufacture System Engine ne :		
Is your engine on a formerl that is being reflagged as a	y foreign vessel U.S. vessel?* : Select 🗸				

Examples of fields and some additional information points are given below.

i. General Information Tab

The EV-CIS system collects certification application information required by regulation. All submitters should compare their application with the requirements laid out in <u>40 CFR 1042 Subpart C</u>, which describes what makes a complete application. This tutorial is intended to be helpful but is not enforceable; the Code of Federal Regulations ultimately governs what is required in an application for certification.

- Process Code (MCI-2): Indicates type of submission. A new submission is "N New Submission."
- Model year (MCI-3): the model year, as defined by 40 CFR Part 1042.901, which will apply to the engine being submitted for certification.
- EPA Engine Family Name (MCI-4): Engines are named according to the following scheme:



The industry sector code for marine compression ignition engines is N. <u>EPA's web page</u> on <u>Engine Family Naming Conventions</u> provides additional information about each of the character positions in EPA engine family names, while <u>this MCI-specific web page</u> provides additional information about appropriate marine compression ignition engine displacement codes.

The manufacturer engine family name (MCI-5) is not required, but is provided to enable manufacturers to include common trade names for engine models as part of their application, for easy reference.

Engine family determination: see <u>40 CFR 1042.230</u> for instructions on appropriate engine family assignment.

- Manufacturer type (MCI-8): Affects what data is required; defined in 40 CFR 1042 (see links)
 - (a) Original <u>engine manufacturer</u>
 - (b) <u>Post-manufacture marinizer</u>
 - (c) Independent commercial importer: <u>included in the definition of manufacturer</u>; we recommend selecting this option if you are a reflagger or an importer of finished engines which you purchase from another manufacturer.
- Engine type (MCI-10): these engine types are largely defined in <u>40 CFR 1042.901</u>, for instance, see the definition for "<u>recreational marine engine</u>."
- Small volume threshold (MCI-9): defined in <u>40 CFR 1042.901 'Small-volume engine</u> <u>manufacturer'</u>
- Flagging (MCI-235): Re-flagging is defined in <u>40 CFR 1042.901 'Reflag.'</u> Upon a vessel's reflagging to the US, installed marine engines generally become subject to 40 CFR part 1042 (see <u>1042.5</u>) and 40 CFR part 1043 (See <u>1043.10</u>).

- Marine Combustion type (MCI-219): these combustion types are defined in <u>40 CFR 4042.901</u>, for instance, see the definition for "<u>marine compression ignition engine</u>." See also <u>40 CFR 1042.1 (f)</u>.
- Carryover test results status (MCI-13): <u>See 40 CFR 1042.235(d)</u>. A positive response to this prompts for the original carryover engine name and family.
- Types of certificates required (MCI-241): Indicate what certificates are required for this family, so that appropriate processing rules may be applied to your application. More information on required certificates may be found in the document "Marine CI Verify Module Revisions Explained" linked on the Marine CI system documentation page. For more information about EIAPP certificates, see ("EPA-Issued EIAPP Certificates: Frequently Asked Questions," EPA-420-F-19-009). If Certificate Type equals '2' (EIAPP Certificate Only), then Justification for EIAPP Certificate Only is required.

Please note: the fields that are available in the online application depend upon your selection here, so some fields described below may not appear for you, depending on your choice.

- Applicable Regulation (Certificate of Conformity) (MCI-15): Note that the EPA migrated the
 regulatory provisions from 40 CFR Part 94 to Part 1042 in 2021. Select Part 94 for engines on
 reflagged vessels that are or will become subject to Tier 1 or Tier 2 standards. We note that Tier
 1 and Tier 2 engines are now subject to standards as specified in Part 1042 Appendix I, with
 certification provisions as described in <u>40 CFR Part 1042</u>.
- Engine category (MCI-20): The marine engine category based on specific engine displacement per cylinder, see <u>40 CFR 1042.1(a)</u> and <u>40 CFR 1042.901</u>.
- Special compliance provision (MCI-18): A designation for the specific compliance standards applicable to this engine family, if applicable. <u>Refer to 40 CFR 1042.101</u> for more information on the applicability of listed provisions.
- Maximum engine power (MCI-21): Maximum power of the highest rated engine in this Engine Family as defined in <u>1042.140</u> (kW)
- Rated engine power (MCI-248): The maximum advertised continuous power at rated speed among all engine configurations of this engine family (kW). This field is optional for a Certificate of Conformity but required for an EIAPP certificate. The rated power will be printed on the EIAPP certificate issued in accordance with 40 CFR 1043.
- Maximum test speed (MCI-249): Highest engine speed to use during testing in rotations per minute (rpm), see <u>1042.901.</u>
- Maximum in-use speed (MCI-250): Highest engine speed the engine may reach in actual use in rotations per minute (rpm). The same as Maximum Test Speed (MCI-249) unless the engine speed is limited in use, see <u>1042.140(g)</u>.
- Engine applications (MCI-25): An indication of whether the engines in this family are intended for use in mobile and/or stationary applications, a status which is referenced various times in 40 CFR 1042, e.g., <u>40 CFR 1042.901 "New Marine Engine."</u> Your selection will be printed on the Certificate of Conformity.
- Limited applications (MCI-27): an optional field that, if selected, appears on the Certificate of Conformity. If you have questions about what to put down, please contact your certification representative. Variable speed propulsion engines typically do not require a selection in this field.

- Time-Weighted Carve Out Limited NTE Testing Regions and NTE deficiencies (MCI-34): Not-To-Exceed standards are defined in 40 CFR <u>1042.101(c)</u>; the test procedures related to NTE standards are discussed in <u>40 CFR 1042.515</u>. Additional information on time-weighted carveouts can be found in <u>40 CFR 1042.515(f)(2)</u>, the possibility of permissible deficiencies is discussed in <u>40 CFR 1042.101(c)(5)</u> and <u>40 CFR 1042.145(g)</u>.
- Fuel and Fuel Options (MCI-46): Fuel(s) combusted in this engine family, which affect which species of pollutants must be measured. See, e.g., <u>40 CFR 1042.101(d)</u>.
- Averaging Banking and Trading (ABT) and Family Emission Limit (FEL) Information (MCI-29 and MCI-30): Indicate participation in the ABT program. For more information on this program and to view applicable regulations, see 40 CFR 1042 <u>Subpart H</u>.

Please make sure you select accurate manufacturing plants, test labs, and U.S. service agent.

ii. Emission Control System Tab

• Please accurately list and describe all aftertreatment devices (if part of your engine design). Aftertreatment devices must be appropriately included in emissions testing. Please see the <u>definition of aftertreatment</u>.

In some cases, for very large engines, EPA may allow the testing of engine-out emissions with simulated aftertreatment operation. We allow this for Category 3 engines without special request. If a Category 2 engine is too large to be practically tested in a laboratory with a fully assembled aftertreatment system, consult the regulation before requesting EPA to allow this for your engine.

• Are any AECDs used on this Engine Family (MCI-63)?

Please be sure to disclose and fully describe all Auxiliary Emissions Control Devices (AECDs) (see 1042.205(b)). In our experience, the vast majority of engines have at least one AECD, e.g., modified behavior during a cold start. Further, EPA expects your engine's primary emission control strategy to be disclosed as part of the AECD information packet. EPA has provided a suggested format for describing AECD's in a guidance document released in June 2004.⁴

Even though EVCIS does not currently allow families subject only to 40 CFR Part 1043 to enter AECD information on-screen, all families (including EIAPP-only families) require disclosure and description of AECDs. For EIAPP-only families, this can be accomplished via submission of a separate document.

iii. Engine Configuration(s) Tab

• Information in this tab can also be submitted as a CSV file (although engine name, model year, and production dates must be entered manually first). For a copy of the CSV template, please see the Marine CI support files page and select "Configurations and Parts Template for Marine CI." Using a CSV template allows submitters to conveniently upload multiple engine configurations and a part list as one file.

⁴ See the "AECD Reporting Template," beginning on page 10 of CCD-04-12, June 15, 2004, "Nonroad Compression Ignited Engines: Guidelines on Reporting Maximum Allowable Off-cycle Emissions". If the linked URL takes you to the Search page, type 2004-12 in the Keyword Search field.

- Engine Configuration Name: Provide a name for your engine configuration. Please note that a single engine may have multiple configurations (e.g., a variable-speed mode and a fixed-speed mode), and each configuration can and should be given its own name and subsequent configuration details. The engine configuration names entered here will be printed on the EIAPP certificate, if applicable.
- Please note that the fuel rate at maximum torque (Data Element 119) and at rated speed (Data Element 120) have a character limit, and by extension, have a numerical limit of 99,999.9 mm³/stroke. If your values exceed this number, please enter 99999.9 for these data elements and then add a comment at the bottom of the General Information tab indicating the true value for each of these values.
- Please make sure to give complete and correct configuration information in compliance with <u>40</u> <u>CFR Part 1042.140</u>.

iv. Test Information Tab

- If entering test results for an engine in the current family, select option "C" (Data Element 154). Below, some elements of this option are described:
 - Engine Configuration Name (Data Element 155): The engine configuration of the test engine, please note that this information was entered in the model pages.
 - Originator Assigned Test Dataset ID Number (Data Element 158): The identification number assigned by the test originator (manufacturer, EPA lab) to this test data set.
 - Test Procedure Used (Data Element 336): More information on the required tests may be found in the document <u>"Marine CI Verify Module Revisions Explained" linked on this page</u>.
 - Pre-Test Engine Run Time (Data Element 160): <u>See 40 CFR 1042.205(f)</u>.
 - Test Engine Selection Justification (Data Element 161): Please see <u>40 CFR § 1065.401 Test</u> engine selection for more information on appropriate test engine selection.
 - Engine Operation Type (Data Element 166): Whether the tested engine configuration is a variable or constant speed engine.
 - Special or Alternate Test procedure (Data Element 165): according to 40 CFR 1065.10, "the terms 'special procedures' and 'alternate procedures' have specific meanings; 'special procedures' are those allowed by <u>§ 1065.10(c)(2)</u> and 'alternate procedures' are those allowed by <u>§ 1065.10(c)(7)</u>."
 - Test Mode Type (Data Element 168): For more information on discrete-mode and rampedmodal duty cycles, see <u>40 CFR 1042.505</u>. Note if pollutant emission rates are associated with an incorrect test mode, the system will calculate a false test result.
 - (i) For each mode, enter the appropriate identifier (each mode is required), indicate mode power (kW) and pollutant test results (including PM results, whether or not a PM standard applies to your engine). For more information on what test results are required, see <u>40 CFR 1042.205(o)</u>.
- If entering a test which is already recorded in Verify/EV-CIS (Verify is another, older name for this EV-CIS subsystem), select "V" (Data Element 154) and copy the Carryover Test Dataset ID Number (Data Element 153) listed on the previous dataset.
- If entering a test which pre-dates Verify, option "P" (Data Element 154), you will need to enter similar information to entering the results of a newly reported test.

v. Adjustment Factors Tab

- Refer to <u>40 CFR 1042.525</u> for more information on Infrequent Regeneration Adjustment Factors (IRAF) in marine engine certification.
- ATD Name (MCI-142): The particular aftertreatment device to which this IRAF applies. If an adjustment factor is not going to be used, leave this field blank.
- Duty Cycle (MCI-144): the duty cycle used to obtain the test results subject to this IRAF.
- Engine Configuration(s) (MCI-145): the names of the engine configuration(s) that this IRAF applies to. To select multiple configurations, hold down the Ctrl key while selecting.
- Mode Identifier (MCI-146): This is the identifier for the test mode.

vi. Durability Information Tab

- For more information on determining deterioration factors, see <u>40 CFR 1042.240(c)</u>-(d), and, for Category 1 and 2 Engines, <u>40 CFR 1042.245</u>. For Category 3 Engines, see <u>40 CFR 1042.240(e)</u>. For more information on assigned deterioration factors for certain Tier 4 engines through model year 2026, see <u>40 CFR 1042.145(n)</u>.
- As allowed in <u>40 CFR 1042.240(c)</u>, <u>small-volume manufacturers</u> and <u>post-manufacture</u> <u>marinizers</u> may use deterioration factors established by the EPA. Please contact your certification representative for more information.

Section C describes features for use of an existing dataset and batch data submissions. To skip those, proceed to section D.

C. Alternate methods of data submission (using XML files with EV-CIS)

Manufacturers may also wish to upload engine family certification information from an existing XML file rather than a new blank one. An XML file, once accurately prepared, allows almost all certification data to be inputted into the EV-CIS system with only a few clicks. Since EV-CIS generates XML files from the submission of a valid dataset, this may also be useful to manufacturers who wish to use a previous submission as a starting point for a new submission. Just make sure to update all appropriate fields if you take this approach!

To upload an existing XML file containing certification information for one engine family, go to "Upload Existing Dataset" from the Marine Compression Ignition Homepage.



You can then select a locally saved file. Once you have selected a file from "Choose File," click on "Open Existing Dataset" to edit that data to save as a new or updated engine family.

To upload a batch XML dataset:

- Go to the homepage for Marine Compression Ignition and select "Submit Batch Dataset"
- This may be an attractive option for manufacturers who certify multiple engine families and who have experience working with XML files.

WITED STATE			U.S. Environmental	Protection Agency
	EV-CIS: Engines and Ve	hicles - Complianc	e Information System	
	, , , , , , , , , , , , , , , , , , ,	Logged in as	Vanufacturer:	(Logout)
AG AG	EV-CIS Home > Marine Compression Ignition			
	Marine Compression Ignition			
PROTECT	Certification Information Submit	ission		
About CDX	 Start New Dataset 			
MyCDX	Uplead Existing Deteast			
Change Password	Opload Existing Dataset			
Frequently Asked	Submit Batch Dataset			
Questions	 <u>Request Dataset Report</u> 			
CDX Home	 <u>Delete Dataset</u> 			
Terms & Conditions				
Logout	 <u>Upload Certification Document</u> 	<u>s</u>		
	Maintain Manufacturer Informat	tion		
		You are in an encrypted	secure session.	
		Help Desk: (888) 890-1995 Email:	evrishelpdesk@enactx.net	
		EPA Home Privacy and Secu	rity Notice Contact Us	
		URL: http://www.epa.gov/epa	ahome/usenotice.htm	
59.34				
Click here				
to reset timer				
1				

For more information on the XML files that can be used for batch data submission, view <u>System</u> <u>Documentation for Marine Compression-Ignition (CI) Engines | US EPA</u>.

D. Review and submit completed data.

Once all data is entered, scroll down to the bottom of the page to submit your engine family data.

	• Return to Form		🖪 Submit
58:56		You are in an encrypted secure session.	
Click here to reset timer		Help Desk: (888) 890-1995 Email: evcishelpdesk@epacdx.net EPA Home Privacy and Security Notice Contact Us	
		URL: http://www.epa.gov/epahome/usenotice.htm	

You will see a confirmation screen like the one below, whether or not your submission was accepted by the system. Under most circumstances, the data you submitted will also automatically download as an XML file at this time. Save this file in a safe location for your records.

A ROTED BY ARE DO THE OWNER	EV-CIS: E EV-CIS Home > M MCI Certific	Engines and Vehi Marine Compression Ignition > Certif ation Information Subl	U.S. Environmental Protection Agency icles - Compliance Information System Logged in as, Manufacturer: ENVIRONMENTAL PROTECTION AGENCY - EPA (Logos ification Information Submission > Review > Finalize pmission
About CDX MvCDX			Submission Summary
Inbox Change Daseword		Transaction ID	_67e138a0-7e75-425d-b038-760b54126364
Frequently Asked		Date	03/20/2024
Questions Help & Support		Manufacturer	ENVIRONMENTAL PROTECTION AGENCY (EPA)
CDX Home Terms & Conditions		Process Code	N
Logout		Engine Family Name	NEPAN0106HF2
		Model Year	2022
	• Review		Upload Certification Documents
			You are in an encrypted secure session.
		1	Help Desk: (888) 890-1995 Email: evoishelpdesk@epacdx.net EPA Home Privacy and Security_Notice Contact Us
			URL: http://www.epa.gov/epahome/usenotice.htm

Although you can upload certification documents at this time using the button towards the bottom of the screen, documents can also be uploaded for any family at any time using the document upload module described below in Section 2. The steps to upload documents are the same.

Hit "Return" to return to the marine compression ignition home page.

Be sure to check that your submission was successful! To do this, please go to your CDX Inbox.

Accessing the inbox can be done from the sidebar or from your "MyCDX" homepage.

me Ab	out Re	cent Announcements	Terms and C	conditions	FAQ	Help		
DX: Ce	entral D	ata Exchange Profile Submission	History Payn	nent Histo	ry		Solution State	<u>Log</u>
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<u>Status</u> &	Progr EV-CIS	am Service Name 5: Engines and Vehicles - nation System	Compliance	Role CROMER Signer	♦ <u>IR</u>	See	e the status for all program services	
8	EV-CIS Inform	: Engines and Vehicles - ation System	Compliance	Submitte	<u>er</u>		News and Updates	
						No	news/updates.	
Add Prog	ram Servic	Manage Your P	rogram Service	es				
			CDX He	lp Desk: 888-8	890-1995	(970) 494 -	5500 for International callers	UTED STAT

There, you will find messages indicating whether your submission was rejected or accepted.

\$EP													
Home	About	Recent Announ	cements	Terms and Conditions	FAQ	Help							
CDX	CDX: Central Data Exchange												
MyCDX	MyCDX Inbox My Profile Submission History Payment History												
22 iter	22 items found; displaying 1 to 20.												
	From	\$	<u>Subjec</u>	t			¢	Received	\$				
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	<u>Verify</u>	Administrator	Request	t for Certificate for NEPAN	0106HF	1 Receive	d	3/5/2024 3:17	:55 PM				

If your submission was accepted, you may proceed to Step 2 (submitting documents). If your submission was rejected, you must investigate why this happened and take steps to fix any problems and resubmit a corrected dataset. This process must be repeated until your submission is accepted. Please disregard the small print in the email from the Verify Administrator suggesting you will be notified if additional action is needed, as that would be incorrect.

To view why a submission was rejected, open the message (by clicking on "Verify Administrator" next to the message of interest), and click on the xml file located under "Returned Documents" at the bottom of the page.

(Please note that by opening and then saving the .xml file listed under "Submission" at the *top* of the page, this file can be reused in the "upload existing dataset" step shown above on page 13.)



Near the end of the XML file, the reason for rejection will be listed in business rule form (in the example below, MCI-BR001) with related data elements listed (e.g., MCI-2). To see additional information about each business rule and data element, refer to the documents "Data Requirements for Marine CI" and "Business Rules for Marine CI" on the <u>Marine CI system documentation webpage</u>. For further assistance understanding and resolving error messages, EPA has an EVCIS help desk staffed to field questions about our engine certification modules, including questions about business rules that fire and cause a submission to be rejected: <u>evcishelpdesk@epacdx.net</u>.

ransaction Status Iden ransaction Message Tex	titier : REJECTED xt : MCI-BR001 - If Process Code (MCI-2) equals 'N' (New Submission), then an active dataset must not exis	st in Verify
r this EPA Engine Family I	Name (MCI-4) and Model Year (MCI-3).	

In the example above, the submission was rejected because it was listed as a "new submission" even though an engine family with that same name and model year had already been submitted and accepted.

2. Submitting required documents

In addition to the data entered in Step 1, additional information is required for certification. This information should be submitted via the "Upload Certification Documents" function in EV-CIS. This section outlines the document submission process.

The below table summarizes some documents that are required as part of most applications for certification. Some documents are conditionally required. Please consult the regulations cited in the table below and the <u>EVCIS Request for Certificate Business Rules</u> for more information about which engine families may omit certain documents. Note that documents can and should also be used to include any information required in support of your certificate that is not already entered into EV-CIS via the modules shown in Section 1.

Document Type	Required by the EV-CIS system when	Please refer to
Adjustable Parameter Information	If you indicated that your engine family has Adjustable Parameters and you elected to describe them in a separate document (rather that on-screen) (MCI-243 is Yes), you must include an Adjustable Parameter Document.	<u>40 CFR 1042.115(d)</u> and <u>40 CFR 1068.50</u>
Auxiliary Emissions Control Device (AECD) Information	If you indicated that your engine family has AECD's and you elected to describe them in a separate document (rather than on-screen) (MCI-242 is Yes), then you must include an "Auxiliary Emissions Control Device (AECD) Information" document.	<u>40 CFR 1042.205(b)</u>
Durability/Deterioration Factor (DF) Report	If you are requesting a Certificate of Conformity, and you are not a reflagger (MCI-325 is No), then you must include a "Durability/Deterioration Factor (DF) Report" document.	<u>40 CFR 1042.245</u>
Installation Instructions	If you are requesting a Certificate of Conformity, someone else will install your engines in a vessel, you are not a reflagger, and the engine type is not Remanufacture system based on Locomotive (RL), you must include the installation instructions you will give to the installer as a document with your application.	<u>40 CFR 1042.130</u>
Maintenance Instructions	If you are requesting a Certificate of Conformity, you are not a reflagger, and the engine type is not RL, you must include the maintenance instructions you will give to the ultimate purchaser as a document with your application.	<u>40 CFR 1042.125</u>
Mode Cap Compliance Description	For EIAPP certificates: If you are certifying to Tier III of Annex VI (MCI-17), and you are not using Discrete-Modal Testing (Test Mode type MCI-168 is Ramped), you must include a "Mode Cap Compliance Description" document.	<u>40 CFR Part 1043</u>
Not To Exceed (NTE) Documentation	If you are requesting a Certificate of Conformity, you are not a reflagger, and you are certifying a Category 1 or Category 2 engine that is not a remanufacture system, you must include Not To Exceed (NTE) documentation	<u>40 CFR</u> <u>1042.101(c)(1)(i)</u> and <u>40 CFR 1042.515</u>

Table 1. Documents that may be required for marine CI certification

Document Type	Required by the EV-CIS system when	Please refer to
	demonstrating compliance with the requirements of 40 CFR Part 1042.	
NOx Technical File	If you are requesting an EIAPP certificate, you must include a "NOx Technical File" Document.	40 CFR Part 1043
Sample Label Language	If you are requesting a Certificate of Conformity, you are not a reflagger, and the engine type is not RL, you must include sample emissions label language as a document with your application.	40 CFR 1042.135; other provisions such as 40 CFR 1042.145(j) or 40 CFR 1042.605(e) or 40 CFR 1042.650(d)(4) apply to particular types of engines and vessels
Warranty Statement	If you are requesting a Certificate of Conformity, you are not a reflagger, and the engine type is not RL, you must include a copy of your warranty statement as a document with your application.	<u>40 CFR 1042.120</u>

The above table is intended to be helpful, but it is not definitive or exhaustive. Please be sure to include all required information in your application for certification and review all applicable portions of the CFR while preparing your application.

<u>Additional documentation on the EV-CIS document types is available online</u>, and step-by-step instructions for uploading documents are included below.

Documents uploaded using the below instructions can be associated with multiple engine families, which can be useful if the document is reused for related engine families (e.g., a common warranty may apply across families, or two closely related engine families may share an AECD disclosure document.)

- A. As an approved submitter, sign into <u>EV-CIS</u> through CDX.
- B. Select the submitter role.



C. Select "Upload Certification Documents."



D. Enter each type of document that you will need for your certification applications. Assign them to specific engine families using the engine family names; or, if they will be used for many engine families, you may select multiple families using "multiple engine families/test groups." Multiple documents may be uploaded at once using the "add" button. Be sure to select "CBI" (Confidential Business Information) as the confidentiality status for any document you would not want publicly disclosed, such as in a Freedom of Information Act ("FOIA," commonly, here "FOI") request.

When finished, select "Review & Submit."

INITED STATES				U.S. Environmental Protection	Agency
	EV-CIS: Engines and Vehic	les - Compliance Information System			
	EV-CIS Home > Marine Compression Ignition > Certifica	tion Documents Upload	Logged in as,	Manufacturer: ENVIRONMENTAL PROTECTION AGENCY	- EPA (Logout)
WIAL PROTECTION	Upload Marine Compression Ignitic	n Certification Documents			
About CDX MyCDX	* or * = required field				? Help
Inbox Change Password	+ = CTRL-click to select and deselect multiple values				
Frequently Asked Questions	Use this page to submit your documents	pertaining to general data or specific Engine Family data. You can u	upload multiple files at a time.		
CDX Home Terms & Conditions	The document file name must not contai	n the substring '', must not end with a space or period, and must n	ot contain the following charac	ters: < > : " / \ ? * , ' ` & =	
Logout	Document Applicability*:	One Engine Family/Test Group			
	Engine Family/Test Group* :	ENGINEFAMILY			
	Model Year*+ :	2027 2026 - 2025 -			
	Due to memory and file size constraints	he total size of all the files to be uploaded should not exceed 100ME	В.		
	Document #1				
	1 Delete				
	Document Type* :	Auxiliary Emissions Control Device (AECD) Information			
	Confidentiality Status*:	CBI 🗸			
	Local File [*] :	Example.pdf			
	+ Add				
				Review	& Submit
		You are in an encrypted secure	session.		
		Help Desk: (888) 890-1995 Email: evolshel EPA Home Privacy and Security Notic	lpdesk@epacdx.net <u>e Contact Us</u>		
		URL: http://www.epa.gov/epahome/ur	senotice htm		

E. You will see a confirmation like the one below. Hit "Submit" once again to finalize your upload.

INITED STATES				U.S. Environmental Protection Agency	,
	EV-CIS: Engines and V	/ehicles - Compliance l	nformatio <u>n Sy</u>	<u>ster</u> m	
		- On the Design of Links of A. Deview	Logged in as,	Manufacturer: ENVIRONMENTAL PROTECTION AGENCY - EPA (Logour	Ð
NON NON	EV-CIS Home > Marine Compression Ignition	> Certification Documents Opload > Review			1
PRATAL PROTECTION	Upload Marine Compression	on Ignition Certification Docum	nents		
About CDX	Industry	Marine Compression-Ignition Engines			
MyCDX	Document Applicability	One Engine Family/Test Group			
Change Password	Engine Family/Test Group	ENGINEFAMILY			
Frequently Asked	Model Year	2025			
Questions	Document Information				
Негр & Support	Document Type :	Auxiliary Emissions Control Device (AECD) Information		
Terms & Conditions	Confidentiality Status :	CBI = Confidential Business Information			
Logout	Name of File to be uploaded :	Example.pdf			
	Document Type :	NOx Technical File			
	Confidentiality Status :	CBI = Confidential Business Information			
	Name of File to be uploaded :	NOx Tech Data.txt			
	• Return			Submit)
		You are in an	encrypted secure session.		
		Help Desk: (888) 890-10 <u>EPA Home Privac</u>	995 Email: evcishelpdesk@e y and Security Notice Contac	paodx.net t Us	
		URL: http://www.	epa.gov/epahome/usenotice.h	tm	

F. You will receive a message in your CDX Inbox indicating whether the submission was accepted or rejected.

3. Formally request certification (CROMERR Signer)

A formal, signed request for certification must be completed before EPA can act to approve or deny your application. This step must be done after all information is completed in the engine family submission as indicated above (including uploading required documents).

Additional, regularly updated <u>documentation on the business rules that apply to the requests-for-</u> <u>certificate submitted by CROMERR signers</u> can be found online. This information can be used to help troubleshoot any errors that emerge during the signing process.

A. As an approved CROMERR Signer, sign into <u>EV-CIS</u> through CDX. Your status as a CROMERR Signer means you will be taking legal responsibility for the accuracy and completeness of the application for certification.

ome	About	Recent Announcements	Terms and	Conditions	FAQ	Help		
CDX	Centr	al Data Exchange					Contact Us Logged in as	<u>(Log out</u>
/IyCDX	Inbox	My Profile Submission H	History Payr	ment Histor	у			
		Services	_	¢	Manage		CDX Service Availability	
<u>Sta</u>	atus 🗘	Program Service Name		◆ <u>Role</u>	\$	Soc	the status for all program services	
8		EV-CIS: Engines and Vehicles - Information System	Compliance	CROMER Signer	R	<u></u>	the status for an program services	
8		EV-CIS: Engines and Vehicles -	Compliance	Submitte	er		News and Updates	
						No	news/undates	

B. Select the CROMERR Signer role.

C. Select "Request Certificate or CSI Update"



D. Fill in the requested information, selecting "Request for New Certificate" as the process code and including the engine family name associated with the submission, then select next. (For more details on requesting an amended certificate, please see page 29.)

\$~EPA	EV-CI	S Engines and Vehicle Compliance Information	es - ation System			My CDX	CDX Inbox
← Req	uest for	Certificat	e				
		1 Identify	2 Documents	3 Statements	4 Confirm	5 eSignature	6 Complete
		General	Informat	lion			
		Process Code *		Request for Ne	w Certificate	~	
		Model Year (YYY	(Y) *	Marine Compr	ession-Ignition En	gines	~
		Requested Certil	ficate Type *	Select Certifica	ite Type	~	
	tion of	EPA Engine Fam	nily Name *				
							Next →

E. Select the relevant documents needed to support your application for certification. All previously uploaded and accepted documents associated with the named family will appear on screen. Please note you need to select all documents that are required for a complete application, regardless of which process code you select. Please do not select draft documents that have been superseded. If you find you need to upload additional documents, please exit this request, and see the instructions above (documents cannot be added from this screen).

\$€PA	EV-CIS	Engines and Vehic Compliance Inform	les - ation System			My CDX	CDX Inbox		·	
← Req	uest for	Certificat	e							
										1
		O ——	-0	3	4	5	6			
		Identify	Documents	Statements	Confirm	eSignature	Complete			
58:54 Click here to	– Review Indu	ustry Informatio	on					Hide		
reset timer	Process Code Request for	New Certificate			Industry Marine Compressio	on-Ignition Engines				
	Model Year 2022				EPA Engine Family Nan NEPAN0106HF1	ne				
	Requested Ce EIAPP Certi	rtificate Type ficate Only								
	Certific	ation D	ocument	s						
		Select the document(s	s) below by clicking the rrows (descending). Yo	checkbox or box area. S u can open the documer	Sort the document(s) by clic ats by clicking the file name	king the ▲ up arrow (as or document title in bold	cending) and the V (text.			
	Filter	Select Documer	nt Type		~					
	File Nar	me 🜩		Document Title 🗢		Document	Туре 🗢	Date 🔻		
	2004 A	AECD Template.pd	If	ENVIRONMENTAI AGENCY2022Con DataNEPAN0106H	L PROTECTION npliance Document IF1	Auxiliary Emiss Device (AECD) Supplemental I	ions Control 02/28 nformation	8/2024		
	C SI-NI	EPAN0106HF1.pdf	F	CSI-NEPAN0106H	F1.pdf	CSI Report	02/28	8/2024		
	DF Re	port.txt		ENVIRONMENTAI General Applicab Document Data	L PROTECTION AGENO ility Compliance	Durability/Deter Factor (DF) Re	rioration 09/07 port	7/2023		
				ENVIRONMENTAI	PROTECTION AGEN	CY				

24

F. Review your information again. Select the date on which you intend to introduce the engine into US commerce. Note that requests for certificate should be submitted at least 60 days prior to your intended Commerce Introduction date to allow time for EPA review. For engines on reflagged vessels, the date to enter is the date when the vessel is anticipated to first sail in US waters under US flag. For EIAPP-only requests, this field is optional.

Certify that you comply with each applicable regulation. Those who are applying only for an EIAPP certificate do not need to certify that their engines comply with the Clean Air Act (Certification Statement 2). For additional guidance on which certificates you must apply for, please refer to <u>"Engines and Vehicles – Compliance Information System (EV-CIS)</u> Marine CI Module Revisions – Explained" (PDF).

These statements are legal attestations, and making false statements here may carry penalties under the law.

Hit "Review" to proceed.

. Introduction to Commerce Date	
. I unconditionally certify that this test group/engine family/vehicle family/evaporative family complies with the requirements of its corresponding part, other referenced parts of the CFR and the Clean Air Act. *	Select 🗸 📀
. I unconditionally certify that this marine engine family complies with the NOx requirements of part 1043, 33 U.S.C. 1901-1915, and Regulation 13 of MARPOL Annex VI, which references the NOx Technical Code as adopted by the International Maritime Organization (IMO). *	Select V

G. Review the listed information one final time and select "Sign & Submit" to apply your legal signature to the certification application.

If you have not yet paid your certification fees, you may see a message indicating this fact. Certificates cannot be issued unless all appropriate fees are paid. The <u>Marine Compression Ignition</u> <u>Certification page</u> includes links describing fee payment at STEP 4. There can be considerable time delay in clearing of electronic fee payments, so prompt fee payment is good practice. Please contact your certification representative with any questions.

eview & Confi	rm				
Review Industry Information	1				н
Process Code		Industry			
Request for New Certificate		Marine C	compression-Ignition Engine	ès.	
Model Year		EPA Engin	e Family Name		
2022		NEPAN0	106HF2		
Requested Certificate Type					
EIAPP Certificate Only					
File Name NOx Tech.txt	Document Title EPA General Applicability Com Document Data	pliance	Type NOx Technical File	Date 10/21/2021	
CSI-NEPAN0106HF2.pdf	CSI-NEPAN0106HF2.pdf		CSI Report	03/20/2024	
eview Certification Statem	ents				н
1. Introduction to Commerce	ce Date			03/27/2024	
 I unconditionally certify t family complies with the of the CFR and the Clea 	hat this test group/engine family/ requirements of its correspondin n Air Act.	/vehicle fa g part, ot	amily/evaporative her referenced parts	Not Applicable	
 I unconditionally certify t requirements of part 104 VI, which references the Organization (IMO). 	hat this marine engine family cor (3, 33 U.S.C. 1901-1915, and Re NOx Technical Code as adopted	mplies wit gulation 1 I by the In	h the NOx 13 of MARPOL Annex tternational Maritime	I Agree	

H. You will be asked to enter your password and answer a security question before you can complete your signature.

eSignature Widget			
1. Authentication	2. Verification	3. Sign File	
Log into CDX User:	Question:	Sign	
Password:	Answer:		
Show Password D	Show Answer 🗆		
Welcome	Correct Answer		

I. Once you sign, you will be brought to a confirmation page. Please save your activity and document IDs for your records. You will also receive an email confirming that you have submitted your request for certificate.

\leftarrow Request for 0	Certificate	е							
	0	0	0	O	O	6			
	Identify	Documents	Statements	Confirm	eSignature	Complete			
	Complete								
	Your Request for Certificate has been submitted to EPA.								
	If you have any questions, please contact EPA at (888) 890-1995 or email evcishelpdesk@epacdx.net								
	Please check your MyCDX Inbox for a message indicating that Verify has accepted your submission.								
	Submission Summary								
	Date		03/20/	2024					
	Proc	ess Code	Reque	st for New Certific	cate				
	Indus	stry	Marine	Compression-Ig	nition Engines				
	EPA	Engine Family Name	e NEPAI	10106HF2					
	Mode	el Year	2022						
	Requ	uested Certificate Typ	e EIAPP	Certificate Only					
	CRO	MERR Activity ID	_0a7f0	860-62f6-47e5-bc	d3-335ff1991d94				
	CRO	MERR Document ID	8e25c	3b9-dbe7-45a1-94	7d-8a9f1c1df852				
	Trans	saction ID	_c4e32	2238-566b-4934-aa	add-0bc2f79943b0				
				New Red	quest Go to	My CDX →			
			4						

J. If your application is found to meet the applicable requirements and your application is approved by the EPA, the CROMERR signer will receive a message in their CDX inbox indicating the certificate has been issued.

MyCDX Inbox	My Profile Submission History Payment History										
Certificate Re	Certificate Request for EPA Engine Family NEPAN0106HF1 Issued										
From	Verify Administrator										
Date	3/5/2024 4:02:41 PM										
Marine Compre issued. A copy Compliant PDF	ession-Ignition Engines Certificate Number NEPAN0106HF1-018 for Engine Family NEPAN0106HF1 has been of the signed certificate is attached below. If you would like to have the certificate in an alternate 508 format, please contact the Verify Help Desk.										
Transaction Ide	entifier: _7ffe640c-3030-4fab-ade5-8f2eac671065										
Click <u>here</u> to v	view the status history.										
Thank you for	submitting your request to Verify via CDX.										
Back to Inbox	C Print Delete										

Click on the circled link to be brought to a page where your certificate can be downloaded. The link to your certificate will be at the bottom of the page under "Returned Documents" along with a copy of the form information you submitted as part of your certification application.

NITED STATE				U.S. Environmental Protection Agen	cy
	Verify	(CDX): Submissio	on Status		
	MyCDX >	Verify (CDX) Submission Status		Logged in as, (Lo	gout
	The sta	tus of your submission is shown below:			
About CDX	•	Submission Document Name: <u>RequestForC</u> Transaction Id: _7ffe840c-3030- 	ertificateSubmission.xml 4fab-ade5-8f2eac671065		
		 Date Originally Submitted: 03/05 	5/2024		
Change Password Frequently Asked	•	Status History Date	Status	Description	
Questions Help & Support CDX Home Terms & Conditions Logout		Tue 03/05/2024 3:17 PM	Completed	The Marine Compression-Ignition Engines Request for Certificate for EPA Engine Family Name NEPAN0106HF1 has been successfully signed and submitted to EPA. You can view the signed Request for Certificate package through the Submission History link on your MyCDX page or by using the link below. Contact your EPA compliance representative for additional information. https://test.eoadx.net/SilentHiandoff?URL=https://testingn.eoadxnode.net/cromerr- review/action/submitter/details/cdetails/.00131af4=678-4490-b011-cd7f0bfcb200	
		Tue 03/05/2024 4:02 PM	Completed	Family NEPAN0106HF1 has been issued. A copy of the signed certificate is attached below. If you would like to have the certificate in an alternate 508 Compliant PDF format, please contact the Verify Help Desk.	
	•	Returned Documents Cert EIAPP NEPAN0108HF1-0 CSI-NEPAN0108HF1-001.pt Cert EIAPP Alternate View Ni	018.pdf EPAN0106HF1-018.xml		
				Back To Inbox	
67.56			You	are in an encrypted secure session.	

4. Amending an existing request for certification

This section explains how to amend an unlocked application for certification. If your certificate has been issued, or you have not yet signed your application for certification, your dataset will be unlocked. Please follow the directions below to make any needed amendments. If your application for certification is with the EPA pending review (is complete and CROMERR signed, but the certificate has yet to be issued), your dataset will be locked: please contact your certification representative for assistance.

Manufacturers may realize after submission that their applications for certification were incomplete or are now otherwise incorrect (e.g., you now plan to add an additional engine configuration; you are changing the design of the engine). Applications must be amended if any changes occur with respect to any information that was or should have been included in your application. For more information, please see <u>40 CFR 1042.225</u> and <u>EPA's guidance letter on amending applications</u>.⁵ If you are making changes to an EIAPP-certified engine, you may also wish to refer to <u>"Frequently Asked Questions About EPA-issued EIAPP Certificates"</u> (PDF).

EV-CIS also allows manufacturers to update their applications to fix errors ("Corrections").

All amendments are subject to review by the EPA as described in 40 CFR 1042.225(d).

- A. Open the previously submitted dataset in EV-CIS
 - Locate and upload the XML file corresponding to your original application for certification. You may have saved this file when you originally submitted your application. If not, the file can be located by navigating to your submission confirmation in your CDX inbox and clicking on "Verify Administrator" next to the subject of the message.

\$EP.	A United	States Environmental Pr	rotection Agenc	у					
Home	About	Recent Announ	cements	Terms and Conditions	FAQ	Help			
	Centra	al Data Exch	ange	History Payment Histor	N .			<u>Contact Us</u> ogged in as	(<u>Log out)</u>
26 iter	ms found;	displaying 1 to 2	20.	istory Payment histor	У			 Page 1 of	2 🕨 🕅
	<u>From</u>	\$	<u>Subject</u>					\$ <u>Received</u>	\$
	<u>Verify</u> Admin	istrator	BROAD Deleted	CAST: Confirmatory Te (24_MCT_Mach / 0)	est Deci	sion Inf	ormation	3/27/2024 11:15:05 AM	
	<u>Verify</u> Admin	istrator	BROAD	CAST: Confirmatory Te	est Date	e set		3/27/2024 10:39:03 AM	
	Verify /	Administrator	Submissi NEPAN0	ion Accepted. EPA MCI Co 106HF2	ertificatio	on Inform	ation	3/20/2024 5:39 PM	:43
							10 C		A 1

⁵ See IACD-2024-03, April 8, 2024, "Amending Applications for Certification". If the linked URL takes you to the Search page, type IACD-2024-03 in the Keyword Search field.

Next, open the link at the bottom of the message.

The following is a summary of the processing report:
Total Datasets Submitted: 1 Accepted Datasets: 1
Rejected Datasets: 0
Transaction Identifier: _d97959a4-2f17-4ece-b158-09c4144aad03
Thank you for submitting your request to Verify via CDX.
Back to Inbox Print Delete

CDX Help Desk: 888-890-1995 | (970) 494-5500 for International callers

Finally, select the link at the top of that page.

/erify (CDX): Submissior	n Status	
<u>yCDX</u> > Verify (CDX) Submission Status		Logged in as, (Logg
The status of your submission is shown below:		
Submission Document Name MarineCompress Transaction Id: 578 i 30au-1815-4 Date Originally Submitted: 03/20/2/ Status History	sionIgnitionSubmission.xmi 200-0030-700034120304 024	
Date	Status	Description
Wed 03/20/2024 11:16 AM	Completed	Your recent MCI Certification Information submission has been received by the EPA and you will be notified if any additional actions on your part are required. A Submission Processing Report and any requested dataset reports can be found on the status history page. The status history page can be reached by clicking on the link near the bottom of this Inbox message. The following is a summary of the processing report: Total Datasets Submitted: 1 Accepted Datasets: 0
Returned Documents • CSI-NEPAN0106HF2.pdf • SubmissionProcessingReport.xml		Back To Inbox
	You	u are in an encrypted secure session.

C C b https://cdxappstest.epacdx.net/oar-evcis-web/status/download.do?method=download&documentName=M	arineCompress	Q A	<u></u>	¢	է็≡	$\overline{\uparrow}$	 E
This XML file does not appear to have any style information associated with it. The document tree is shown below.	Downloads			5 Q			î
This XML file does not appear to have any style information associated with it. The document true is shown below. * Cotor inconceptuasion pulsion for the start of the start	Download F Oownload F Open file See more	or Running	(Change x	E Q		\$	Î
<pre>cPowerDensityMessure:180:PowerDensityMessure> CB_tateOmerNessure:120:PowerDensityMessure> CB_tateOmerNessure:120:PowerDensityMessure> CM_tatimulTestSpeedNessure> SM_tatimulTestSpeedNessure> SM_</pre>							
<pre><combined:gile=faillyindicates:mf(combinedenginefaillyindicates) </combined:gile=faillyindicates:mf(combinedenginefaillyindicates) </pre> <pre>/Obbilid=tionerpEngineEdentifies:MF(AbbileStationaryEngineIdentifies) <fmginedescriptionedetails) <fmginedescriptionedetails< pre=""> <pre>/figureCombustion(c)clatentifies) <fmultprinsidentifiers mf(fauldptionsidentifiers)<br=""><fuedptinsidentifiers mf(fauldptionsidentifiers)<="" pre=""></fuedptinsidentifiers></fmultprinsidentifiers></pre></fmginedescriptionedetails<></fmginedescriptionedetails) </pre>							
<pre>fruiDMstaringSystamIdentifier/DMM(/FuelMstaringSystamIdentifier></pre>							

Save the page that opens locally as a .xml file (press Ctrl+S in most browsers).

 Go to the Marine Compression Ignition Homepage by following the initial steps (1A-1D) in "Submitting a new engine family for certification," above. Select "Upload Existing Dataset."

NITED STATE			U.S. Environ	mental Protection Agency
	EV-CIS: Engines and Vehicles	s - Complian <u>ce Ir</u>	formation System	1
	EV CIS Home > Marine Compression Imition	Logged in as,	Manufacturer: ENVIRONM	ENTAL PROTECTION AGENCY - EPA (Logout
	Marine Compression Ignition			
ATAL PROTECT	Certification Information Submission			
About CDX	o Start New Dataset			
Inbox	 Upload Existing Dataset 			
Change Password	• Submit Batch Dataset			
Questions	 <u>Request Dataset Report</u> 			
Help & Support	◦ <u>Delete Dataset</u>			
Terms & Conditions				
Logout	 <u>Upload Certification Documents</u> 			
	Maintain Manufacturer Information			
		You are in an encrypted se	cure session.	
	He	Ip Desk: (888) 890-1995 Email: ev EPA Home Privacy and Security	cishelpdesk@epacdx.net Notice Contact Us	
		URL: http://www.epa.gov/epaho	me/usenotice.htm	

iii. First, upload the xml file by selecting it from "Choose File." Then, click "Open Existing Dataset."

SUTED STATE	U.S. Environmental Protection Agency
	EV-CIS: Engines and Vehicles - Compliance Information System
	Logged in as, Manufacturer: ENVIRONMENTAL PROTECTION AGENCY - EPA (Logout) EV-CIS Home > Marine Compression Ignition > Upload
MANAL PROTECTION	Open Existing Certification Information Dataset File
About CDX MyCDX Inbox	You can open an existing dataset to view or continue editing it. Please click the next "Browse" button to look for the file, then click "Open Existing Dataset" button to populate the form.
Change Password Frequently Asked	Local File:
Questions Help & Support	Choose File Download F Change xml
CDX Home Terms & Conditions	Open Existing Dataset
Logout	You are in an encrypted secure session.
	Help Desk: (888) 890-1995 Email: evcishelpdesk@epacdx.net EPA Home I Privacy and Security Notice I Contact Us
	URL: http://www.epa.gov/epahome/usenotice.htm

iv. Your data set will be re-opened.

B. Make the needed change

Please change the process code (MCI-2) to reflect the type of change you are making to the application.

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	EV-CIS: Engines	and Vehicles - Con	npliance Informatic	on System	
	EV-CIS Home > Marine Compress	ion Ignition > Certification Information S	Logged in as, ubmission	Manufacturer:	ENVIRONMENTAL PROTECTION
PROTECTION	Certification Informat	ion Submission			
About CDX MyCDX Inbox	 or = required field = CTRL-click to select and desele 	ct multiple values			
Change Password Frequently Asked	General Information	Emission Control System	Engine Configuration(s)	Test Information	Adjustment Factors
Questions Help & Support CDX Home	Durability Information				
Terms & Conditions Logout	General Information				
	EPA Manufacturer Code	EPA			
	Process Code* :	N - New Submission	Model Year* :		2022 🗸
	EPA Engine Family Name*	NEPAN0106HF2	Manufacturer f	Engine Family Name :	TESTING-MODE CAPS
		Alternate Trade Names (on Label)	+ Add		
			💼 Delet	e	
59:46	Branding Arrangements [Description (3968 characters available)		
Click here to reset timer	Testing C3 mode caps for	D2 & C1			
1					

- i. **Correction:** correct an incorrectly entered value in your certification application (an update to an application that has not yet been signed, a modification to make an application more complete and true, or a fix for a typo).
 - Select "C = Correction" as your Process Code (MCI-2).
 - Correct the data as necessary.
 - Describe the change you made in "Field Edits/Changes/Corrections" (Data Element 12), please be precise.

	Running Change Type(s), if "Other" (4000 characters available)	
		4
	Field Edits/Changes/Corrections • (513 characters available)	
	Corrected U.S. Agent For Service (Data Element 54) from John Smith to Maria Hernandez.	
		•
	Carryover test results from previous No 🛩	
	Carryover test results from previous No Carryover (Original) Engine Family Name : Carryover (Original) Engine Family Model Year :	
:42 chere	Carryover test results from previous Engine Family?•: Carryover (Original) Engine Family Name : Carryover (Original) Engine Family Name : Carryover (Original) Engine Family Model Year : Applicable Regulation (Certificate of Select	
42 here t timer	Carryover test results from previous No Engine Family?*: Carryover (Original) Engine Family Model Year: Carryover (Original) Engine Family Name : Carryover (Original) Engine Family Model Year: What types of cettificates are required for this engine family?*: 2 = ELAPP Cettificate Only Applicable Regulation (Certificate of this engine family?*: Select Applicable Tier (Clean Air Act): Select	

• Review your data and submit. The steps to do so are the same as those described above in Part 1, from the section "Submitting a new engine family for certification."

The new .xml file will automatically download. Make sure to save it in a safe place!

- Running change: a running change needs to be submitted if you are adding or changing an engine configuration for a current model year engine which has already been certified. See <u>40</u> <u>CFR 1042.225(a)(1)</u>.
 - Select "R = Running Change" for the process code (MCI-2).
 - Enter the appropriate changes.
 - Describe the types of changes you have made in "Running Change Type" (Data Element 11) and describe the changes in "Field Edits/Changes/Corrections" (Data Element 12), please be precise.
 - Review your data and submit. The steps to do so are the same as those described above in Part 1, from the section "Submitting a new engine family for certification."
- **iii. FEL Change**: in certain cases, you may request a change to the Family Emission Value Limit (FEL) after you start production. For more information, see <u>40 CFR 1042.225(f)</u> and <u>40 CFR 1042.725</u>.
 - Select "F = FEL Change" for the process code (MCI-2).
 - Enter the appropriate changes to the emissions values.
 - Describe your changes in "Field Edits/Changes/Corrections" (Data Element 12), please be precise.
 - Review your data and submit. The steps to do so are the same as those described above in Part 1, from the section "Submitting a new engine family for certification."
- iv. Field Fix: Field fixes are changes made to an already-certified engine after the end of the model year, such as to issue a new engine software calibration, see <u>40 CFR 1042.225(a)(2) for more information</u>.
 - Select "X = Field Fix" for the process code (MCI-2).
 - Enter the appropriate changes to the engine or part list.
 - Describe your changes in "Field Edits/Changes/Corrections" (Data Element 12), please be precise.
 - Review your data and submit. The steps to do so are the same as those described above in Part 1, from the section "Submitting a new engine family for certification."

C. Sign the updated application. (CROMERR Signer)

Once the revised dataset is accepted, the CROMERR Signer will need to sign the application again before it goes to the EPA for review.

Follow the steps from Part 3 (page 22) to sign your amended application for certification.

On the general information page, pictured below, you will need to select the correct Process Code, either "Request for Revised Certificate" or "Request for CSI Update."

If you are not sure what to select, please view your certificate (which is accessible from the message in your CDX inbox confirming the certificate was issued). If the information you are updating appears on the certificate, you will need to select "Request for Revised Certificate." If the information was in the application but does not appear on the certificate, select "Request for CSI Update." You may also wish to refer to the document <u>"Frequently Asked Questions About EPA-issued EIAPP Certificates</u>" (PDF), which answers several potential questions about updates to EIAPP certificates.

\$¢EPA	EV-C	Engines and Vehicle Compliance Informat	s - tion System			My CDX	CDX Inbox	-
← Req	uest fo	or Certificate	9					
		ldentify	2 Documents	3 Statements	4 Confirm	6 eSignature	6 Complete	
55:48 Click here to reset timer		General	Informat	ion				
		Process Code *		Request for CS	I Update	~		
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		Model Year (YYY	Y) *					
12.50250		EPA Engine Fami	ly Name *					

Please make sure to select the new versions of any updated documentation.

Sign and submit your updated application.